

Tuesday ~~May 4~~ May 4

☺

$H$  scaling hamiltonian in quantum system  
is infinitesimal generator of scaling action of  
 $\mathbb{R}_+^*$  on  $\mathcal{H}$

$N_E =$  spectral projection on  $[-E, E]$  in  $\mathbb{R}$  (dual to  $\mathbb{R}_+^*$   
under  $\chi^{it}$  pairing)

$$N_E = \mathcal{D}_a(h_E) \quad \text{for} \quad h_E(u) = |u|^{-1/2} \frac{1}{2\pi} \int_{-E}^E |u|^{is} ds$$

using  $\otimes |\lambda|^{-1/2} \mathcal{D}_a(\lambda)$  unitary action

from  $\chi_{[-E, E]}^{it}(t) = \int \lambda^{it} k(\lambda) d^* \lambda$  where the function  
characteristic function  $k(\lambda)$  is

$$k(\lambda) = \frac{1}{2\pi} \int_{-E}^E \lambda^{is} ds \quad \lambda \in \mathbb{R}_+^*$$

Then counting # quantum states of  $H \leq E$   
is done by computing dimension of inters. of  $\mathcal{Q}_\Lambda$  &  $N_E$

where  $\mathcal{Q}_\Lambda =$  projection onto  $B_\Lambda \subset \mathcal{H}$  span of prolate  
spheroidal wave functions  $2n \leq 4\Lambda^2$

i.e. compute  $\text{Tr}(\mathcal{Q}_\Lambda N_E)$

$$\sim \text{Tr}(R_\Lambda \mathcal{D}_a(h_E))$$

$R_\Lambda = \hat{P}_\Lambda P_\Lambda$  error of order  $\Lambda^{-\alpha} \log \Lambda$  some  $\alpha > 0$   
with respect to  $\mathcal{Q}_\Lambda$

Connes: if  $h \in \mathcal{S}(\mathbb{R}^*)$   $h(-u) = h(u)$  even, compact support  
Then  $\text{Tr}(R_\Lambda \mathcal{D}_a(h)) = 2h(1) \log \Lambda + \int \frac{h(u^{-1})}{|1-u|} d^* u + o(1)$

$\int^1$  is a principal value

(2)

$$\lim_{\varepsilon \rightarrow 0} \left( \int_{|x| \geq \varepsilon} f(x) d^*x + f(0) \log \varepsilon \right) \quad \text{when } f \text{ loc. const. near zero}$$

More precisely:  $K$  local field ( $\mathbb{R}, \mathbb{C}, \mathbb{Q}_p$ , fix ext.)

$\alpha$  character  $\mathcal{F}_\alpha =$  Fourier transf. w/ char.  $\alpha$

$\exists!$  distribution  $\rho_\alpha$  extending  $d^*_u$   $\int_K f(x) \alpha(xg) dx$

(normalized Haar measure of  $K^*$ ) to  $u=0$

with  $\mathcal{F}_\alpha(\rho_\alpha)(1) = 0$

$$\int_{(K, \alpha)} \frac{f(u^{-1})}{|1-u|} d^*_u = \langle \rho_\alpha, g \rangle \quad g(\lambda) = \frac{f((\lambda+1)^{-1})}{|\lambda+1|}$$

But ...  $h_E$  is not of compact support  $\Leftrightarrow$

cannot apply directly  $\text{Tr}(R_{\hat{P}_\Lambda}(h)) = 2h(1) \log \Lambda + \int \frac{h(u^{-1})}{|1-u|} d^*_u + o(1)$

can still get same result unitary equiv. of  $N_{E/\mathbb{Q}} \hat{P}_\Lambda$  with other operator

Assume then can apply

(3)

$$\text{Tr}(R_{\Lambda} \varrho_a(h)) = 2 h(1) \log \Lambda + \int' \frac{h(u^{-1})}{|1-u|} d^*u + o(1)$$

also to  $h = h_E$  even though it does not have compact support (use Connes' quantized calculus argument)

then obtain

$$2 h_E(1) \log \Lambda = \frac{1}{2\pi} 2E \cdot 2 \log \Lambda$$

while other term gives

$$\int' \frac{h_E(u^{-1})}{|1-u|} d^*u \quad \left( d^*u = \frac{1}{2} \frac{du}{|u|} \right)$$

