

# The current experimental situation in neutrino physics

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Caltech

Aspen Center for Physics Winter Conference  
“Frontiers in Particle Physics: From Dark Matter to the LHC and Beyond”

January 22, 2014



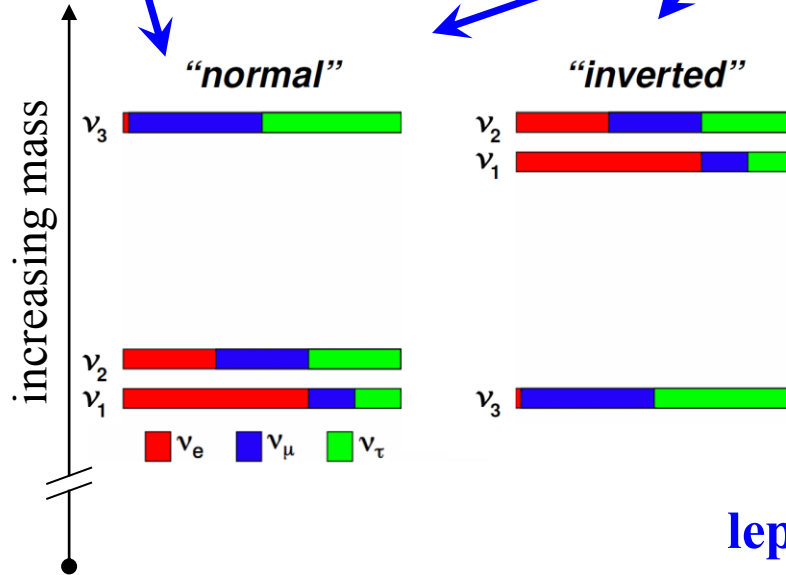
# The questions

Light sterile states?  
(*experimental anomalies*)

$|U_{\mu 3}| = |U_{\tau 3}|$ ?  
(*"maximal mixing"*)

mass ordering?  
(*"hierarchy"*)

Majorana or Dirac?



GUT-scale physics?  
(*see-saw connection*)

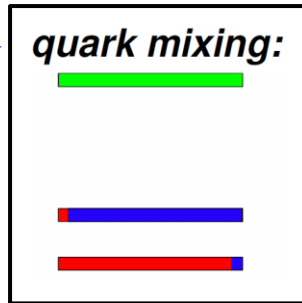
unitary?

absolute masses?

leptonic CP violation?

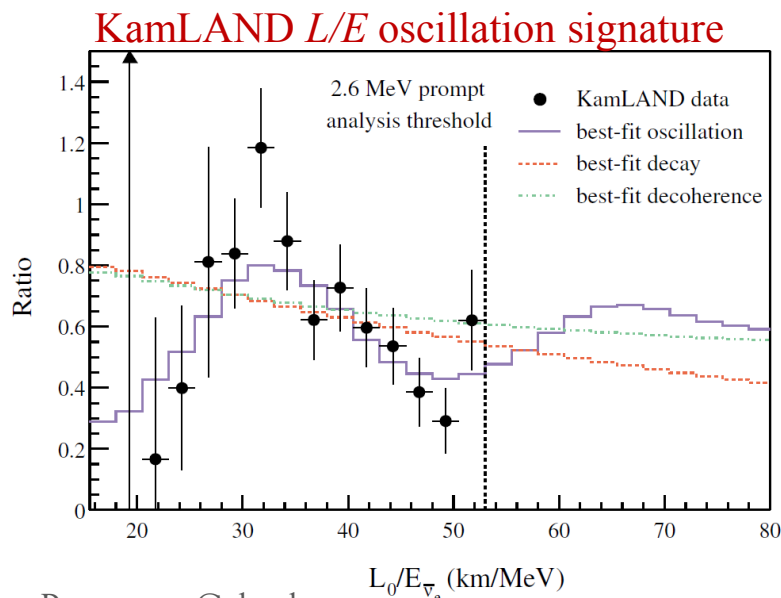
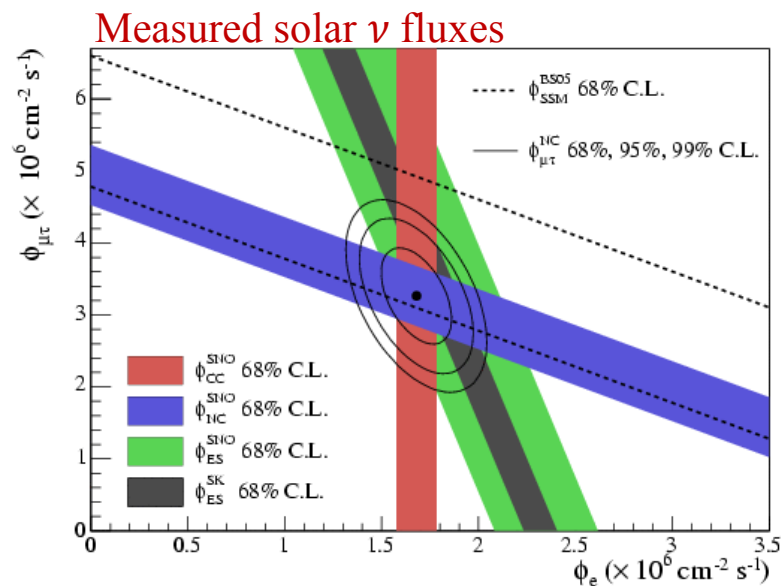
astrophysics/cosmology  
(*solar  $\nu$ , supernovae, DM, ultra-high-energy  $\nu$ , CvB*)

$U_{\text{PMNS}}$  has "first-order" structure, contrast with  $U_{\text{CKM}}$  →  
(*model building, unification, new physics, ...*)

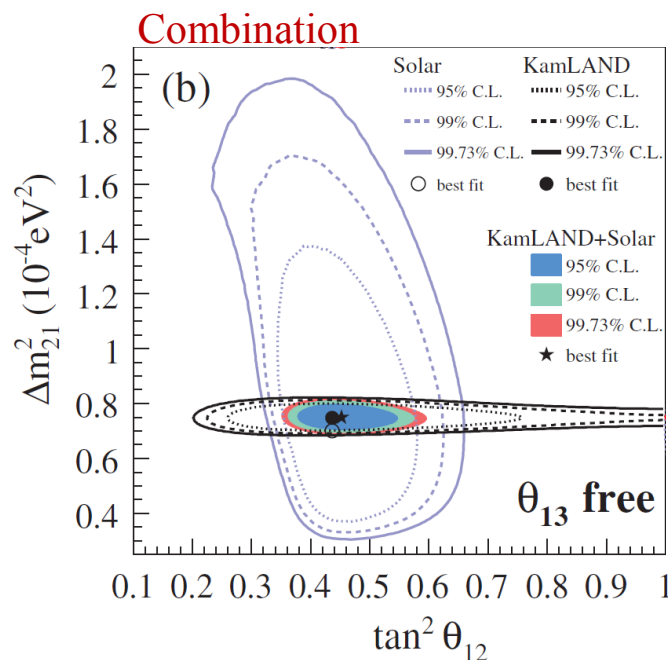


...and more (*geoneutrinos, nuclear processes,  $\nu$  interactions*)

# “Solar” parameters $\theta_{12}$ and $\Delta m_{21}^2$



- SNO (*solar*), Super-K (*solar*), KamLAND (*reactor*) and others
- No big change expected from current experiments (*Future reactor expts. [e.g. JUNO] in the works*)



PRD 83, 052002 (2011)

A. Gando *et al.*,

$$\Delta m_{21}^2 = (7.50^{+0.19}_{-0.20}) \times 10^{-5} \text{ eV}^2$$

$$\sin^2(2\theta_{12}) = 0.857^{+0.023}_{-0.025}$$

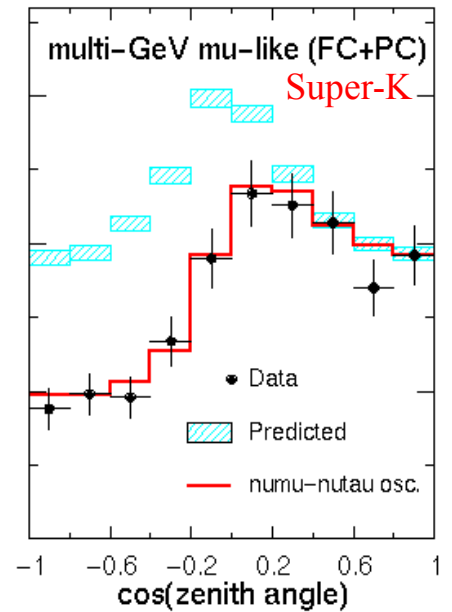
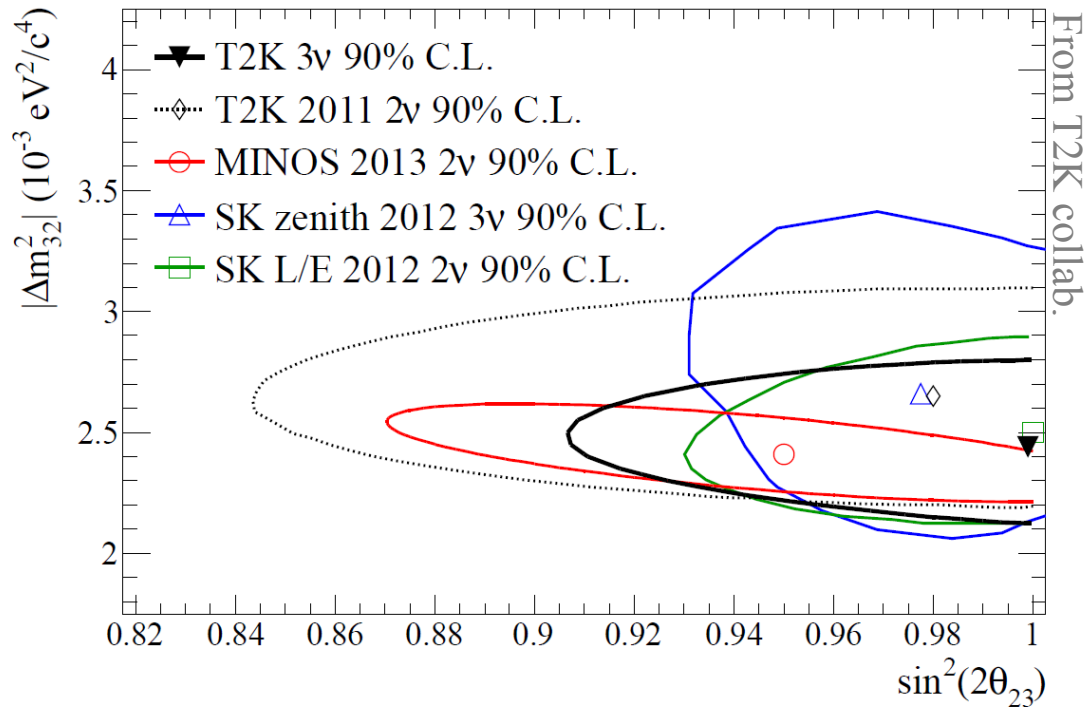
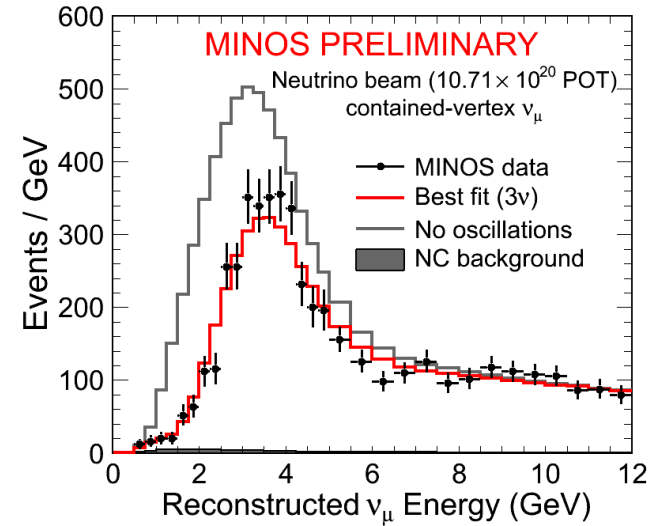
# “Atmospheric” parameters $\theta_{23}$ and $|\Delta m_{32}^2|$

- **Super-K** (*atmospheric*), **MINOS** (*accelerator*), **T2K** (*accelerator*) and others
- Measurements **still rolling in** (see later).

*Simple but incomplete way of summarizing things (more later...)*

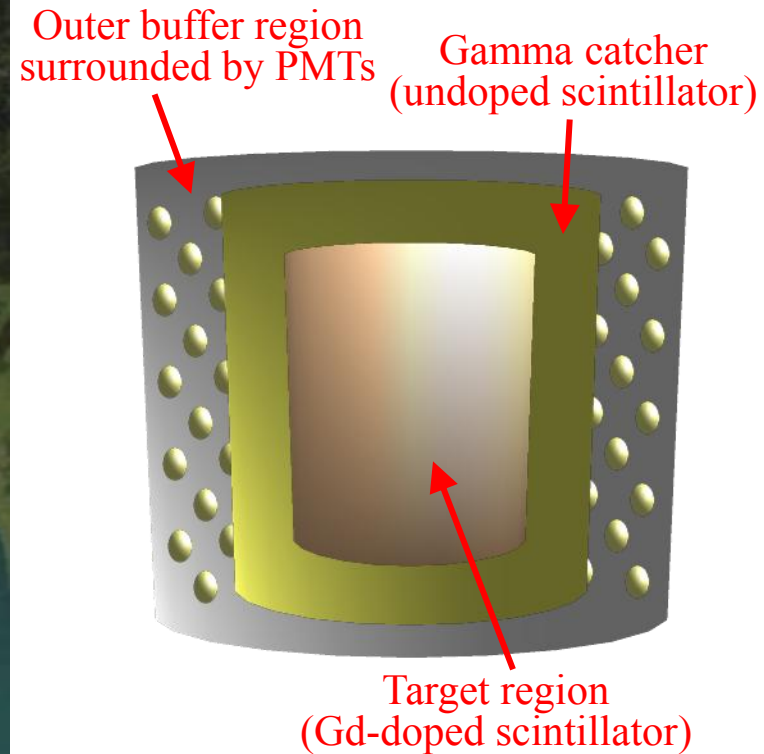
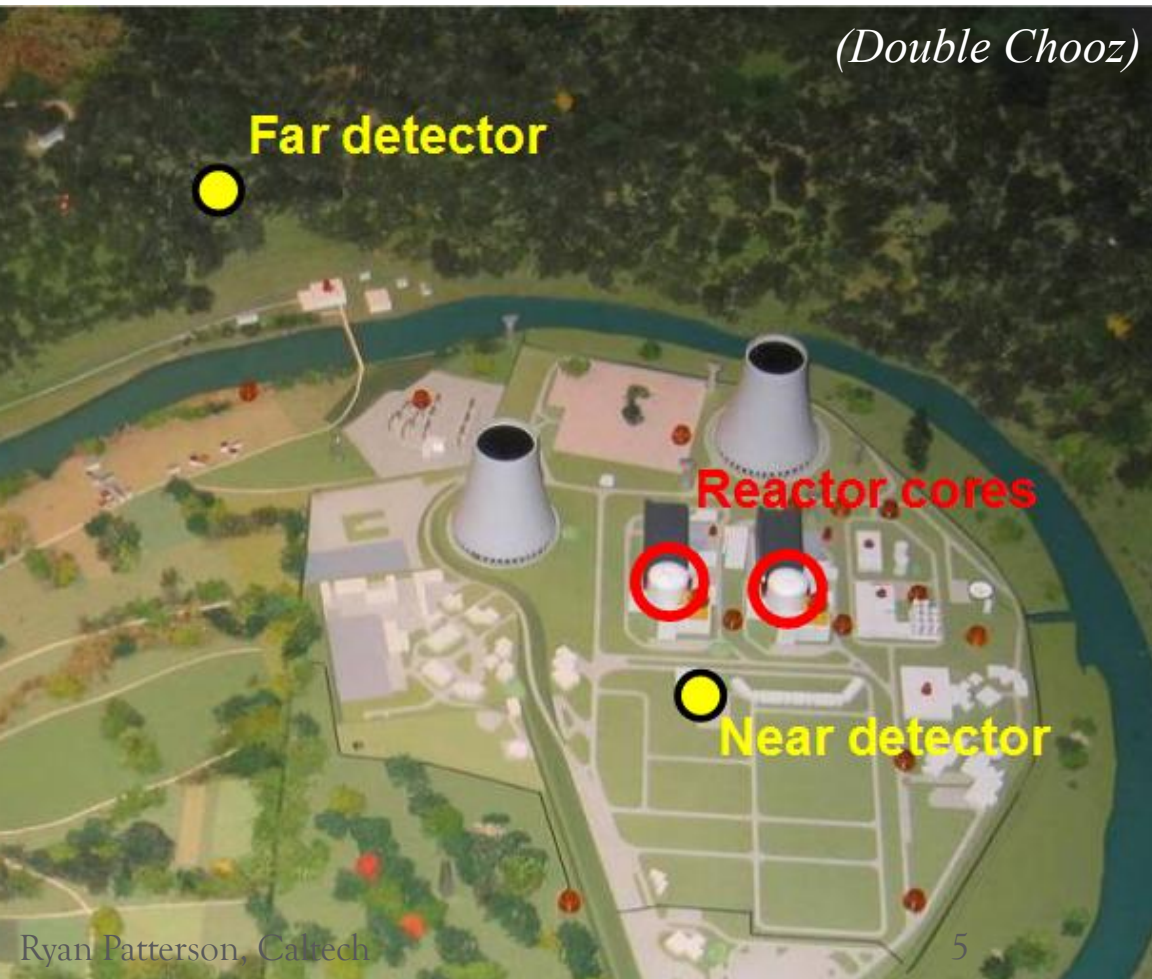
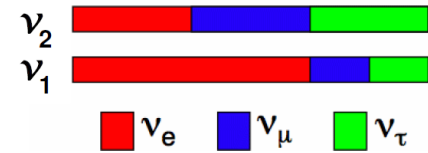
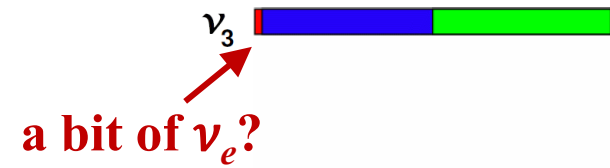
$$|\Delta m_{32}^2| = (2.32^{+0.12}_{-0.08}) \times 10^{-3} \text{ eV}^2$$

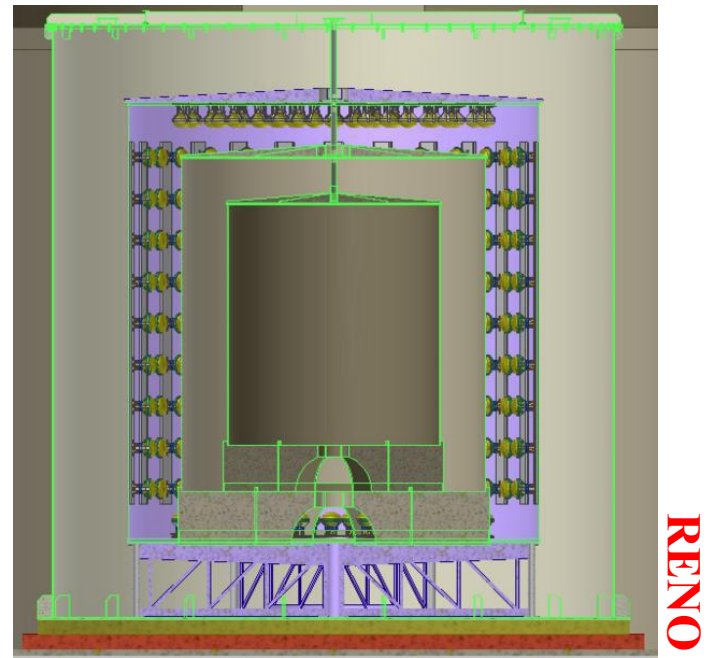
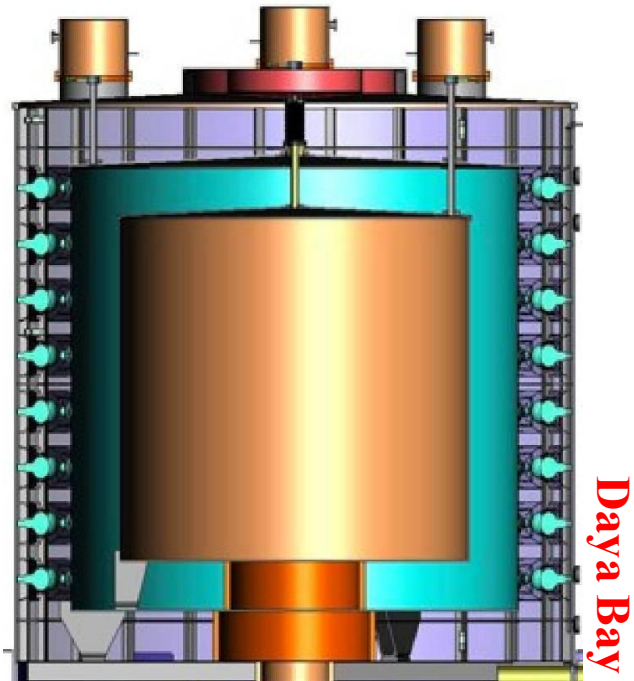
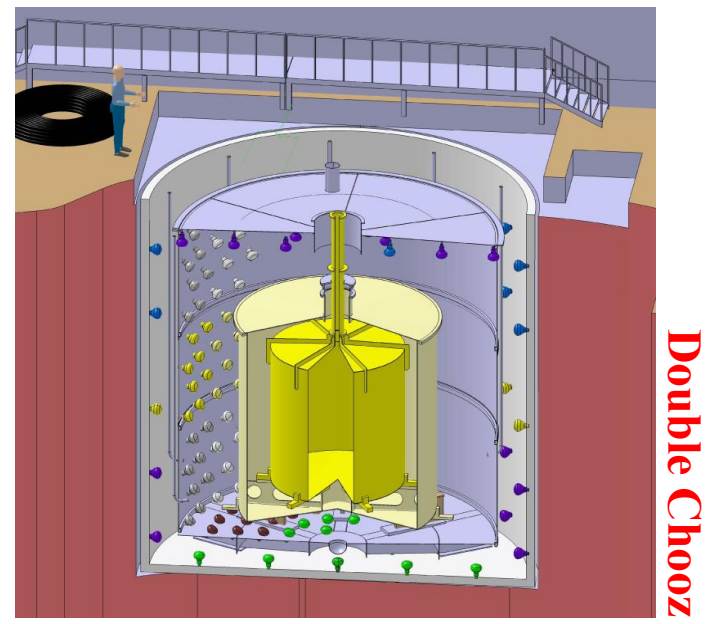
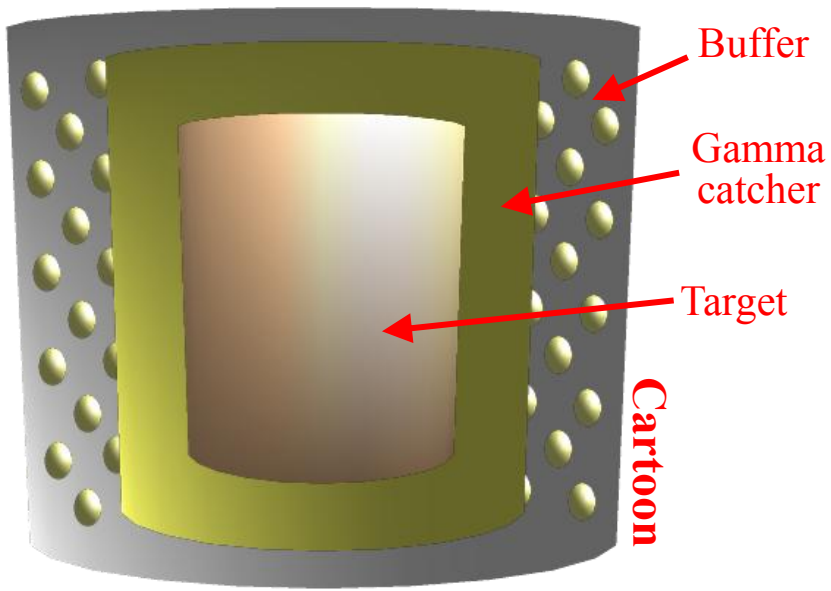
$$\sin^2(2\theta_{23}) > 0.95 \text{ (90\% C.L.)}$$



