

Arithmetic Structures in Spectral Models of Gravity

Matilde Marcolli

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References:

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- Wentao Fan, Farzad Fathizadeh, Matilde Marcolli, *Spectral Action for Bianchi type-IX cosmological models*, arXiv:1506.06779, J. High Energy Phys. (2015) 85, 28 pp.
- Wentao Fan, Farzad Fathizadeh, Matilde Marcolli, *Modular forms in the spectral action of Bianchi IX gravitational instantons*, arXiv:1511.05321

Spectral action models of gravity (modified gravity)

- **Spectral triple**: $(\mathcal{A}, \mathcal{H}, D)$
 - 1 unital associative algebra \mathcal{A}
 - 2 represented as bounded operators on a Hilbert space \mathcal{H}
 - 3 Dirac operator: self-adjoint $D^* = D$ with compact resolvent, with bounded commutators $[D, a]$
- prototype: $(C^\infty(M), L^2(M, S), \not{D}_M)$
- extends to non smooth objects (fractals) and noncommutative (NC tori, quantum groups, NC deformations, etc.)
- **Spectral action** (Chamseddine–Connes)

$$\mathcal{S}_{ST}(\Lambda) = \text{Tr}(f(D/\Lambda)) = \sum_{\lambda \in \text{Spec}(D)} \text{Mult}(\lambda) f(\lambda/\Lambda)$$

f = smooth approximation to cutoff

Robertson–Walker spacetime

- Topologically $S^3 \times \mathbb{R}$
- Metric (Euclidean)

$$ds^2 = dt^2 + a(t)^2 d\sigma^2$$

scaling factor $a(t)$, round metric $d\sigma^2$ on S^3

- Hopf coordinates on S^3

$$x = (t, \eta, \phi_1, \phi_2) \mapsto (t, \sin \eta \cos \phi_1, \sin \eta \sin \phi_2, \cos \eta \cos \phi_1, \cos \eta \sin \phi_2),$$

$$0 < \eta < \frac{\pi}{2}, \quad 0 < \phi_1 < 2\pi, \quad 0 < \phi_2 < 2\pi.$$

- Robertson-Walker metric in Hopf coordinates

$$ds^2 = dt^2 + a(t)^2 (d\eta^2 + \sin^2(\eta) d\phi_1^2 + \cos^2(\eta) d\phi_2^2)$$

Dirac operator

$$D = \sum_{a,\mu} \gamma^a dx^\mu(\theta_a) \frac{\partial}{\partial x^\mu} + \frac{1}{4} \sum_{a,b,c} \gamma^c \omega_{ac}^b \gamma^a \gamma^b$$

with $\omega_a^b = \sum_c \omega_{ac}^b \theta^c$

- matrices γ^a Clifford action of θ^a on spin bundle:

$$(\gamma^a)^2 = -I$$

$$\gamma^a \gamma^b + \gamma^b \gamma^a = 0 \text{ for } a \neq b$$

Pseudodifferential symbol of square D^2 of Dirac operator:

$$\sigma_{D^2}(x, \xi) = p_2(x, \xi) + p_1(x, \xi) + p_0(x, \xi),$$

$$\begin{aligned} p_2(x, \xi) &= q_1(x, \xi) q_1(x, \xi) = \left(\sum g^{\mu\nu} \xi_\mu \xi_\nu \right) I_{4 \times 4} \\ &= \left(\xi_1^2 + \frac{\xi_2^2}{a(t)^2} + \frac{\csc^2(\eta) \xi_3^2}{a(t)^2} + \frac{\sec^2(\eta) \xi_4^2}{a(t)^2} \right) I_{4 \times 4}, \end{aligned}$$

$$p_1(x, \xi) = q_0(x, \xi) q_1(x, \xi) + q_1(x, \xi) q_0(x, \xi) + \sum_{j=1}^4 -i \frac{\partial q_1}{\partial \xi_j}(x, \xi) \frac{\partial q_1}{\partial x_j}(x, \xi),$$

$$p_0(x, \xi) = q_0(x, \xi) q_0(x, \xi) + \sum_{j=1}^4 -i \frac{\partial q_1}{\partial \xi_j}(x, \xi) \frac{\partial q_0}{\partial x_j}(x, \xi).$$

- Parametrix Method** and another method to compute coefficients
- D^2 order 2 elliptic differential operator: exists a parametrix R_λ with

$$\sigma(R_\lambda) \sim \sum_{j=0}^{\infty} r_j(x, \xi, \lambda)$$

- $r_j(x, \xi, \lambda)$ pseudodifferential symbol order $-2 - j$

$$r_j(x, t\xi, t^2\lambda) = t^{-2-j} r_j(x, \xi, \lambda)$$

- R_λ approximates $(D^2 - \lambda)^{-1}$ with $\sigma((D^2 - \lambda)R_\lambda) \sim 1$
- **recursive equation:**

$$\sigma((D^2 - \lambda)R_\lambda) \sim ((p_2(x, \xi) - \lambda) + p_1(x, \xi) + p_0(x, \xi)) \circ \left(\sum_{j=0}^{\infty} r_j(x, \xi, \lambda) \right) \sim 1$$