$$= -2 (\langle N(E) \rangle - 1)$$

to see this: have  $\langle N(E) \rangle = N(E) - N_{\text{osc}}(E)$

$$\text{with } N_{\text{osc}}(E) = \frac{1}{\pi} \text{Im}(\log \zeta(\frac{1}{2} + iE))$$

use an explicit formula for principal value, for even  $f(-u) = f(u)$  with  $f(u) = f(u^{-1})$

$$\int' f(u) \frac{|u|^{1/2}}{|1-u|} d^*u = (\log \pi + \gamma) f(1) + \lim_{\varepsilon \rightarrow 0} \left( \int_0^1 f(u) \frac{2u^{1/2}}{(1-u^2)^{1-\varepsilon}} \frac{du}{u} - \frac{1}{\varepsilon} f(1) \right)$$

↑ Euler constant

apply to  $f(u) = |u|^{is} + |u|^{-is}$

and compute  $\int_0^1 u^{is} \frac{2u^{1/2}}{(1-u^2)^{1-\varepsilon}} \frac{du}{u}$  using

$$\int_0^1 x^{\frac{1}{4} + i\frac{\varepsilon}{2}} (1-x)^{-1+\varepsilon} \frac{dx}{x} = \frac{\Gamma(\frac{1}{4} + i\frac{\varepsilon}{2}) \Gamma(\varepsilon)}{\Gamma(\frac{1}{4} + i\frac{\varepsilon}{2} + \varepsilon)}$$

At  $\varepsilon=0$  residue = 1  
finite part =  
 $-\frac{\Gamma'(\frac{1}{4} + i\frac{\varepsilon}{2})}{\Gamma(\frac{1}{4} + i\frac{\varepsilon}{2})} - \gamma$

$$\Rightarrow \int' f(u) \frac{|u|^{1/2}}{|1-u|} d^*u = 2(\log \pi + \gamma) - 2\gamma - \frac{\Gamma'(\frac{1}{4} + i\frac{\varepsilon}{2})}{\Gamma(\frac{1}{4} + i\frac{\varepsilon}{2})} - \frac{\Gamma'(\frac{1}{4} - i\frac{\varepsilon}{2})}{\Gamma(\frac{1}{4} - i\frac{\varepsilon}{2})}$$

$$\Rightarrow \int' \frac{h_E(u^{-1})}{|1-u|} d^*u = \frac{1}{2\pi} \int_0^E (2 \log \pi - \frac{\Gamma'(\frac{1}{4} + i\frac{s}{2})}{\Gamma(\frac{1}{4} + i\frac{s}{2})} - \frac{\Gamma'(\frac{1}{4} - i\frac{s}{2})}{\Gamma(\frac{1}{4} - i\frac{s}{2})}) ds$$

but in fact

(4)

$$\langle N(E) \rangle = 1 + \frac{1}{2\pi} \int_0^E \left( -\log \pi + \operatorname{Re} \left( \frac{\Gamma'}{\Gamma} \left( \frac{1}{4} + i \frac{s}{2} \right) \right) \right) ds$$

from

$$d \operatorname{Im} \log \Gamma \left( \frac{1}{4} + i \frac{s}{2} \right) = \frac{1}{2i} d \left( \log \Gamma \left( \frac{1}{4} + i \frac{s}{2} \right) - \log \Gamma \left( \frac{1}{4} - i \frac{s}{2} \right) \right)$$
$$= \frac{1}{2} \operatorname{Re} \left( \frac{\Gamma'}{\Gamma} \left( \frac{1}{4} + i \frac{s}{2} \right) \right) ds$$

and from

$$\langle N(E) \rangle = 1 - \frac{E}{2\pi} \log \pi + \frac{1}{\pi} \operatorname{Im} \log \Gamma \left( \frac{1}{4} + i \frac{E}{2} \right)$$

which follows from  $\langle N(E) \rangle = 1 + \frac{\theta(E)}{\pi}$

$$\text{with } \theta(E) = -\frac{E}{2} \log \pi + \operatorname{Im} \log \Gamma \left( \frac{1}{4} + i \frac{E}{2} \right)$$

So obtain

$$\operatorname{Tr}(\mathcal{Q}_\Lambda N_E) \sim \frac{4E}{2\pi} \log \Lambda - 2(\langle N(E) \rangle - 1) + o(1)$$

meaning of this +2 ?