# Recent prize: $\theta_{13}$

- **Last mixing angle** to be bracketed. Previously just known to be **small relative to  $\theta_{12}$ ,  $\theta_{23}$**
- **Reactor expts.** using inverse beta decay:  $\bar{\nu}_e + p \rightarrow e^+ + n$   
*prompt  $e^+$  signal, delayed  $n$ -capture signal*





# $\theta_{13}$ from reactor measurements

**Daya Bay:**  $\sin^2(2\theta_{13}) = 0.090^{+0.008}_{-0.009}$

*Multiple detector sites, 8 detectors in total, rate+shape signal extraction.  
Best precision for this generation of experiments (4% by end of 2015).*

**Double Chooz:**  $\sin^2(2\theta_{13}) = 0.097 \pm 0.035$

*FD only so far, ND running to start this year.*

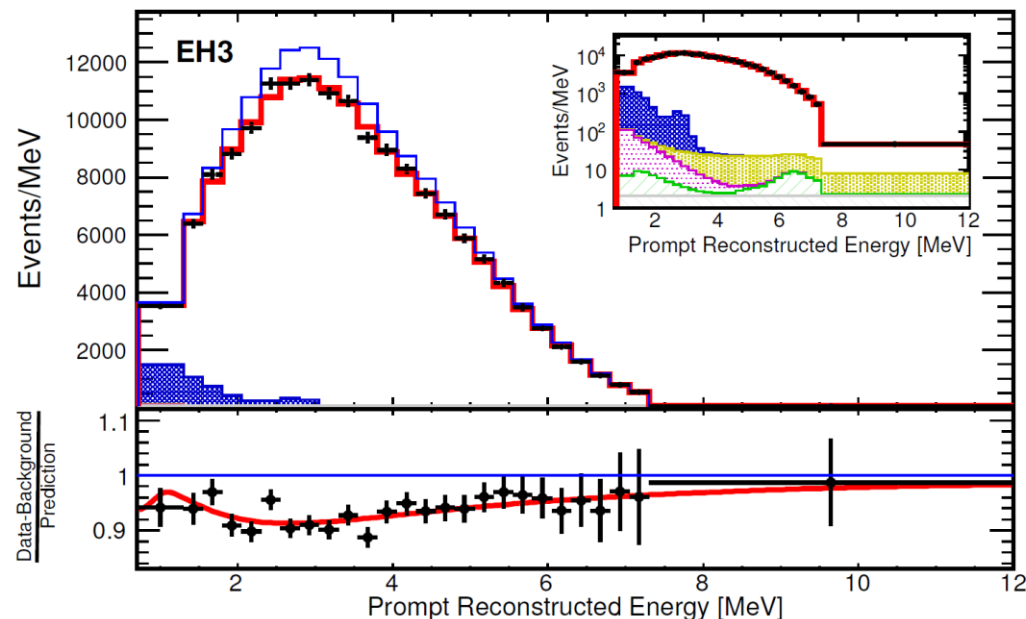
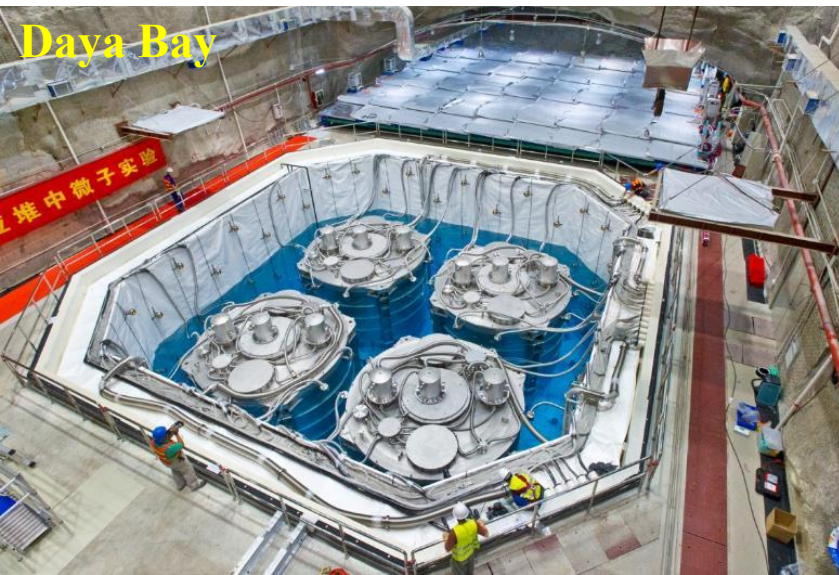
*Recent update: n-Gd, n-H captures and reactor rate modulation (bckgnd control)*

**RENO:**  $\sin^2(2\theta_{13}) = 0.100 \pm 0.018$

*2 detectors, rate-only analysis so far.*

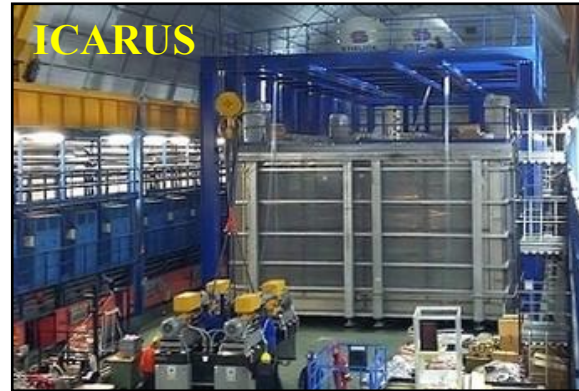
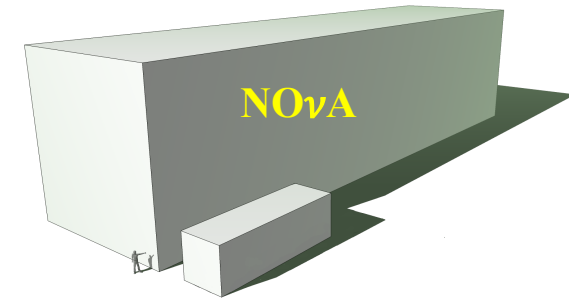
*7% measurement by end of 2015.*

**Daya Bay data as example.  
Deficit consistent with oscillations.**



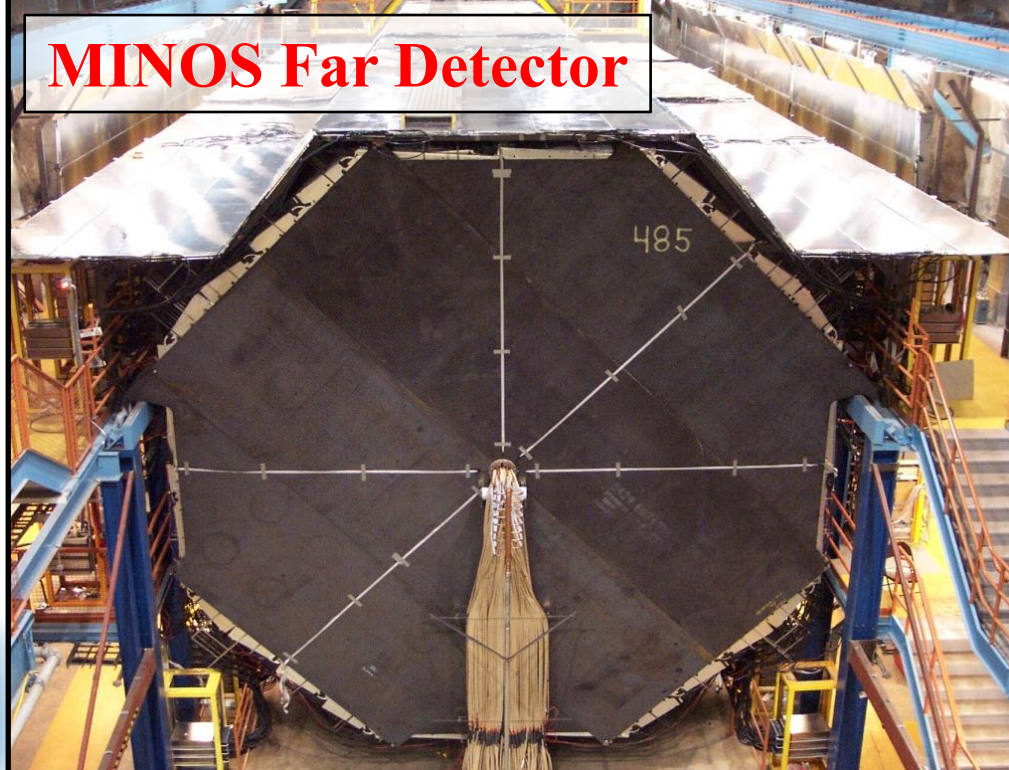
# Long-baseline experiments

- $\theta_{13} > 0 \Rightarrow \text{NO}\nu\text{A}, \text{T2K}, (\text{MINOS})$  can probe mass hierarchy,  $\delta$ ,  $\theta_{23}$  octant
  - Also:  $|\Delta m_{32}^2|$ ,  $\sin^2(2\theta_{23})$ ,  $\nu/\bar{\nu}$  comparisons, steriles, NSI, cross sections, supernova*
- **LBL experiments with different goals:**
  - OPERA** ( $\nu_\tau$  appearance, ToF, ...)
  - ICARUS** (LAr R&D,  $\nu_\tau$  appearance, steriles, ...)
  - Have to leave out for time...*





# MINOS



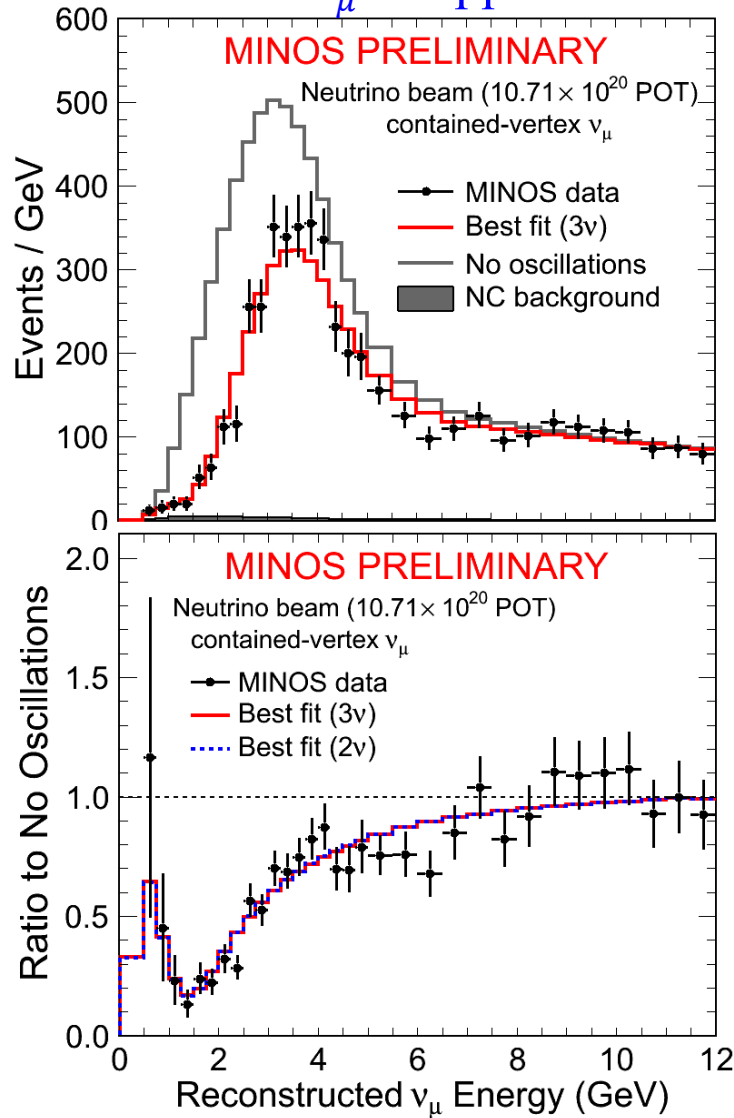
**MINOS Far Detector**

**Fermilab to Soudan, 735 km**

***Near and far detectors:  
Steel/scintillator magnetized  
tracking calorimeters***

# New in 2013: Full MINOS data set combined in 3-flavor fit

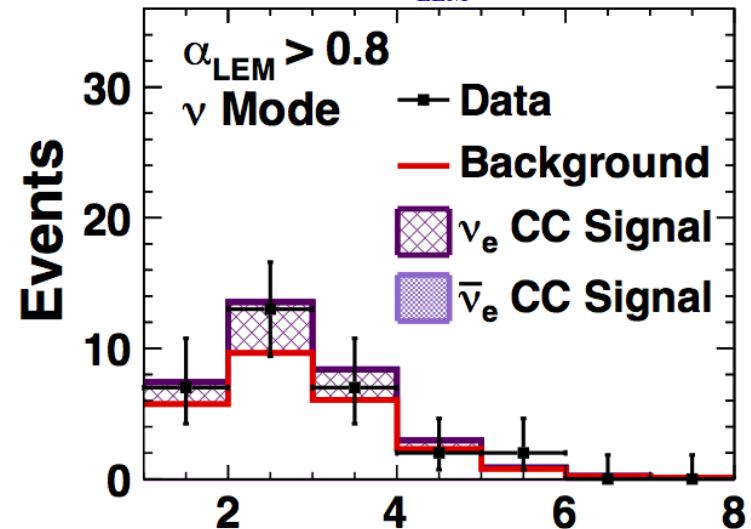
## NuMI $\nu_\mu$ disappearance



- $\nu_\mu$  and  $\bar{\nu}_\mu$  disappearance (NuMI beam)
- $\nu_\mu$  and  $\bar{\nu}_\mu$  disappearance (atmospheric  $\nu$ )
- $\nu_e$  and  $\bar{\nu}_e$  appearance (NuMI beam)

## $\nu_e$ appearance

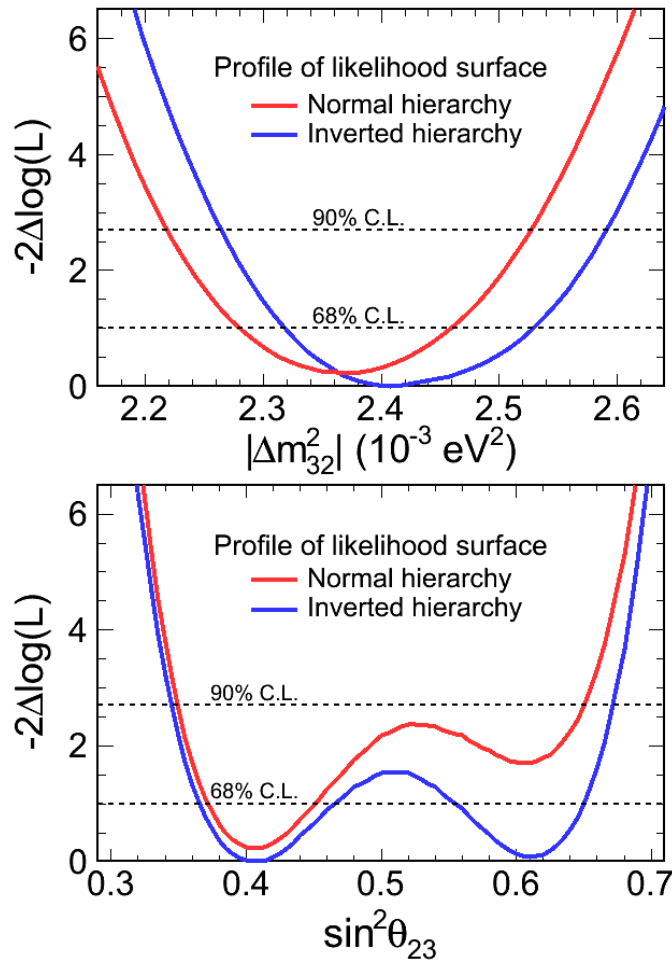
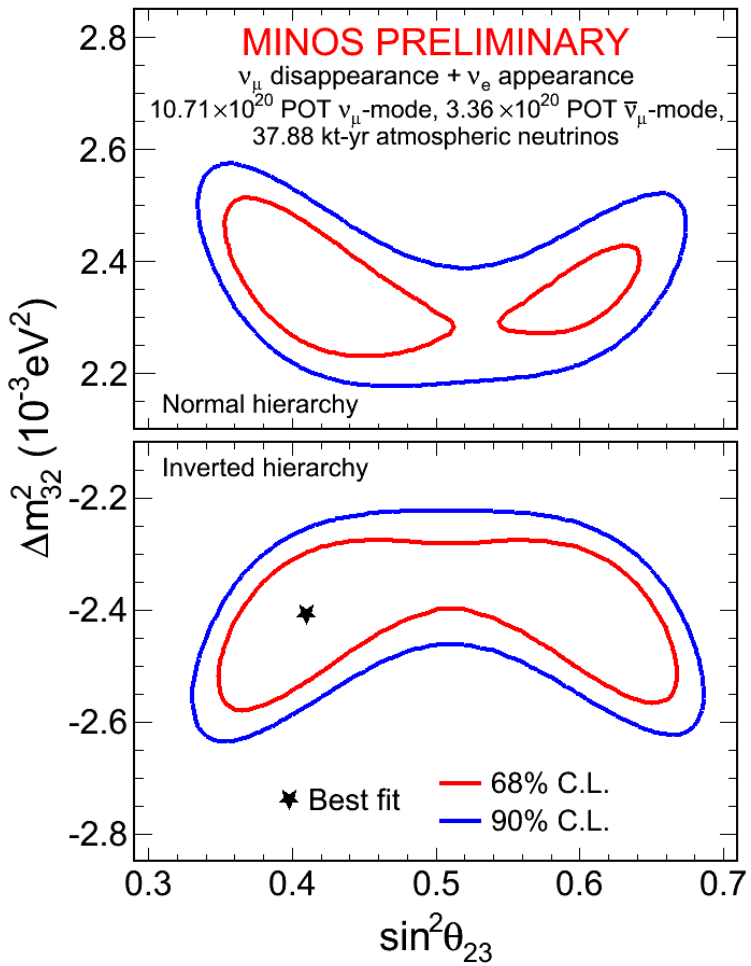
(most sensitive  $\alpha_{\text{LEM}}$  bin shown here)



■ Confidence intervals in a portion of parameter space

( $\theta_{13}$  and CP phase  $\delta$  marginalized out for clarity)

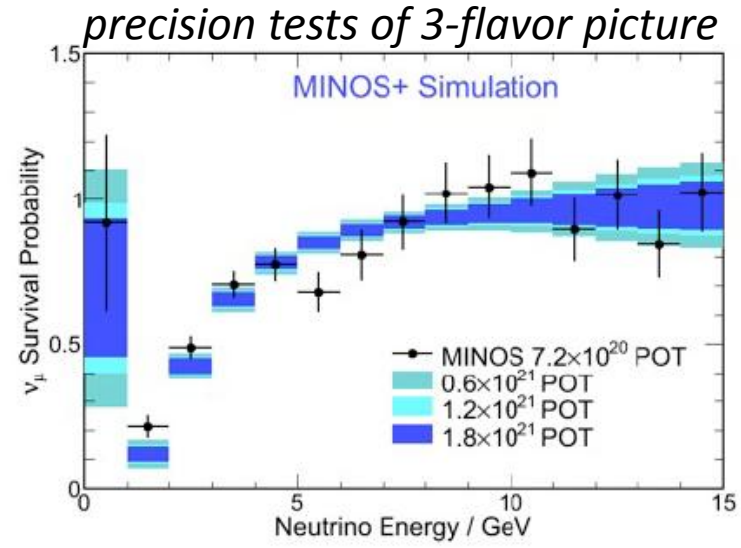
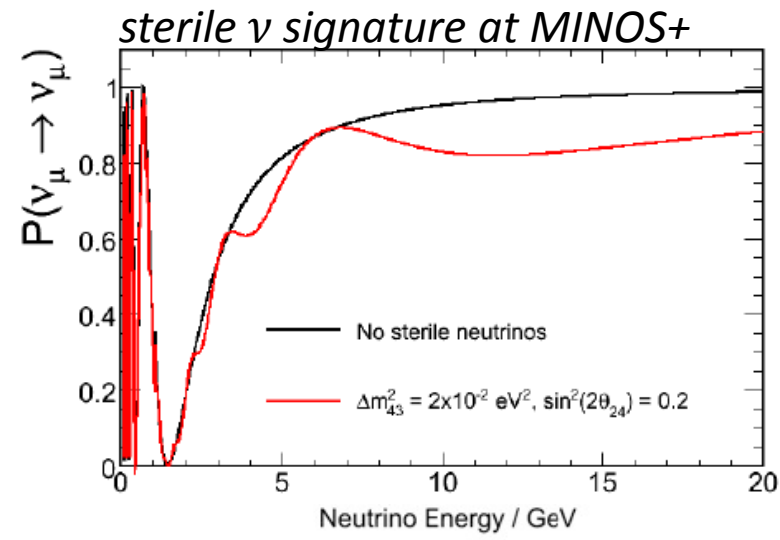
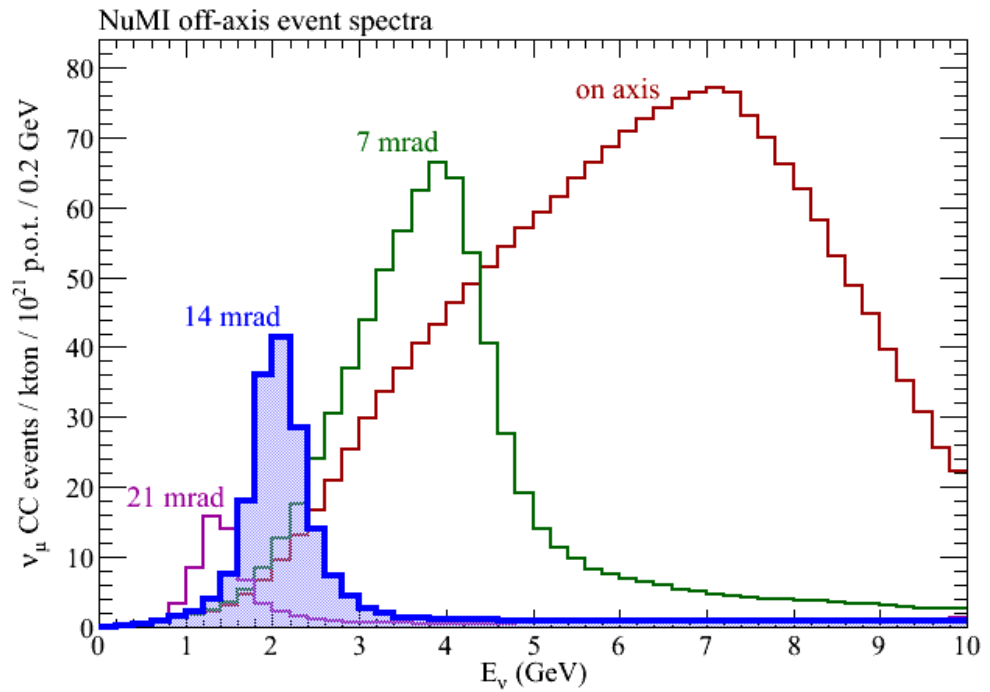
$|\Delta m_{32}^2|$  precision = 4%  
 $\Delta m_{21}^2 / |\Delta m_{32}^2| = 3\%$   
 **$\Rightarrow 2\nu$  approximations are behind us**



# “Medium energy” NuMI

**MINOS → MINOS+,**  
*Higher neutrino energies: precision tests and searches (examples at right)*

**NuMI upgraded to 700 kW**  
*(though still operating at ~400 kW pending commissioning and Booster RF upgrades)*



# NOvA

## *A broad physics scope*

Using  $\nu_\mu \rightarrow \nu_e$ ,  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  ...