- **solution** for R_λ constructed recursively:

$$r_0(x, \xi, \lambda) = (p_2(x, \xi) - \lambda)^{-1}$$

$$r_n(x, \xi, \lambda) = - \sum \frac{1}{\alpha!} \partial_\xi^\alpha r_j(x, \xi, \lambda) D_x^\alpha p_k(x, \xi) r_0(x, \xi, \lambda),$$

summation over all $\alpha \in \mathbb{Z}_{\geq 0}^4, j \in \{0, 1, \dots, n-1\}, k \in \{0, 1, 2\}$,
with $|\alpha| + j + 2 - k = n$

Seeley-deWitt coefficients and Parametrix Method

$$a_{2n}(x, D^2) = \frac{(2\pi)^{-m}}{2\pi i} \int \int_\gamma e^{-\lambda} \operatorname{tr}(r_{2n}(x, \xi, \lambda)) d\lambda d^m \xi$$

- odd j coefficients vanish: $r_j(x, \xi, \lambda)$ odd function of ξ

A different method: **Wodzicki residue**

- **Wodzicki residue**: unique trace functional on algebra of pseudodifferential operators on smooth sections of vector bundle over smooth manifold
- classical pseudodifferential operator P_σ of order $d \in \mathbb{Z}$ local symbol

$$\sigma(x, \xi) \sim \sum_{j=0}^{\infty} \sigma_{d-j}(x, \xi) \quad (\xi \rightarrow \infty),$$

σ_{d-j} positively homogeneous order $d - j$ in ξ

- **Residue**:

$$\text{Res}(P_\sigma) = \int_{S^*M} \text{Tr}(\sigma_{-m}(x, \xi)) d^{m-1}\xi d^m x,$$

$S^*M = \{(x, \xi) \in T^*M; \|\xi\|_g = 1\}$ cosphere bundle

- **spectral formulation** of residue: pseudodifferential operator P_σ , Laplacian Δ

$$P_\sigma \mapsto \text{Res}_{s=0} \text{Tr}(P_\sigma \Delta^{-s})$$

same up to a constant $c_m = 2^{m+1} \pi^m$

- **Mellin transform** (for simplicity $\text{Ker}(\Delta) = 0$):

$$\text{Tr}(\Delta^{-s}) = \frac{1}{\Gamma(s)} \int_0^\infty \text{Tr}(e^{-t\Delta}) t^s \frac{dt}{t}$$

- **heat kernel expansion**

$$\text{Tr}(e^{-t\Delta}) = t^{-m/2} \sum_{n=0}^N a_{2n} t^n + O(t^{-m/2+N+1})$$

- find for any non-negative integer $n \leq m/2 - 1$:

$$\operatorname{Res}_{s=m/2-n} \operatorname{Tr}(\Delta^{-s}) = \frac{a_{2n}(\Delta)}{\Gamma(m/2 - n)},$$

- in particular

$$\operatorname{Res}_{s=1} \operatorname{Tr}(\Delta^{-s}) = a_{m-2}(\Delta)$$

- in terms of **Wodzicki residue**:

$$a_{m-2}(\Delta) = \frac{1}{c_m} \operatorname{Res}(\Delta^{-1}) = \frac{1}{2^{m+1} \pi^m} \operatorname{Res}(\Delta^{-1})$$

applied to $\Delta = D^2$

- coefficient $a_2(D^2)$

$$a_2(D^2) = \frac{1}{c_4} \text{Res}(D^{-2}) = \frac{1}{32\pi^4} \int_{S^*M} \text{Tr}(\sigma_{-4}(D^{-2})) d^3\xi d^4x$$

- for other coefficients, introduce an **auxiliary product space** for correct counting of dimensions: use flat r -dimensional torus $\mathbb{T}^r = (\mathbb{R}/\mathbb{Z})^r$

$$\Delta = D^2 \otimes 1 + 1 \otimes \Delta_{\mathbb{T}^r},$$

$\Delta_{\mathbb{T}^r}$ flat Laplacian on \mathbb{T}^r

$$a_{2+r}(D^2) = \frac{1}{2^5 \pi^{4+r/2}} \text{Res}(\Delta^{-1})$$

because Künneth formula gives

$$a_{2+r}((x, x'), \Delta) = a_{2+r}(x, D^2) a_0(x', \mathbb{T}^r) = 2^{-r} \pi^{-r/2} a_{2+r}(x, D^2)$$

with volume term only non-zero heat coefficient for flat metric

- obtain for **higher order coefficients**

$$a_{2+r}(D^2) = \frac{1}{2^5 \pi^{4+r/2}} \int \text{Tr}(\sigma_{-4-r}(\Delta^{-1})) d^{3+r} \xi d^4 x.$$

- writing $\sigma(\Delta^{-1}) \sim \sum_{j=-2}^{-\infty} \sigma_j(x, \xi)$ inductively

$$\sigma_{-2}(x, \xi) = p_2'(x, \xi)^{-1},$$

$$\sigma_{-2-n}(x, \xi) = - \sum \frac{1}{\alpha!} \partial_\xi^\alpha \sigma_j(x, \xi) D_x^\alpha p_k(x, \xi) \sigma_{-2}(x, \xi) \quad (n > 0),$$

summation over all multi-indices non-negative integers α ,
 $-2 - n < j \leq -2, 0 \leq k \leq 2$, with $|\alpha| - j - k = n$

