

# Arithmetic Structures in Spectral Models of Gravity

Matilde Marcolli

MAT1314HS Winter 2019, University of Toronto  
T 12-2 and W 12 BA6180

## References:

- Farzad Fathizadeh, Matilde Marcolli, *Periods and motives in the spectral action of Robertson-Walker spacetimes*, arXiv:1611.01815
- Wentao Fan, Farzad Fathizadeh, Matilde Marcolli, *Spectral Action for Bianchi type-IX cosmological models*, arXiv:1506.06779, J. High Energy Phys. (2015) 85, 28 pp.
- Wentao Fan, Farzad Fathizadeh, Matilde Marcolli, *Modular forms in the spectral action of Bianchi IX gravitational instantons*, arXiv:1511.05321

## Spectral action models of gravity (modified gravity)

- **Spectral triple**:  $(\mathcal{A}, \mathcal{H}, D)$ 
  - 1 unital associative algebra  $\mathcal{A}$
  - 2 represented as bounded operators on a Hilbert space  $\mathcal{H}$
  - 3 Dirac operator: self-adjoint  $D^* = D$  with compact resolvent, with bounded commutators  $[D, a]$
- prototype:  $(C^\infty(M), L^2(M, S), \not{D}_M)$
- extends to non smooth objects (fractals) and noncommutative (NC tori, quantum groups, NC deformations, etc.)
- **Spectral action** (Chamseddine–Connes)

$$\mathcal{S}_{ST}(\Lambda) = \text{Tr}(f(D/\Lambda)) = \sum_{\lambda \in \text{Spec}(D)} \text{Mult}(\lambda) f(\lambda/\Lambda)$$

$f$  = smooth approximation to cutoff

## Robertson–Walker spacetime

- Topologically  $S^3 \times \mathbb{R}$
- Metric (Euclidean)

$$ds^2 = dt^2 + a(t)^2 d\sigma^2$$

scaling factor  $a(t)$ , round metric  $d\sigma^2$  on  $S^3$

- Hopf coordinates on  $S^3$

$$x = (t, \eta, \phi_1, \phi_2) \mapsto (t, \sin \eta \cos \phi_1, \sin \eta \sin \phi_2, \cos \eta \cos \phi_1, \cos \eta \sin \phi_2),$$

$$0 < \eta < \frac{\pi}{2}, \quad 0 < \phi_1 < 2\pi, \quad 0 < \phi_2 < 2\pi.$$

- Robertson-Walker metric in Hopf coordinates

$$ds^2 = dt^2 + a(t)^2 (d\eta^2 + \sin^2(\eta) d\phi_1^2 + \cos^2(\eta) d\phi_2^2)$$

## Dirac operator

$$D = \sum_{a,\mu} \gamma^a dx^\mu(\theta_a) \frac{\partial}{\partial x^\mu} + \frac{1}{4} \sum_{a,b,c} \gamma^c \omega_{ac}^b \gamma^a \gamma^b$$

with  $\omega_a^b = \sum_c \omega_{ac}^b \theta^c$

- matrices  $\gamma^a$  Clifford action of  $\theta^a$  on spin bundle:

$$(\gamma^a)^2 = -I$$

$$\gamma^a \gamma^b + \gamma^b \gamma^a = 0 \text{ for } a \neq b$$

Pseudodifferential symbol of square  $D^2$  of Dirac operator:

$$\sigma_{D^2}(x, \xi) = p_2(x, \xi) + p_1(x, \xi) + p_0(x, \xi),$$

$$\begin{aligned} p_2(x, \xi) &= q_1(x, \xi) q_1(x, \xi) = \left( \sum g^{\mu\nu} \xi_\mu \xi_\nu \right) I_{4 \times 4} \\ &= \left( \xi_1^2 + \frac{\xi_2^2}{a(t)^2} + \frac{\csc^2(\eta) \xi_3^2}{a(t)^2} + \frac{\sec^2(\eta) \xi_4^2}{a(t)^2} \right) I_{4 \times 4}, \end{aligned}$$

$$p_1(x, \xi) = q_0(x, \xi) q_1(x, \xi) + q_1(x, \xi) q_0(x, \xi) + \sum_{j=1}^4 -i \frac{\partial q_1}{\partial \xi_j}(x, \xi) \frac{\partial q_1}{\partial x_j}(x, \xi),$$

$$p_0(x, \xi) = q_0(x, \xi) q_0(x, \xi) + \sum_{j=1}^4 -i \frac{\partial q_1}{\partial \xi_j}(x, \xi) \frac{\partial q_0}{\partial x_j}(x, \xi).$$

**Parametrix Method** and another method to compute coefficients

- $D^2$  order 2 elliptic differential operator: exists a parametrix  $R_\lambda$  with

$$\sigma(R_\lambda) \sim \sum_{j=0}^{\infty} r_j(x, \xi, \lambda)$$

- $r_j(x, \xi, \lambda)$  pseudodifferential symbol order  $-2 - j$

$$r_j(x, t\xi, t^2\lambda) = t^{-2-j} r_j(x, \xi, \lambda)$$

- $R_\lambda$  approximates  $(D^2 - \lambda)^{-1}$  with  $\sigma((D^2 - \lambda)R_\lambda) \sim 1$
- **recursive equation:**

$$\sigma((D^2 - \lambda)R_\lambda) \sim ((p_2(x, \xi) - \lambda) + p_1(x, \xi) + p_0(x, \xi)) \circ \left( \sum_{j=0}^{\infty} r_j(x, \xi, \lambda) \right) \sim 1$$