- Measure  $\theta_{13}$  via  $\nu_e$  appearance
- Determine the  $\nu$  mass hierarchy
- Search for  $\nu$  CP violation
- Determine the  $\theta_{23}$  octant

Using  $\nu_\mu \rightarrow \nu_\mu$ ,  $\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu$  ...

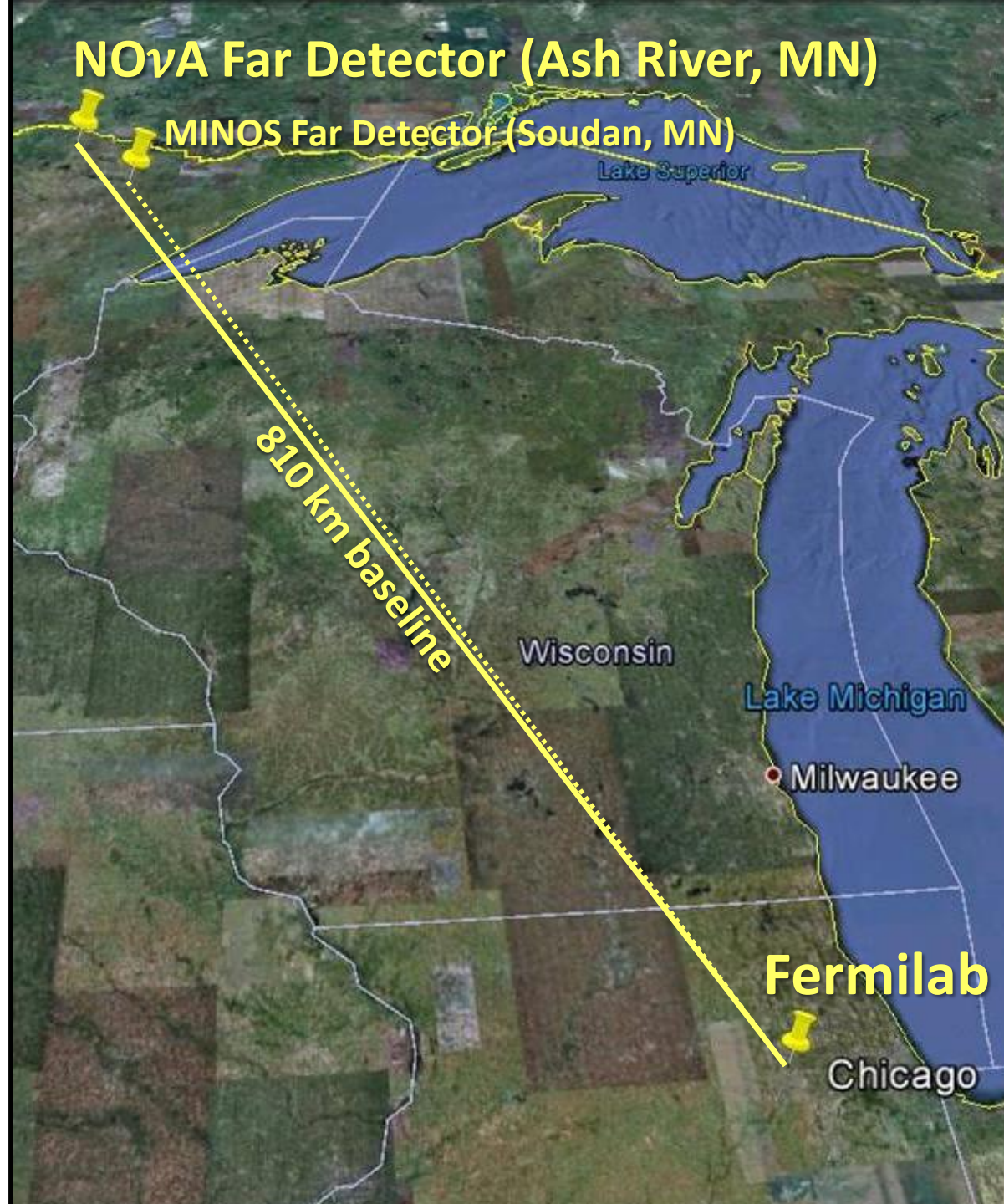
- **Atmospheric parameters:** precision measurements of  $\theta_{23}$ ,  $|\Delta m_{32}^2|$ . (Exclude  $\theta_{23}=\pi/4$ ?)
- **Over-constrain** the atmos. sector (four oscillation channels)

**Also ...**

- Neutrino cross sections at the NOvA Near Detector
- Sterile neutrinos
- Supernova neutrinos
- Other exotica

## NOvA Far Detector (Ash River, MN)

MINOS Far Detector (Soudan, MN)



810 km baseline

Fermilab

Chicago

Wisconsin

Lake Michigan

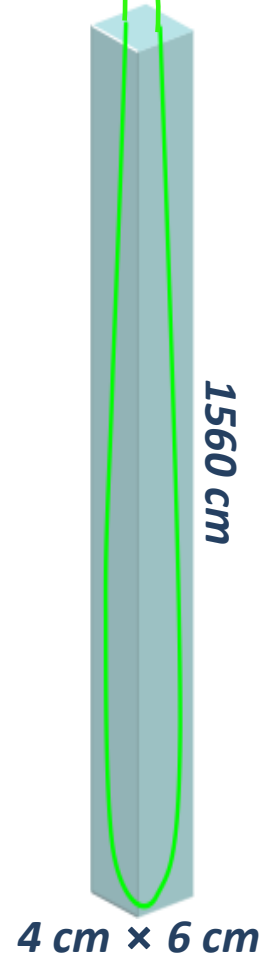
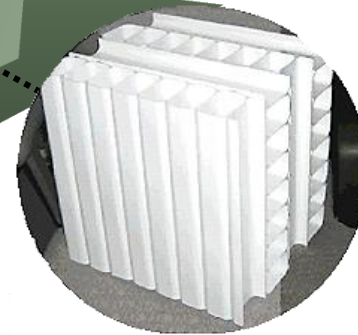
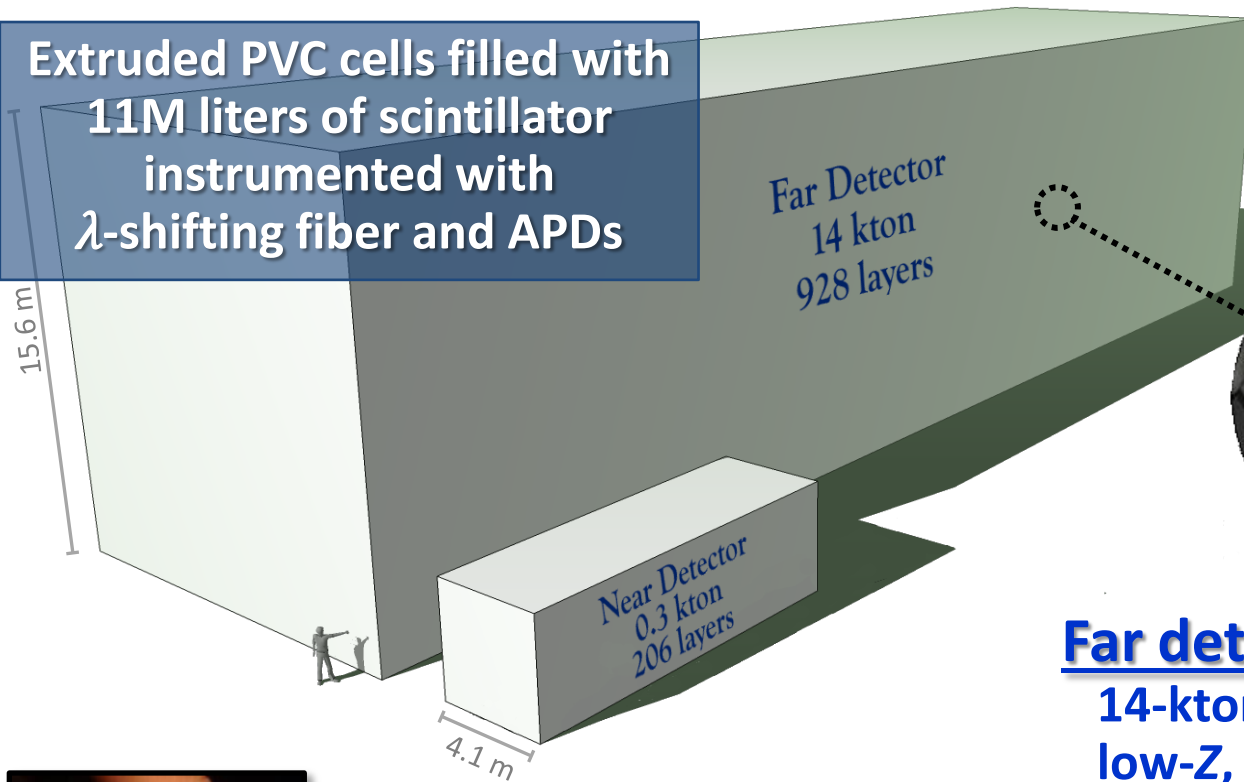
Milwaukee

Lake Superior

# NO $\nu$ A detectors

## A NO $\nu$ A cell

Extruded PVC cells filled with  
11M liters of scintillator  
instrumented with  
 $\lambda$ -shifting fiber and APDs



### Far detector:

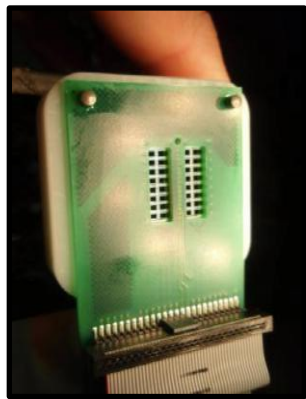
14-kton, fine-grained,  
low-Z, highly-active  
tracking calorimeter  
→ 360,000 channels

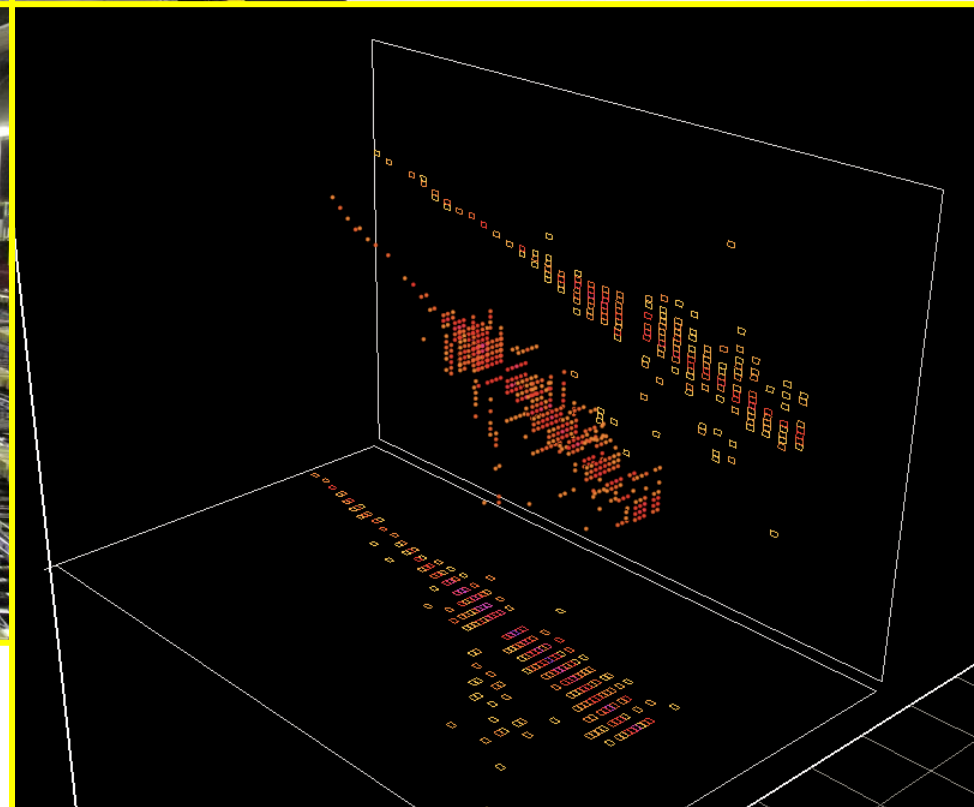
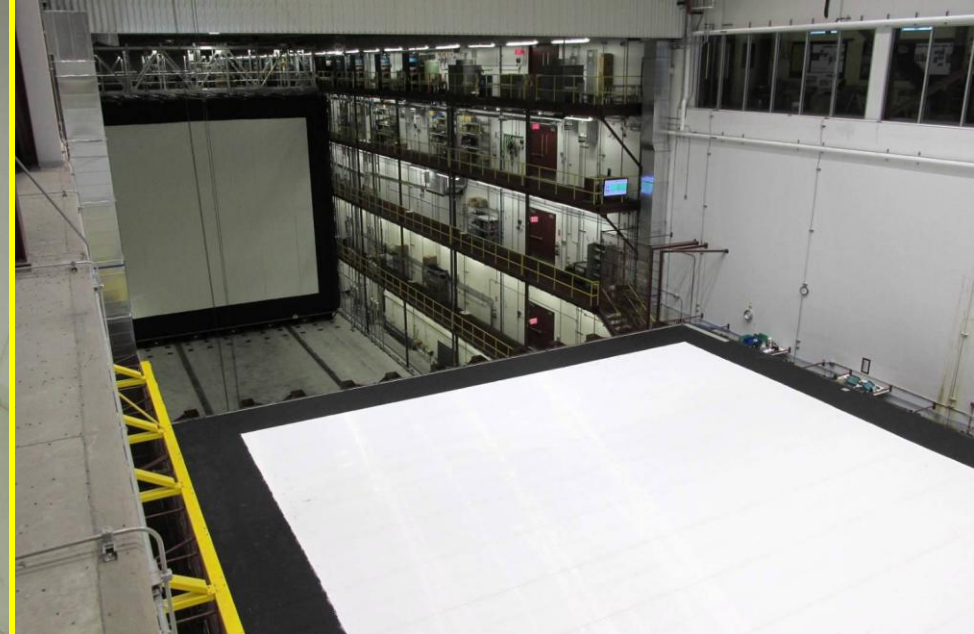
### Near detector:

0.3-kton version of  
the same  
→ 18,000 channels

32-pixel APD

Fiber pairs  
from 32 cells





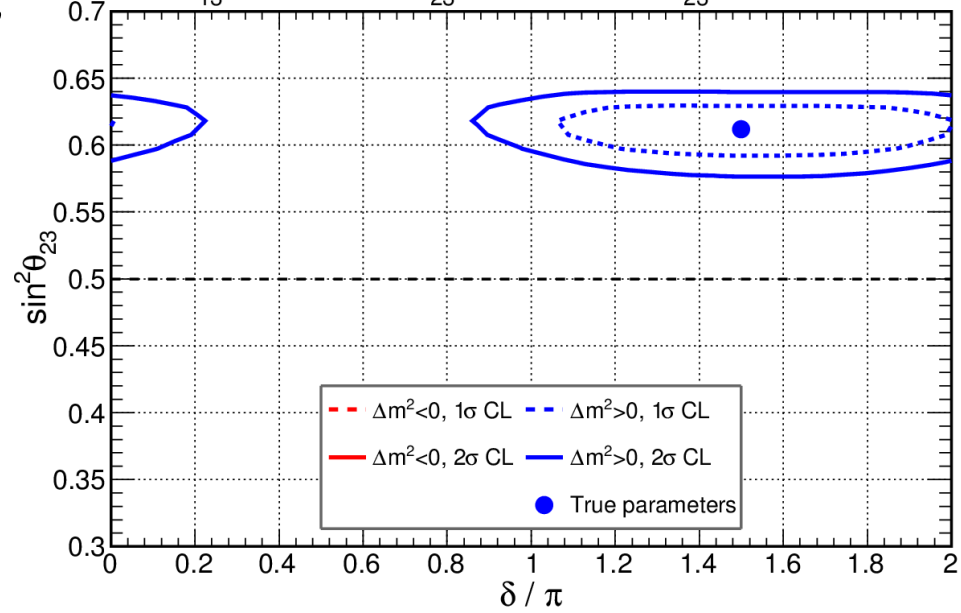
**NOvA installation near completion.  
*At right:* cosmic event in partial FD.**

*Long baseline → hierarchy sensitivity*

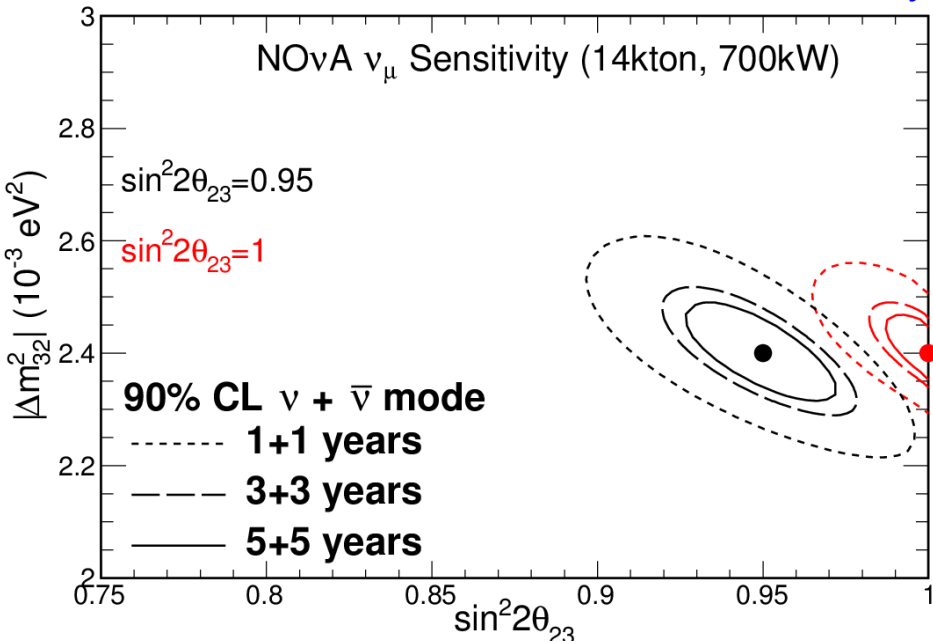
**At right: Example point in  $\nu$  parameter space →**

*Simultaneously break  $\nu_3$  flavor degeneracy (“ $\theta_{23}$  octant”), determine mass hierarchy, and constrain CP phase  $\delta$ .*

Example NOvA contours, 3+3 yr  
 $\sin^2 2\theta_{13}=0.095, \sin^2 2\theta_{23}=0.95, \Delta m^2 > 0, \theta_{23} > \pi/4, \delta = 3\pi/2$



NOvA Preliminary



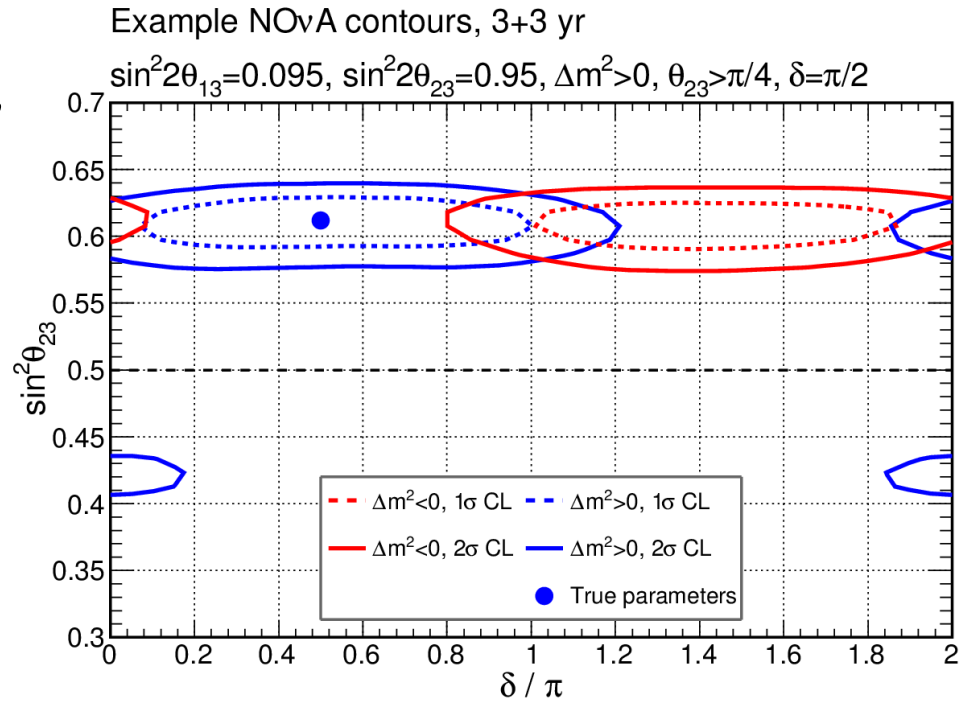
**At left:  $\nu_\mu$  CC disappearance**  
*(maximal and non-maximal test cases shown)*

**Probe down to 1% non-max. mixing**

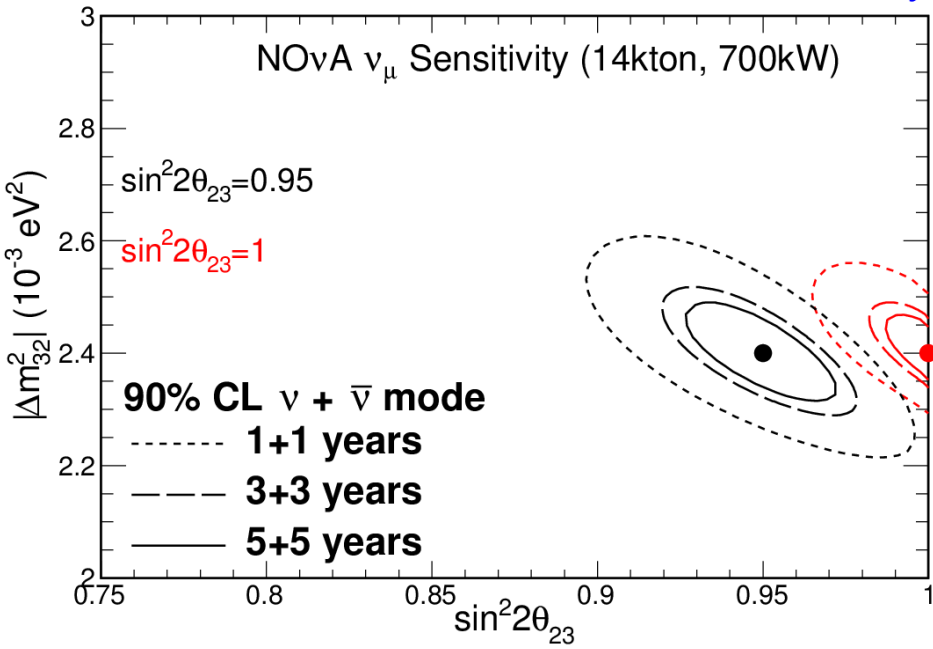


*Long baseline → hierarchy sensitivity*

**At right: “degenerate” point** →  
*Hierarchy and  $\delta$  information now correlated. Octant preference still established.*



