

Tuesday May 11

fixed pt. theorem for nuclear operators order zero (compact & trace class) in a pos. cone in Banach space

①

$\Rightarrow \exists!$ eigenfunction of norm one f_s of L_s in the interior of the cone K

with eigenvalue $\lambda_{0,s}$ positive and simple

All other eigenvalues have strictly smaller ~~abs.~~ modulus. (spectral margin $\rho(L_s) < 1$)

(*) $L_s^n f = \text{const. } \lambda_{0,s}^n f_s + O(\epsilon(\epsilon) (q+\epsilon)^n \lambda_{0,s}^n)$

for any $f \in B$ and $\epsilon > 0$

Note: for $s=1$ have an explicit positive eigenfunction density $\frac{1}{x+1}$ of inv. measure of T on $(0,1)$ with uniform measure in \mathbb{P} .

(**) K cone in a real Banach space B with $\overline{K-K} = B$ and L compact operator on B with $LK \subseteq K$ w/ positive spectral radius $r(L)$
 $\Rightarrow r(L)$ is an eigenvalue with eigenfunction in K

Also to see top eigenvalue of an operator w/ an invariant cone is simple:

⊗

say L is u -bounded, for a function $u \in K$ if $\forall f \in K \exists n > 0$
s.t. $au \leq L^n f \leq bu$ $a, b > 0$

then one sees that L_S is u -bounded for constant function

$$u(x,t) = 1 \quad \text{i.e. } \exists a, b > 0$$

$$a \leq L_S^n f \leq b \quad : \text{ difficult part show } a \neq 0$$

Suppose for some f lower bound is $= 0$

$$\Rightarrow L_S^n f \text{ vanishes at some pt. } (x_n, t_n) \text{ with } x_n \text{ in } \mathbb{D} \text{ w/ } x_n \text{ real}$$

since all summands in

$$L_S f(x,t) = \sum \frac{1}{(x+k)^{2s}} f\left(\frac{1}{x+k}, \begin{pmatrix} 0 \\ 1 \end{pmatrix} t\right)$$

are positive real for x real (s real here)

then f also $= 0$ on all pts of $\bigcup_n \text{Red}_n(x_n, t_n)$

\Rightarrow because $P = \text{Red}_m(t)$ for some m and all $t \in P$

$\Rightarrow f$ has zeros on all sheets $\mathbb{D} \times \{t\}$ $t \in P$

$\Rightarrow \exists$ sequence $y_n \in \mathbb{D}$ ^{$m_n \rightarrow \infty$ and} s.t. f vanishes at all $x \in \bigcup_n \text{Red}_{m_n}(y_n)$ intersects densely $[0,1]$

holomorphic functions \Rightarrow identically vanishing

In u -boundedness condition the lower bound

$$\Rightarrow \text{spectral radius } r(L_S) > 0$$

Then the fact that eigenvalue λ_0 is simple is like Perron-Frobenius eigenvector argument of linear algebra:

f interior pt of cone; iterates of L_S map \mathbb{D} Cone to interior of cone \rightarrow unique fixed pt

to see spectral gap with other eigenvalues

(3)

L_S u -bounded $\Rightarrow L_S$ f_S -bounded where

f_S = unique eigenfunction of $\lambda_{0,S}$ eigenvalue

so if f_λ with $L_S f_\lambda = \lambda f_\lambda$ $\lambda \neq \lambda_{0,S}$

$$\Rightarrow -\alpha(\lambda_{0,S} - \varepsilon) f_S \leq \lambda f_\lambda \leq \alpha(\lambda_{0,S} - \varepsilon) f_S \quad \text{for some } \varepsilon > 0$$

where α smallest $\alpha > 0$ s.t.