This is the symplectic volume of  $W(E, \Lambda)$  as in the classical Hamiltonian system

$$W(E, \Lambda) = \{ (\lambda, s) \in \mathbb{R}_+^* \times \mathbb{R} : |\log \lambda| \leq \log \Lambda \text{ and } |s| \leq E \}$$

So want to match the cutoffs of original classical system to those implemented in quantum system

$$|q| \leq \Lambda \quad \rightsquigarrow \quad |\lambda| \leq \Lambda$$

$$|p| \leq \Lambda \quad \rightsquigarrow \quad |\lambda|^{-1} \leq \Lambda$$

$$|h(p, q)| \leq E \quad \rightsquigarrow \quad |s| \leq E$$

Construct a map from even functions of  $u \in \mathbb{R}$  to functions of  $\lambda \in \mathbb{R}_+^*$  so that the cutoffs match

$$E(f)(\lambda) \quad ?$$

- \* if map  $f(u) \mapsto \lambda^{1/2} f(\lambda)$  first cutoff ok
- \* if map  $E$  also satisfies  $E(f)(\lambda) = E(\hat{f})(\lambda^{-1})$  with  $\hat{f}$  = Fourier transform then second cutoff also ok

$$\int_{\mathbb{R}} e^{ixy} f(x) dx$$

but restriction  $f(u) \mapsto \lambda^{1/2} f(\lambda)$  does not satisfy this correct to give

$$E(f)(\lambda) = \lambda^{1/2} \sum_{n \in \mathbb{Z}} f(n\lambda) \quad \lambda \in \mathbb{R}_+^*$$

if impose conditions  $f(0) = \hat{f}(0) = 0$  then Poisson summation formula gives second property

these two conditions  $\Rightarrow$  extra 2 in  $\text{Tr}(Q_A N_E)$

Note functions  $f$  even so just

$$\lambda^{1/2} \sum_{n=1}^{\infty} f(n\lambda) \quad (\text{up to factor } 2)$$

then third cutoff also matches:

$$(\mathcal{D}_a(\lambda) f)(x) = f(\lambda^{-1}x) \quad \text{gives}$$

warning:  $E$  not an isometry

$$E \circ \mathcal{D}_a(\lambda) = |\lambda|^{1/2} \mathcal{D}_m(\lambda) \circ E$$

action of  $\mathbb{R}_+^*$  on  $L^2(\mathbb{R})$

regular rep. of  $\mathbb{R}_+^*$  on  $L^2(\mathbb{R}^*)$

Refine this quantum system:

instead of just the scaling Hamiltonian  $h(q,p) = 2\pi p \cdot q$  view this as a component of a system that also has a contribution at the archimedean place for each non-archimedean place in the adèles & ideles

$$A_{\mathbb{Q}} = A_{\mathbb{Q}_f} \times \mathbb{R} \quad A_{\mathbb{Q}}^* = A_{\mathbb{Q}_f}^* \times \mathbb{R}^*$$

finitely many degrees of freedom approximation

$$A_{\mathbb{Q},S} = \prod_{p \in S} \mathbb{Q}_p \times \mathbb{R} \quad S = \text{finite sets of primes } \cup \{\infty\}$$

$$C_{\mathbb{Q},S} = GL_1(A_{\mathbb{Q},S}) / \mathbb{Q}_S^*$$

$$\mathbb{Q}_S^* = \{x \in GL_1(\mathbb{Q}) : |x|_v = 1 \ \forall v \notin S\}$$

$$\mathbb{Q}_S = \{q \in \mathbb{Q} : |q|_v \leq 1 \ \forall v \notin S\}$$

rational numbers whose denominator only involves the primes  $p \in S$

$$\mathbb{Q}_S^* = \{ \pm p_1^{m_1} \dots p_k^{m_k} : p_j \in S, \{ \infty \} = m_j \in \mathbb{Z} \}$$

invertible elements of  $\mathbb{Q}_S$   
inverse also has denoms in  $S$  only

Then have again scaling action

$$(D_a(\lambda) \xi)(x) = \xi(\lambda^{-1}x) \quad \forall x \in A_{\mathbb{Q},S}$$
$$\forall \xi \in \mathcal{F}(A_{\mathbb{Q},S})$$
$$\lambda \in GL_1(A_{\mathbb{Q},S})$$