NOvA Preliminary

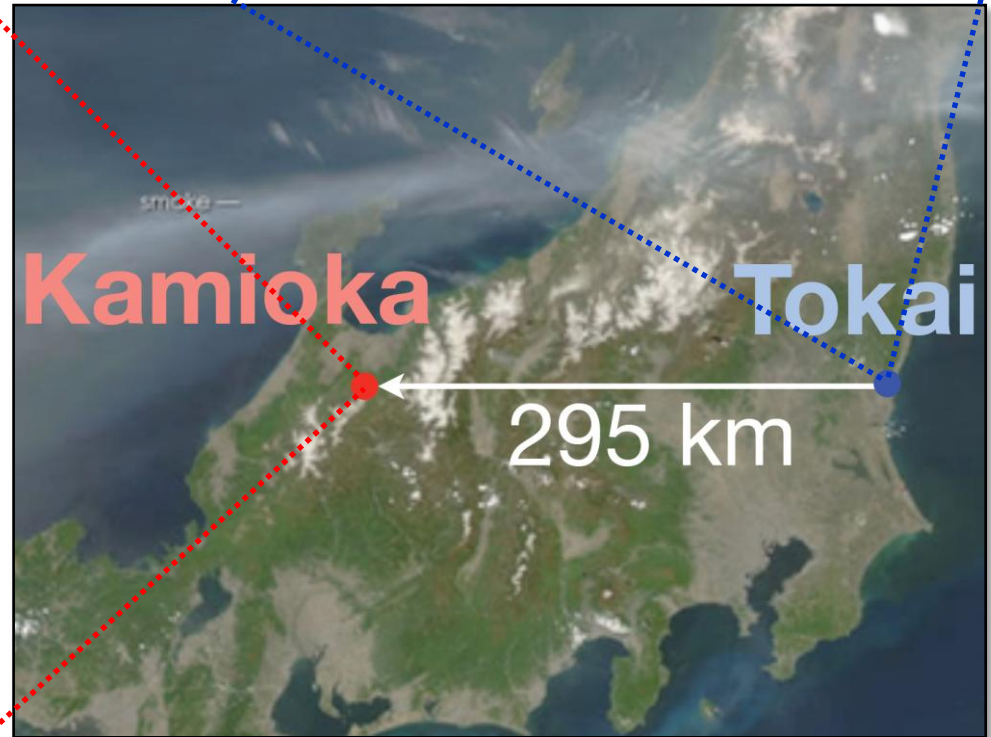
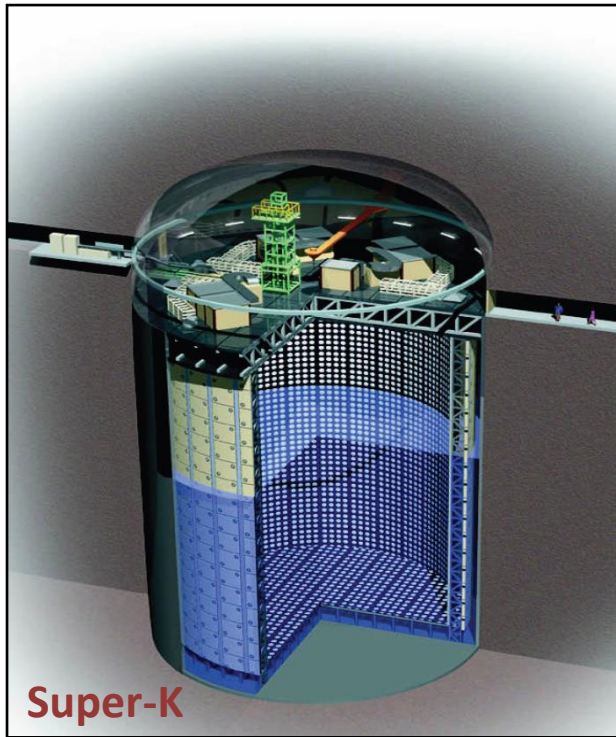
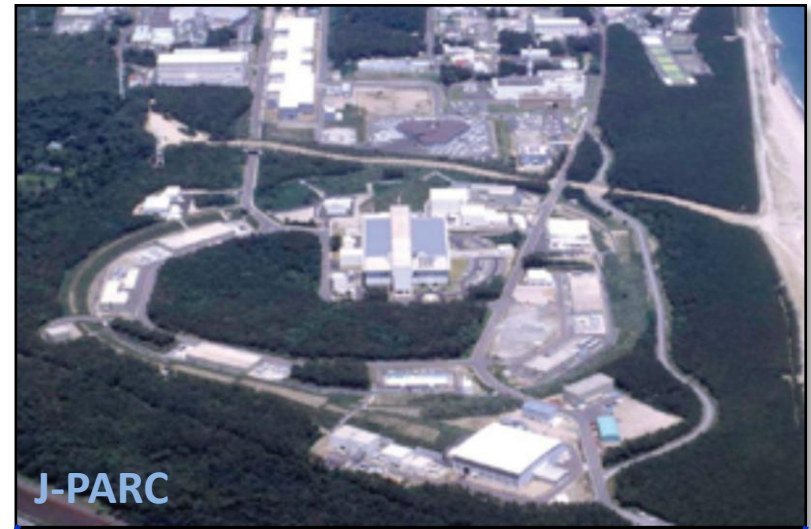


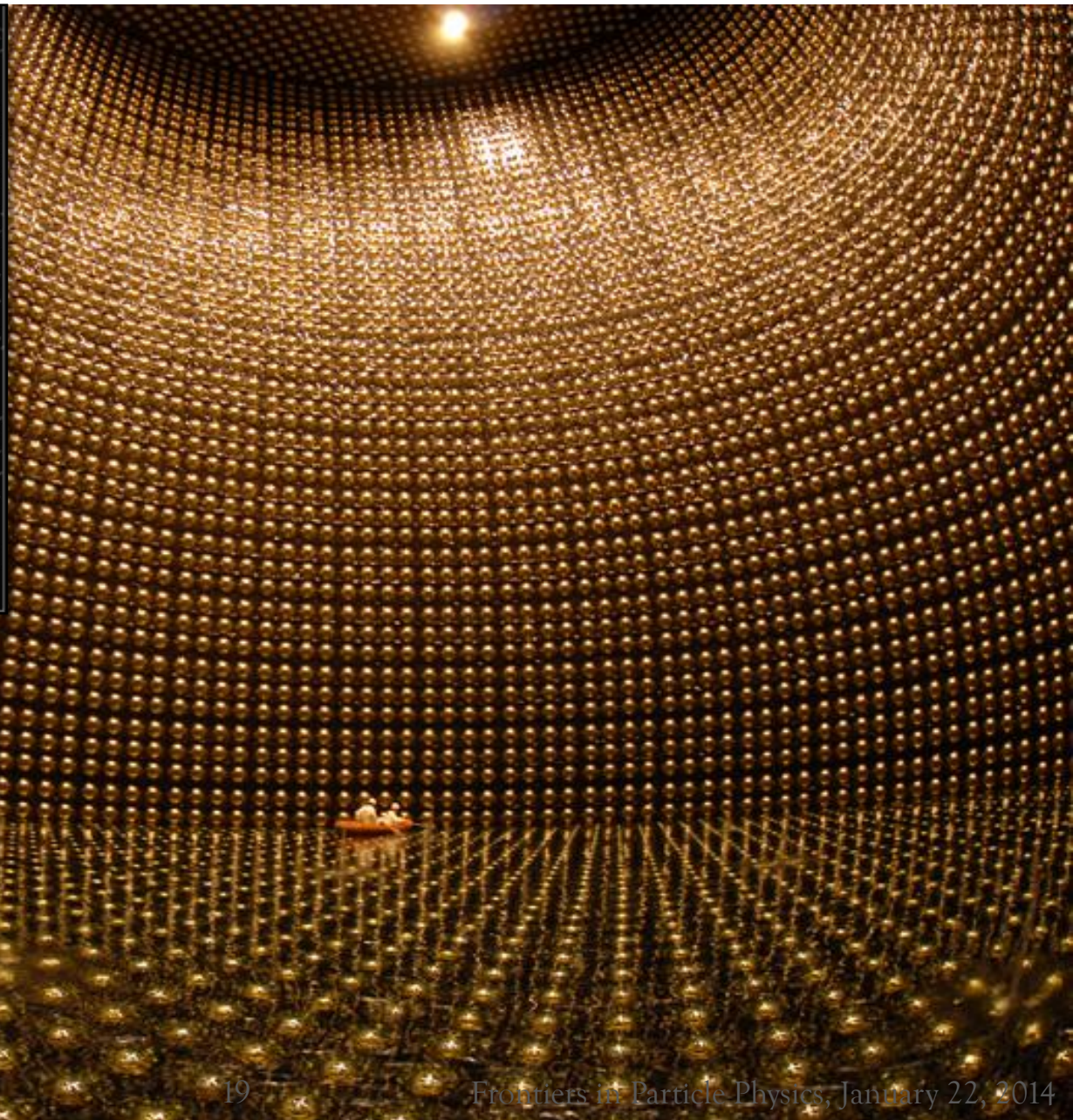
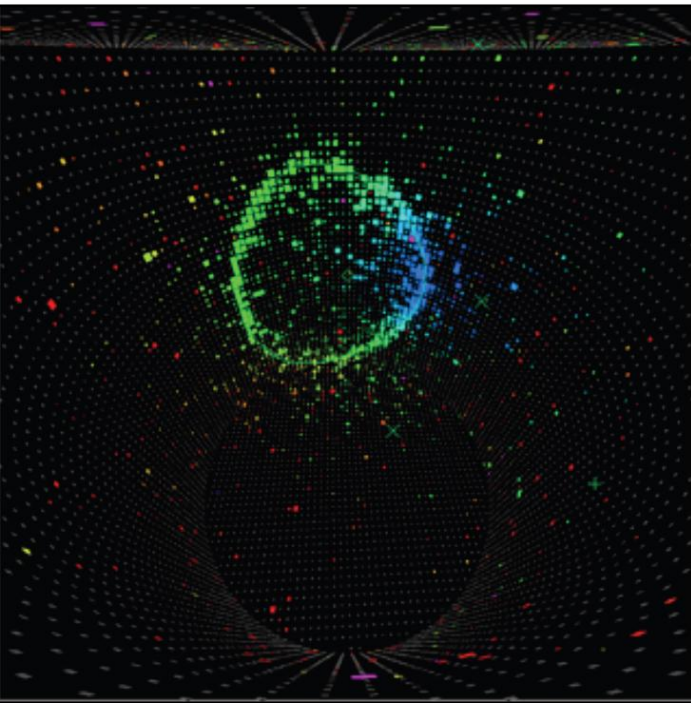
**At left:  $\nu_\mu$  CC disappearance**  
*(maximal and non-maximal test cases shown)*

**Probe down to 1% non-max. mixing**

# T2K

- Tokai to Kamioka (295 km)
- Neutrino beam from J-PARC
- Existing far detector: *Super-K*
- INGRID and ND280 near detectors

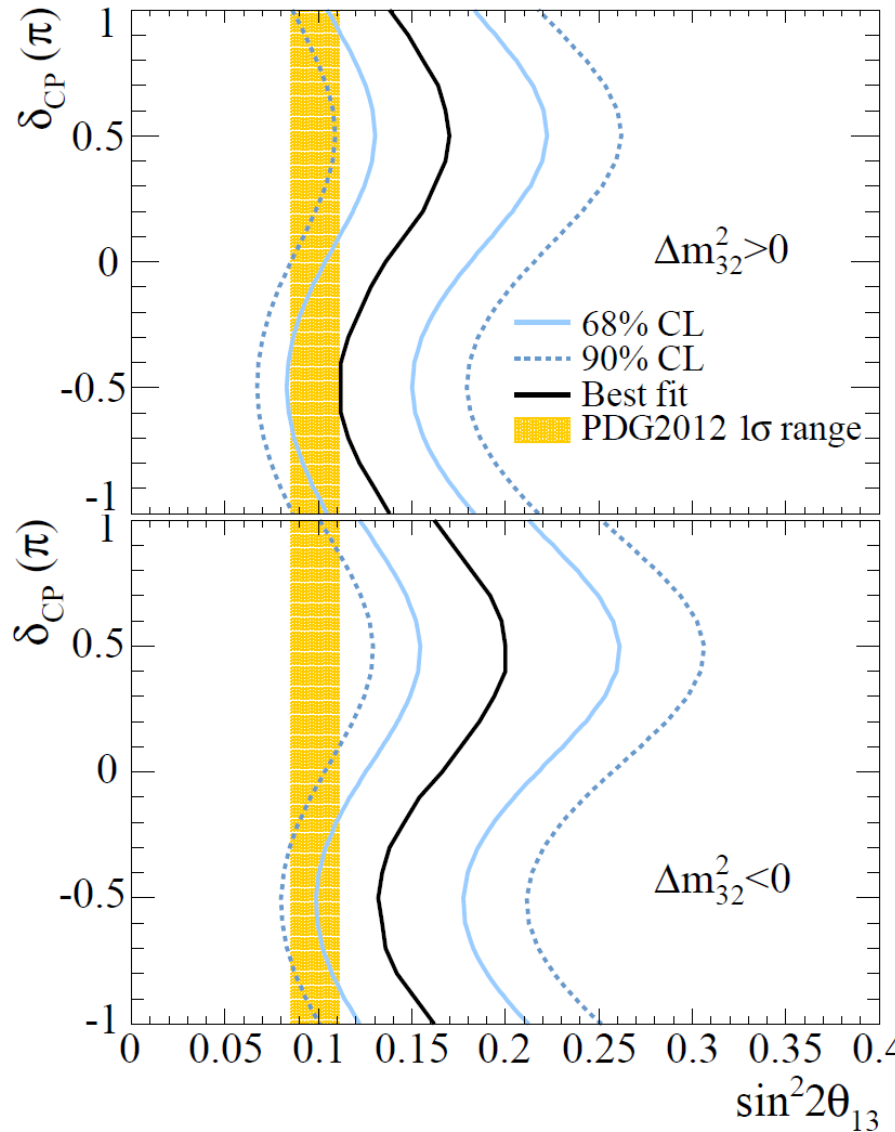
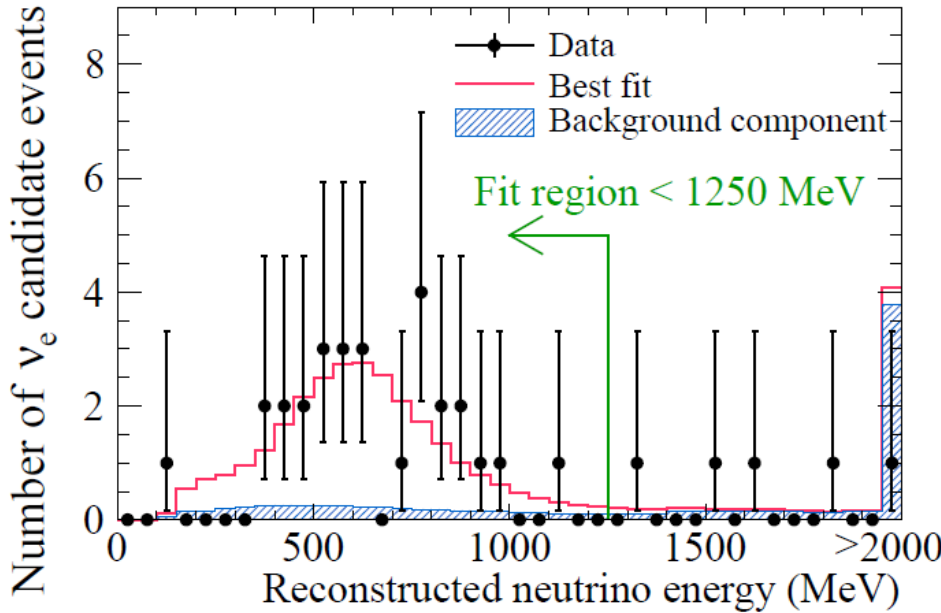




■ **First conclusive observation ( $7.3\sigma$ ) of  $\nu_\mu \rightarrow \nu_e$  appearance**  
*Consistent with  $\bar{\nu}_e$  (reactor) disappearance results*

K. Abe *et al.* (T2K)  
 arXiv: 1311.4750

- **Only 10% of eventual data set**
- **“Short” 295-km baseline:**  
*Important role in global  $\nu$  fits  
 (minimal hierarchy dependence)*
- **$\nu_\mu$  CC channel:**  
*Probe non-max. mixing to  $\sim 1\%$   
 (First results out)*



# Neutrino mass

- **Cosmological observations** → sum of neutrino masses.

*Best limits so far:  $\Sigma m_i < 0.2-0.6$  eV*

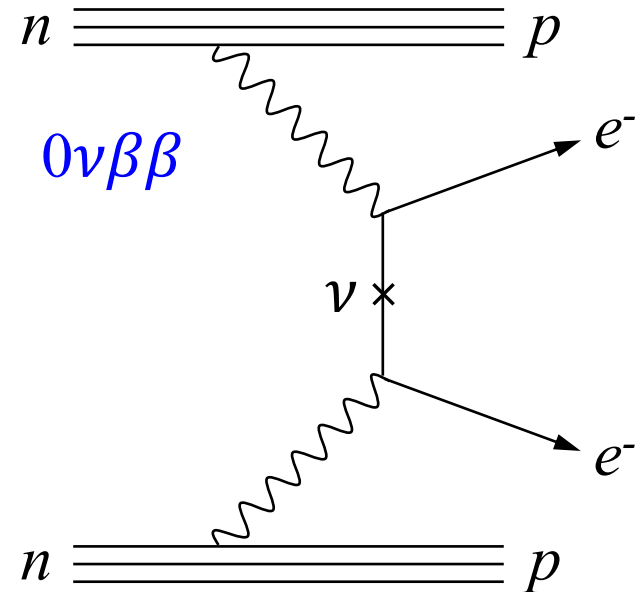
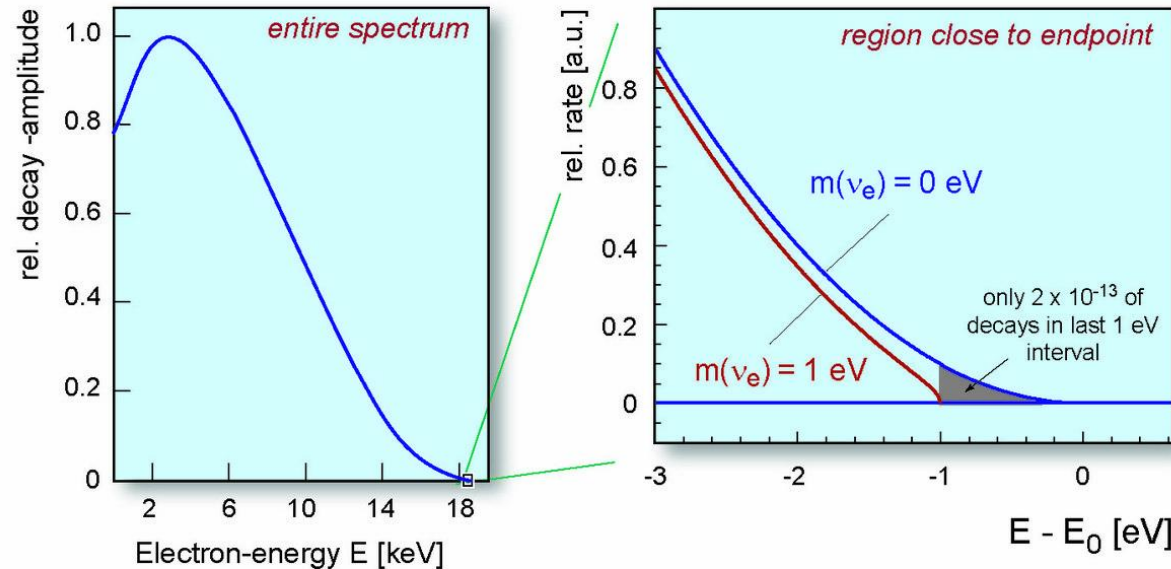
- **$\beta$ -decay kinematic measurement** → effective electron neutrino mass, a.k.a.  $m_\beta$ :

$$m_\beta^2 = \sum |U_{ei}|^2 m_i^2$$

- **$0\nu\beta\beta$  decay process (if Majorana- $\nu$ -mediated)** → effective mass  $m_{\beta\beta}$ :

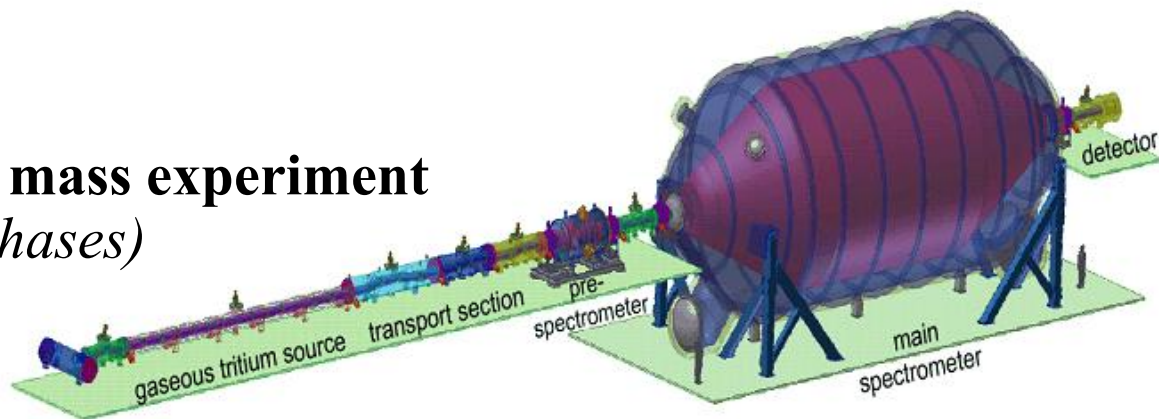
$$m_{\beta\beta}^2 = \left| \sum U_{ei}^2 m_i \right|^2$$

## kinematic measurement

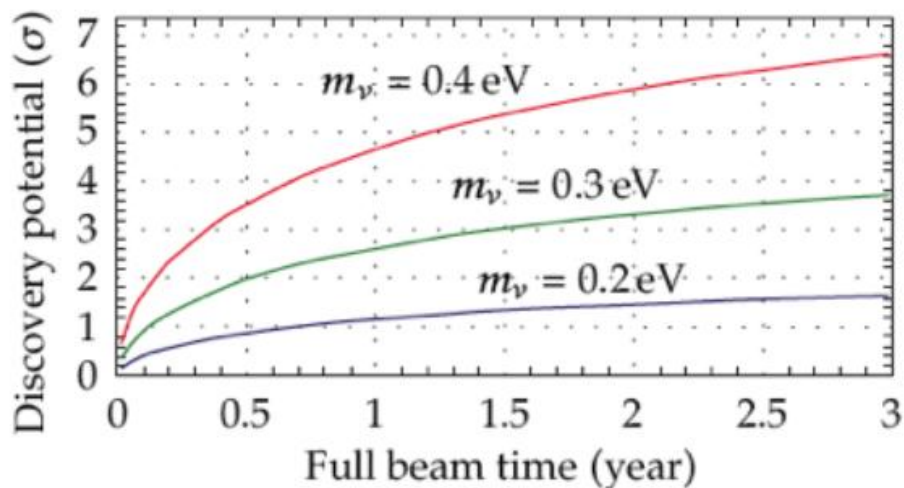


# KATRIN

- Only current kinematic  $\nu$  mass experiment  
(several others in R&D phases)
- Large electrostatic filter for  $\beta$  spectrometry
- Partial loading this year, **full tritium run in 2015**