- **solution** for  $R_\lambda$  constructed recursively:

$$r_0(x, \xi, \lambda) = (p_2(x, \xi) - \lambda)^{-1}$$

$$r_n(x, \xi, \lambda) = - \sum \frac{1}{\alpha!} \partial_\xi^\alpha r_j(x, \xi, \lambda) D_x^\alpha p_k(x, \xi) r_0(x, \xi, \lambda),$$

summation over all  $\alpha \in \mathbb{Z}_{\geq 0}^4, j \in \{0, 1, \dots, n-1\}, k \in \{0, 1, 2\}$ ,  
with  $|\alpha| + j + 2 - k = n$

### Seeley-deWitt coefficients and Parametrix Method

$$a_{2n}(x, D^2) = \frac{(2\pi)^{-m}}{2\pi i} \int \int_\gamma e^{-\lambda} \operatorname{tr}(r_{2n}(x, \xi, \lambda)) d\lambda d^m \xi$$

- odd  $j$  coefficients vanish:  $r_j(x, \xi, \lambda)$  odd function of  $\xi$



A different method: **Wodzicki residue**

- **Wodzicki residue**: unique trace functional on algebra of pseudodifferential operators on smooth sections of vector bundle over smooth manifold
- classical pseudodifferential operator  $P_\sigma$  of order  $d \in \mathbb{Z}$  local symbol

$$\sigma(x, \xi) \sim \sum_{j=0}^{\infty} \sigma_{d-j}(x, \xi) \quad (\xi \rightarrow \infty),$$

$\sigma_{d-j}$  positively homogeneous order  $d - j$  in  $\xi$

- **Residue**:

$$\text{Res}(P_\sigma) = \int_{S^*M} \text{Tr}(\sigma_{-m}(x, \xi)) d^{m-1}\xi d^m x,$$

$S^*M = \{(x, \xi) \in T^*M; \|\xi\|_g = 1\}$  cosphere bundle

- **spectral formulation** of residue: pseudodifferential operator  $P_\sigma$ , Laplacian  $\Delta$

$$P_\sigma \mapsto \text{Res}_{s=0} \text{Tr}(P_\sigma \Delta^{-s})$$

same up to a constant  $c_m = 2^{m+1} \pi^m$

- **Mellin transform** (for simplicity  $\text{Ker}(\Delta) = 0$ ):

$$\text{Tr}(\Delta^{-s}) = \frac{1}{\Gamma(s)} \int_0^\infty \text{Tr}(e^{-t\Delta}) t^s \frac{dt}{t}$$

- **heat kernel expansion**

$$\text{Tr}(e^{-t\Delta}) = t^{-m/2} \sum_{n=0}^N a_{2n} t^n + O(t^{-m/2+N+1})$$

- find for any non-negative integer  $n \leq m/2 - 1$ :

$$\operatorname{Res}_{s=m/2-n} \operatorname{Tr}(\Delta^{-s}) = \frac{a_{2n}(\Delta)}{\Gamma(m/2 - n)},$$

- in particular

$$\operatorname{Res}_{s=1} \operatorname{Tr}(\Delta^{-s}) = a_{m-2}(\Delta)$$

- in terms of **Wodzicki residue**:

$$a_{m-2}(\Delta) = \frac{1}{c_m} \operatorname{Res}(\Delta^{-1}) = \frac{1}{2^{m+1} \pi^m} \operatorname{Res}(\Delta^{-1})$$

applied to  $\Delta = D^2$

- coefficient  $a_2(D^2)$

$$a_2(D^2) = \frac{1}{c_4} \text{Res}(D^{-2}) = \frac{1}{32\pi^4} \int_{S^*M} \text{Tr}(\sigma_{-4}(D^{-2})) d^3\xi d^4x$$

- for other coefficients, introduce an **auxiliary product space** for correct counting of dimensions: use flat  $r$ -dimensional torus  $\mathbb{T}^r = (\mathbb{R}/\mathbb{Z})^r$

$$\Delta = D^2 \otimes 1 + 1 \otimes \Delta_{\mathbb{T}^r},$$

$\Delta_{\mathbb{T}^r}$  flat Laplacian on  $\mathbb{T}^r$

$$a_{2+r}(D^2) = \frac{1}{2^r \pi^{4+r/2}} \text{Res}(\Delta^{-1})$$

because Künneth formula gives

$$a_{2+r}((x, x'), \Delta) = a_{2+r}(x, D^2) a_0(x', \mathbb{T}^r) = 2^{-r} \pi^{-r/2} a_{2+r}(x, D^2)$$

with volume term only non-zero heat coefficient for flat metric

- obtain for **higher order coefficients**

$$a_{2+r}(D^2) = \frac{1}{2^5 \pi^{4+r/2}} \int \text{Tr}(\sigma_{-4-r}(\Delta^{-1})) d^{3+r} \xi d^4 x.$$

- writing  $\sigma(\Delta^{-1}) \sim \sum_{j=-2}^{-\infty} \sigma_j(x, \xi)$  inductively

$$\sigma_{-2}(x, \xi) = p_2'(x, \xi)^{-1},$$

$$\sigma_{-2-n}(x, \xi) = - \sum \frac{1}{\alpha!} \partial_\xi^\alpha \sigma_j(x, \xi) D_x^\alpha p_k(x, \xi) \sigma_{-2}(x, \xi) \quad (n > 0),$$

summation over all multi-indices non-negative integers  $\alpha$ ,  
 $-2 - n < j \leq -2, 0 \leq k \leq 2$ , with  $|\alpha| - j - k = n$