The a_2 term

- 1-density (unit cotangent sphere bundle integral)

$$\text{wres}_x P_\sigma = \left(\int_{|\xi|=1} \text{tr}(\sigma_{-m}(x, \xi)) |\sigma_{\xi, m-1}| \right) |dx^0 \wedge dx^1 \wedge \dots \wedge dx^{m-1}|$$

- Wodzicki residue of Ψ DO P_σ

$$\text{Res}(P_\sigma) = \int_M \text{wres}_x P_\sigma$$

- $a_2(D^2)$ coefficient, with $(D^2)^{-1}$ parametrix

$$a_2 = \frac{1}{2^5 \pi^4} \text{Res}((D^2)^{-1}),$$

- dimension of manifold is 4: need term $\sigma_{-4}(x, \xi)$ homogeneous order -4 in expansion of symbol of $(D^2)^{-1}$

- computer calculation of $\text{tr}(\sigma_{-4}(x, \xi))$ takes a couple of pages to write out (sum of fractions involving trigonometric functions and powers of ξ_j , scaling factor $a(t)$ and derivative)
- important properties of resulting expression:
 - each term with an odd power of ξ_j in numerator will integrate to 0 in integration of 1-density
 - numerical coefficients of all terms in integrand are *rational numbers*
 - treat scaling factor $a(t)$ and derivative $a'(t)$, $a''(t)$ as affine variables $\alpha, \alpha_1, \alpha_2$ (integration without performing time integration)
 - there is a natural change of coordinates replacing trigonometric functions by polynomials: rational function

change of coordinates

$$\begin{aligned}u_0 &= \sin^2(\eta), & u_1 &= \xi_1, & u_2 &= \xi_2, \\u_3 &= \csc(\eta) \xi_3, & u_4 &= \sec(\eta) \xi_4,\end{aligned}$$

Then have

$$\xi_1^2 + \frac{\xi_2^2}{a(t)^2} + \frac{\xi_3^2 \csc^2(\eta)}{a(t)^2} + \frac{\xi_4^2 \sec^2(\eta)}{a(t)^2} = u_1^2 + \frac{1}{a(t)^2} (u_2^2 + u_3^2 + u_4^2),$$

$$\cot^2(\eta) = \frac{1 - u_0}{u_0},$$

$$\csc^2(\eta) = \frac{1}{u_0},$$

$$\sec^2(\eta) = \frac{1}{1 - u_0},$$

$$\cot(\eta) \cot(2\eta) = \frac{\cot^2(\eta)}{2} - \frac{1}{2},$$

$$\csc^2(2\eta) = \frac{1}{4} \csc^2(\eta) \sec^2(\eta),$$

$$\tan^2(\eta) = \sec^2(\eta) - 1,$$

$$\tan(\eta) \cot(2\eta) = \frac{1}{2} - \frac{\tan^2(\eta)}{2},$$

$$\cot^2(2\eta) = \frac{\tan^2(\eta)}{8} + \frac{\cot^2(\eta)}{8} + \frac{1}{8} \csc^2(\eta) \sec^2(\eta) - \frac{3}{4}.$$

Also exponents of the variables ξ_j are even positive integers

a_2 -term as a period integral $C \cdot \int_{A_4} \Omega_{(\alpha_1, \alpha_2)}^\alpha$ with $C \in \mathbb{Q}[(2\pi i)^{-1}]$

- Algebraic differential form

$$\Omega = f \tilde{\sigma}_3,$$

in affine coordinates $(u_0, u_1, u_2, u_3, u_4) \in \mathbb{A}^5$, $\alpha \in \mathbb{G}_m$, and $(\alpha_1, \alpha_2) \in \mathbb{A}^2$

- functions $f(u_0, u_1, u_2, u_3, u_4, \alpha, \alpha_1, \alpha_2) = f_{(\alpha_1, \alpha_2)}(u_0, u_1, u_2, u_3, u_4, \alpha)$
 \mathbb{Q} -linear combinations of rational functions

$$\frac{P(u_0, u_1, u_2, u_3, u_4, \alpha, \alpha_1, \alpha_2)}{\alpha^{2r} u_0^k (1 - u_0)^m (u_1^2 + \alpha^{-2}(u_2^2 + u_3^2 + u_4^2))^\ell}$$

where

$$P(u_0, u_1, u_2, u_3, u_4, \alpha, \alpha_1, \alpha_2) = P_{(\alpha_1, \alpha_2)}(u_0, u_1, u_2, u_3, u_4, \alpha)$$

polynomials in $\mathbb{Q}[u_0, u_1, u_2, u_3, u_4, \alpha, \alpha_1, \alpha_2]$
with r, k, m and ℓ non-negative integers

- algebraic differential form $\tilde{\sigma}_3 = \tilde{\sigma}_3(u_0, u_1, u_2, u_3, u_4)$

$$\frac{1}{2} (u_1 du_0 du_2 du_3 du_4 - u_2 du_0 du_1 du_3 du_4 + u_3 du_0 du_1 du_2 du_4 - u_4 du_0 du_1 du_2 du_3)$$