**5 $\sigma$  reach for  $m_\beta = 0.35$  eV**



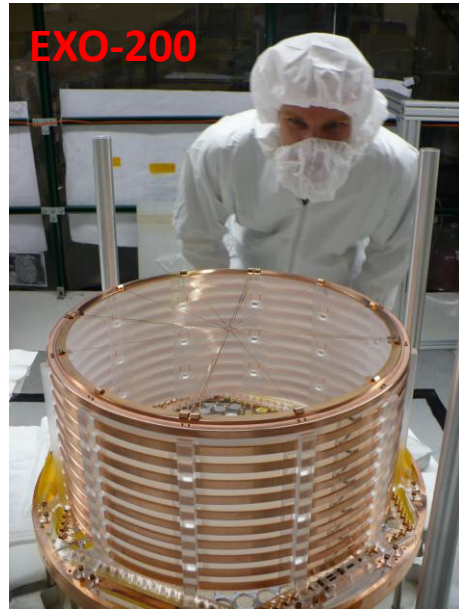
*spectrometer en route to Karlsruhe*



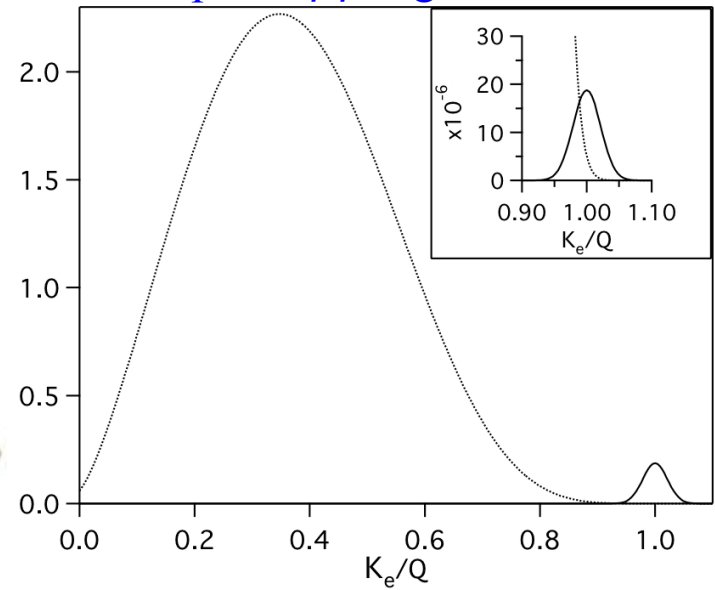
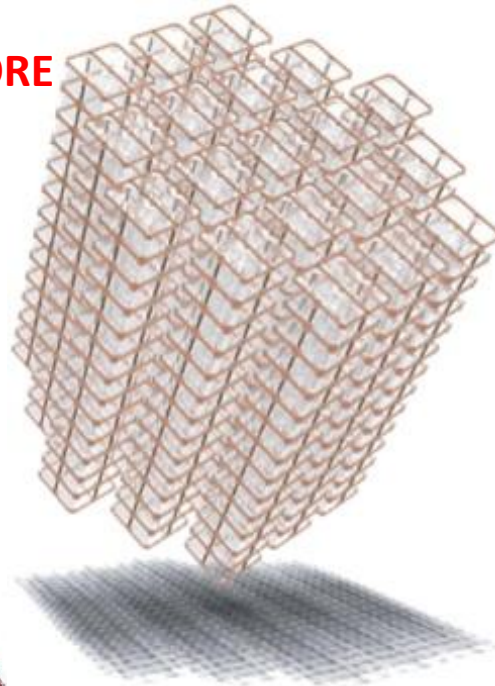
# $0\nu\beta\beta$

Many ...experiments  
...techniques  
...isotopes

Example  $0\nu\beta\beta$  signature

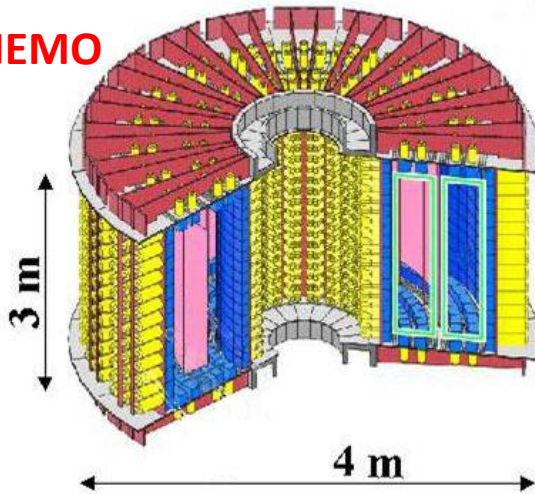


**CUORE**

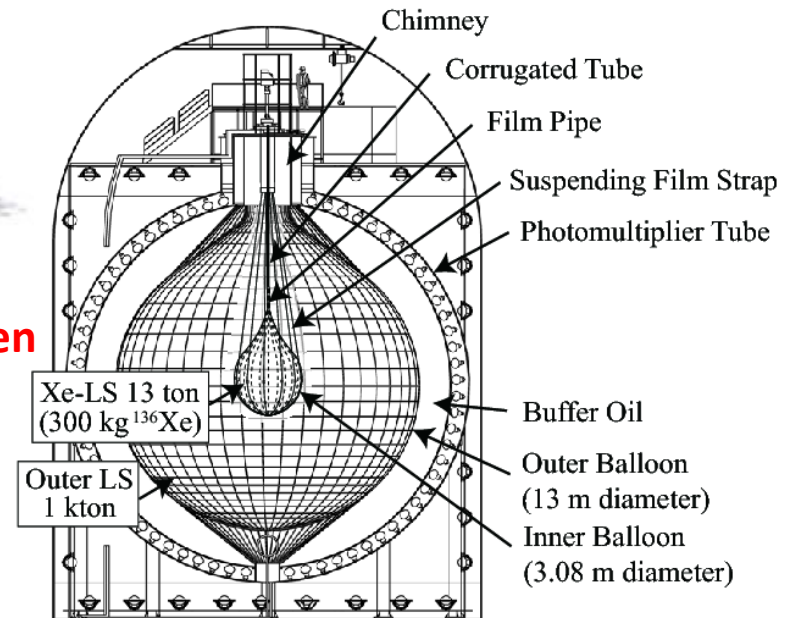


S. R. Elliott and P. Vogel, Ann. Rev. Nucl. Part. Sci. 52, 115 (2002)

**NEMO**



**KamLAND-Zen**



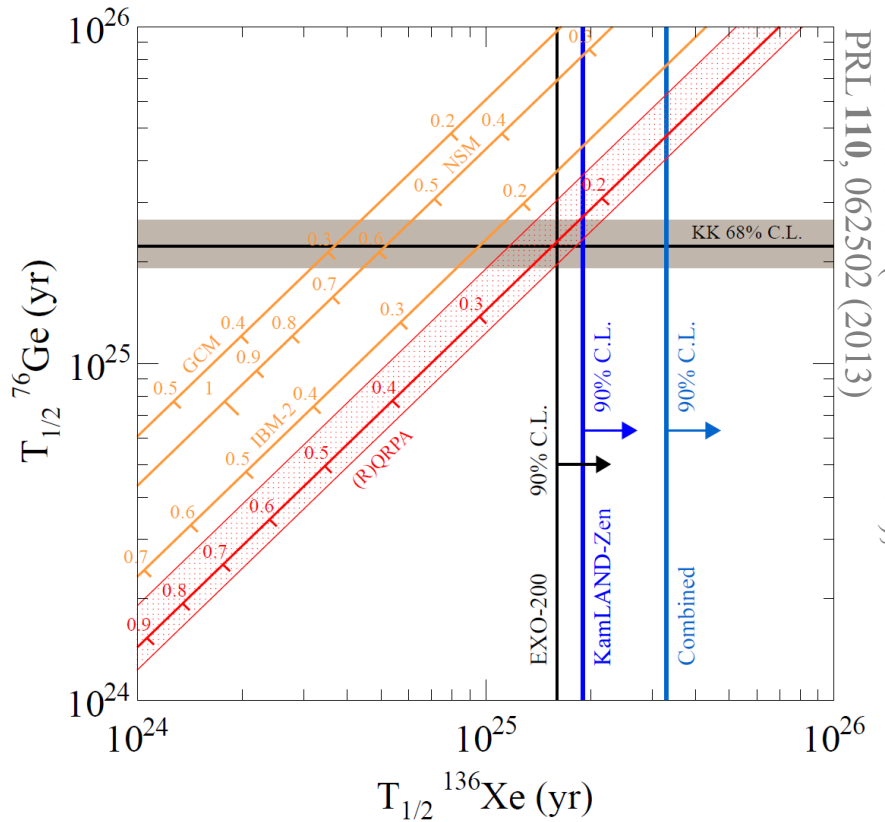
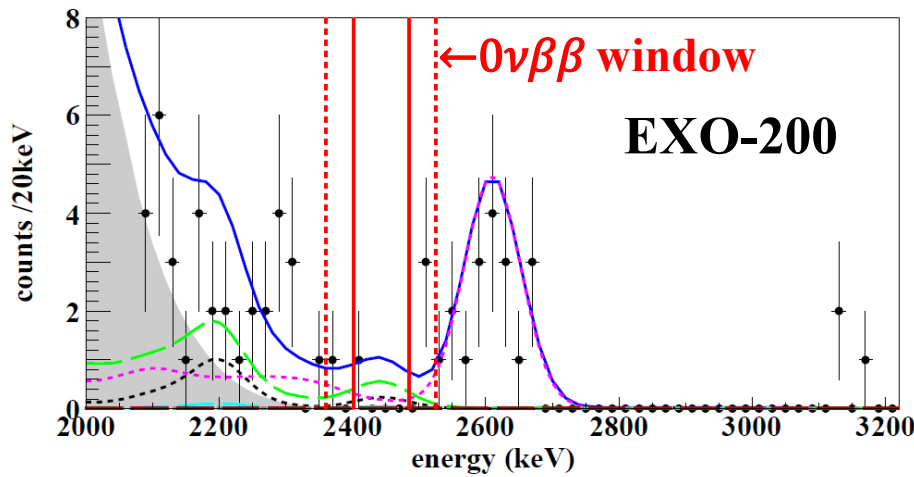
# Experiments funded, under construction, or operating...

Experiment	Location	Isotope	Technique
CUORE	LNGS	$^{130}\text{Te}$	bolometer
EXO-200	WIPP	$^{136}\text{Xe}$	liquid TPC
GERDA	LNGS	$^{76}\text{Ge}$	ionization
KamLAND-Zen	Kamioka	$^{136}\text{Xe}$	liquid scintillator
Majorana	SUSEL	$^{76}\text{Ge}$	ionization
SNO+	Sudbury	$^{150}\text{Nd}, ^{130}\text{Te}$	liquid scintillator

← early results below!

← early results below!

Controversial observation claim (*KK et al., Phys. Lett. B 586, 198 (2004)*) now refuted by EXO-200 and KamLAND-Zen results for any available matrix element calculations



A. Gando et al. (KamLAND-Zen), PRL 110, 062502 (2013)

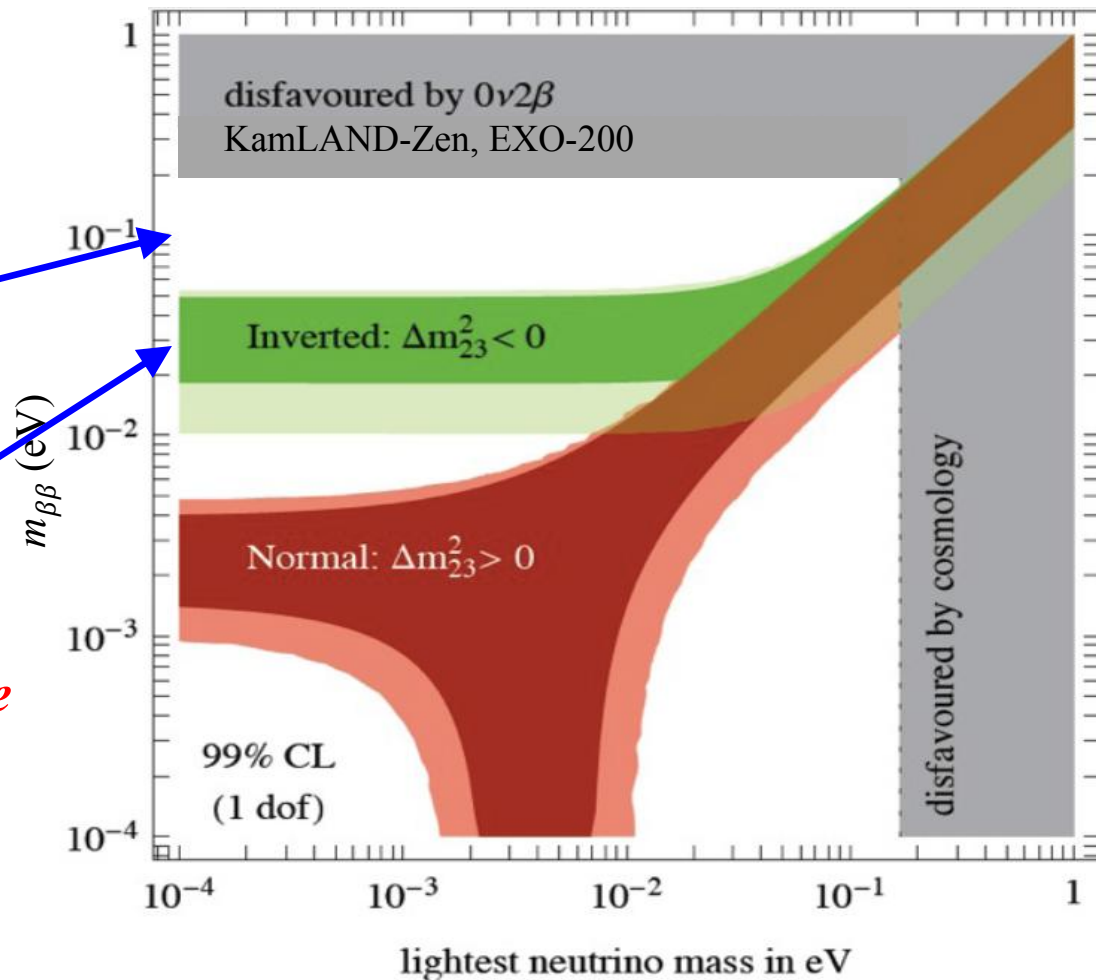


**Matrix element calculations lead to large uncertainties in  $m_{\beta\beta}$  (factors of 2 or 3).**

**Approx. reach of current generation expts.**

**Possible SNO+ reach: novel  $^{130}\text{Te}$  loading method (3% loading!)**

*Into inverted hierarchy range*

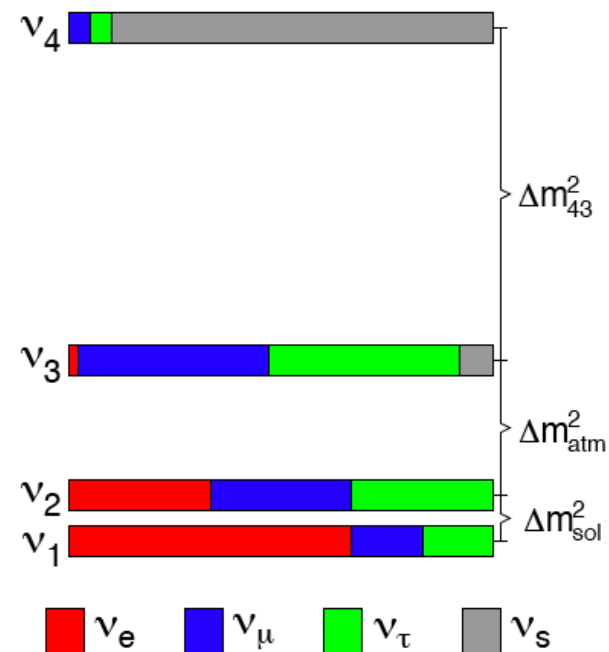


**Multiple techniques, isotopes essential.**

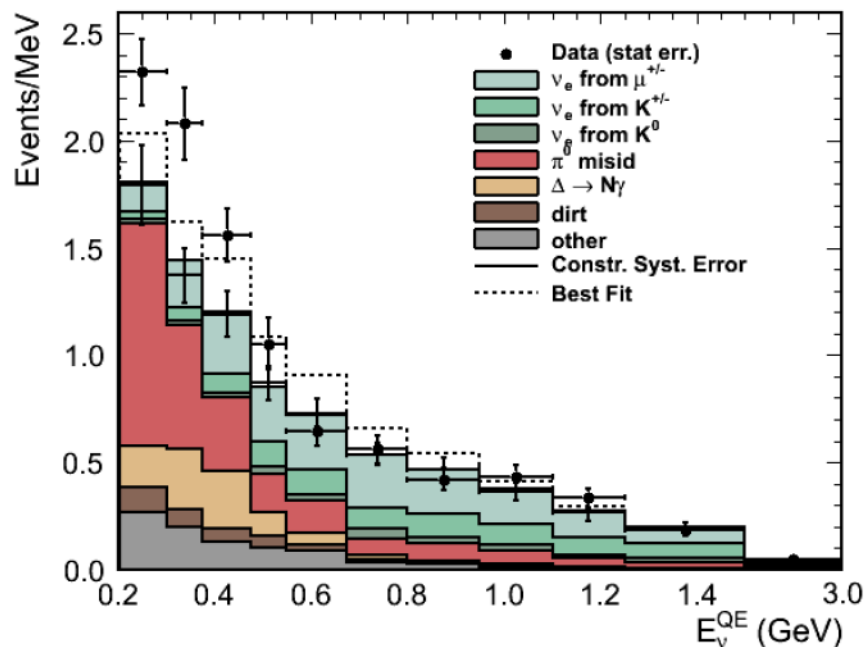
It will remain **unclear** for some time which approaches will **survive through IH and into NH territory.**

# LSND, MiniBooNE, reactor, $^{71}\text{Ga}$ anomalies

- In mid-90s, LSND reported  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  signal incompatible with 3- $\nu$  oscillation picture ( $3.8\sigma$ )
- **Sterile neutrinos?** (Need multiple sterile states to accommodate all of today's data.)
- **Many null results since** (*KARMEN*, *Bugey*, *Super-K*, *MINOS*, *ICARUS*) but **none completely cover** LSND allowed region in (3+1)- $\nu$  parameter space



6.5e20 POT neutrino mode w/ 3+1 fit



## MiniBooNE:

Designed to cover LSND allowed region

*First result:* No evidence for oscillations

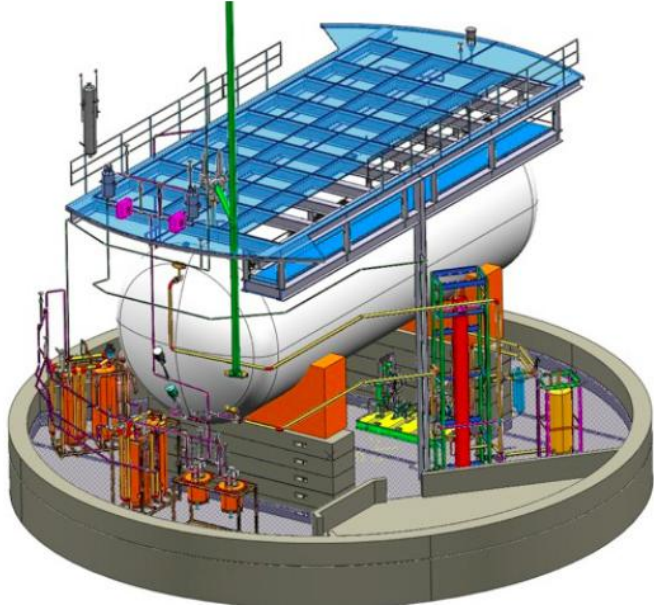
*Second result:* Lower energy threshold, excess seen at low energy (not a great match to osc.)