## The $a_2$ term

- 1-density (unit cotangent sphere bundle integral)

$$\text{wres}_x P_\sigma = \left( \int_{|\xi|=1} \text{tr}(\sigma_{-m}(x, \xi)) |\sigma_{\xi, m-1}| \right) |dx^0 \wedge dx^1 \wedge \dots \wedge dx^{m-1}|$$

- Wodzicki residue of  $\Psi$ DO  $P_\sigma$

$$\text{Res}(P_\sigma) = \int_M \text{wres}_x P_\sigma$$

- $a_2(D^2)$  coefficient, with  $(D^2)^{-1}$  parametrix

$$a_2 = \frac{1}{2^5 \pi^4} \text{Res}((D^2)^{-1}),$$

- dimension of manifold is 4: need term  $\sigma_{-4}(x, \xi)$  homogeneous order  $-4$  in expansion of symbol of  $(D^2)^{-1}$

- computer calculation of  $\text{tr}(\sigma_{-4}(x, \xi))$  takes a couple of pages to write out (sum of fractions involving trigonometric functions and powers of  $\xi_i$ , scaling factor  $a(t)$  and derivative)
- important properties of resulting expression:
  - each term with an odd power of  $\xi_j$  in numerator will integrate to 0 in integration of 1-density
  - numerical coefficients of all terms in integrand are *rational numbers*
  - treat scaling factor  $a(t)$  and derivative  $a'(t)$ ,  $a''(t)$  as affine variables  $\alpha, \alpha_1, \alpha_2$  (integration without performing time integration)
  - there is a natural change of coordinates replacing trigonometric functions by polynomials: rational function

## change of coordinates

$$\begin{aligned}u_0 &= \sin^2(\eta), & u_1 &= \xi_1, & u_2 &= \xi_2, \\u_3 &= \csc(\eta) \xi_3, & u_4 &= \sec(\eta) \xi_4,\end{aligned}$$

Then have

$$\xi_1^2 + \frac{\xi_2^2}{a(t)^2} + \frac{\xi_3^2 \csc^2(\eta)}{a(t)^2} + \frac{\xi_4^2 \sec^2(\eta)}{a(t)^2} = u_1^2 + \frac{1}{a(t)^2} (u_2^2 + u_3^2 + u_4^2),$$

$$\cot^2(\eta) = \frac{1 - u_0}{u_0},$$

$$\csc^2(\eta) = \frac{1}{u_0},$$

$$\sec^2(\eta) = \frac{1}{1 - u_0},$$

$$\cot(\eta) \cot(2\eta) = \frac{\cot^2(\eta)}{2} - \frac{1}{2},$$

$$\csc^2(2\eta) = \frac{1}{4} \csc^2(\eta) \sec^2(\eta),$$

$$\tan^2(\eta) = \sec^2(\eta) - 1,$$

$$\tan(\eta) \cot(2\eta) = \frac{1}{2} - \frac{\tan^2(\eta)}{2},$$

$$\cot^2(2\eta) = \frac{\tan^2(\eta)}{8} + \frac{\cot^2(\eta)}{8} + \frac{1}{8} \csc^2(\eta) \sec^2(\eta) - \frac{3}{4}.$$

Also exponents of the variables  $\xi_j$  are even positive integers



**$a_2$ -term as a period integral**  $C \cdot \int_{A_4} \Omega_{(\alpha_1, \alpha_2)}^\alpha$  with  $C \in \mathbb{Q}[(2\pi i)^{-1}]$

- Algebraic differential form

$$\Omega = f \tilde{\sigma}_3,$$

in affine coordinates  $(u_0, u_1, u_2, u_3, u_4) \in \mathbb{A}^5$ ,  $\alpha \in \mathbb{G}_m$ , and  $(\alpha_1, \alpha_2) \in \mathbb{A}^2$

- functions  $f(u_0, u_1, u_2, u_3, u_4, \alpha, \alpha_1, \alpha_2) = f_{(\alpha_1, \alpha_2)}(u_0, u_1, u_2, u_3, u_4, \alpha)$   
 $\mathbb{Q}$ -linear combinations of rational functions

$$\frac{P(u_0, u_1, u_2, u_3, u_4, \alpha, \alpha_1, \alpha_2)}{\alpha^{2r} u_0^k (1 - u_0)^m (u_1^2 + \alpha^{-2}(u_2^2 + u_3^2 + u_4^2))^\ell}$$

where

$$P(u_0, u_1, u_2, u_3, u_4, \alpha, \alpha_1, \alpha_2) = P_{(\alpha_1, \alpha_2)}(u_0, u_1, u_2, u_3, u_4, \alpha)$$

polynomials in  $\mathbb{Q}[u_0, u_1, u_2, u_3, u_4, \alpha, \alpha_1, \alpha_2]$   
with  $r, k, m$  and  $\ell$  non-negative integers

- algebraic differential form  $\tilde{\sigma}_3 = \tilde{\sigma}_3(u_0, u_1, u_2, u_3, u_4)$

$$\frac{1}{2} (u_1 du_0 du_2 du_3 du_4 - u_2 du_0 du_1 du_3 du_4 + u_3 du_0 du_1 du_2 du_4 - u_4 du_0 du_1 du_2 du_3)$$