The map  $E : \mathcal{P}(\mathbb{R}) \rightarrow L^2(\mathbb{R}^*)$

extends to a map  $E : \mathcal{P}(A_{\mathbb{Q},S}) \rightarrow L^2(C_{\mathbb{Q},S})$   
with dense range given by

$$E(f)(x) = |x|^{1/2} \Sigma(f)(x) \quad \text{where}$$

$$\Sigma(f)(x) = \sum_{q \in \mathbb{Q}_S^*} f(qx)$$

Then one obtains

$$\text{Tr}(\mathcal{V}_a(h) R_\lambda) = 2 h(1) \log \lambda + \sum_{v \in S} \int_{\mathbb{Q}_v^*}' \frac{h(u^v)}{|1-u^v|} d^*u + o(1)$$

for  $\mathcal{V}_a(h) = \int_{C_{\mathbb{Q},S}} h(g) \mathcal{V}_a(g) dg$

trace formula computed on Hilbert space  $L^2(X_{\mathbb{Q},S})$

where  $X_{\mathbb{Q},S} = \frac{\text{adèles class space}}{\text{semibranch}} = A_{\mathbb{Q},S} / \mathbb{Q}_S^*$

and  $L^2(X_{\mathbb{Q},S}) = \text{completion of } \mathcal{P}(A_{\mathbb{Q},S}) \text{ in inner product}$

$$\langle f_1, f_2 \rangle_S := \langle E(f_1), E(f_2) \rangle$$

also obtained as convergent series

$$\langle f_1, f_2 \rangle_S = \sum_{x \in \mathbb{Q}_S^*} \langle f_1, \mathcal{V}_a(x) f_2 \rangle$$

inner prod in  $L^2(A_{\mathbb{Q},S})$

Relation of the trace formula to the Weil explicit formula

Weil's distributional form of the explicit formulae

$K = \text{number field}$

$$\hat{f}(\chi, \rho) = \int_{C_K} f(u) \chi(u) |u|^\rho d^*u$$

$\chi$  character of  $C_K$  equal 1 on id. comp.

$h \in \mathcal{P}(C_K)$  w. compact support

$$\hat{h}(0) + \hat{h}(1) - \sum_{\chi} \sum_{\rho \in Z_{\tilde{\chi}}} \hat{h}(\chi, \rho) = \sum_v \int_{K_v^*} \frac{h(u^{-1})}{|1-u|} d^*u$$

$Z_{\tilde{\chi}} = \text{set of zeros of } L(\tilde{\chi}, s) \text{ in strip } 0 < \text{Re}(s) < 1$

(analogous for function fields)

So if  $\mathcal{H} = \text{Coker of map } E$

$$\text{from } L^2_s(X_{A_K}) \rightarrow L^2_s(C_K)$$

weighted norms

Subspace with conditions  $f(0) = \hat{f}(0) = 0$

$$\Rightarrow \sum \hat{h}(\tilde{\chi}, \rho) = \text{Tr}(\mathcal{D}_m(h)) \quad (\text{Connes '97})$$

zeros on the line only

scaling induced on  $\mathcal{H}$  action

does not prove RH



Return to the endomorphic formulation

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find  $h \in \mathcal{P}(C_K)$  (Schwartz space w/ additional cond. "strong Schwartz space")

$$\mathcal{V}(h) = \int_{C_K} h(g) \mathcal{V}(g) dg \quad \text{acting on } H^0(D(A, \varphi))$$

$$\text{Tr}(\mathcal{V}(h)) = \hat{h}(0) + \hat{h}(1) - \Delta \cdot \Delta h(1) - \sum_v \int_{\mathbb{K}_v^*} \frac{h(u^{-1})}{|1-u|} d^*u$$

$$\Delta \cdot \Delta = -\log |D|$$

↑ discriminant of the number field, "size of the ring of integers" = Volume of fundamental domain  
(analog of  $\chi(C)$  for function field: self inters of diag.) (measures how many primes are ramified)

Now: get counting of all zeros, not only those on critical line  
gets Weil expl. formula as trace formula

RH equivalent to

$$\text{Tr}(\mathcal{V}(h * h^\#)) \geq 0 \quad \forall h \in \mathcal{P}(C_\varphi)$$

where  $(h_1 * h_2)(g) = \int h_1(k) h_2(k^{-1}g) (dk)$  ← mult. Haar meas

and  $h^\#(g) = |g|^{-1} \overline{h(g^{-1})}$

A positivity statement for the trace of a correspondence

⇒ this looks somewhat like Weil proof for function fields...