*Third result (with antineutrinos):* Similar excess

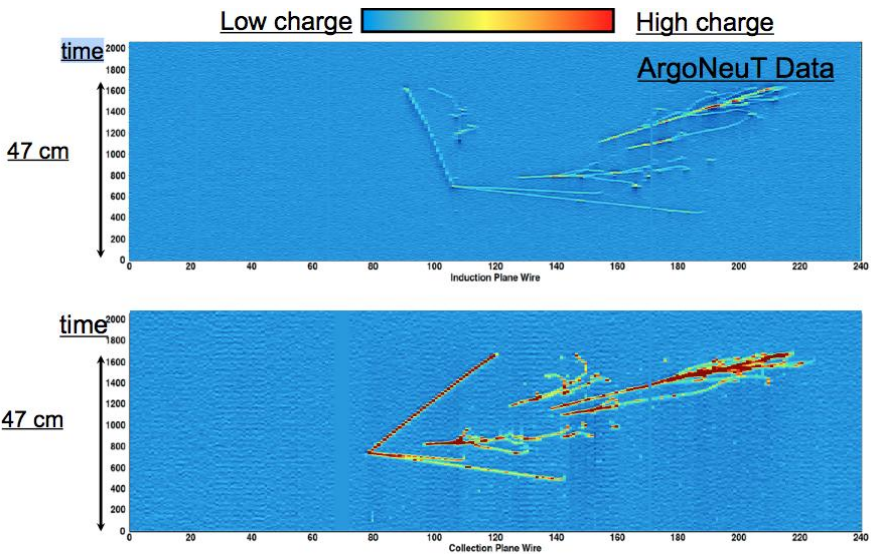
- Also: new reactor flux predictions suggest **unexplained deficit in past reactor data**
- Also: gallium solar experiments see **unexplained deficit in  $\nu_e$  calibration runs**

*Will need future experiments with in-detector  
L, E signatures to resolve all this.*

- Meanwhile, for MiniBooNE low-E excess: **MicroBooNE**
  - **70-ton LAr TPC**: distinguish electron or photon source of MiniBooNE excess
  - Construction well-underway at Fermilab. **Operations this year.**



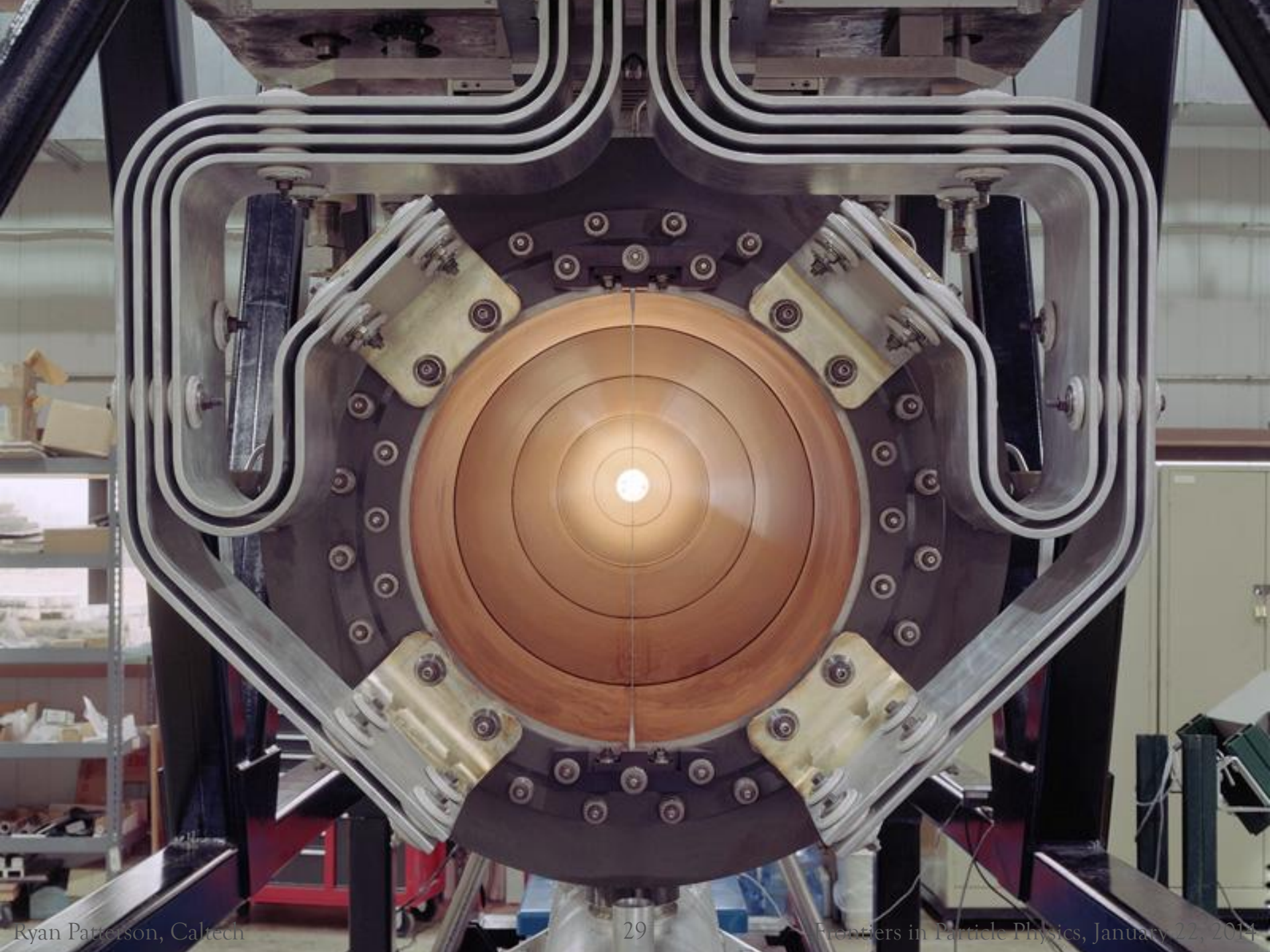
MicroBooNE



*(event display from earlier  
ArgoNeuT LAr TPC)*

## Had to skip entirely...

- $\nu$ -nucleus scattering (*many experiments!*)
- Cosmological/astrophysical  $\nu$  (*many experiments!*)  
*e.g., IceCube's recent detection of 28 neutrinos above 30 TeV*



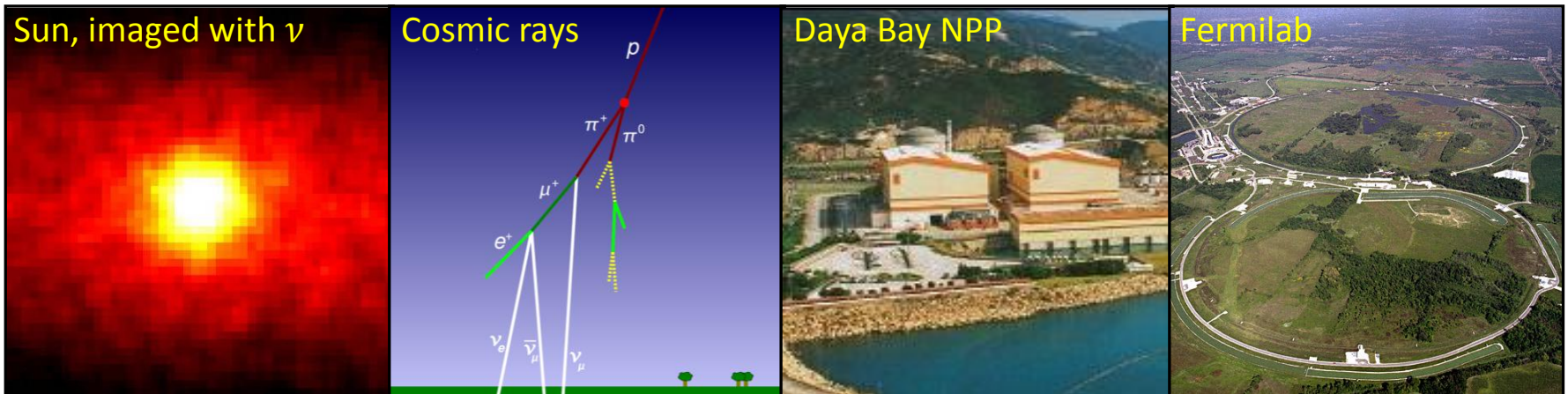


# *Extras*

# Oscillations

$$\begin{aligned}
 P(\nu_\alpha \rightarrow \nu_\beta) &= |\langle \nu_\beta | \nu_\alpha(L) \rangle|^2 \\
 &= \delta_{\alpha\beta} - 4 \sum_{i>j} \Re(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin^2 [1.27 \Delta m_{ij}^2 L/E] \\
 &\quad + 2 \sum_{i>j} \Im(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin^2 [2.54 \Delta m_{ij}^2 L/E]
 \end{aligned}$$

- **Neutrino flavor oscillations** – access to  $U_{\text{PMNS}}$  and  $\nu$  **mass-squared splittings**
- In past decade, **phenomenon confirmed** and the **texture of  $\nu$  mixing** extracted:
  - *Experiments using solar, atmospheric, reactor, and accelerator  $\nu$  sources*





# $\theta_{13}$ – the great facilitator

- **Non-zero  $\theta_{13}$  definitively established; Daya Bay with most precise value:**

$$\sin^2(2\theta_{13}) = 0.090^{+0.009}_{-0.008}$$

- **Makes feasible long-baseline measurements of...**

## neutrino mass hierarchy

*Potential implications in:*  $0\nu\beta\beta$  data and Majorana nature of  $\nu$ ; approach to  $m_\beta$ ; cosmology; astrophysics; theoretical frameworks for mass generation, quark/lepton unification; Is the lightest charged lepton associated with the heaviest light neutrino?

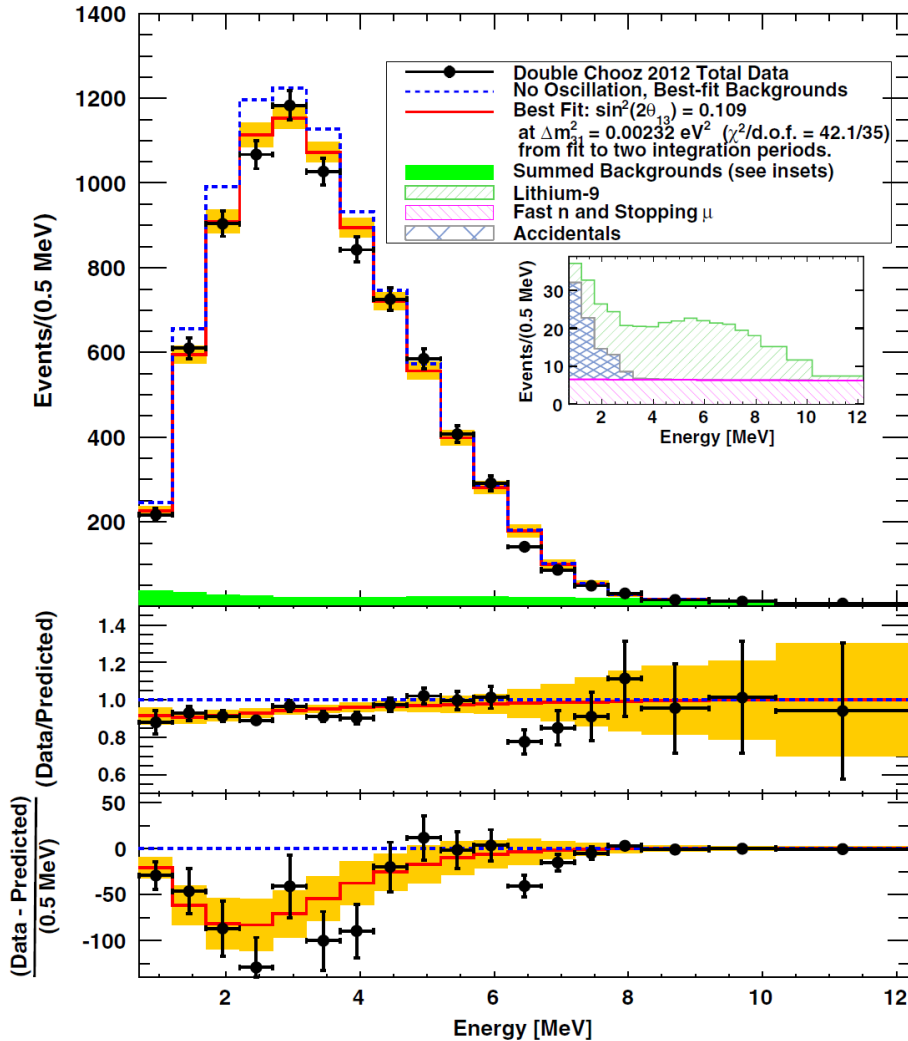
## CP phase $\delta$

...: cosmological baryon asymmetry through see-saw/leptogenesis; fundamental question in the Standard Model (*i.e.*, is CP respected by leptons?)

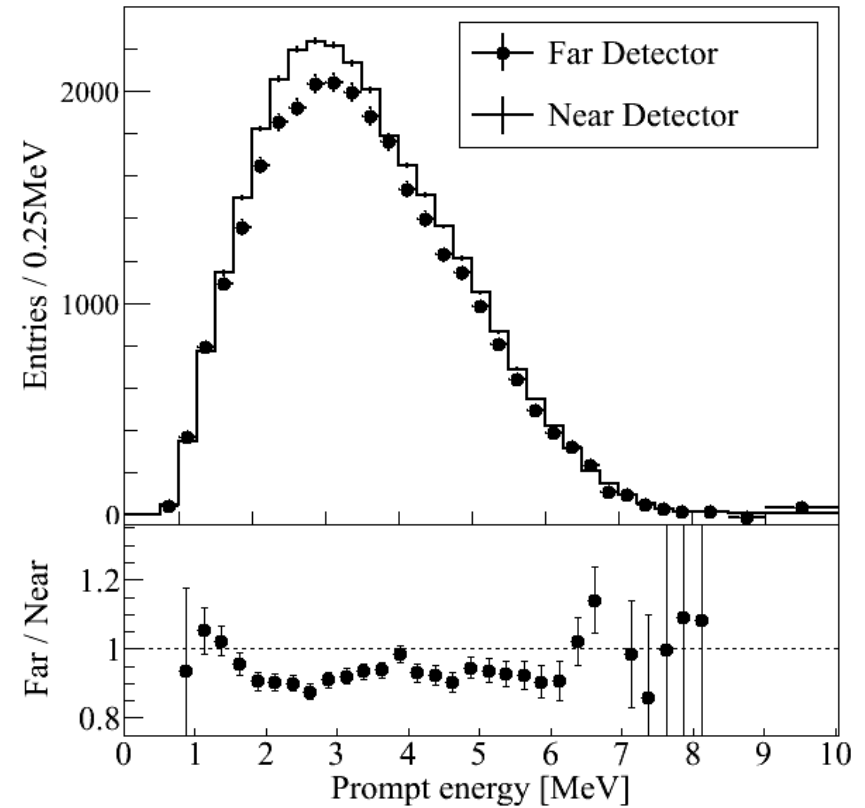
## $\nu^3$ flavor mixing

...: Is  $\nu_3$  more strongly coupled to  $\mu$  or  $\tau$  flavor?; frameworks for mass generation, quark/lepton unification

