

# Graph Grammars

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## Main Reference:

- Matilde Marcolli, Alexander Port, *Graph grammars, insertion Lie algebras, and quantum field theory*, Math. Comput. Sci. 9 (2015), no. 4, 391–408.

## Graph Grammars and Quantum Field Theory

- Example of a different setting where formal languages can be applied, with a different class of formal grammars (graph grammars)

## Graph Grammars

Formal languages adapted to **parallelism in computation**

- instead of **linear languages**: strings in an alphabet obtained by production rules of a grammar
- grammars that produce a language consisting of a **family of graphs**
- production rules that substitute parts of a graph with other parts (**gluing**)
- an **initial graph** as starting point
- **edge and vertex labels** by terminal and non-terminal symbols

## Graphs

Two main ways of thinking about graphs:

### First description:

- $V(G)$  = set of vertices;  $E(G)$  = set of edges;  
 $\partial : E(G) \rightarrow V(G) \times V(G)$
- if  $G$  is oriented (directed) then source and target  
 $s, t : E(G) \rightarrow V(G)$
- $\Sigma_V, \Sigma_E$  sets of vertex and edge labels;  $L_{V,G} : V(G) \rightarrow \Sigma_V$ ,  
 $L_{E,G} : E(G) \rightarrow \Sigma_E$  assignment of labels

## Second description:

- $C(G)$  = set of **corollas** with assigned valences  
(a vertex with  $n$  half-edges)
- $\mathcal{F}(G)$  = set of all **half-edges**
- **involution**:  $\mathcal{I} : \mathcal{F}(G) \rightarrow \mathcal{F}(G)$
- **edges**: pairs  $(f, f')$  with  $f \neq f'$  in  $\mathcal{F}(G)$  with  $\mathcal{I}(f) = f'$   
(an edge is a gluing of two half edges)
- **external edges**:  $f \in \mathcal{F}(G)$  fixed by the involution  $\mathcal{I}$   
(half-edges not matched to anything else)
- assignment of **labels**  $L_{\mathcal{F},G} : \mathcal{F}(G) \rightarrow \Sigma_{\mathcal{F}}$  and  $L_{V,G} : C(G) \rightarrow \Sigma_V$

$$L_{\mathcal{F},G} \circ \mathcal{I} = L_{\mathcal{F},G}$$

(the involution must match labels)

## Graph Grammar

$$(N_E, N_V, T_E, T_V, P, G_S)$$

- **edge labels:**  $\Sigma_E = N_E \cup T_E$  non-terminal and terminal
- **vertex labels:**  $\Sigma_V = N_V \cup T_V$  non-terminal and terminal
- $G_S =$  **start graph**
- $P =$  **production rules:** a finite set

## Production rules of a Graph Grammar

$$P = (G_L, G_R, H)$$

- $G_L$  = labelled graph (l.h.s. of production)
- $G_R$  = labelled graph (r.h.s. of production)
- $H$  = labelled graph with label preserving isomorphisms

$$\phi_L : H \xrightarrow{\cong} \phi_L(H) \subset G_L, \quad \phi_R : H \xrightarrow{\cong} \phi_R(H) \subset G_R$$

(isomorphic subgraphs in  $G_L$  and  $G_R$ )

**Meaning:** the production rule searches for a copy of  $G_L$  inside a given graph  $G$  and glues in a copy of  $G_R$  by identifying them along the common subgraph  $H$

## Context-free Graph Grammars

- when all production rules  $P = (G_L, G_R, H)$  have  $G_L$  (hence  $H$ ) a **single vertex**
- **Chomsky hierarchy** for Graph Grammar (different from the one for linear languages) was identified in
  - M. Nagl, *Graph-Grammatiken: Theorie, Implementierung, Anwendung*, Vieweg, 1979



## References on Graph Grammars

- H. Ehrig, K. Ehrig, U. Prange, G. Taentzer, *Fundamentals of algebraic graph transformation*. New York: Springer, 2010.
- H. Ehrig, H.J. Kreowski, G. Rozenberg, *Graph-grammars and their application to computer science*, Lecture Notes in Computer Science, Vol. 532, Springer, 1990.
- G. Rozenberg, *Handbook of Graph Grammars and Computing by Graph Transformation. Volume 1: Foundations*, World Scientific, 1997.