- forms  $\Omega^\alpha = \Omega_{(\alpha_1, \alpha_2)}^\alpha$  restricting to fixed value of  $\alpha \in \mathbb{A}^1 \setminus \{0\}$ : two parameter family
- defined on the complement in  $\mathbb{A}^5$  of union of two affine hyperplanes  $H_0 = \{u_0 = 0\}$  and  $H_1 = \{u_0 = 1\}$  and hypersurface  $\widehat{CZ}_\alpha$  defined by vanishing of the quadratic form

$$Q_{\alpha,2} = u_1^2 + \alpha^{-2}(u_2^2 + u_3^2 + u_4^2)$$

- $\mathbb{Q}$ -semialgebraic set: subset  $S$  of some  $\mathbb{R}^n$

$$S = \{(x_1, \dots, x_n) \in \mathbb{R}^n : P(x_1, \dots, x_n) \geq 0\},$$

for some polynomial  $P \in \mathbb{Q}[x_1, \dots, x_n]$ , and complements, intersections, unions

- domain of integration  $\mathbb{Q}$ -semialgebraic set

$$A_4 = \left\{ (u_0, u_1, u_2, u_3, u_4) \in \mathbb{A}^5(\mathbb{R}) : \begin{array}{l} u_1^2 + u_2^2 + u_0 u_3^2 + (1 - u_0) u_4^2 = 1, \\ 0 < u_i < 1, \text{ for } i = 0, 1, 2 \end{array} \right\}$$

## $a_4$ -term and Wodzicki Residue

$$a_4 = \frac{1}{2^5 \pi^5} \text{Res}(\Delta_4^{-1})$$

need  $\text{tr}(\sigma_{-6}(\Delta_4^{-1}))$  of order  $-6$  in expansion of symbol of  $\Delta_4^{-1}$

- general recursive procedure with auxiliary flat tori  $T^r$

$$\Delta_{r+2} = D^2 \otimes 1 + 1 \otimes \Delta_{\mathbb{T}^r}$$

$$\sigma_{-2}(\Delta_{r+2}^{-1}) = (p_2(x, \xi_1, \xi_2, \xi_3, \xi_4) + (\xi_5^2 + \dots + \xi_{4+r}^2)I_{4 \times 4})^{-1}$$

then recursively  $\sigma_{-2-n}(\Delta_{r+2}^{-1})$  given by

$$- \left( \sum_{\substack{0 \leq j < n, 0 \leq k \leq 2 \\ \alpha \in \mathbb{Z}_{\geq 0}^4 \\ -2-j-|\alpha|+k=-n}} \frac{(-j)^{|\alpha|}}{\alpha!} (\partial_\xi^\alpha \sigma_{-2-j}(\Delta_{r+2}^{-1})) (\partial_x^\alpha p_k) \right) \sigma_{-2}(\Delta_{r+2}^{-1}).$$

**$a_4$ -term as a period integral**  $C \cdot \int_{A_6} \Omega_{(\alpha_1, \alpha_2, \alpha_3, \alpha_4)}^\alpha$

- algebraic differential form

$$\Omega = f \tilde{\sigma}_5,$$

in affine coordinates  $(u_0, u_1, u_2, u_3, u_4, u_5, u_6) \in \mathbb{A}^7$ ,  $\alpha \in \mathbb{G}_m$ , and  $(\alpha_1, \alpha_2, \alpha_3, \alpha_4) \in \mathbb{A}^4$

- functions  $f_{(\alpha_1, \alpha_2, \alpha_3, \alpha_4)}(u_0, u_1, u_2, u_3, u_4, u_5, u_6, \alpha)$   $\mathbb{Q}$ -linear combinations of rational functions

$$\frac{P(u_0, u_1, u_2, u_3, u_4, u_5, u_6, \alpha, \alpha_1, \alpha_2, \alpha_3, \alpha_4)}{\alpha^{2r} u_0^k (1 - u_0)^m (u_1^2 + \alpha^{-2}(u_2^2 + u_3^2 + u_4^2) + u_5^2 + u_6^2)^\ell}$$

where

$$P(u_0, u_1, u_2, u_3, u_4, u_5, u_6, \alpha, \alpha_1, \alpha_2, \alpha_3, \alpha_4)$$

polynomials in  $\mathbb{Q}[u_0, u_1, u_2, u_3, u_4, u_5, u_6, \alpha, \alpha_1, \alpha_2, \alpha_3, \alpha_4]$

where  $r, k, m$  and  $\ell$  non-negative integers

- algebraic form  $\tilde{\sigma}_5 = \tilde{\sigma}_5(u_0, u_1, u_2, u_3, u_4, u_5, u_6)$

$$\begin{aligned} \tilde{\sigma}_5 = & \frac{1}{2} \left( u_1 du_0 du_2 du_3 du_4 du_5 du_6 - u_2 du_0 du_1 du_3 du_4 du_5 du_6 \right. \\ & + u_3 du_0 du_1 du_2 du_4 du_5 du_6 - u_4 du_0 du_1 du_2 du_3 du_5 du_6 \\ & \left. + u_5 du_0 du_1 du_2 du_3 du_4 du_6 - u_6 du_0 du_1 du_2 du_3 du_4 du_5 \right). \end{aligned}$$