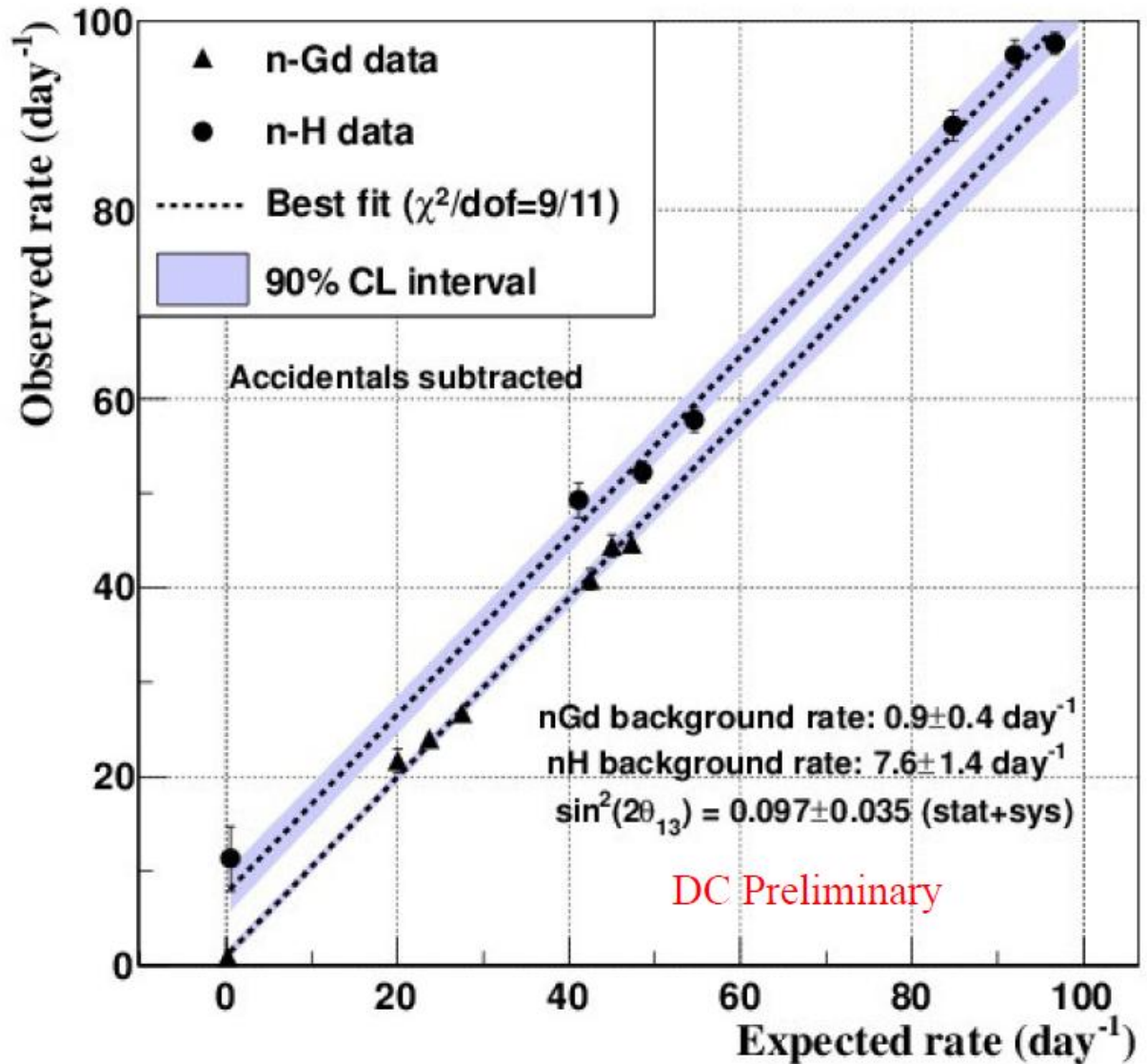
# Double Chooz



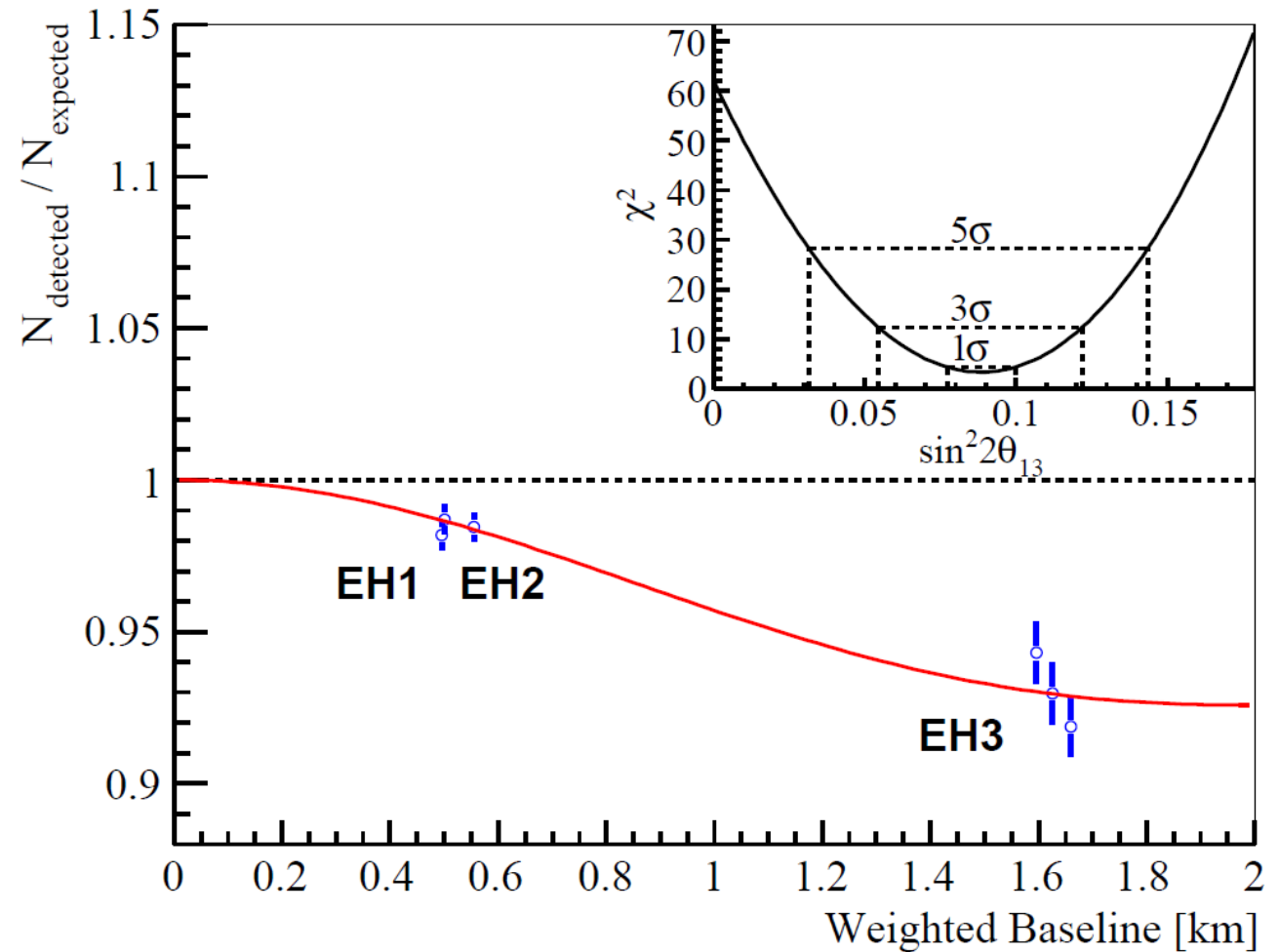
# RENO



# Double Chooz reactor rate modulation result

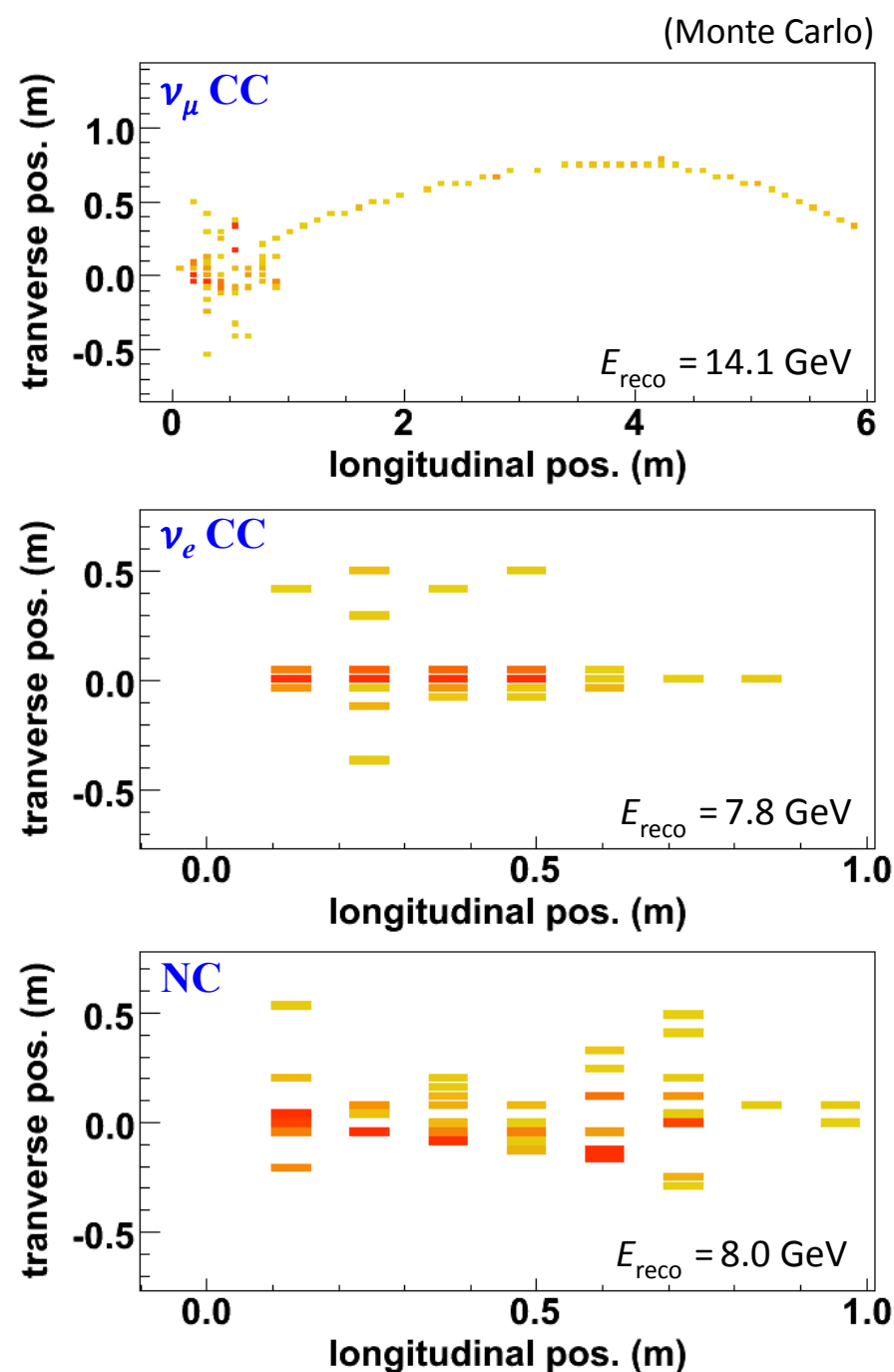


# Daya Bay

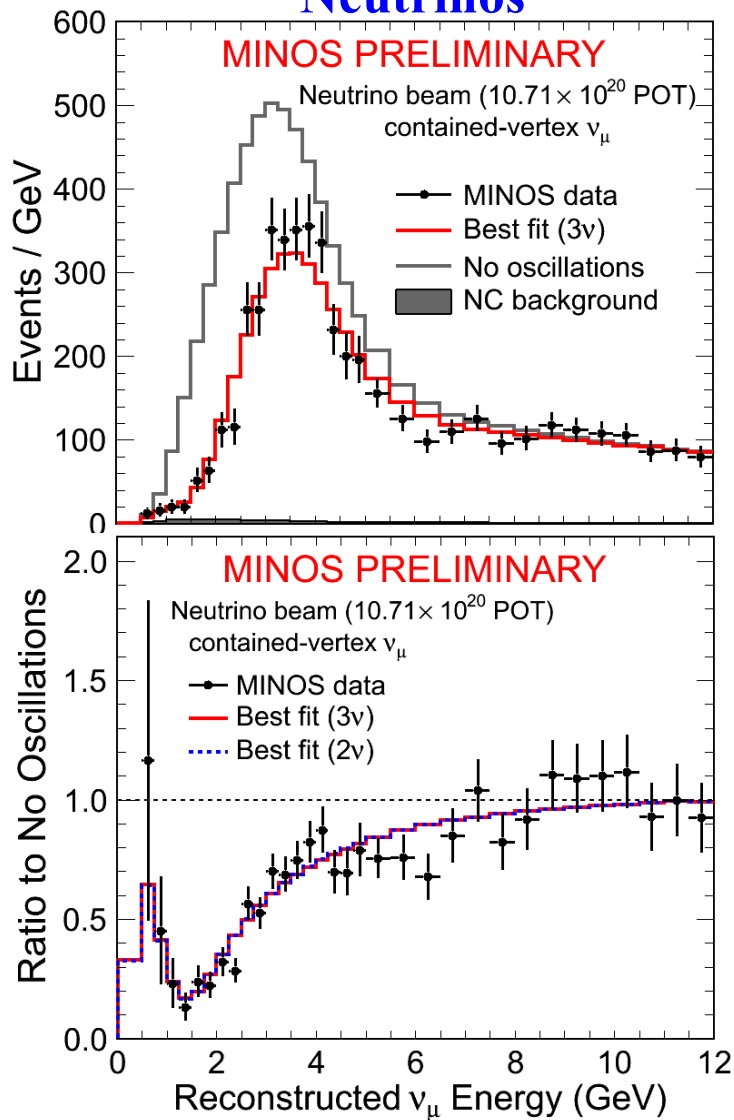


# MINOS

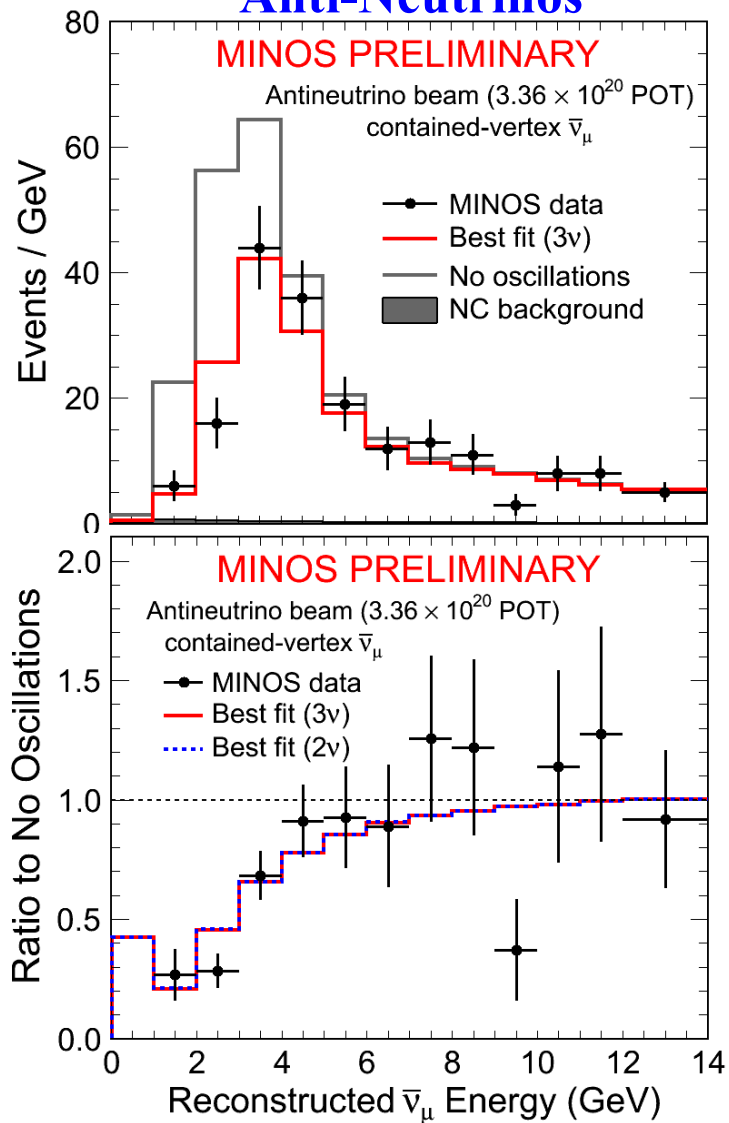
- Magnetized steel/scintillator tracking calorimeters
  - “Identical” near and far detectors
- *Original aims:* accelerator-based confirmation of “atmospheric”  $\nu$  oscillation; precision measurements of mixing and mass splitting
- Designed for  $\nu_{\mu} \rightarrow \nu_{\mu}$  survival channel



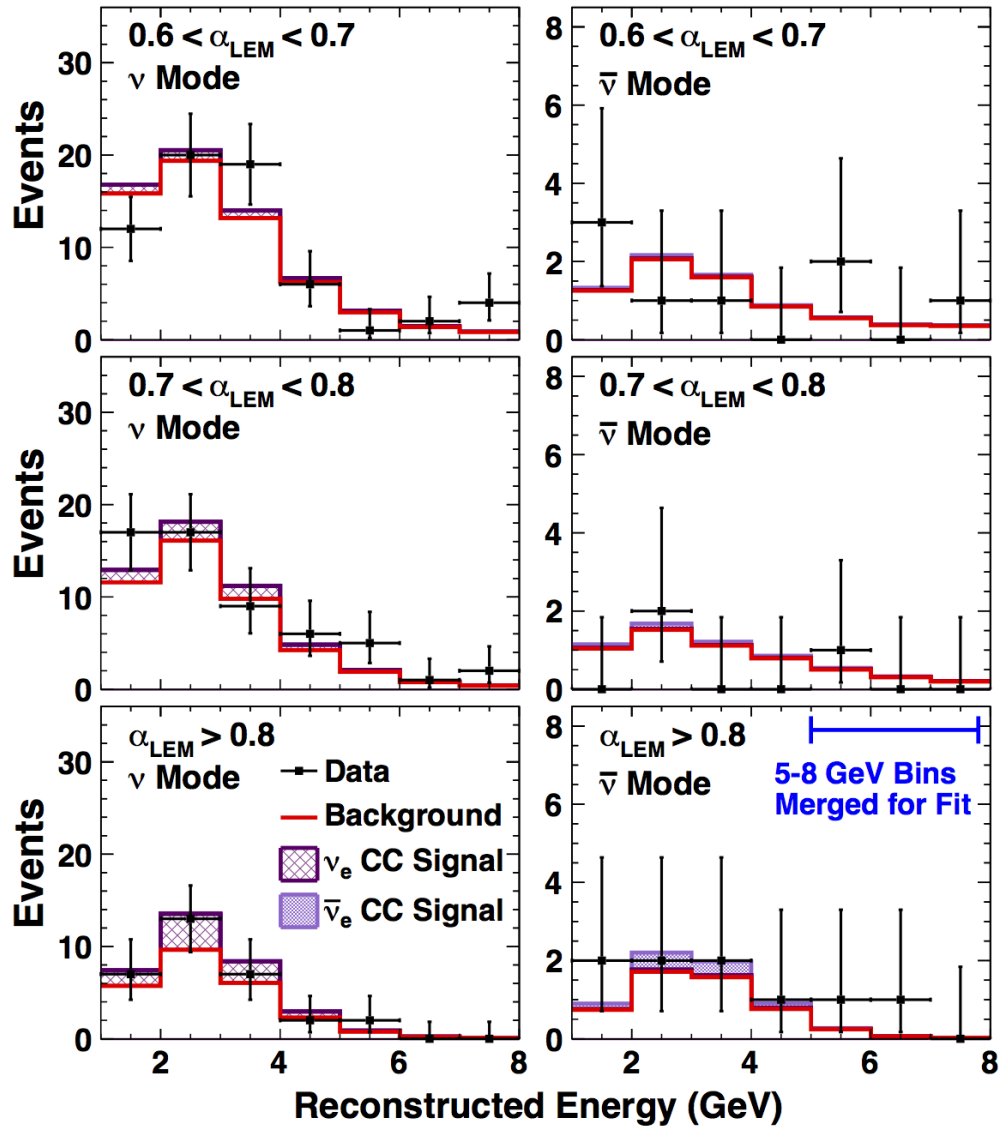
## Neutrinos

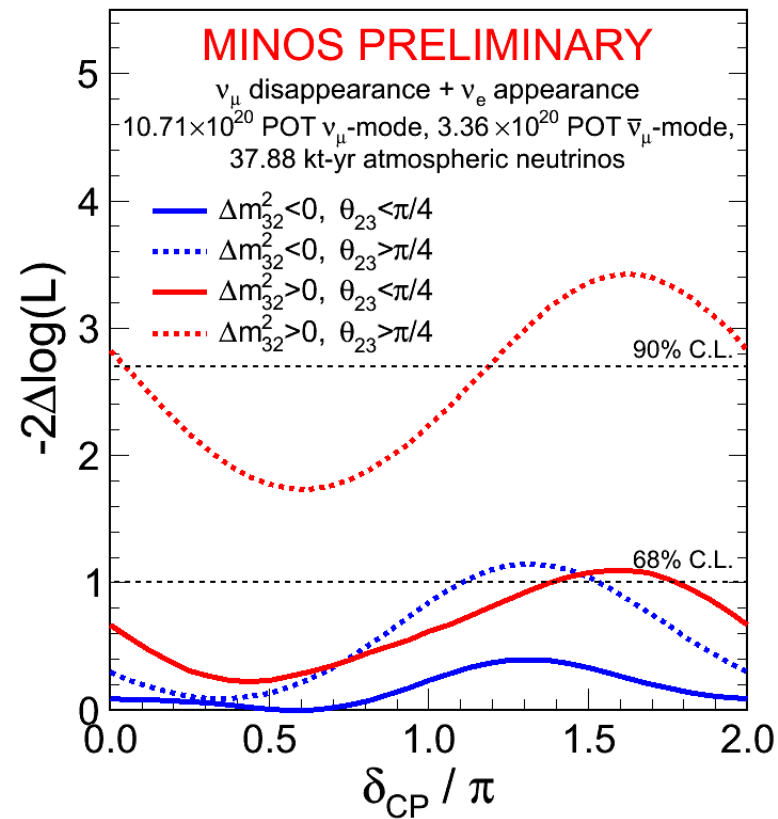
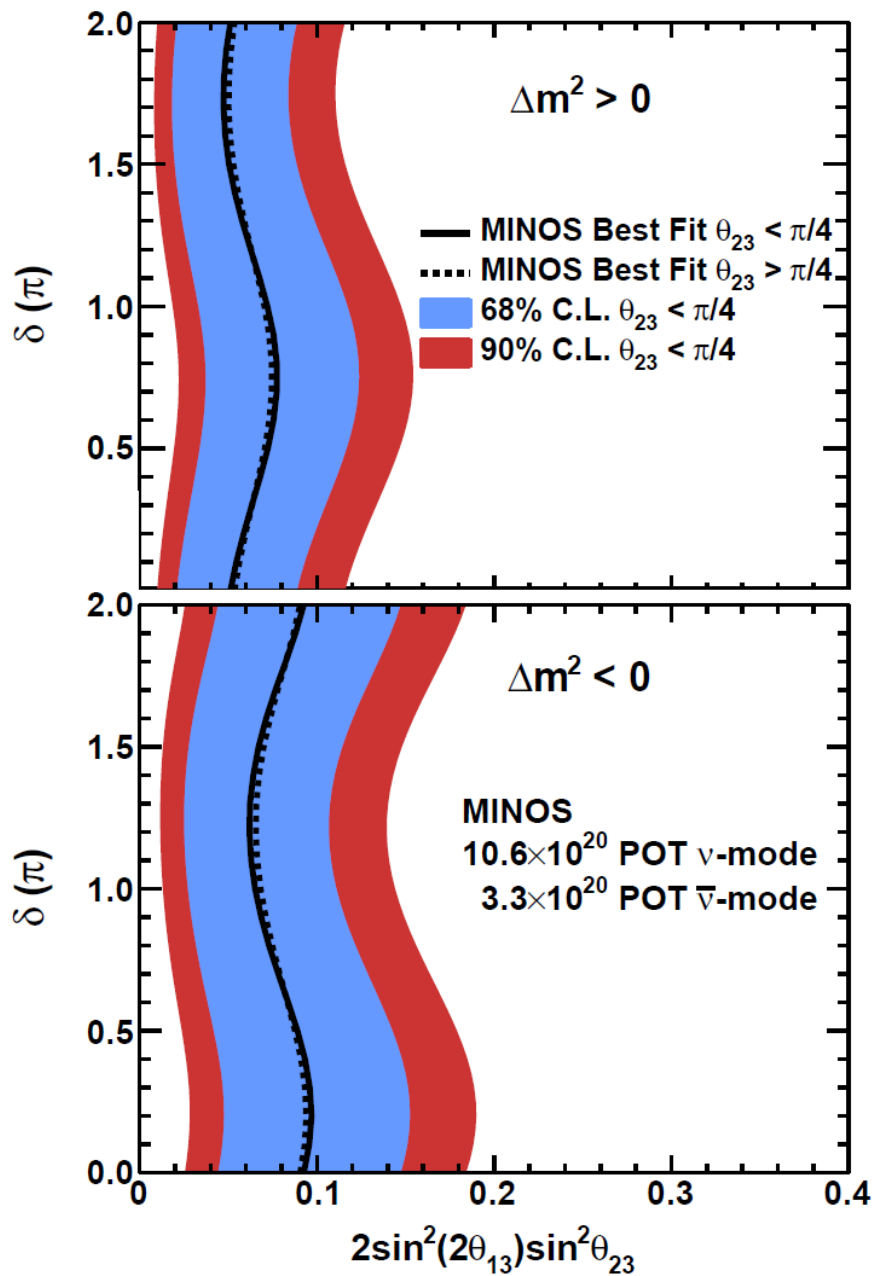


## Anti-Neutrinos



### MINOS Far Detector Data



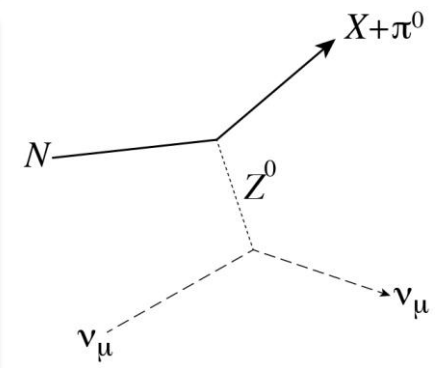
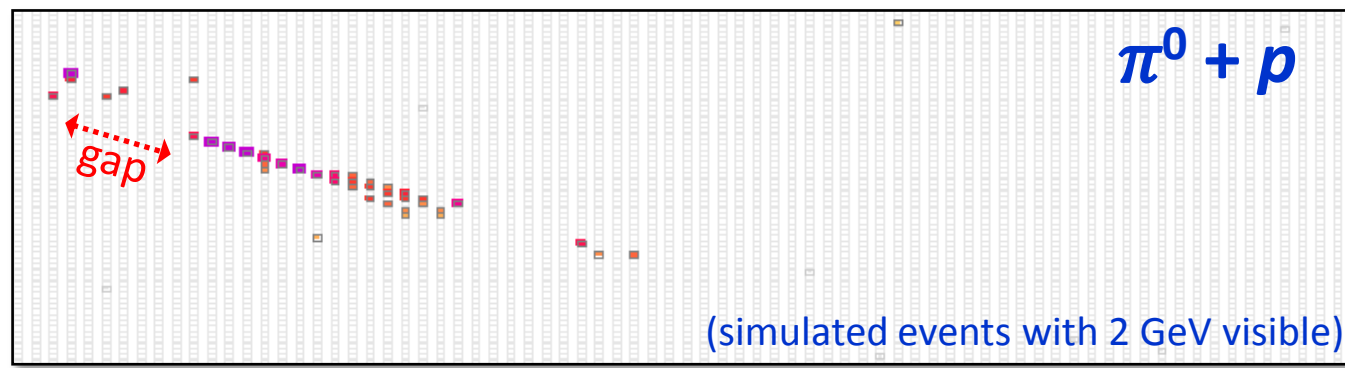
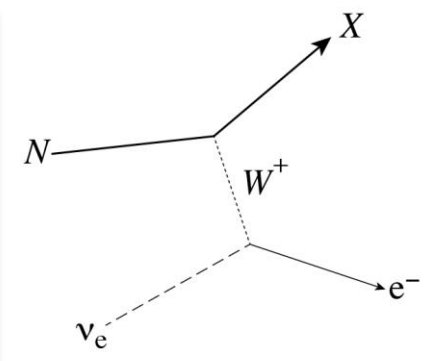
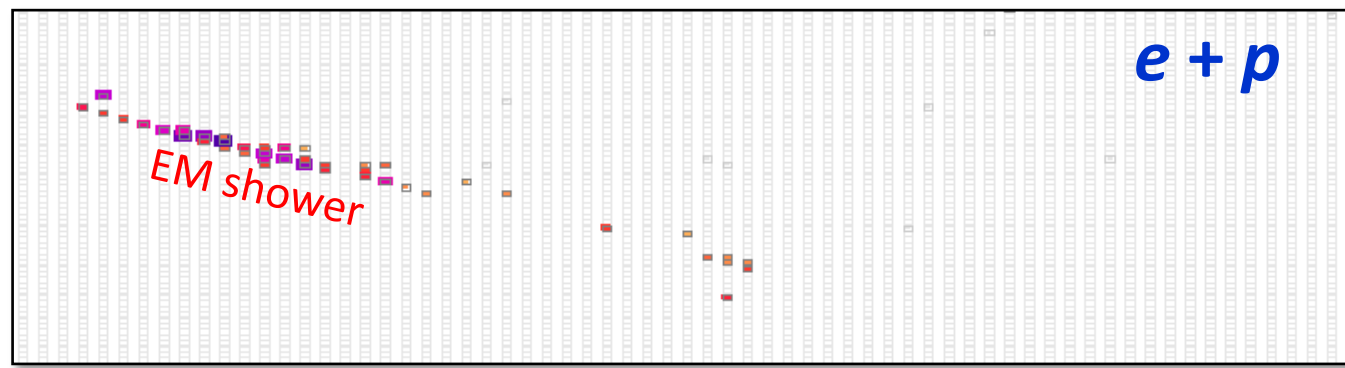
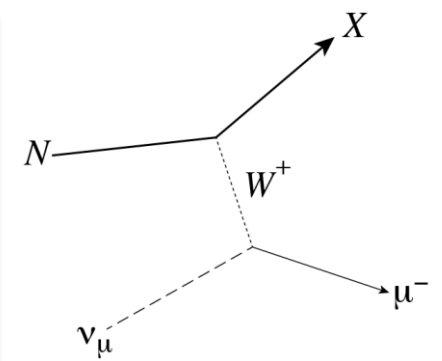
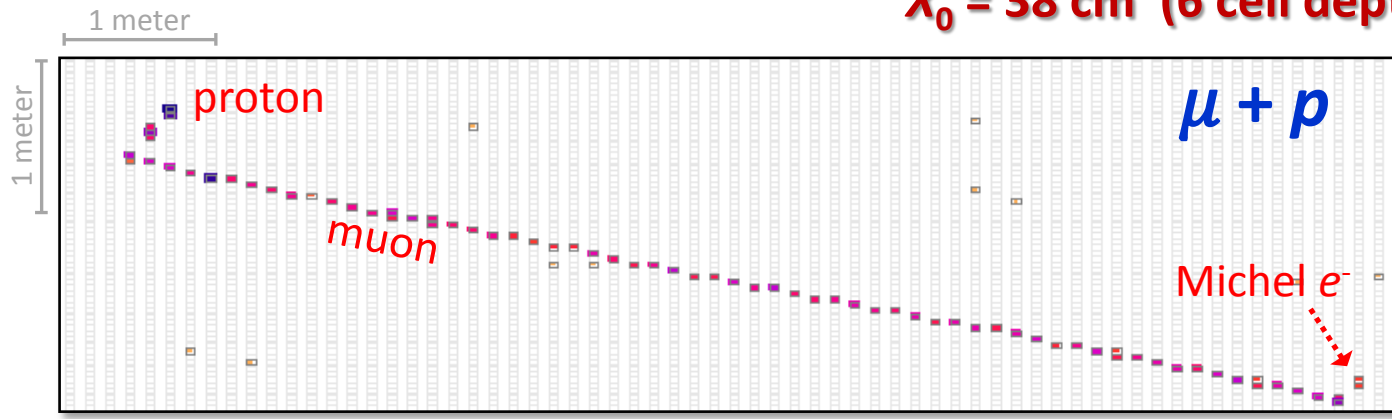




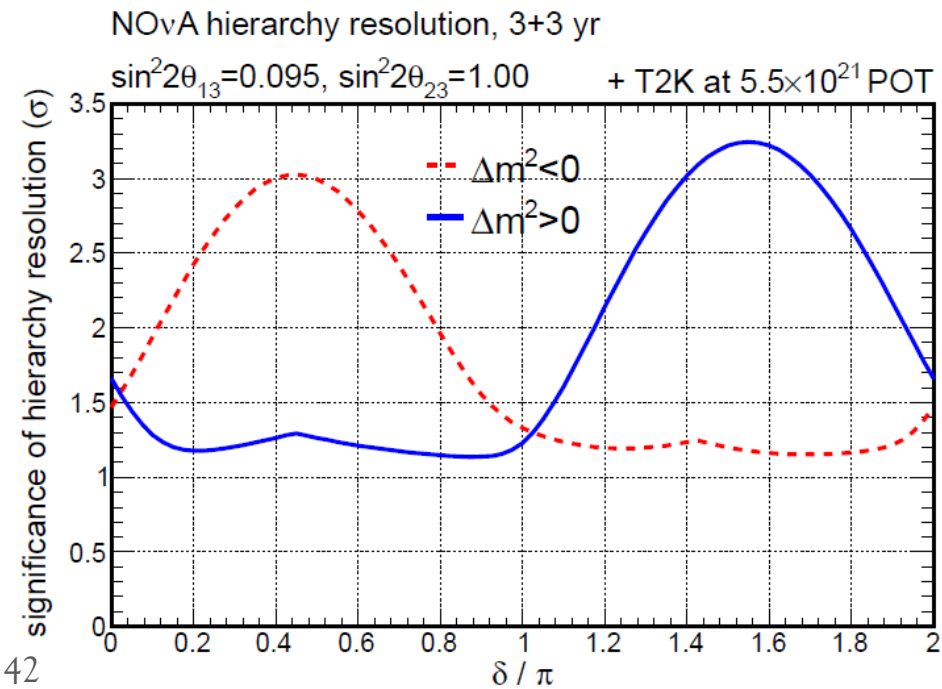
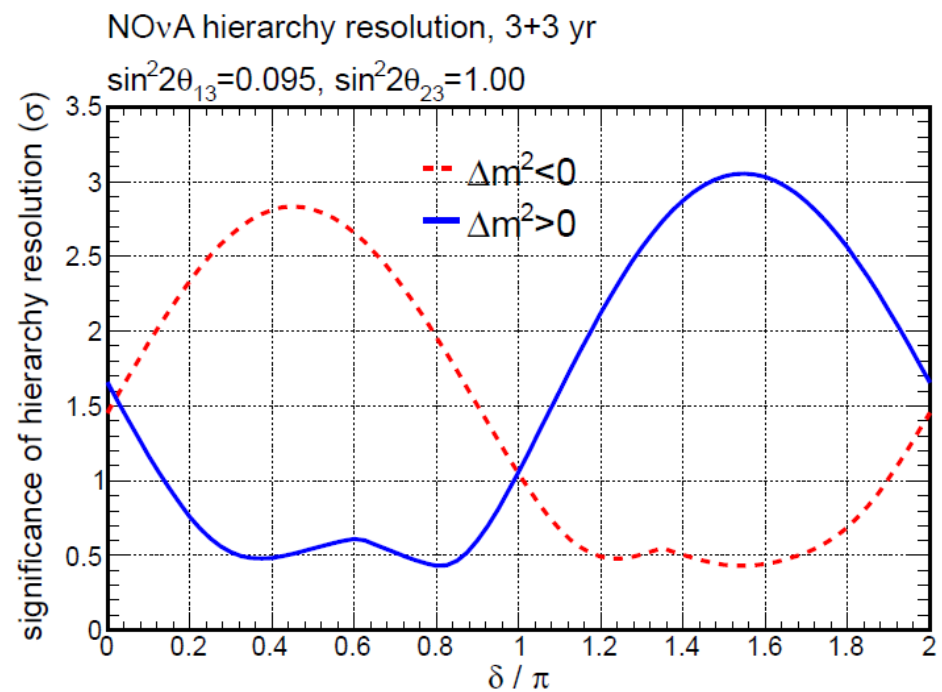
# Events in NOvA