## Perturbative Quantum Field Theory

- perturbative (massless, scalar) field theory: classical action

$$S(\phi) = \int \mathcal{L}(\phi) d^D x = S_0(\phi) + S_{int}(\phi)$$

in  $D$  dimensions

- Lagrangian density

$$\mathcal{L}(\phi) = \frac{1}{2}(\partial\phi)^2 - \frac{m^2}{2}\phi^2 - \mathcal{L}_{int}(\phi)$$

- Quantum effects: perturbative expansion in Feynman diagrams

$$S_{eff}(\phi) = S_0(\phi) + \sum_G \frac{G(\phi)}{\#\text{Aut}(G)} \quad (\text{1PI graphs})$$

contribution of each Feynman graph is a finite dimensional integral in momentum variables flowing through the graph

## Renormalization problem in QFT

- most of the integrals  $G(\phi)$  in the expansion are **divergent**
- need a **regularization** procedure (pole subtraction, cutoff, ...)
- and **renormalization** is implementation consistently over subgraphs (nested subdivergences)
- Connes-Kreimer ( $\sim$  2000): the renormalization procedure is described algebraically by a **Hopf algebra** of Feynman graphs

## Hopf algebras

### • Bialgebra

- $\mathcal{B}$  algebra over a field  $\mathbb{K}$  with  $\mathbb{K}$ -linear multiplication operation  $\nabla : \mathcal{B} \otimes \mathcal{B} \rightarrow \mathcal{B}$  associative (not necessarily commutative) and unit  $\eta : \mathbb{K} \rightarrow \mathcal{B}$
- $\mathbb{K}$ -linear comultiplication  $\Delta : \mathcal{B} \rightarrow \mathcal{B} \otimes \mathcal{B}$  coassociative

$$(id_{\mathcal{B}} \otimes \Delta) \circ \Delta = (\Delta \circ id_{\mathcal{B}}) \circ \Delta$$

(not necessarily cocommutative) and counit  $\epsilon : \mathcal{H} \rightarrow \mathbb{K}$

$$(id_{\mathcal{B}} \otimes \epsilon) \circ \Delta = id_{\mathcal{B}} = (\epsilon \otimes id_{\mathcal{B}}) \circ \Delta$$

$$\begin{array}{ccc} B & \xrightarrow{\Delta} & B \otimes B \\ \Delta \downarrow & & \downarrow id \otimes \Delta \\ B \otimes B & \xrightarrow{\Delta \otimes id} & B \otimes B \otimes B \end{array}$$

$$\begin{array}{ccc} B & \xrightarrow{\Delta} & B \otimes B \\ \Delta \downarrow & \searrow id & \downarrow id \otimes \epsilon \\ B \otimes B & \xrightarrow{\epsilon \otimes id} & K \otimes B \cong B \cong B \otimes K \end{array}$$

- compatibility of  $\nabla$ ,  $\eta$ ,  $\Delta$ ,  $\epsilon$  for bialgebras

$$\begin{array}{ccccc}
 B \otimes B & \xrightarrow{\nabla} & B & \xrightarrow{\Delta} & B \otimes B \\
 \downarrow \Delta \otimes \Delta & & & & \uparrow \nabla \otimes \nabla \\
 B \otimes B \otimes B \otimes B & \xrightarrow{\text{id} \otimes \tau \otimes \text{id}} & B \otimes B \otimes B \otimes B & & 
 \end{array}$$

$$\begin{array}{ccc}
 B \otimes B & \xrightarrow{\nabla} & B \\
 \searrow \epsilon \otimes \epsilon & & \swarrow \epsilon \\
 & K \otimes K \cong K & 
 \end{array}$$

$$\begin{array}{ccc}
 & K \otimes K \cong K & \\
 \eta \otimes \eta \swarrow & & \searrow \eta \\
 B \otimes B & \xleftarrow{\Delta} & B
 \end{array}$$

$$\begin{array}{ccc}
 K & & \\
 \downarrow \text{id} & \searrow \eta & \\
 & B & \\
 & \swarrow \epsilon & \\
 K & & 
 \end{array}$$

