

Lecture 6: Strong Minimalist Thesis

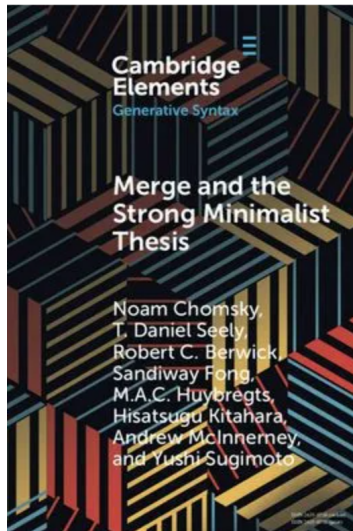
Ma 191c: Mathematical Models of Generative Linguistics

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New model of Minimalism (past ten years)

- Noam Chomsky, *Keio Lectures*, 2023
- Noam Chomsky, *Minimalism: where are we now and where we can hope to go?* 2021
- Noam Chomsky, *UCLA Lectures*, 2019
- Noam Chomsky, *Some puzzling foundational issues*, 2019
- Noam Chomsky, *The Sofia Lectures*, 2015
- Noam Chomsky, *Problems of Projection*, 2013
- Noam Chomsky et al. *Merge and the Strong Minimalist Thesis*, Cambridge Elements, December 2023



Strong Minimalist Thesis

- 1 all the structures of I-language are generated by a simple Merge operation
- 2 this arises as an *optimal solution* to constraints

type of constraints:

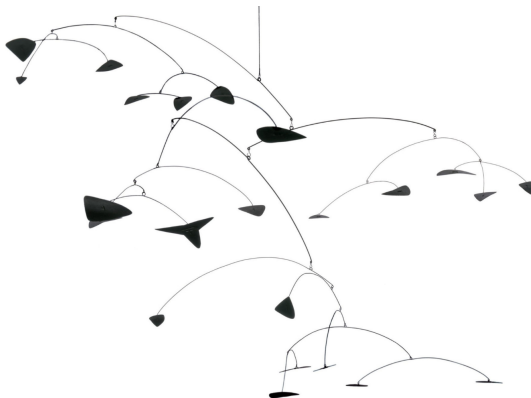
- *First factor*: genetics
- *Second factor*: experience
- *Third factor*: physics

Change of perspective: Strings versus Structures (non-planar)

- what language appears to look like

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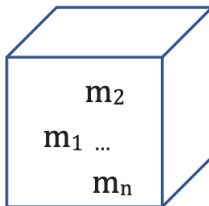
- what language actually looks like



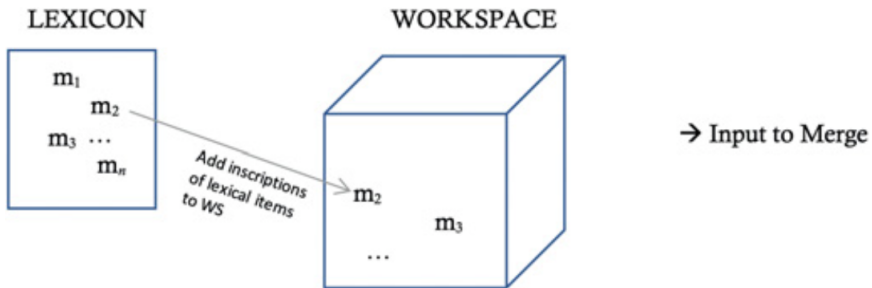
Model of Merge

- lexical items and syntactic feature
- syntactic objects
- workspaces
- accessible terms of workspaces
- action of free symmetric Merge on workspaces
- phases, labeling, theta-theory
- externalization
- syntax-semantics interface

The Lexicon (storage bin for lexical material)



a set of lexical items and syntactic features



lexical items/syntactic features and partially formed structures assembled together in a *workspace*, scratchpad for intermediate steps of calculation along a derivation