$$-\alpha f_S \leq f_\lambda \leq \alpha f_S$$

$$\Rightarrow |\lambda| \leq \lambda_{0,S} - \varepsilon$$

Since L_S compact pt. spectrum: other eigenvalues

all have $|\lambda| \leq q \lambda_0$ for some $q < 1$

then sequence of iterates $f_{n+1} = L_S f_n$ converges to eigenfunction f by

$$\text{set } U_h := f^*(h) f$$

f^* = eigf. of adjoint L_S^* on dual Banach space B'

$$L_S^* f^* = \lambda f^*$$

if $f^*(f) > 0$ then $\lambda = \lambda_0$ since

$$\lambda_0 f^*(f) = f^*(\lambda_0 f) = f^*(L_S f) = (L_S^* f^*)(f) = \lambda f^*(f)$$

set also $U^\perp h := h - f^*(h) f$

$$\Rightarrow \lim_n \frac{\|U^\perp f_n\|}{\|U f_n\|} = 0$$

Hence get unique invariant measure on $[0,1] \times \mathbb{P}$

w/ density

$$\frac{1}{x+1} \cdot \mu_{\mathbb{P}}$$

\hookrightarrow unif. measure on \mathbb{P}

Digression: Chaotic cosmologies and modular curves (4)
(mixmaster universe models)

Lorentzian space times with

$$ds^2 = dt^2 - a(t)^2 dx^2 - b(t)^2 dy^2 - c(t)^2 dz^2$$

Kasner metrics solutions to Einstein equations w/ non-homogeneous or anisotropic spacetimes

$$a(t) = t^{p_1} \quad b(t) = t^{p_2} \quad c(t) = t^{p_3}$$

$$\text{with } \sum p_i = \sum p_i^2 = 1$$

Mixmaster models (with chaotic behavior approaching singularity at $t \rightarrow 0$)

locally given by Kasner metrics where p_i vary with a parameter

$$\textcircled{\otimes} \quad p_1 = -\frac{u}{1+u+u^2} \quad p_2 = \frac{1+u}{1+u+u^2} \quad p_3 = \frac{u(1+u)}{1+u+u^2}$$

a dynamical system governs the evolution (discrete) of the parameter u

logarithmic time $d\Omega := \frac{dt}{abc}$ $\Omega \rightarrow +\infty$ when $t \rightarrow 0$

Kasner epochs $[\Omega_n, \Omega_{n+1}]$

in each epoch \otimes exponent with some $u_n > 1$

transition to a new epoch

$$u_{n+1} = \frac{1}{u_n - [u_n]} \quad \textcircled{\otimes \otimes}$$

Within each Kasner era Kasner cycles (u_n diminishes by 1 until becomes ~~less~~ $u_n < 1$)
where one of the exponents $p_i(u)$ dominates then transition $\textcircled{\otimes \otimes}$

* In each Kasner era u decreases

- discretized in steps $u_n, u_{n-1}, u_{n-2}, \dots$ until < 1
(Kasner cycles)

* Each Kasner cycle $u \mapsto u-1$ comes with a permutation $(12)(3)$ of space coordinates

replaces a phase of contraction with one of expansion
($u \mapsto -u$)

* At the end of a Kasner era (when u reaches a value $u < 1$ after a series of cycles)

bouncing
$$u_{n+1} = \frac{1}{u_n - [u_n]}$$

together with a permutation of spatial coordinates $(1)(23)$ which restores $p_1 < p_2 < p_3$

Belinski-Khalatnikov-Lifschitz (~1970)

Notice: $Tx = \frac{1}{x} - [\frac{1}{x}]$ with $x_{n+1} = Tx_n$ and

$u_n = \frac{1}{x_n}$ relates transition of mixmaster universe to continued fraction expansion

Observation: trajectories of mixmaster univ. (time evol. of mixmaster cosmology)

parameterized by geodesics on a modular curve

$$\Gamma_0(p) = \{ g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in PGL(2, \mathbb{Z}) : c \equiv 0 \pmod{p} \} \quad (p \text{ prime})$$

For $p=2$
$$P = \Gamma_0(2) = P^1(\mathbb{F}_2) = \{0, 1, \infty\} \quad 3\text{-pts}$$

$$X_{\Gamma_0(2)} = \frac{\mathbb{H}^2 \times \mathbb{P}^1}{\Gamma} = \frac{\mathbb{H}^2}{\Gamma_0(2)} \leftarrow \text{upper half plane}$$

(6)