- Hopf algebra  $\mathcal{H}$

- $\mathcal{H}$  is a bialgebra over a field  $\mathbb{K}$
- $\mathbb{K}$ -linear antipode map  $S : \mathcal{H} \rightarrow \mathcal{H}$  with compatibility

$$\begin{array}{ccccc}
 & & H \otimes H & \xrightarrow{S \otimes \text{id}} & H \otimes H \\
 & \nearrow \Delta & & & \searrow \nabla \\
 H & \xrightarrow{\epsilon} & K & \xrightarrow{\eta} & H \\
 & \searrow \Delta & & & \nearrow \nabla \\
 & & H \otimes H & \xrightarrow{\text{id} \otimes S} & H \otimes H
 \end{array}$$

## Hopf algebras and Affine Group Schemes

- a *commutative* Hopf algebra  $\mathcal{H}$  is equivalent to an affine group scheme  $G$
- **affine scheme**: functor  $X : \text{Alg}_{\mathbb{K}} \rightarrow \text{Sets}$  from category of commutative algebras over  $\mathbb{K}$  to sets

$$X(A) = \text{Hom}_{\text{Alg}_{\mathbb{K}}}(R, A)$$

some commutative algebra  $R$

- **affine group scheme**: functor  $G : \text{Alg}_{\mathbb{K}} \rightarrow \text{Grps}$  from category of commutative algebras over  $\mathbb{K}$  to category of groups

$$G(A) = \text{Hom}_{\text{Alg}_{\mathbb{K}}}(\mathcal{H}, A)$$

- product from coproduct  $\phi_1 \star \phi_2(x) = \phi_1 \otimes \phi_2(\Delta(x))$
- inverse from antipode  $\phi^{-1}(x) = \phi(S(x))$
- unit from counit  $\epsilon(x)$

## Lie algebras and pre-Lie structures

- **Lie algebra**: vector space  $V$  with bilinear bracket  $[\cdot, \cdot]$  operation with  $[x, y] = -[y, x]$  and Jacobi identity

$$[x, [y, z]] + [z, [x, y]] + [y, [z, x]] = 0.$$

- tangent space at the identity of a Lie group is a Lie algebra
- **pre-Lie** structure: a bilinear map  $\star : V \otimes V \rightarrow V$  on a vector space  $V$

$$(x \star y) \star z - x \star (y \star z) = (x \star z) \star y - x \star (z \star y)$$

identity of associators under  $y \leftrightarrow z$

- Given a pre-Lie structure

$$[x, y] = x \star y - y \star x$$

is a Lie bracket (pre-Lie identity  $\Rightarrow$  Jacobi identity)



## Lie Algebra of an Affine Group Scheme

- functor  $\mathfrak{g} : \text{Alg}_{\mathbb{K}} \rightarrow \text{Lie}$  from category of commutative algebras over  $\mathbb{K}$  to category of Lie algebras

- $\mathfrak{g}(A)$  linear maps  $L : \mathcal{H} \rightarrow A$  such that

$$L(xy) = L(x)\epsilon(y) + \epsilon(x)L(y), \quad \forall x, y \in \mathcal{H}$$

- Lie bracket

$$[L_1, L_2](x) = (L_1 \otimes L_2 - L_2 \otimes L_1)(\Delta(x))$$

- **Milnor–Moore theorem:** for a commutative graded connected ( $\mathcal{H}_0 = \mathbb{K}$ ) Hopf algebra the affine group scheme  $G$  dual to  $\mathcal{H}$  is completely determined by its Lie algebra  $\mathfrak{g}$

## Hopf algebra of Feynman graphs

- commutative algebra generated by all the 1PI graphs  $G$  of the QFT (polynomial algebra in the  $G$ )
- comultiplication  $\Delta : \mathcal{H} \rightarrow \mathcal{H} \otimes \mathcal{H}$  (coassociative, non-cocommutative)

$$\Delta(G) = G \otimes 1 + 1 \otimes G + \sum_{\gamma \subset G} \gamma \otimes G/\gamma$$