- forms $\Omega^\alpha = \Omega_{(\alpha_1, \alpha_2)}^\alpha$ restricting to fixed value of $\alpha \in \mathbb{A}^1 \setminus \{0\}$: two parameter family
- defined on the complement in \mathbb{A}^5 of union of two affine hyperplanes $H_0 = \{u_0 = 0\}$ and $H_1 = \{u_0 = 1\}$ and hypersurface \widehat{CZ}_α defined by vanishing of the quadratic form

$$Q_{\alpha,2} = u_1^2 + \alpha^{-2}(u_2^2 + u_3^2 + u_4^2)$$

- \mathbb{Q} -semialgebraic set: subset S of some \mathbb{R}^n

$$S = \{(x_1, \dots, x_n) \in \mathbb{R}^n : P(x_1, \dots, x_n) \geq 0\},$$

for some polynomial $P \in \mathbb{Q}[x_1, \dots, x_n]$, and complements, intersections, unions

- domain of integration \mathbb{Q} -semialgebraic set

$$A_4 = \left\{ (u_0, u_1, u_2, u_3, u_4) \in \mathbb{A}^5(\mathbb{R}) : \begin{array}{l} u_1^2 + u_2^2 + u_0 u_3^2 + (1 - u_0) u_4^2 = 1, \\ 0 < u_i < 1, \text{ for } i = 0, 1, 2 \end{array} \right\}$$

a_4 -term and Wodzicki Residue

$$a_4 = \frac{1}{2^5 \pi^5} \text{Res}(\Delta_4^{-1})$$

need $\text{tr}(\sigma_{-6}(\Delta_4^{-1}))$ of order -6 in expansion of symbol of Δ_4^{-1}

- general recursive procedure with auxiliary flat tori T^r

$$\Delta_{r+2} = D^2 \otimes 1 + 1 \otimes \Delta_{\mathbb{T}^r}$$

$$\sigma_{-2}(\Delta_{r+2}^{-1}) = (p_2(x, \xi_1, \xi_2, \xi_3, \xi_4) + (\xi_5^2 + \cdots + \xi_{4+r}^2)I_{4 \times 4})^{-1}$$

then recursively $\sigma_{-2-n}(\Delta_{r+2}^{-1})$ given by

$$- \left(\sum_{\substack{0 \leq j < n, 0 \leq k \leq 2 \\ \alpha \in \mathbb{Z}_{\geq 0}^4 \\ -2-j-|\alpha|+k=-n}} \frac{(-j)^{|\alpha|}}{\alpha!} (\partial_\xi^\alpha \sigma_{-2-j}(\Delta_{r+2}^{-1})) (\partial_x^\alpha p_k) \right) \sigma_{-2}(\Delta_{r+2}^{-1}).$$

a_4 -term as a period integral $C \cdot \int_{A_6} \Omega_{(\alpha_1, \alpha_2, \alpha_3, \alpha_4)}^\alpha$

- algebraic differential form

$$\Omega = f \tilde{\sigma}_5,$$

in affine coordinates $(u_0, u_1, u_2, u_3, u_4, u_5, u_6) \in \mathbb{A}^7$, $\alpha \in \mathbb{G}_m$, and $(\alpha_1, \alpha_2, \alpha_3, \alpha_4) \in \mathbb{A}^4$

- functions $f_{(\alpha_1, \alpha_2, \alpha_3, \alpha_4)}(u_0, u_1, u_2, u_3, u_4, u_5, u_6, \alpha)$ \mathbb{Q} -linear combinations of rational functions

$$\frac{P(u_0, u_1, u_2, u_3, u_4, u_5, u_6, \alpha, \alpha_1, \alpha_2, \alpha_3, \alpha_4)}{\alpha^{2r} u_0^k (1 - u_0)^m (u_1^2 + \alpha^{-2}(u_2^2 + u_3^2 + u_4^2) + u_5^2 + u_6^2)^\ell}$$

where

$$P(u_0, u_1, u_2, u_3, u_4, u_5, u_6, \alpha, \alpha_1, \alpha_2, \alpha_3, \alpha_4)$$

polynomials in $\mathbb{Q}[u_0, u_1, u_2, u_3, u_4, u_5, u_6, \alpha, \alpha_1, \alpha_2, \alpha_3, \alpha_4]$

where r, k, m and ℓ non-negative integers

- algebraic form $\tilde{\sigma}_5 = \tilde{\sigma}_5(u_0, u_1, u_2, u_3, u_4, u_5, u_6)$

$$\begin{aligned} \tilde{\sigma}_5 = & \frac{1}{2} \left(u_1 du_0 du_2 du_3 du_4 du_5 du_6 - u_2 du_0 du_1 du_3 du_4 du_5 du_6 \right. \\ & + u_3 du_0 du_1 du_2 du_4 du_5 du_6 - u_4 du_0 du_1 du_2 du_3 du_5 du_6 \\ & \left. + u_5 du_0 du_1 du_2 du_3 du_4 du_6 - u_6 du_0 du_1 du_2 du_3 du_4 du_5 \right). \end{aligned}$$