So a geodesic in $X_{\Gamma_0(2)}$ is the image of a geodesic in $\mathbb{H} \times \mathbb{P}^1$ w/ endpoints on $\mathbb{P}^1(\mathbb{R}) \times \mathbb{P}^1$ under the quotient map

$$\pi: \mathbb{H} \times \mathbb{P}^1 \rightarrow \frac{\mathbb{H} \times \mathbb{P}^1}{\Gamma} = X_G$$

Identify the 3-pts of $\mathbb{P}^1 = \mathbb{P}^1(\mathbb{F}_2)$ with labelings of the 3 coordinate axes spatial

$$\begin{aligned} 0 &= [0:1] \mapsto z \\ \infty &= [1:0] \mapsto y \\ 1 &= [1:1] \mapsto x \end{aligned}$$

So any geodesic with (irrational) endpoints coded by

$$(\omega^-, \omega^+, s) \quad \omega^\pm \in \mathbb{P}^1(\mathbb{R}) \text{ endpoints in } \mathbb{P}^1(\mathbb{R}) \times \{s\} \subset \mathbb{P}^1(\mathbb{R}) \times \mathbb{P}^1$$

can pick $\omega^- \in (-\infty, 1]$ & $\omega^+ \in [0, 1]$ up to Γ -action

\Rightarrow Continued fraction expansion

$$\begin{aligned} \omega^+ &= [k_0, k_1, k_2, \dots] = \frac{1}{k_0 + \frac{1}{k_1 + \dots}} \\ \omega^- &= [k_{-1}, k_{-2}, k_{-3}, \dots] = k_{-1} + \frac{1}{k_{-2} + \frac{1}{k_{-3} + \dots}} \end{aligned}$$

The shift map T acts by

$$T(\omega^+, s) = \left(\frac{1}{\omega^+}, -\left[\frac{1}{\omega^+} \right], \begin{pmatrix} -[1/\omega^+] & 1 \\ 1 & 0 \end{pmatrix} s \right)$$

$$T(\omega^-, s) = \left(\frac{1}{\omega^- + [1/\omega^+]}, \begin{pmatrix} -[1/\omega^+] & 1 \\ 1 & 0 \end{pmatrix} s \right)$$

or equivalently

$$T [k_0, k_1, k_2, \dots] = [k_1, k_2, k_3, \dots]$$

$$T [k_{-1}, k_{-2}, k_{-3}, \dots] = [k_0, k_{-1}, k_{-2}, k_{-3}, \dots]$$

Quotient of T -action on geodesics = quotient by iterations of T on data (ω, ω^\pm, s)
 $\omega = (\omega^+, \omega^-)$

Given $(\omega, s) \rightsquigarrow$ construct a solution of mixmaster universe

$k_n = [u_n] = [\frac{1}{x_n}]$ for k_i sequence coding ω
Kasner epochs with $x_{n+1} = T x_n$

and permutations of the spatial axes $\begin{pmatrix} -k_n & 1 \\ 1 & 0 \end{pmatrix}$

In fact: this is permutation

$0 \mapsto \infty \quad 1 \mapsto 1 \quad \infty \mapsto 0$
when k_n even and permutation

$0 \mapsto \infty \quad 1 \mapsto 0 \quad \infty \mapsto 1$ when k_n odd

this is $(1)(23)$ ↖ this is product of $(12)(3)$ and $(1)(23)$

Which is what happens by iterating sequence of Kasner cycles within each epoch & then passing to next Kasner epoch

(ω, s) & $T^m(\omega, s)$ same solution up to a different choice of initial time (same behavior as $\Omega \rightarrow \infty$; i.e. $t \rightarrow 0$)

The invariant measure

$$d\mu(x, s) = \frac{\delta(s) dx}{3 \log 2} (1+x) \quad \text{on } [0, 1] \times \mathbb{P}$$

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\Rightarrow alternation of space axes in mixmaster dynamics is uniform (each occurs with equal frequency as leading expansion or contraction)

Also special classes of solutions from special kinds of geodesics : example where $k_i \leq N$ for some fixed N : bound unif. on oscillations in each epoch