- Example:

$$\Delta(\text{circle with vertical line}) = 1 \otimes \text{circle with vertical line} + \text{circle with vertical line} \otimes 1 + 2 \cdot \text{triangle} \otimes \text{circle}$$

- antipode (related algebra and coalgebra structure) constructed inductively on number of edges (or loops)

## Hopf algebra and Lie algebra

- $\mathcal{H} = \bigoplus_{n \geq 0} \mathcal{H}_n$  with  $\mathcal{H}_0 = \mathbb{C}$  connected commutative graded Hopf algebra
- $A =$  commutative algebra,  $\text{Hom}(\mathcal{H}, A) = \mathcal{G}(A)$  is a group
- the Hopf algebra  $\mathcal{H}$  is determined by the Lie algebra  $\mathcal{L}$  of  $\mathcal{G}(\mathbb{C})$
- insertion of graphs is a pre-Lie operator  $\Rightarrow$  Lie algebra
- **insertion Lie algebra of Feynman graphs**
- given two graphs  $G_1, G_2$ : count in how many ways can insert one into the other at a vertex (so that external edges glued to corolla of edges at the vertex)

- Examples of graph insertions:

$$\begin{array}{l}
 \text{---} \bigcirc \text{---} \star \text{---} \triangle \text{---} = \text{---} \triangle \text{---} \bigcirc \text{---} + \text{---} \triangle \text{---} \bigcirc \text{---} + \text{---} \triangle \text{---} \bigcirc \text{---} \\
 \text{---} \triangle \text{---} \star \text{---} \bigcirc \text{---} = 2 \text{---} \bigcirc \text{---}
 \end{array}$$

gives pre-Lie structure

## Lie algebra of Feynman graphs

- Lie bracket

$$[G, G'] = \sum_{v \in V(G)} G \circ_v G' - \sum_{v' \in V(G')} G' \circ_{v'} G,$$

sum over vertices and counting all possible ways of inserting the other graph at that vertex matching external edges

## References on Hopf and Lie algebras in QFT

- A. Connes, D. Kreimer, *Renormalization in quantum field theory and the Riemann-Hilbert problem. I.*, Communications in Mathematical Physics 210 (2000), no. 1, 249–273.
- A. Connes, D. Kreimer, *Insertion and elimination: the doubly infinite Lie algebra of Feynman graphs.* Ann. Henri Poincaré 3 (2002), no. 3, 411–433.
- K. Ebrahimi-Fard, J.M. Gracia-Bondia, F. Patras. *A Lie theoretic approach to renormalization.* Commun. Math. Phys 276, no. 2 (2007): 519-549.
- M. Bachmann, H. Kleinert, A. Pelster, *Recursive graphical construction for Feynman diagrams of quantum electrodynamics,* Phys.Rev. D61 (2000) 085017.
- H. Kleinert, A. Pelster, B. Kastening, M. Bachmann, *Recursive graphical construction of Feynman diagrams and their multiplicities in  $\phi^4$  and in  $\phi^2 A$  theory,* Phys.Rev. E62 (2000) 1537–1559.

## Graph Grammars

This story can be generalized using Graph Grammars in two ways

- 1 Any **context free graph grammar** determines an insertion Lie algebra and a commutative Hopf algebra
- 2 Feynman graphs of a QFT are a **graph language**

## From context free Graph Grammars to Insertion Lie Algebras

- **Insertion Graph Grammar** consists of data

$$(N_E, N_V, T_E, T_V, P, G_S)$$

edge labels  $\Sigma_E = N_E \cup T_E$ , nonterminal and terminal, vertex labels are given  $\Sigma_V = N_V \cup T_V$ , start graph is  $G_S$  and production rules  $P = (G_L, H, G_R)$ , with  $G_L$  and  $G_R$  labelled graphs and  $H$  a labelled graph with isomorphisms

$$\phi_L : H \xrightarrow{\cong} \phi_L(H) \subset G_L, \quad \phi_R : H \xrightarrow{\cong} \phi_R(H) \subset G_R.$$