- forms $\Omega^\alpha = \Omega_{(\alpha_1, \alpha_2, \alpha_3, \alpha_4)}^\alpha$ restricting to a fixed $\alpha \in \mathbb{A}^1 \setminus \{0\}$: four-parameter family
- domain of definition complement in \mathbb{A}^7 of the union of the affine hyperplanes $H_0 = \{u_0 = 0\}$ and $H_1 = \{u_0 = 1\}$ and the hypersurface \widehat{CZ}_α defined by the vanishing of the quadratic form

$$Q_{\alpha,4} = u_1^2 + \alpha^{-2}(u_2^2 + u_3^2 + u_4^2) + u_5^2 + u_6^2$$

- domain of integration \mathbb{Q} -semialgebraic set

$$A_6 = \left\{ (u_0, \dots, u_6) \in \mathbb{A}^7(\mathbb{R}) : \begin{array}{l} u_1^2 + u_2^2 + u_0 u_3^2 + (1 - u_0) u_4^2 + u_5^2 + u_6^2 = 1 \\ 0 < u_i < 1, \quad i = 0, 1, 2, 5, 6 \end{array} \right\}$$

- the change of variables used here

$$u_0 = \sin^2(\eta), \quad u_1 = \xi_1, \quad u_2 = \xi_2$$

$$u_3 = \csc(\eta) \xi_3, \quad u_4 = \sec(\eta) \xi_4, \quad u_5 = \xi_5, \quad u_6 = \xi_6$$

higher order terms a_{2n}

$$a_{2n} = \frac{1}{2^5 \pi^{3+n}} \text{Res}(\Delta_{2n}^{-1})$$

using auxiliary flat tori T^{2n-2}

$$\Delta_{2n} = D^2 \otimes 1 + 1 \otimes \Delta_{\mathbb{T}^{2n-2}}$$

need term σ_{-2n-2} homogeneous of order $-2n-2$ in expansion of pseudodifferential symbol of parametrix Δ_{2n}^{-1}

- recursive argument for structure of term σ_{-2n-2}

- term $\text{tr}(\sigma_{-2n-2})$ given by

$$\sum_{j=1}^{M_n} c_{j,2n} u_0^{\beta_{0,1,j}/2} (1-u_0)^{\beta_{0,2,j}/2} \frac{u_1^{\beta_{1,j}} u_2^{\beta_{2,j}} \cdots u_{2n+2}^{\beta_{2n+2,j}}}{Q_{\alpha,2n}^{\rho_{j,2n}}} \alpha^{k_{0,j}} \alpha_1^{k_{1,j}} \cdots \alpha_{2n}^{k_{2n,j}},$$

where

$$\alpha = a(t), \quad \alpha_1 = a'(t), \quad \alpha_2 = a''(t), \quad \dots \quad \alpha_{2n} = a^{2n}(t),$$

$$Q_{\alpha,2n} = u_1^2 + \frac{1}{\alpha^2}(u_2^2 + u_3^2 + u_4^2) + u_5^2 + \cdots + u_{2n+2}^2,$$

$$c_{j,2n} \in \mathbb{Q}, \quad \beta_{0,1,j}, \beta_{0,2,j}, k_{0,j} \in \mathbb{Z}, \quad \beta_{1,j}, \dots, \beta_{2n+2,j}, \rho_{j,2n}, k_{1,j}, \dots, k_{2n,j} \in \mathbb{Z}_{\geq 0}.$$

- using change of coordinates

$$u_0 = \sin^2(\eta), \quad u_3 = \csc(\eta) \xi_3, \quad u_4 = \sec(\eta) \xi_4$$

$$u_j = \xi_j, \quad j = 1, 2, 5, 6, \dots, 2n+2$$

a_{2n} -terms as period integrals $C \cdot \int_{A_{2n}} \Omega_{\alpha_1, \dots, \alpha_{2n}}^\alpha$

- algebraic differential form

$$\Omega_{\alpha_1, \dots, \alpha_{2n}}^\alpha(u_0, u_1, \dots, u_{2n+2})$$

- domain of definition complement

$$\mathbb{A}^{2n+3} \setminus (\widehat{CZ}_{\alpha, 2n} \cup H_0 \cup H_1)$$

with hyperplanes $H_0 = \{u_0 = 0\}$ and $H_1 = \{u_0 = 1\}$ and $\widehat{CZ}_{\alpha, 2n}$ the hypersurface defined by the vanishing of the quadric

$$Q_{\alpha, 2n} = u_1^2 + \frac{1}{\alpha^2}(u_2^2 + u_3^2 + u_4^2) + u_5^2 + \dots + u_{2n+2}^2$$

- \mathbb{Q} -semialgebraic set A_{2n+2}

$$A_{2n+2} = \left\{ (u_0, \dots, u_{2n+2}) \in \mathbb{A}^{2n+3}(\mathbb{R}) : \begin{array}{l} u_1^2 + u_2^2 + u_0 u_3^2 + (1 - u_0) u_4^2 + \sum_{i=5}^{2n+2} u_i^2 = 1 \\ 0 < u_j < 1, \quad i = 0, 1, 2, 5, 6, \dots, 2n+2 \end{array} \right\}$$

Periods and Motives

- **Main Idea:** can constrain the type of numbers that can occur as *periods* $\int_{\sigma} \omega$ on a given algebraic variety X on the basis of information about the *motive* $\mathfrak{m}(X)$ of X
- **Motives** (Grothendieck) are a universal cohomology theory for algebraic varieties (morphisms: equivalence classes of algebraic cycles in the product)
 - **pure motives:** smooth projective varieties
 - **mixed motives:** more general varieties (quasi-projective, singular...)