Hensely Cantor sets $E_N \subset [0, 1]$

G fin. index subgroup of $PSL_2(\mathbb{Z})$

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$\alpha, \beta \in \mathbb{H} \cup \mathbb{P}^1(\mathbb{Q}) \Rightarrow$ modular symbols (Manin)
real homology class

$$\langle \alpha, \beta \rangle_G \text{ in } H_1^{\mathbb{R}}(X_G, \mathbb{R})$$

Seen as a functional on differential forms

$$\int_{\langle \alpha, \beta \rangle_G} \omega := \int_{\alpha}^{\beta} \pi_G^*(\omega) \quad \text{integral along the geodesic in } \mathbb{H} \text{ w/ endpoints } \alpha, \beta$$

$$\pi_G : \mathbb{H} \longrightarrow \mathbb{H}/G = X_G$$

Additivity and invariance properties:

$$\langle \alpha, \beta \rangle_G + \langle \beta, \gamma \rangle_G = \langle \alpha, \gamma \rangle_G$$

$$\forall g \in G \quad \langle g\alpha, g\beta \rangle_G = \langle \alpha, \beta \rangle_G$$

Modular symbols and continued fractions:

$$\int_0^{\alpha} \pi^*(\omega) \quad \text{for } \alpha \in \mathbb{Q}$$

$$\frac{p_{k+1}(\alpha)x + p_k(\alpha)}{q_{k+1}(\alpha)x + q_k(\alpha)}$$

then finite continued fraction expansion $\alpha = [k_1, k_2, \dots, k_n]$

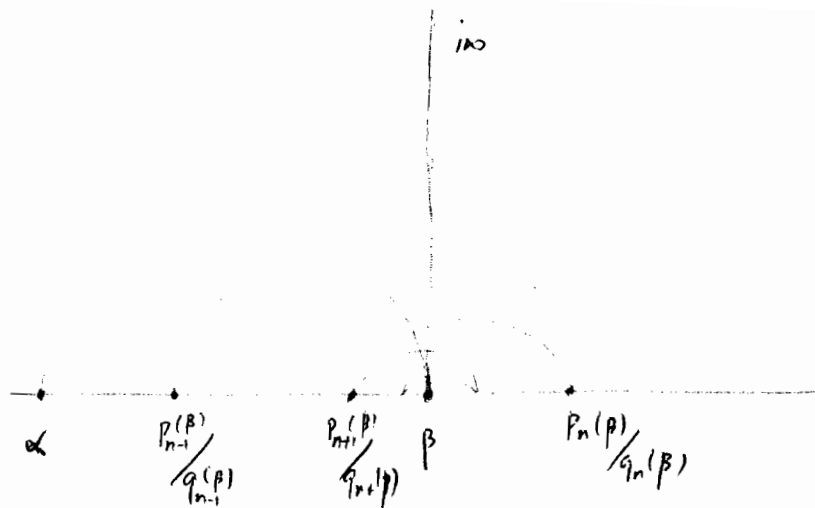
$$g_k := \begin{pmatrix} p_{k-1}(\alpha) & p_k(\alpha) \\ q_{k-1}(\alpha) & q_k(\alpha) \end{pmatrix}$$

$$\alpha = \frac{p_n(\alpha)}{q_n(\alpha)}$$

$$\frac{p_k(\alpha)}{q_k(\alpha)} = g_k(\alpha)(0)$$

$$\frac{p_{k-1}(\alpha)}{q_{k-1}(\alpha)} = g_k(\alpha)(i\infty)$$

$$\int_0^{\alpha} \pi^*(\omega) = \sum_{k=1}^n \int_{\frac{p_{k-1}(\alpha)}{q_{k-1}(\alpha)}}^{\frac{p_k(\alpha)}{q_k(\alpha)}} \pi^*(\omega) = - \sum_{k=1}^n \int_{\{g_k(0), g_k(i\infty)\}_G} \omega$$



Use of modular symbols: integrals of modular functions along mod symbols
 \rightsquigarrow relations between periods

What about the "invisible" part of the boundary $P'(\mathbb{R}) \setminus P'(\mathbb{Q})$?