$\phi_L$  label preserving

- production  $P = (G_L, H, G_R)$  searches for a copy of  $G_L$  inside a graph  $G$  and glues in a copy of  $G_R$  identifying them along common subgraph  $H$ , new labels matching those of  $\phi_R(H)$
- **context free** if  $G_L = \{v\}$  (hence  $H = \{v\}$  also)



- formulation in terms of graphs as corollas and matched half-edges
- production rules  $P = (G_L, H, G_R)$  as before with additional requirement that  $\phi_L(E_{ext}(H, G_R)) \subset E_{ext}(G_L, G)$  and  $\phi_R(E_{ext}(H, G_L)) \subset E_{ext}(G_R)$ , for any  $G$  the production rule is applied to,  $G_L \subset G$
- here gluing two graphs  $G_L \cup_H G_R$  along common subgraph  $H$  by corollas

$$C_{G_L \cup_H G_R} = C_{G_L} \cup_{C_H} C_{G_R},$$

identifying corollas around each vertex of  $H$  in  $G_L$  and  $G_R$  and matching half-edges by involution

- here context-free:  $G_L = H = C(v)$  corolla of a vertex  $v$ , and all vertices of graphs in the graph language have same valence

## Insertion Operator and Lie Algebra

- given a context-free insertion graph grammar  $\mathcal{G}$
- $\mathcal{V}$  vector space spanned by set  $\mathcal{W}_{\mathcal{G}}$  of all the graphs obtained by repeated application of production rules starting with  $G_S$  (not same as graph language  $\mathcal{L}_{\mathcal{G}}$  because also nonterminal labels)
- insertion operator  $\triangleleft : \mathcal{V} \otimes \mathcal{V} \rightarrow \mathcal{V}$

$$G_1 \triangleleft G_2 = \sum_{v \in V(G_1)} P(v, v_2, G_2)(G_1) = \sum_{v \in V(G_1)} G_1 \triangleleft_v G_2$$

defines a pre-Lie structure on  $\mathcal{V}$

- Lie algebra  $\text{Lie}_{\mathcal{G}}$  vector space  $\mathcal{V}$  spanned graphs of  $\mathcal{W}_{\mathcal{G}}$  with Lie bracket  $[G_1, G_2] = G_1 \triangleleft G_2 - G_2 \triangleleft G_1$
- there is also a version using corollas, and there are versions for context-sensitive cases

## The Graph Language of a Quantum Field Theory

- **Note:** this is not the same construction as the Lie algebra of the Connes–Kreimer Hopf algebra (because that would require an infinite number of production rules: generated by all primitive elements of the Hopf algebra)
- This method gives a different insertion Lie algebra with a similar structure based on just finitely many production rules that realize all Feynman graphs of a given QFT as the graph language of a graph grammar

**Example:** Feynman graph language of  $\phi^4$ -theory

- quantum field theory with Lagrangian

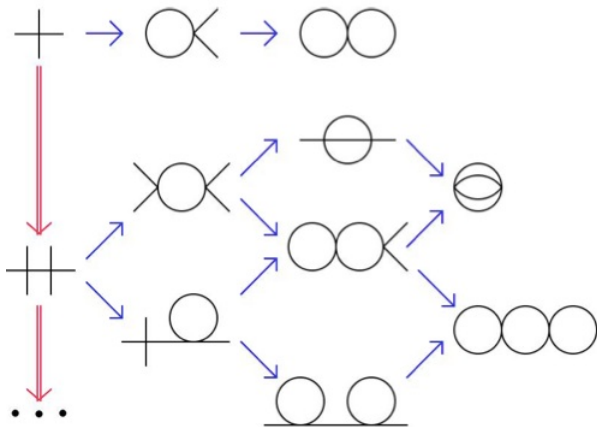
$$\mathcal{L}(\phi) = \frac{1}{2}(\partial\phi)^2 + \frac{1}{2}m^2\phi^2 + \frac{1}{4!}\lambda\phi^4$$