$\mathbb{K} = \mathbb{F}_q(\mathbb{C})$        $\Sigma_{\mathbb{K}} = \text{places} = \text{pts of } \mathbb{C}(\bar{\mathbb{F}}_q)$   
 degree  $n_v = \# \text{ orbit of Fr on } \mathbb{C}(\bar{\mathbb{F}}_q) \rightarrow \Sigma_{\mathbb{K}}$

$$\sum_{\mathbb{K}}^{(s)} = \prod_{v \in \Sigma_{\mathbb{K}}} (1 - q^{-n_v s})^{-1} = \frac{P(q^{-s})}{(1 - q^{-s})(1 - q^{1-s})}$$

$P(T) = \prod (1 - \lambda_n T)$  eigenvalues of Frobenius acting on  $H_{\text{ét}}^1(\bar{C}, \mathbb{Q}_\ell)$

$$\text{RH} \Leftrightarrow |\lambda_n| = q^{1/2}$$

Correspondences: divisors (lin. combinations of codim one subflds)  $Z \subset C \times C$   
 degree, codegree, trace

$$d(Z) = Z \cdot (\{\text{pt}\} \times C)$$

$$d'(Z) = Z \cdot (C \times \{\text{pt}\})$$

diagonal

$$\text{Tr}(Z) = d(Z) + d'(Z) - Z \cdot \Delta$$

Wedl:  $\text{RH} \Leftrightarrow \text{Tr}(Z * Z') > 0$   
 applied to corresp: Frobenius action

$$Z_1 * Z_2 = (\pi_{13})_* (\pi_{12}^* Z_1 \circ \pi_{23}^* Z_2) \quad \text{and } Z' = \text{transpose of } Z$$

In NCG setting: correspondences  $\Rightarrow$  graph of scaling action

$$g \in C_{\mathbb{K}} \quad Z_g = \{ (x, g^{-1}x) \} \subset A_{\mathbb{K}}/\mathbb{K}^* \times A_{\mathbb{K}}/\mathbb{K}^*$$

$$Z(f) = \int_{C_{\mathbb{K}}} f(g) Z_g dg \quad f \in \mathcal{F}(C_{\mathbb{K}})$$

$$\text{degree: } d(Z(f)) = \hat{f}(1) = \int f(u) |u| d^*u$$

$$\text{codegree: } d'(Z(f)) = \hat{f}(0) = \int f(u) d^*u$$

In Weil proof first adjust deg  $Z$ ,  $c \cdot \text{deg}$ .  
by adding trivial correspondences  $\{pt\} \times C$  and  $C \times \{pt\}$

here can do same:

$$d(Z(f)) = \hat{f}(1) \text{ add } h \text{ with}$$

$$h(u, \lambda) = \sum_{n \in \mathbb{Z}, n \neq 0} \gamma(n\lambda) \quad \lambda \in \mathbb{R}_+^*, u \in \mathbb{Z}^*$$
$$C_{\mathbb{Q}} = \mathbb{Z}^* \times \mathbb{R}_+^*$$

can find a function  $h$  as above  
which has  $\hat{h}(1) \neq 0$

because  $\int_{\mathbb{R}} \sum_n \gamma(n\lambda) d\lambda \neq \sum_n \int_{\mathbb{R}} \gamma(n\lambda) d\lambda = 0$   
(Fubini's thm not nec. hold)

$h \in V$

Next step in Weil's proof

Riemann-Roch's theorem applied to

$Z(P)$  of degree  $g \Rightarrow$  linearly equivalent (used principal divisor)  
to an effective divisor (positivity)

$$d(Z * Z') = d(Z)d(Z') = g d'(Z) = d'(Z * Z')$$

$$\text{Tr}(Z * Z') = 2g d'(Z) + (2g-2) d'(Z) - Y \cdot \Delta$$
$$\geq (4g-2) d'(Z) - (4g-4) d'(Z) = 2d'(Z) \geq 0$$

where  $Z * Z' = d'(Z) \Delta + Y$

$Y$  divisor on  $C$

$\{Q_i \in C : Q_i(P) = Q_j(P) \text{ } i \neq j\}$   
where  $Z(P) = Q_1 + \dots + Q_g$   
effective divisor

estimate  $Y \cdot \Delta \leq (4g-4) d'(Z)$

$$\Delta \cdot \Delta = 2-2g = \chi(C) \text{ Eul. char.}$$

Need in AKG : principal divisors & Riemann-Roch