in applications to physics one typically deals with *mixed motives*

Motives of algebraic varieties (Grothendieck) Universal cohomology theory for algebraic varieties (with realizations)

- Pure motives: smooth projective varieties with correspondences

$$\mathrm{Hom}((X, p, m), (Y, q, n)) = q \mathrm{Corr}_{/\sim, \mathbb{Q}}^{m-n}(X, Y) p$$

Algebraic cycles mod equivalence (rational, homological, numerical), composition

$$\mathrm{Corr}(X, Y) \times \mathrm{Corr}(Y, Z) \rightarrow \mathrm{Corr}(X, Z)$$

$$(\pi_{X,Z})_* (\pi_{X,Y}^*(\alpha) \bullet \pi_{Y,Z}^*(\beta))$$

intersection product in $X \times Y \times Z$; with projectors $p^2 = p$ and $q^2 = q$ and Tate twists $\mathbb{Q}(m)$ with $\mathbb{Q}(1) = \mathbb{L}^{-1}$

Numerical pure motives: $\mathcal{M}_{num, \mathbb{Q}}(k)$ semi-simple abelian category (Jannsen)

- Mixed motives: varieties that are possibly singular or not projective (much more complicated theory!) Triangulated category \mathcal{DM} (Voevodsky , Levine, Hanamura)

$$\mathfrak{m}(Y) \rightarrow \mathfrak{m}(X) \rightarrow \mathfrak{m}(X \setminus Y) \rightarrow \mathfrak{m}(Y)[1]$$

$$\mathfrak{m}(X \times \mathbb{A}^1) = \mathfrak{m}(X)(-1)[2]$$

- Mixed Tate motives $\mathcal{DMT} \subset \mathcal{DM}$ generated by the $\mathbb{Q}(m)$
Over a number field: t-structure, abelian category of mixed Tate motives (vanishing result, M.Levine)
- **Periods**: $\int_{\sigma} \omega$ integrals of algebraic differential forms ω on a cycle σ defined by algebraic equations in an algebraic variety

Mixed Motives and Mixed Tate Motives

- there is a **triangulated** \otimes -category \mathcal{DM} of mixed motives (Voevodsky, Levine, Hanamura)

$$m(U \cap V) \rightarrow m(U) \oplus m(V) \rightarrow m(X) \rightarrow m(U \cap V)[1] \quad \text{Mayer-Vietoris}$$

$$m(Y) \rightarrow m(X) \rightarrow m(X \setminus Y) \rightarrow m(Y)[1] \quad \text{Gysin}$$

$$m(X \times \mathbb{A}^1) = m(X)(-1)[2] \quad \text{homotopy}$$

$$m(X)^\vee = m^c(X)(-d)[-2d] \quad \text{duality}$$

- **Mixed Tate motives**: triangulated \otimes -subcategory $\mathcal{DMT} \subset \mathcal{DM}$ generated by the Tate objects $\mathbb{Q}(m)$
 $\mathbb{Q}(1)$ formal inverse of Lefschetz motive $\mathbb{L} = h^2(\mathbb{P}^1)$
- **Method**: to show $m(X)$ mixed Tate realize it in terms of distinguished triangles where two out of three terms are mixed Tate \Rightarrow third one also is (or one is and one is not, then third also not)
- Over a number field: t-structure, abelian category of mixed Tate motives (vanishing result, M. Levine)

Motives and the Grothendieck ring of varieties

- Usually difficult to determine explicitly the motive of $m(X)$ in the triangulated category of *mixed motives*
- Simpler invariant (universal Euler characteristic for motives): class $[X_{\Gamma}]$ in the Grothendieck ring of varieties $K_0(\mathcal{V})$
 - generators $[X]$ isomorphism classes
 - $[X] = [X \setminus Y] + [Y]$ for $Y \subset X$ closed
 - $[X] \cdot [Y] = [X \times Y]$

Tate motives: $\mathbb{Z}[\mathbb{L}, \mathbb{L}^{-1}] \subset K_0(\mathcal{M})$

(K_0 group of category of pure motives: virtual motives)

Universal Euler characteristics:

Any **additive invariant** of varieties: $\chi(X) = \chi(Y)$ if $X \cong Y$

$$\chi(X) = \chi(Y) + \chi(X \setminus Y), \quad Y \subset X$$

$$\chi(X \times Y) = \chi(X)\chi(Y)$$

values in a commutative ring \mathcal{R} is same thing as a ring homomorphism

$$\chi : K_0(\mathcal{V}) \rightarrow \mathcal{R}$$

Examples:

- Topological Euler characteristic
- Counting points over finite fields
- Gillet–Soulé motivic $\chi_{mot}(X)$:

$$\chi_{mot} : K_0(\mathcal{V})[\mathbb{L}^{-1}] \rightarrow K_0(\mathcal{M}), \quad \chi_{mot}(X) = [(X, id, 0)]$$

for X smooth projective; complex $\chi_{mot}(X) = W(X)$

Mixed Motives associated to spectral action coefficients as periods

$$m(\mathbb{A}^{2n+3} \setminus (\widehat{CZ}_{\alpha, 2n} \cup H_0 \cup H_1), \Sigma)$$

divisor Σ containing boundary of domain of integration A_{2n}

- **motives of quadrics** (Rost, Vishik)