Can have a theory of modular symbols there?

asymptotic cycles

say end $\beta \in \mathbb{R} \setminus \mathbb{Q}$ then limiting cycle

$$\{ \ast, \beta \}_G := \lim_{T \rightarrow \infty} \frac{1}{T(x)} \{ x_0, x \}_G \in H^1(X_G, \mathbb{R})$$

limit along geodesic from starting pt. x_0 to β
 x moves towards β along the geodesic
 $T(x) =$ geod. length from x_0 to x

(1) Whenever limit exists it is indep. of starting pt. x_0
 and of α other endpoint \emptyset

Take two different geodesics γ_1, γ_2 w/ same β endpoint.
 say γ_1 from $i\infty$ & γ_2 from $\alpha \in \mathbb{Q}$

(*) have $\frac{\alpha+\beta}{2} < \frac{p_{n-1}(\beta)}{q_{n-1}(\beta)} < \beta < \frac{p_n(\beta)}{q_n(\beta)}$
 for sufficiently large n or exchanged depending on parity of n

also always have

(**) $\left| \frac{p_{n-1}}{q_{n-1}} - \beta \right| > \left| \frac{p_n}{q_n} - \beta \right|$

take intersection pts of geodesic arcs

$\tilde{\gamma}_n = \left(\frac{p_{n-1}}{q_{n-1}}, \frac{p_n}{q_n} \right)$ and γ_1 & γ_2 geodesics

$z_n = \gamma_1 \cap \tilde{\gamma}_n$ $\xi_n = \gamma_2 \cap \tilde{\gamma}_n$

have from (*) & (**) estimates

$$\frac{1}{2q_n q_{n+1}} < \text{Im}(z_n) < \frac{1}{2q_{n-1} q_n}$$

and for n large $\exists \theta$ a constant $0 < \theta < 1$ s.t.

$$\frac{\theta}{2q_n q_{n+1}} < \theta \text{Im}(z_{n+1}) < \text{Im} \xi_n \leq \frac{1}{2q_{n-1} q_n}$$

because for large n



Along γ_1 distance from fixed z_0 to z is

$-\log \text{Im}(z)$ up to a constant $+ O(1)$

Along γ_2 similarly from fixed ξ_0 to ξ $-\log \text{Im}(\xi) + O(1)$

$$\frac{1}{T(z_0, z_n)} \{z_0, z_n\} = \frac{1}{T(\xi_0, \xi_n) + O(1)} [\{z_0, \xi_n\} + O(1)]$$

so if lim exists they are same

For continued fraction expansion also known that

$$\log q_n(\beta) = C n (1 + o(1))$$

for almost all β (in Lebesgue measure on $[0, 1]$)

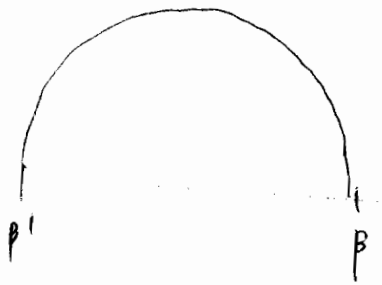
with $C = \frac{\pi^2}{12 \log 2}$

\Rightarrow limit computing mod symbol $\{z_0, \beta\}_G$ written as

$$\lim_{n \rightarrow \infty} \frac{1}{2Cn} \{z_0, z_n\} = \lim_{n \rightarrow \infty} \frac{1}{2Cn} \sum_{k=1}^n \left\{ \frac{p_{k-1}(\beta)}{q_{k-1}(\beta)}, \frac{p_k(\beta)}{q_k(\beta)} \right\}$$

(1) Case of closed geodesics: β is a quadratic irrationality
pt in a real quadratic field in \mathbb{R}

$\Rightarrow \exists$ a closed geodesic in X_G whose lift



$\beta' = \text{Gal conj of } \beta$

in a sheet of

$H \times \mathbb{P} \quad P = \Gamma/G$

$$\Rightarrow \{z_0, \beta\} = \frac{\{z_0, g(0)\}}{\lambda(g)}$$

length of geod. = $\log \frac{\lambda^+}{\lambda^-}$
eigenvalues of δ

β, β' fixed pts of an hyperbolic element g