- forms  $\Omega^\alpha = \Omega_{(\alpha_1, \alpha_2, \alpha_3, \alpha_4)}^\alpha$  restricting to a fixed  $\alpha \in \mathbb{A}^1 \setminus \{0\}$ : four-parameter family
- domain of definition complement in  $\mathbb{A}^7$  of the union of the affine hyperplanes  $H_0 = \{u_0 = 0\}$  and  $H_1 = \{u_0 = 1\}$  and the hypersurface  $\widehat{CZ}_\alpha$  defined by the vanishing of the quadratic form

$$Q_{\alpha,4} = u_1^2 + \alpha^{-2}(u_2^2 + u_3^2 + u_4^2) + u_5^2 + u_6^2$$

- domain of integration  $\mathbb{Q}$ -semialgebraic set

$$A_6 = \left\{ (u_0, \dots, u_6) \in \mathbb{A}^7(\mathbb{R}) : \begin{array}{l} u_1^2 + u_2^2 + u_0 u_3^2 + (1 - u_0) u_4^2 + u_5^2 + u_6^2 = 1 \\ 0 < u_i < 1, \quad i = 0, 1, 2, 5, 6 \end{array} \right\}$$

- the change of variables used here

$$u_0 = \sin^2(\eta), \quad u_1 = \xi_1, \quad u_2 = \xi_2$$

$$u_3 = \csc(\eta) \xi_3, \quad u_4 = \sec(\eta) \xi_4, \quad u_5 = \xi_5, \quad u_6 = \xi_6$$

higher order terms  $a_{2n}$

$$a_{2n} = \frac{1}{2^5 \pi^{3+n}} \text{Res}(\Delta_{2n}^{-1})$$

using auxiliary flat tori  $T^{2n-2}$

$$\Delta_{2n} = D^2 \otimes 1 + 1 \otimes \Delta_{\mathbb{T}^{2n-2}}$$

need term  $\sigma_{-2n-2}$  homogeneous of order  $-2n-2$  in expansion of pseudodifferential symbol of parametrix  $\Delta_{2n}^{-1}$

- recursive argument for structure of term  $\sigma_{-2n-2}$

- term  $\text{tr}(\sigma_{-2n-2})$  given by

$$\sum_{j=1}^{M_n} c_{j,2n} u_0^{\beta_{0,1,j}/2} (1-u_0)^{\beta_{0,2,j}/2} \frac{u_1^{\beta_{1,j}} u_2^{\beta_{2,j}} \cdots u_{2n+2}^{\beta_{2n+2,j}}}{Q_{\alpha,2n}^{\rho_{j,2n}}} \alpha^{k_{0,j}} \alpha_1^{k_{1,j}} \cdots \alpha_{2n}^{k_{2n,j}},$$

where

$$\alpha = a(t), \quad \alpha_1 = a'(t), \quad \alpha_2 = a''(t), \quad \dots \quad \alpha_{2n} = a^{2n}(t),$$

$$Q_{\alpha,2n} = u_1^2 + \frac{1}{\alpha^2}(u_2^2 + u_3^2 + u_4^2) + u_5^2 + \cdots + u_{2n+2}^2,$$

$$c_{j,2n} \in \mathbb{Q}, \quad \beta_{0,1,j}, \beta_{0,2,j}, k_{0,j} \in \mathbb{Z}, \quad \beta_{1,j}, \dots, \beta_{2n+2,j}, \rho_{j,2n}, k_{1,j}, \dots, k_{2n,j} \in \mathbb{Z}_{\geq 0}.$$

- using change of coordinates

$$u_0 = \sin^2(\eta), \quad u_3 = \csc(\eta) \xi_3, \quad u_4 = \sec(\eta) \xi_4$$

$$u_j = \xi_j, \quad j = 1, 2, 5, 6, \dots, 2n+2$$



$a_{2n}$ -terms as period integrals  $C \cdot \int_{A_{2n}} \Omega_{\alpha_1, \dots, \alpha_{2n}}^\alpha$

- algebraic differential form

$$\Omega_{\alpha_1, \dots, \alpha_{2n}}^\alpha(u_0, u_1, \dots, u_{2n+2})$$

- domain of definition complement

$$\mathbb{A}^{2n+3} \setminus (\widehat{CZ}_{\alpha, 2n} \cup H_0 \cup H_1)$$

with hyperplanes  $H_0 = \{u_0 = 0\}$  and  $H_1 = \{u_0 = 1\}$  and  $\widehat{CZ}_{\alpha, 2n}$  the hypersurface defined by the vanishing of the quadric

$$Q_{\alpha, 2n} = u_1^2 + \frac{1}{\alpha^2}(u_2^2 + u_3^2 + u_4^2) + u_5^2 + \dots + u_{2n+2}^2$$

- $\mathbb{Q}$ -semialgebraic set  $A_{2n+2}$

$$A_{2n+2} = \left\{ (u_0, \dots, u_{2n+2}) \in \mathbb{A}^{2n+3}(\mathbb{R}) : \begin{array}{l} u_1^2 + u_2^2 + u_0 u_3^2 + (1 - u_0) u_4^2 + \sum_{i=5}^{2n+2} u_i^2 = 1 \\ 0 < u_j < 1, \quad i = 0, 1, 2, 5, 6, \dots, 2n+2 \end{array} \right\}$$

## Periods and Motives

- **Main Idea:** can constrain the type of numbers that can occur as *periods*  $\int_{\sigma} \omega$  on a given algebraic variety  $X$  on the basis of information about the *motive*  $\mathfrak{m}(X)$  of  $X$
- **Motives** (Grothendieck) are a universal cohomology theory for algebraic varieties (morphisms: equivalence classes of algebraic cycles in the product)
  - **pure motives:** smooth projective varieties
  - **mixed motives:** more general varieties (quasi-projective, singular...)