Feynman graphs have all vertices of valence four

- graph language  $\mathcal{L}_{\mathcal{G}}$  generated by a graph grammar  $\mathcal{G}$  with  $G_S$  a 4-valent corolla and two production rules:

- 1  $P(G_S, \{f, f'\} \subset \mathcal{F}_{G_S}, G_e)$  glues two external edges of  $G_S$
- 2  $P(G_S, \{f\} \subset \mathcal{F}_{G_S}, G_S \cup_{f'} G_e)$  glues two copies of  $G_S$  along an edge

Example: Feynman graph language of  $\phi^4$ -theory



**Example:** other scalar field theory examples  $\phi^3$  and  $\phi^4$

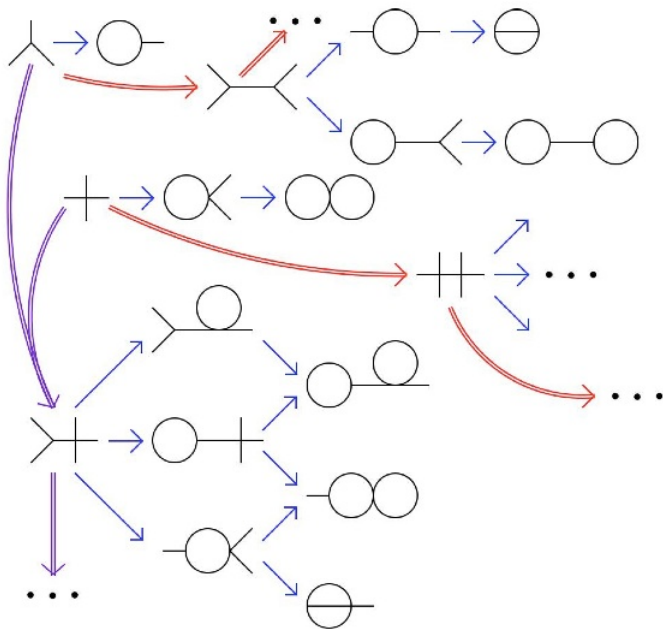
- scalar field theory with Lagrangian

$$\mathcal{L}(\phi) = \frac{1}{2}(\partial\phi)^2 + \frac{1}{2}m^2\phi^2 + \frac{1}{6}\lambda_3\phi^3 + \frac{1}{24}\lambda_4\phi^4$$

- start graph  $G_S$  given by a  $k$ -valent corolla, for smallest  $k$  in interaction Lagrangian (here  $k = 3$ ) and production rules

- 1  $P(G_S, \{f, f'\} \subset \mathcal{F}_{G_S}, G_e)$  gluing two external edges of  $G_S$
- 2  $P(G_S, G_e, G_{S,f})$  gluing a copy of  $G_e$  (edge propagator) to start graph  $G_S$  one half-edge of  $G_e$  with one half-edge of  $G_S$  other half edge  $f$  as new external edge
- 3  $P(G_{S,f_1,\dots,f_r}, \{f_i\} \subset \mathcal{F}_{G_S}, G_{S,f_1,\dots,f_r} \cup_{f_i=f'_i} G_{S,f'_1,\dots,f'_s})$  gluing along an edge two corollas  $G_{S,f_1,\dots,f_r}$  and  $G_{S,f'_1,\dots,f'_s}$

# Example: $\phi^3$ and $\phi^4$ terms



**Example:** Feynman graph language of  $\phi^2 A$ -theory

- similar to a  $\phi^3$  theory but with two fields  $A$  and  $\phi$  (similar to electrodynamics) with a cubic interaction term  $\phi^2 A$
- all graphs have trivalent vertices: corolla with one  $A$ -labelled half-edge and two  $\phi$ -labelled half-edges
- graph grammar with initial graph that is more complicated than a corolla: two vertices connected by one  $A$ -edge and each with two  $\phi$  half-edges and two production rules (gluing two  $\phi$  half-edges; gluing two copies of initial graph along a  $\phi$ -edge)



# Example: Feynman graph language of $\phi^2$ A-theory