- hyperbolic form $\mathbb{H} := \langle 1, -1 \rangle$

- $Q = d \cdot \mathbb{H}$ of dimension $2d$

$$m(Z_{d\mathbb{H}}) = \mathbb{Z}(d-1)[2d-2] \oplus \mathbb{Z}(d-1)[2d-2] \oplus \bigoplus_{i=0, \dots, d-2, d, \dots, 2d-2} \mathbb{Z}(i)[2i]$$

- $Q = d \cdot \mathbb{H} \perp \langle 1 \rangle$ in dimension $2d + 1$

$$m(Z_{d\mathbb{H} \perp \langle 1 \rangle}) = \bigoplus_{i=0, \dots, 2d-1} \mathbb{Z}(i)[2i]$$

- if \exists quadratic field extension \mathbb{K} where Q hyperbolic

$$m(Z_Q) = \begin{cases} m_1 \oplus m_1(1)[2] & m \equiv 2 \pmod{4} \\ m_1 \oplus \mathcal{R}_{Q, \mathbb{K}} \oplus m_1(1)[2] & m \equiv 0 \pmod{4} \end{cases}$$

involving *forms of Tate motives*

- **quadratic field extension** $\mathbb{Q}(\sqrt{-1})$, assuming $\alpha \in \mathbb{Q}^*$

$$Q_{\alpha,2} = u_1^2 + \alpha^{-2}(u_2^2 + u_3^2 + u_4^2)$$

change of variables

$$X = u_1 + \frac{i}{\alpha}u_2, \quad Y = u_1 - \frac{i}{\alpha}u_2, \quad Z = \frac{i}{\alpha}(u_3 + iu_4), \quad W = \frac{i}{\alpha}(u_3 - iu_4)$$

identification of Z_α with the Segre quadric

$$\{XY - ZW = 0\} \simeq \mathbb{P}^1 \times \mathbb{P}^1$$

- similar for a_{2n} -term case

$$Q_{\alpha,2n} = u_1^2 + \frac{1}{\alpha^2}(u_2^2 + u_3^2 + u_4^2) + u_5^2 + u_6^2 + \cdots + u_{2n+1}^2 + u_{2n+2}^2$$

inductively: change of coordinates

$$X = u_{2n+1} + iu_{2n+2}, \quad Y = u_{2n+1} - iu_{2n+2}$$

puts $Q_{\alpha,2n}$ in the form

$$Q_{\alpha,2n} = Q_{\alpha,2n-2}(u_1, \dots, u_{2n}) + XY.$$

classes in the Grothendieck ring

- $Z_{\alpha,2n}$ quadric in \mathbb{P}^{2n+1} determined by $Q_{\alpha,2n}$

$$[\mathbb{P}^{2n+1} \setminus Z_{\alpha,2n}] = \mathbb{L}^{2n+1} - \mathbb{L}^n$$

$$[\mathbb{A}^{2n+3} \setminus \widehat{CZ}_{\alpha,2n}] = \mathbb{L}^{2n+3} - \mathbb{L}^{2n+2} - \mathbb{L}^{n+2} + \mathbb{L}^{n+1}$$

$$[\mathbb{A}^{2n+3} \setminus (\widehat{CZ}_{\alpha,2n} \cup H_0 \cup H_1)] = \mathbb{L}^{2n+3} - 3\mathbb{L}^{2n+2} + 2\mathbb{L}^{2n+1} - \mathbb{L}^{n+2} + 3\mathbb{L}^{n+1} - 2\mathbb{L}^n$$

- based on an inductive argument using identities

- 1 $[\mathbb{A}^N \setminus \hat{Z}] = (\mathbb{L} - 1)[\mathbb{P}^{N-1} \setminus Z]$
- 2 $[\mathbb{A}^{N+1} \setminus \widehat{CZ}] = (\mathbb{L} - 1)[\mathbb{P}^N \setminus CZ]$
- 3 $[CZ] = \mathbb{L}[Z] + 1$
- 4 $[\mathbb{A}^{N+1} \setminus \widehat{CZ}] = \mathbb{L}^{N+1} - \mathbb{L}(\mathbb{L} - 1)[Z] - \mathbb{L}$
- 5 $[\mathbb{A}^{N+1} \setminus (\widehat{CZ} \cup H \cup H')] = \mathbb{L}^{N+1} - 2\mathbb{L}^N - (\mathbb{L} - 2)(\mathbb{L} - 1)[Z] - (\mathbb{L} - 2).$

with $Z \subset \mathbb{P}^{N-1}$, $\hat{Z} \subset \mathbb{A}^N$ affine cone, CZ projective cone in \mathbb{P}^N , H and H' affine hyperplanes with $H \cap H' = \emptyset$, intersections $\widehat{CZ} \cap H$ and $\widehat{CZ} \cap H'$ sections \hat{Z} of cone