in applications to physics one typically deals with *mixed motives*

## Motives of algebraic varieties (Grothendieck) Universal cohomology theory for algebraic varieties (with realizations)

- Pure motives: smooth projective varieties with correspondences

$$\mathrm{Hom}((X, p, m), (Y, q, n)) = q \mathrm{Corr}_{/\sim, \mathbb{Q}}^{m-n}(X, Y) p$$

Algebraic cycles mod equivalence (rational, homological, numerical), composition

$$\mathrm{Corr}(X, Y) \times \mathrm{Corr}(Y, Z) \rightarrow \mathrm{Corr}(X, Z)$$

$$(\pi_{X,Z})_* (\pi_{X,Y}^*(\alpha) \bullet \pi_{Y,Z}^*(\beta))$$

intersection product in  $X \times Y \times Z$ ; with projectors  $p^2 = p$  and  $q^2 = q$  and Tate twists  $\mathbb{Q}(m)$  with  $\mathbb{Q}(1) = \mathbb{L}^{-1}$

Numerical pure motives:  $\mathcal{M}_{num, \mathbb{Q}}(k)$  semi-simple abelian category (Jannsen)

- Mixed motives: varieties that are possibly singular or not projective (much more complicated theory!) Triangulated category  $\mathcal{DM}$  (Voevodsky , Levine, Hanamura)

$$\mathfrak{m}(Y) \rightarrow \mathfrak{m}(X) \rightarrow \mathfrak{m}(X \setminus Y) \rightarrow \mathfrak{m}(Y)[1]$$

$$\mathfrak{m}(X \times \mathbb{A}^1) = \mathfrak{m}(X)(-1)[2]$$

- Mixed Tate motives  $\mathcal{DMT} \subset \mathcal{DM}$  generated by the  $\mathbb{Q}(m)$   
Over a number field: t-structure, abelian category of mixed Tate motives (vanishing result, M.Levine)
- **Periods**:  $\int_{\sigma} \omega$  integrals of algebraic differential forms  $\omega$  on a cycle  $\sigma$  defined by algebraic equations in an algebraic variety

## Mixed Motives and Mixed Tate Motives

- there is a **triangulated**  $\otimes$ -category  $\mathcal{DM}$  of mixed motives (Voevodsky, Levine, Hanamura)

$$\mathfrak{m}(U \cap V) \rightarrow \mathfrak{m}(U) \oplus \mathfrak{m}(V) \rightarrow \mathfrak{m}(X) \rightarrow \mathfrak{m}(U \cap V)[1] \quad \text{Mayer-Vietoris}$$

$$\mathfrak{m}(Y) \rightarrow \mathfrak{m}(X) \rightarrow \mathfrak{m}(X \setminus Y) \rightarrow \mathfrak{m}(Y)[1] \quad \text{Gysin}$$

$$\mathfrak{m}(X \times \mathbb{A}^1) = \mathfrak{m}(X)(-1)[2] \quad \text{homotopy}$$

$$\mathfrak{m}(X)^\vee = \mathfrak{m}^c(X)(-d)[-2d] \quad \text{duality}$$

- **Mixed Tate motives**: triangulated  $\otimes$ -subcategory  $\mathcal{DMT} \subset \mathcal{DM}$  generated by the Tate objects  $\mathbb{Q}(m)$   
 $\mathbb{Q}(1)$  formal inverse of Lefschetz motive  $\mathbb{L} = h^2(\mathbb{P}^1)$
- **Method**: to show  $\mathfrak{m}(X)$  mixed Tate realize it in terms of distinguished triangles where two out of three terms are mixed Tate  $\Rightarrow$  third one also is (or one is and one is not, then third also not)
- Over a number field: t-structure, abelian category of mixed Tate motives (vanishing result, M. Levine)

## Motives and the Grothendieck ring of varieties

- Usually difficult to determine explicitly the motive of  $m(X)$  in the triangulated category of *mixed motives*
- Simpler invariant (universal Euler characteristic for motives): class  $[X_{\Gamma}]$  in the Grothendieck ring of varieties  $K_0(\mathcal{V})$ 
  - generators  $[X]$  isomorphism classes
  - $[X] = [X \setminus Y] + [Y]$  for  $Y \subset X$  closed
  - $[X] \cdot [Y] = [X \times Y]$

Tate motives:  $\mathbb{Z}[\mathbb{L}, \mathbb{L}^{-1}] \subset K_0(\mathcal{M})$

( $K_0$  group of category of pure motives: virtual motives)

## Universal Euler characteristics:

Any **additive invariant** of varieties:  $\chi(X) = \chi(Y)$  if  $X \cong Y$

$$\chi(X) = \chi(Y) + \chi(X \setminus Y), \quad Y \subset X$$

$$\chi(X \times Y) = \chi(X)\chi(Y)$$

values in a commutative ring  $\mathcal{R}$  is same thing as a ring homomorphism

$$\chi : K_0(\mathcal{V}) \rightarrow \mathcal{R}$$

Examples:

- Topological Euler characteristic
- Counting points over finite fields
- Gillet–Soulé motivic  $\chi_{mot}(X)$ :

$$\chi_{mot} : K_0(\mathcal{V})[\mathbb{L}^{-1}] \rightarrow K_0(\mathcal{M}), \quad \chi_{mot}(X) = [(X, id, 0)]$$

for  $X$  smooth projective; complex  $\chi_{mot}(X) = W(X)$

**Mixed Motives** associated to spectral action coefficients as periods

$$m(\mathbb{A}^{2n+3} \setminus (\widehat{CZ}_{\alpha, 2n} \cup H_0 \cup H_1), \Sigma)$$

divisor  $\Sigma$  containing boundary of domain of integration  $A_{2n}$

- **motives of quadrics** (Rost, Vishik)

- hyperbolic form  $\mathbb{H} := \langle 1, -1 \rangle$

- $Q = d \cdot \mathbb{H}$  of dimension  $2d$

$$m(Z_{d\mathbb{H}}) = \mathbb{Z}(d-1)[2d-2] \oplus \mathbb{Z}(d-1)[2d-2] \oplus \bigoplus_{i=0, \dots, d-2, d, \dots, 2d-2} \mathbb{Z}(i)[2i]$$

- $Q = d \cdot \mathbb{H} \perp \langle 1 \rangle$  in dimension  $2d + 1$

$$m(Z_{d\mathbb{H} \perp \langle 1 \rangle}) = \bigoplus_{i=0, \dots, 2d-1} \mathbb{Z}(i)[2i]$$

- if  $\exists$  quadratic field extension  $\mathbb{K}$  where  $Q$  hyperbolic

$$m(Z_Q) = \begin{cases} \mathfrak{m}_1 \oplus \mathfrak{m}_1(1)[2] & m = 2 \pmod{4} \\ \mathfrak{m}_1 \oplus \mathcal{R}_{Q, \mathbb{K}} \oplus \mathfrak{m}_1(1)[2] & m = 0 \pmod{4} \end{cases}$$

involving *forms of Tate motives*



- quadratic field extension  $\mathbb{Q}(\sqrt{-1})$ , assuming  $\alpha \in \mathbb{Q}^*$

$$Q_{\alpha,2} = u_1^2 + \alpha^{-2}(u_2^2 + u_3^2 + u_4^2)$$

change of variables

$$X = u_1 + \frac{i}{\alpha}u_2, \quad Y = u_1 - \frac{i}{\alpha}u_2, \quad Z = \frac{i}{\alpha}(u_3 + iu_4), \quad W = \frac{i}{\alpha}(u_3 - iu_4)$$

identification of  $Z_\alpha$  with the Segre quadric

$$\{XY - ZW = 0\} \simeq \mathbb{P}^1 \times \mathbb{P}^1$$

- similar for  $a_{2n}$ -term case

$$Q_{\alpha,2n} = u_1^2 + \frac{1}{\alpha^2}(u_2^2 + u_3^2 + u_4^2) + u_5^2 + u_6^2 + \cdots + u_{2n+1}^2 + u_{2n+2}^2$$

inductively: change of coordinates

$$X = u_{2n+1} + iu_{2n+2}, \quad Y = u_{2n+1} - iu_{2n+2}$$

puts  $Q_{\alpha,2n}$  in the form

$$Q_{\alpha,2n} = Q_{\alpha,2n-2}(u_1, \dots, u_{2n}) + XY.$$

## classes in the Grothendieck ring

- $Z_{\alpha,2n}$  quadric in  $\mathbb{P}^{2n+1}$  determined by  $Q_{\alpha,2n}$

$$[\mathbb{P}^{2n+1} \setminus Z_{\alpha,2n}] = \mathbb{L}^{2n+1} - \mathbb{L}^n$$

$$[\mathbb{A}^{2n+3} \setminus \widehat{CZ}_{\alpha,2n}] = \mathbb{L}^{2n+3} - \mathbb{L}^{2n+2} - \mathbb{L}^{n+2} + \mathbb{L}^{n+1}$$

$$[\mathbb{A}^{2n+3} \setminus (\widehat{CZ}_{\alpha,2n} \cup H_0 \cup H_1)] = \mathbb{L}^{2n+3} - 3\mathbb{L}^{2n+2} + 2\mathbb{L}^{2n+1} - \mathbb{L}^{n+2} + 3\mathbb{L}^{n+1} - 2\mathbb{L}^n$$

- based on an inductive argument using identities

①  $[\mathbb{A}^N \setminus \hat{Z}] = (\mathbb{L} - 1)[\mathbb{P}^{N-1} \setminus Z]$

②  $[\mathbb{A}^{N+1} \setminus \widehat{CZ}] = (\mathbb{L} - 1)[\mathbb{P}^N \setminus CZ]$

③  $[CZ] = \mathbb{L}[Z] + 1$

④  $[\mathbb{A}^{N+1} \setminus \widehat{CZ}] = \mathbb{L}^{N+1} - \mathbb{L}(\mathbb{L} - 1)[Z] - \mathbb{L}$

⑤  $[\mathbb{A}^{N+1} \setminus (\widehat{CZ} \cup H \cup H')] = \mathbb{L}^{N+1} - 2\mathbb{L}^N - (\mathbb{L} - 2)(\mathbb{L} - 1)[Z] - (\mathbb{L} - 2).$

with  $Z \subset \mathbb{P}^{N-1}$ ,  $\hat{Z} \subset \mathbb{A}^N$  affine cone,  $CZ$  projective cone in  $\mathbb{P}^N$ ,  $H$  and  $H'$  affine hyperplanes with  $H \cap H' = \emptyset$ , intersections  $\widehat{CZ} \cap H$  and  $\widehat{CZ} \cap H'$  sections  $\hat{Z}$  of cone