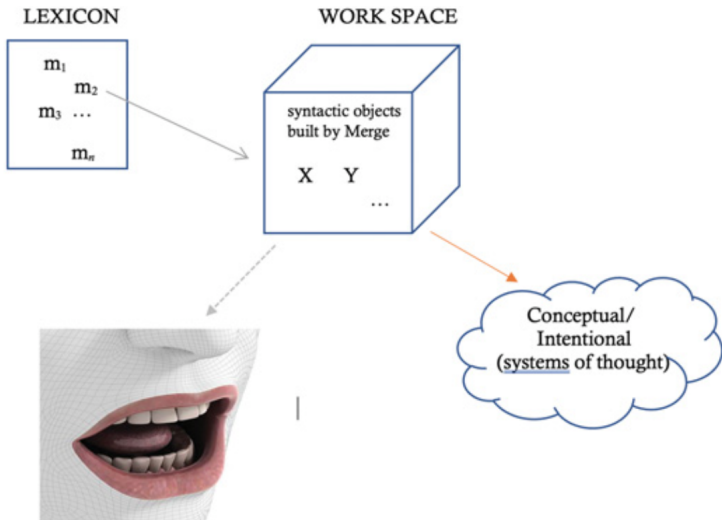
Merge:

- (i) 'looks inside' the WS that it is applying to,
- (ii) targets material within the WS,
- (iii) builds from that targeted-material an object (i.e., it builds a nonatomic structure), which is now
- (iv) a new object within the WS, thereby modifying the WS.

Example:

$$WS = [\text{the, see, I, child}] \xrightarrow{\text{Merge}} WS' = [\text{see, I, \{the, child\}}]$$

$$\xrightarrow{\text{Merge}} WS'' = [\text{I, \{ see, \{the, child\}\}}] \xrightarrow{\text{Merge}} WS''' = [\{\text{I, \{ see, \{the, child\}\}}\}]$$



products of free symmetric Merge reach two interfaces:
Externalization (Sensory-Motor system) and Syntax-Semantic
interface (Conceptual-Intentional system)

constraints on Merge (optimality)

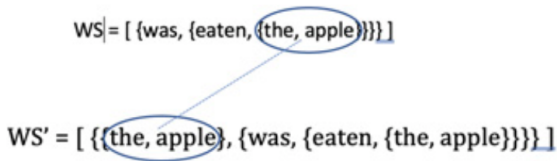
- unconstrained form (P_i matching accessible terms)

$$\text{Merge}(P_1, \dots, P_m, \text{WS}) = \text{WS}' = [\{P_1, \dots, P_m\}, \dots]$$

- first optimality constraint: Merge is binary

$$\text{Merge}(P, Q, \text{WS}) = \text{WS}' = (\{P, Q\}, X_1, \dots, X_n) = \text{Binary Merge}$$

- second optimality constraint: Minimal Search selects Internal/External Merge



- constraints on size of workspace: Minimal Yield, Resource Restriction
- Merge is Markovian (dyn system on set of workspaces)

further properties

- “copies” versus “repetitions”

$\{\{\text{many, people}\}, \{\text{praised, \{\text{many, people}\}\}\}$

= the syntactic representation that at SM yields *Many people praised many people*.

$\{\{\text{many, people}\}, \{\text{were praised \{\text{many, people}\}\}\}$

= the syntactic representation that at SM yields *Many people were praised*.

WS' = [$\{\{\text{many, people}\}, \{\text{were, \{\text{praised, \{\text{many, people}\}\}\}\}\}$]

- linear ordering, syntactic parameters at Externalization phase
- head and projection, phases, labeling, theta-theory at Semantic interface
- duality (dichotomy) of Semantics: EM/IM (theta-positions/non-theta positions)

Next goal:

- turning the **Strong Minimalist *Thesis*** into a **Strong Minimalist *Theorem***
- by proving that properties of Merge *assumed* in SMT (including optimality conditions) in fact *follow* by structural necessity from the algebraic formalism