## Superb spatial granularity for a detector of this scale

$X_0 = 38$  cm (6 cell depths, 10 cell widths)



# NO $\nu$ A hierarchy reach vs. $\delta$



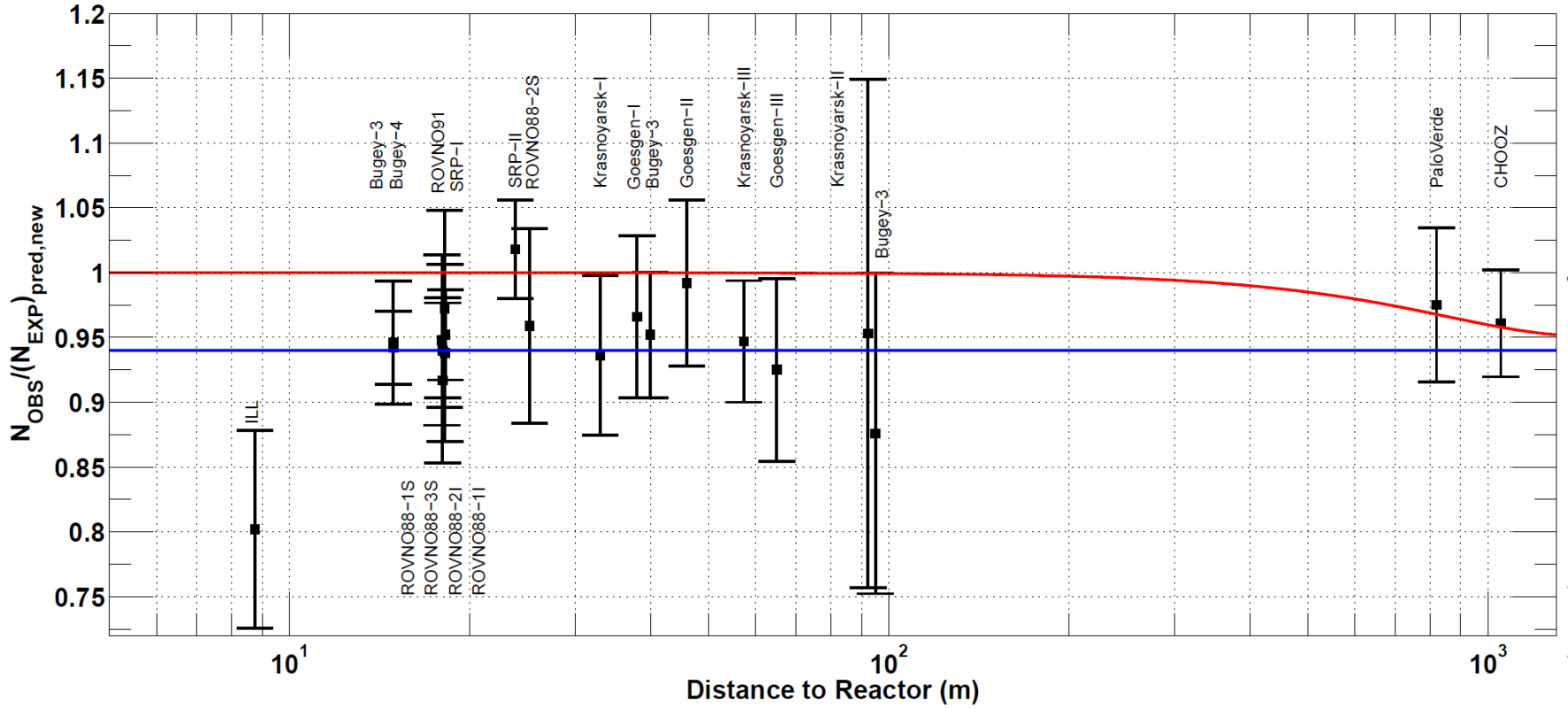
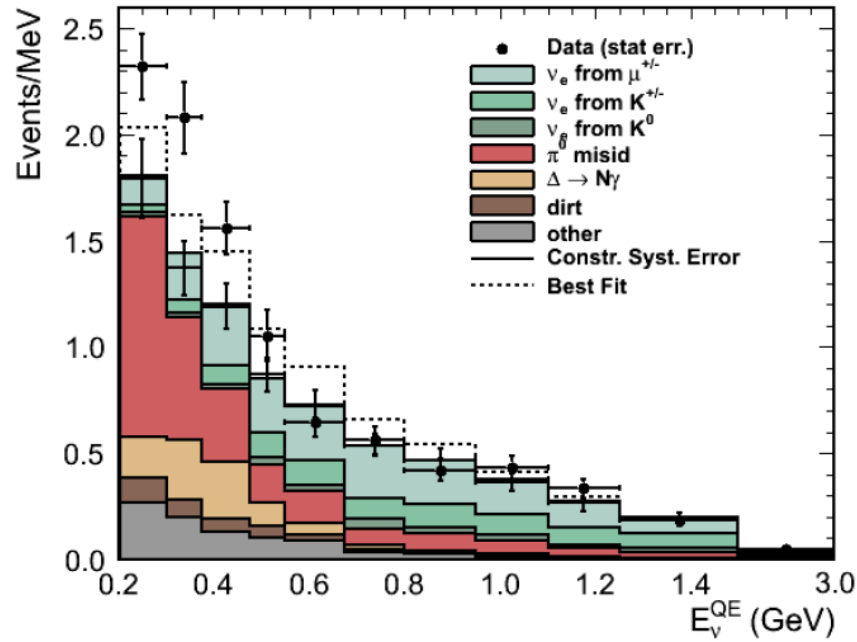


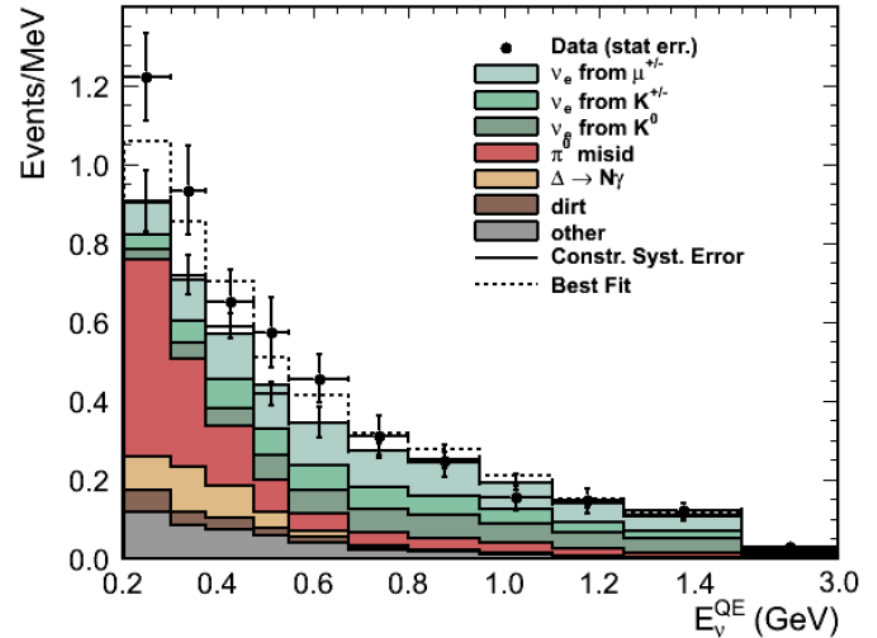
FIG. 5. Illustration of the short baseline reactor antineutrino anomaly. The experimental results are compared to the prediction without oscillation, taking into account the new antineutrino spectra, the corrections of the neutron mean lifetime, and the off-equilibrium effects. Published experimental errors and antineutrino spectra errors are added in quadrature. The mean averaged ratio including possible correlations is  $0.943 \pm 0.023$ . The red line shows a possible 3 active neutrino mixing solution, with  $\sin^2(2\theta_{13}) = 0.06$ . The blue line displays a solution including a new neutrino mass state, such as  $|\Delta m_{\text{new,R}}^2| \gg 1 \text{ eV}^2$  and  $\sin^2(2\theta_{\text{new,R}}) = 0.12$  (for illustration purpose only).

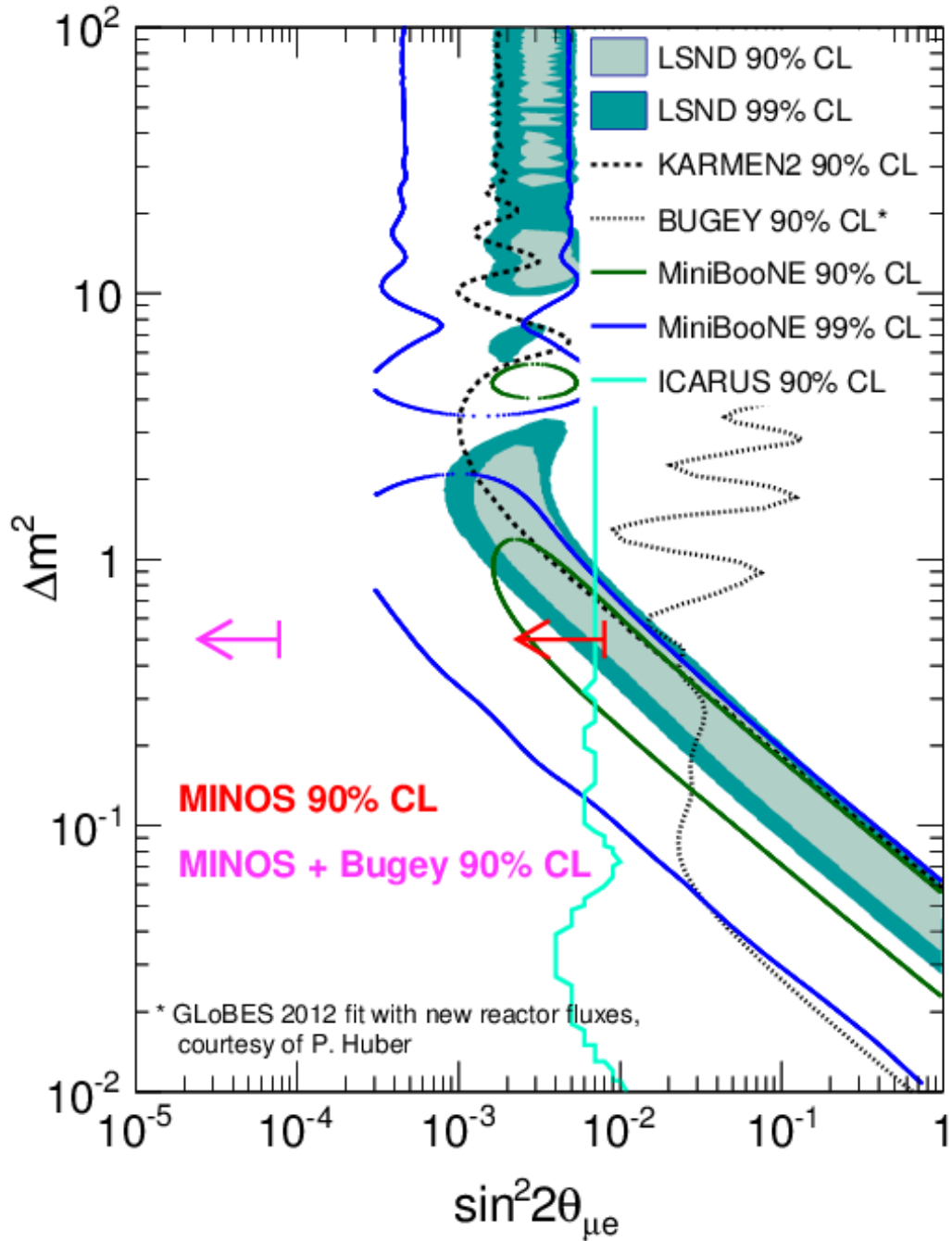
# MiniBooNE appearance data

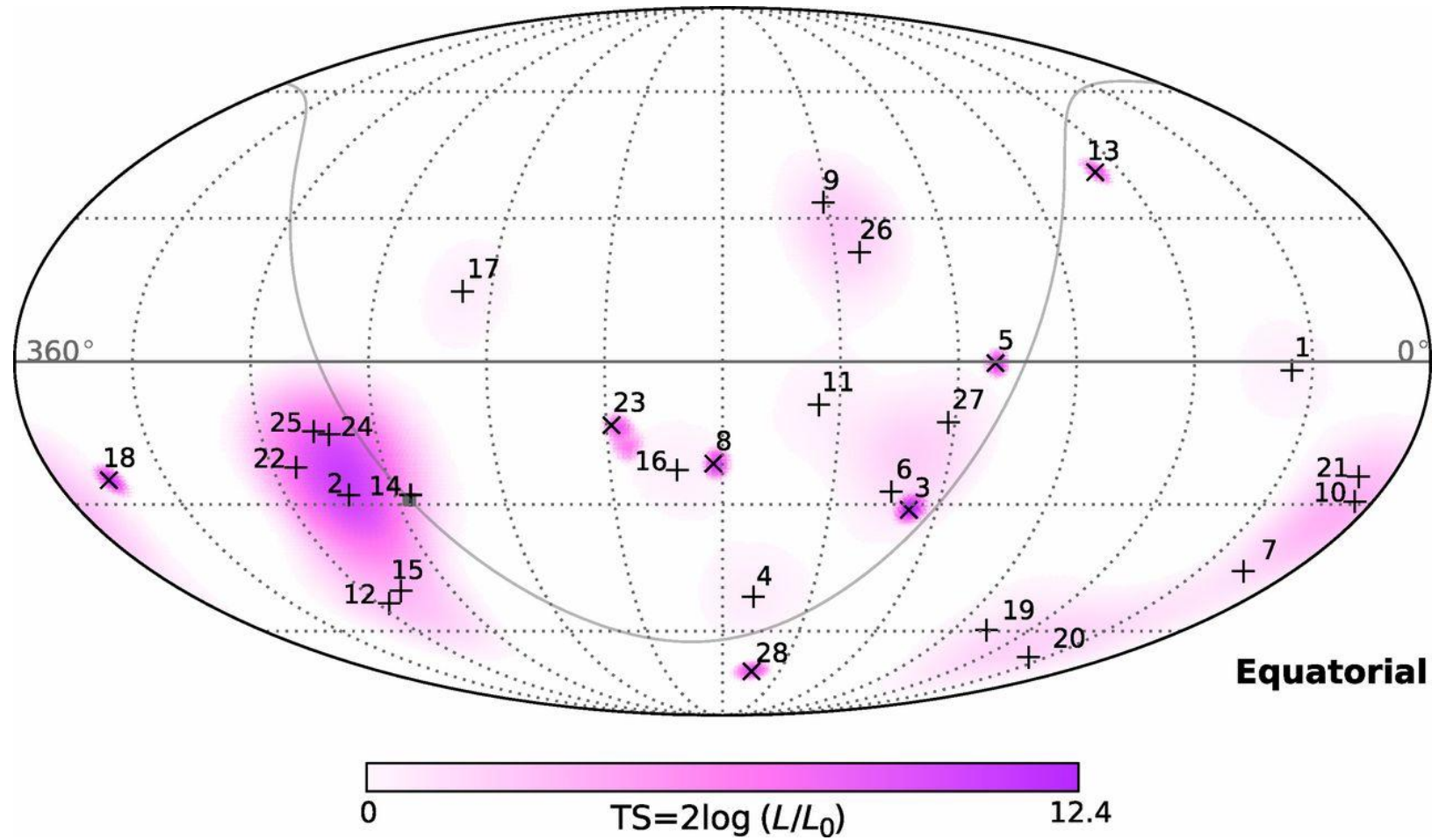
6.5e20 POT neutrino mode w/ 3+1 fit

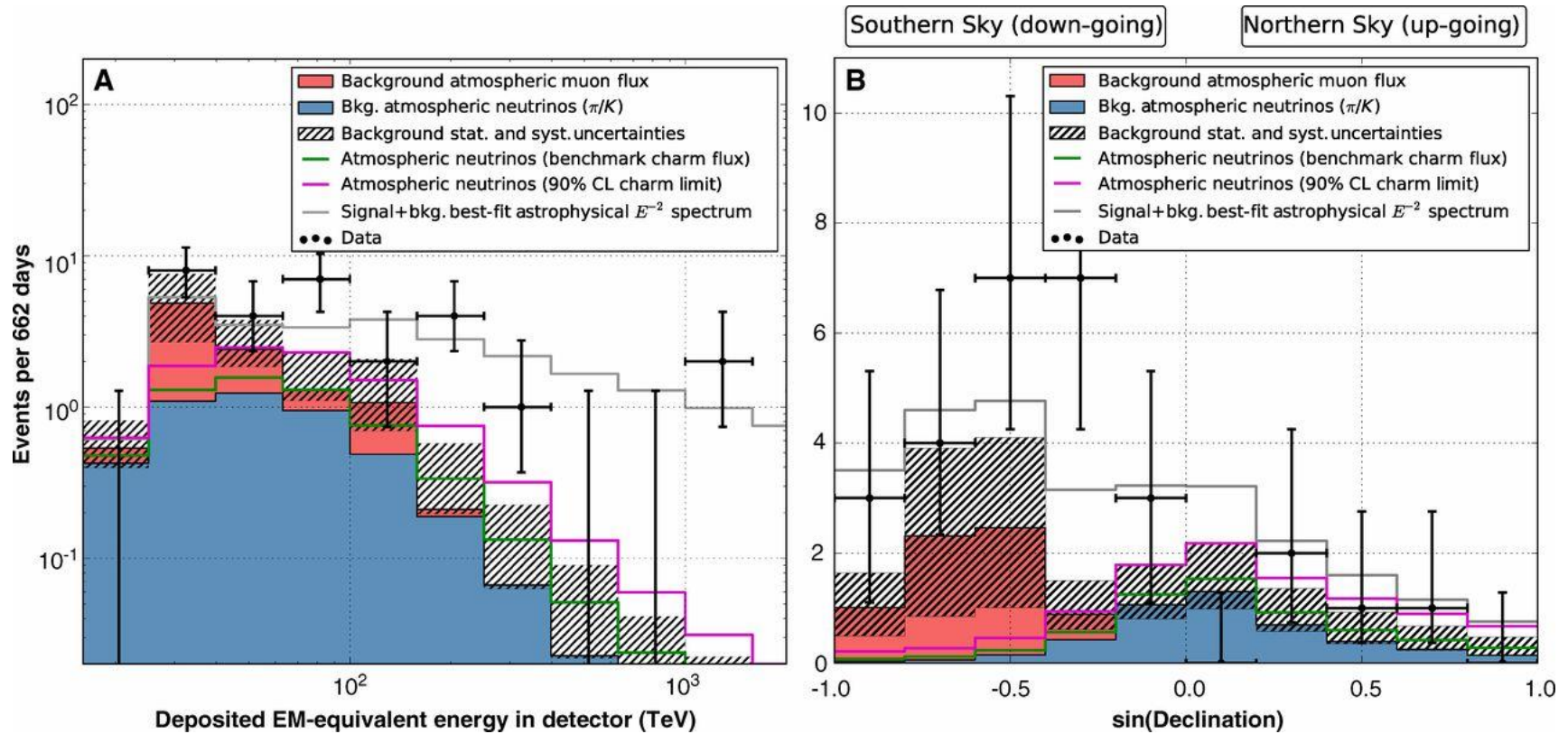


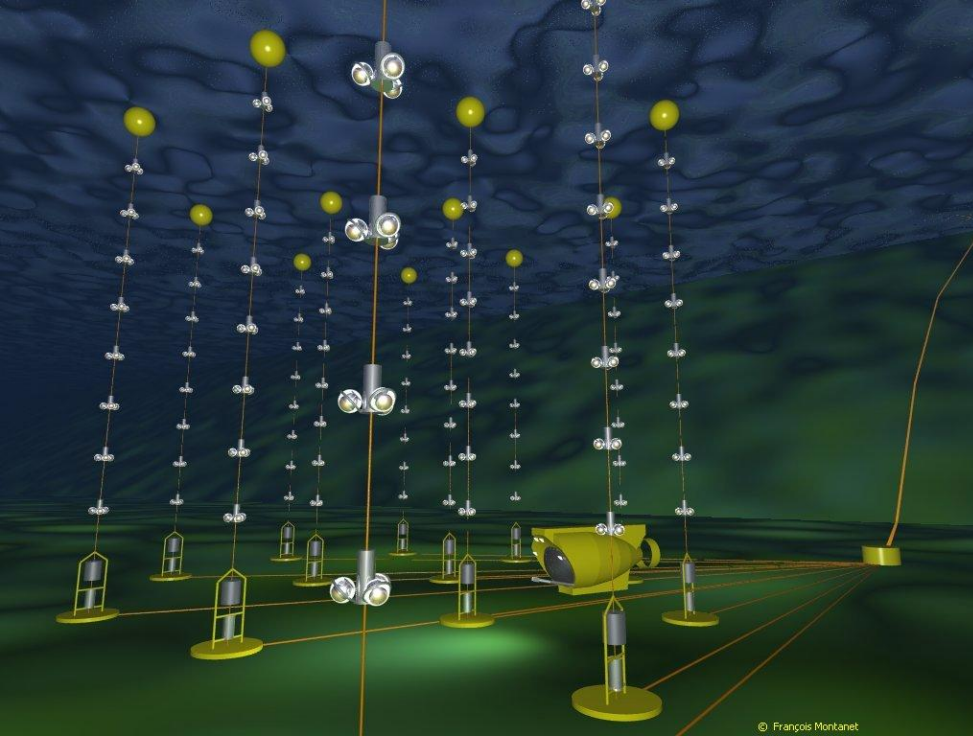
11.3e20 POT anti-neutrino mode w/ 3+1 fit











# ANTARES

# IceCube