Mixed Tate

- mixed motive (over field $\mathbb{Q}(\sqrt{-1})$)

$$m(\mathbb{A}^{2n+3} \setminus (\widehat{CZ}_{\alpha,2n} \cup H_0 \cup H_1), \Sigma)$$

is **mixed Tate**

- over $\mathbb{K} = \mathbb{Q}(\sqrt{-1})$ quadratic form

$$Q_{\alpha,2n}|_{\mathbb{Q}(\sqrt{-1})} = (n+1) \cdot \mathbb{H},$$

so motive

$$m(Z_{\alpha,2n}|_{\mathbb{K}}) = \mathbb{Z}(n)[2n] \oplus \mathbb{Z}(n)[2n] \oplus \bigoplus_{i=0, \dots, n-1, n+1, \dots, 2n} \mathbb{Z}(i)[2i]$$

- rest of the argument shown in **example of a_2** for simplicity

- $m(\mathbb{P}^3 \setminus Z_\alpha)$ is mixed Tate

$$m(\mathbb{P}^3 \setminus Z_\alpha) \rightarrow m(\mathbb{P}^3) \rightarrow m(Z_\alpha)(1)[2] \rightarrow m(\mathbb{P}^3 \setminus Z_\alpha)[1]$$

Gysin distinguished triangle of the closed codim one embedding
 $Z_\alpha \hookrightarrow \mathbb{P}^3$

- projective cone CZ_α in \mathbb{P}^4 : homotopy invariance for \mathbb{A}^1 -fibration
 $\mathbb{P}^4 \setminus CZ_\alpha \rightarrow \mathbb{P}^3 \setminus Z_\alpha$

$$m_c^j(\mathbb{P}^4 \setminus CZ_\alpha) = m_c^{j-2}(\mathbb{P}^3 \setminus Z_\alpha)(-1)$$

motive $m(\mathbb{P}^4 \setminus CZ_\alpha)$ also mixed Tate

- motive $m(\mathbb{A}^5 \setminus \widehat{CZ}_\alpha)$ mixed Tate: \mathbb{P}^1 -bundle \mathcal{P} compactification of \mathbb{G}_m -bundle

$$\mathcal{T} = \mathbb{A}^5 \setminus \widehat{CZ}_\alpha \rightarrow \mathcal{X} = \mathbb{P}^4 \setminus CZ_\alpha$$

and Gysin distinguished triangle

$$m(\mathcal{T}) \rightarrow m(\mathcal{P}) \rightarrow m_c(\mathcal{P} \setminus \mathcal{T})^*(1)[2] \rightarrow m(\mathcal{T})[1]$$

$m_c(\mathcal{P} \setminus \mathcal{T})$ mixed Tate since $\mathcal{P} \setminus \mathcal{T}$ two copies of \mathcal{X} , so $m(\mathcal{T})$ mixed Tate

- union $\widehat{CZ}_\alpha \cup H_0 \cup H_1$ is mixed Tate: motives $m(\mathbb{A}^5 \setminus (H_0 \cup H_1))$ and $m(\mathbb{A}^5 \setminus \widehat{CZ}_\alpha)$ and motive of intersection $m(\widehat{CZ}_\alpha \cap (H_0 \cup H_1))$ are mixed Tate

$$m(U \cap V) \rightarrow m(U) \oplus m(V) \rightarrow m(U \cup V) \rightarrow m(U \cap V)[1]$$

Mayer-Vietoris distinguished triangle with $U = \mathbb{A}^5 \setminus \widehat{CZ}_\alpha$ and $V = \mathbb{A}^5 \setminus (H_0 \cup H_1)$

- $m(\mathbb{A}^5 \setminus \widehat{CZ}_\alpha)$ mixed Tate by previous
- $m(\mathbb{A}^5 \setminus (H_0 \cup H_1))$ also mixed Tate since $m(H_0 \cup H_1)$ is
- $m(\widehat{CZ}_\alpha \cap (H_0 \cup H_1))$ mixed Tate because intersection $\widehat{CZ}_\alpha \cap (H_0 \cup H_1)$ two sections of the cone and $m(\hat{Z}_\alpha)$ Tate
- then also $m(\mathbb{A}^5 \setminus (\widehat{CZ}_\alpha \cap (H_0 \cup H_1)))$ mixed Tate
- divisor Σ in \mathbb{A}^5 is a union of coordinate hyperplanes and their translates: mixed Tate
- $m(\mathbb{A}^5 \setminus (\widehat{CZ}_\alpha \cap (H_0 \cup H_1), \Sigma))$ also mixed Tate: distinguished triangle with $m(\mathbb{A}^5 \setminus (\widehat{CZ}_\alpha \cap (H_0 \cup H_1)))$ and $m(\Sigma)$

Conclusions:

- known since some time that in Quantum Field Theory the Feynman integrals in perturbative expansion are periods of motives
- expect algebro-geometric structures of this kind to occur elsewhere in physics
- spectral action coefficients for sufficiently *nice* (regular) spacetimes like Robertson–Walker or Bianchi IX find that indeed coefficients of the asymptotic expansion are also periods of motives
- in QFT only smaller Feynman diagrams (up to 8 loops for scalar field theory) give mixed Tate motives
- for spectral action of Robertson–Walker (or Bianchi IX) all the coefficients are mixed Tate periods