## Mixed Tate

- mixed motive (over field  $\mathbb{Q}(\sqrt{-1})$ )

$$m(\mathbb{A}^{2n+3} \setminus (\widehat{CZ}_{\alpha,2n} \cup H_0 \cup H_1), \Sigma)$$

is **mixed Tate**

- over  $\mathbb{K} = \mathbb{Q}(\sqrt{-1})$  quadratic form

$$Q_{\alpha,2n}|_{\mathbb{Q}(\sqrt{-1})} = (n+1) \cdot \mathbb{H},$$

so motive

$$m(Z_{\alpha,2n}|_{\mathbb{K}}) = \mathbb{Z}(n)[2n] \oplus \mathbb{Z}(n)[2n] \oplus \bigoplus_{i=0, \dots, n-1, n+1, \dots, 2n} \mathbb{Z}(i)[2i]$$

- rest of the argument shown in **example of  $a_2$**  for simplicity

- $m(\mathbb{P}^3 \setminus Z_\alpha)$  is mixed Tate

$$m(\mathbb{P}^3 \setminus Z_\alpha) \rightarrow m(\mathbb{P}^3) \rightarrow m(Z_\alpha)(1)[2] \rightarrow m(\mathbb{P}^3 \setminus Z_\alpha)[1]$$

Gysin distinguished triangle of the closed codim one embedding  
 $Z_\alpha \hookrightarrow \mathbb{P}^3$

- projective cone  $CZ_\alpha$  in  $\mathbb{P}^4$ : homotopy invariance for  $\mathbb{A}^1$ -fibration  
 $\mathbb{P}^4 \setminus CZ_\alpha \rightarrow \mathbb{P}^3 \setminus Z_\alpha$

$$m_c^j(\mathbb{P}^4 \setminus CZ_\alpha) = m_c^{j-2}(\mathbb{P}^3 \setminus Z_\alpha)(-1)$$

motive  $m(\mathbb{P}^4 \setminus CZ_\alpha)$  also mixed Tate

- motive  $m(\mathbb{A}^5 \setminus \widehat{CZ}_\alpha)$  mixed Tate:  $\mathbb{P}^1$ -bundle  $\mathcal{P}$  compactification of  $\mathbb{G}_m$ -bundle

$$\mathcal{T} = \mathbb{A}^5 \setminus \widehat{CZ}_\alpha \rightarrow \mathcal{X} = \mathbb{P}^4 \setminus CZ_\alpha$$

and Gysin distinguished triangle

$$m(\mathcal{T}) \rightarrow m(\mathcal{P}) \rightarrow m_c(\mathcal{P} \setminus \mathcal{T})^*(1)[2] \rightarrow m(\mathcal{T})[1]$$

$m_c(\mathcal{P} \setminus \mathcal{T})$  mixed Tate since  $\mathcal{P} \setminus \mathcal{T}$  two copies of  $\mathcal{X}$ , so  $m(\mathcal{T})$  mixed Tate

- union  $\widehat{CZ}_\alpha \cup H_0 \cup H_1$  is mixed Tate: motives  $m(\mathbb{A}^5 \setminus (H_0 \cup H_1))$  and  $m(\mathbb{A}^5 \setminus \widehat{CZ}_\alpha)$  and motive of intersection  $m(\widehat{CZ}_\alpha \cap (H_0 \cup H_1))$  are mixed Tate

$$m(U \cap V) \rightarrow m(U) \oplus m(V) \rightarrow m(U \cup V) \rightarrow m(U \cap V)[1]$$

Mayer-Vietoris distinguished triangle with  $U = \mathbb{A}^5 \setminus \widehat{CZ}_\alpha$  and  $V = \mathbb{A}^5 \setminus (H_0 \cup H_1)$

- $m(\mathbb{A}^5 \setminus \widehat{CZ}_\alpha)$  mixed Tate by previous
- $m(\mathbb{A}^5 \setminus (H_0 \cup H_1))$  also mixed Tate since  $m(H_0 \cup H_1)$  is
- $m(\widehat{CZ}_\alpha \cap (H_0 \cup H_1))$  mixed Tate because intersection  $\widehat{CZ}_\alpha \cap (H_0 \cup H_1)$  two sections of the cone and  $m(\hat{Z}_\alpha)$  Tate
- then also  $m(\mathbb{A}^5 \setminus (\widehat{CZ}_\alpha \cap (H_0 \cup H_1)))$  mixed Tate
- divisor  $\Sigma$  in  $\mathbb{A}^5$  is a union of coordinate hyperplanes and their translates: mixed Tate
- $m(\mathbb{A}^5 \setminus (\widehat{CZ}_\alpha \cap (H_0 \cup H_1), \Sigma))$  also mixed Tate: distinguished triangle with  $m(\mathbb{A}^5 \setminus (\widehat{CZ}_\alpha \cap (H_0 \cup H_1)))$  and  $m(\Sigma)$

## Conclusions:

- known since some time that in Quantum Field Theory the Feynman integrals in perturbative expansion are periods of motives
- expect algebro-geometric structures of this kind to occur elsewhere in physics
- spectral action coefficients for sufficiently *nice* (regular) spacetimes like Robertson–Walker or Bianchi IX find that indeed coefficients of the asymptotic expansion are also periods of motives
- in QFT only smaller Feynman diagrams (up to 8 loops for scalar field theory) give mixed Tate motives
- for spectral action of Robertson–Walker (or Bianchi IX) all the coefficients are mixed Tate periods