

\mathbb{F}_ζ -geometry, Tate motives, and the Habiro ring

Catharine Wing Kwan Lo^{*,†,‡} and Matilde Marcolli^{*,§}

**Mathematics Department
Caltech, 1200 E. California Blvd.
Pasadena, CA 91125, USA*

*†Physics Department
Caltech, 1200 E. California Blvd.
Pasadena, CA 91125, USA*

*‡cwlo@caltech.edu
§matilde@caltech.edu*

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In this paper, we propose different notions of \mathbb{F}_ζ -geometry, for ζ a root of unity, generalizing notions of \mathbb{F}_1 -geometry (geometry over the “field with one element”) based on the behavior of the counting functions of points over finite fields, the Grothendieck class, and the notion of torification. We relate \mathbb{F}_ζ -geometry to formal roots of Tate motives, and to functions in the Habiro ring, seen as counting functions of certain ind-varieties. We investigate the existence of \mathbb{F}_ζ -structures in examples arising from general linear groups, matrix equations over finite fields, and some quantum modular forms.

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1. Introduction

This paper is a first step towards addressing a question asked by Zagier to the second author, about the possible existence of a notion of \mathbb{F}_ζ -geometry, with ζ a root of unity, generalizing the notion of \mathbb{F}_1 -geometry (geometry over the field with one element), and possibly related to his theory of quantum modular forms, [27].

1.1. *The notion of \mathbb{F}_1 -geometry*

The idea of the “field with one element” \mathbb{F}_1 arose from observations of Tits [25] on the behavior of the number of points of certain varieties defined over finite fields \mathbb{F}_q , in the limit where $q \rightarrow 1$. For example, if $q = p^k$, with p prime and \mathbb{F}_q is the

finite field of characteristic p with q elements, then one has

$$\begin{aligned} \#\mathbb{P}^{n-1}(\mathbb{F}_q) &= \frac{\#(\mathbb{A}^n(\mathbb{F}_q) - \{0\})}{\#\mathbb{G}_m(\mathbb{F}_q)} = \frac{q^n - 1}{q - 1} = [n]_q, \\ \#\text{Gr}(n, j)(\mathbb{F}_q) &= \#\{\mathbb{P}^j(\mathbb{F}_q) \subset \mathbb{P}^n(\mathbb{F}_q)\} \\ &= \frac{[n]_q!}{[j]_q![n-j]_q!} = \binom{n}{j}_q, \end{aligned}$$

where

$$[n]_q! = [n]_q[n-1]_q \cdots [1]_q, \quad [0]_q! = 1.$$

Tits observed that in the limit where $q \rightarrow 1$ these expression become, respectively, the cardinalities of the sets

$$\begin{aligned} \mathbb{P}^{n-1}(\mathbb{F}_1) &:= \text{finite set of cardinality } n, \\ \text{Gr}(n, j)(\mathbb{F}_1) &:= \text{set of subsets of cardinality } j. \end{aligned}$$

This observation suggested that, although it is impossible to define a field with one element (by definition a field has at least two elements $0 \neq 1$), finite geometries often behave as if such a field \mathbb{F}_1 existed. Further work of Kapranov–Smirnov, Manin, Soulé [12, 17, 23], and many others in more recent years, developed different versions of geometry over \mathbb{F}_1 . For a comparative survey of different existing notions of \mathbb{F}_1 -geometry, we refer the reader to [16].

1.2. The extensions \mathbb{F}_{1^n}

The observation of Tits shows that the analog of an n -dimensional vector space V in \mathbb{F}_1 -geometry is just a finite set of cardinality n , and the analog of the linear transformations GL_n is the permutation group S_n .

Kapranov and Smirnov further argued that one can make sense of “extensions” \mathbb{F}_{1^n} of \mathbb{F}_1 , analogous to the unramified extensions F_{q^n} of the finite fields \mathbb{F}_q . Their notion of a vector space over \mathbb{F}_{1^n} is a pointed set (V, v) with a free action of μ_n (the group of n th roots of unity) on $V - \{v\}$, and linear maps become permutations compatible with the μ_n -action, with the formal change of coefficients from \mathbb{F}_1 to \mathbb{Z} given by

$$\mathbb{F}_{1^n} \otimes_{\mathbb{F}_1} \mathbb{Z} := \mathbb{Z}[t, t^{-1}]/(t^n - 1).$$

1.3. Torifications and \mathbb{F}_{1^n} -geometry

Among the various existing notions of \mathbb{F}_1 geometry, we focus here on the one based on *torifications*, introduced by Lopez-Peña and Lorscheid in [15]. The notion of torification can be regarded as a geometrization of a decomposition of the Grothendieck

class of the variety that reflects the existence of \mathbb{F}_1 and \mathbb{F}_{1^n} points, defined as limits of the counting of points over \mathbb{F}_{q^n} .

1.3.1. *Counting points over \mathbb{F}_{1^n}*

Given a variety X defined over \mathbb{Z} , one considers the reductions X_p modulo various primes p and the corresponding counting functions, for $q = p^r$, of the form $N_X(q) = \#X_p(\mathbb{F}_q)$.

One obtains a good notion of \mathbb{F}_{1^n} -points of X when the variety satisfies the following conditions (see [4, Theorem 4.10; 5, Theorem 1]).

Condition 1.1. Let X be a variety over \mathbb{Z} . Then X has \mathbb{F}_{1^n} -points for all $n \geq 0$ if the following conditions hold:

- (1) *Polynomial countability:* The counting function $N_X(q)$ is a polynomial in q .
- (2) *Positivity:* The polynomial N_X takes non-negative values $N_X(n + 1) \geq 0$ for all $n \geq 0$.

The number of \mathbb{F}_{1^n} -points is given by

$$\#X(\mathbb{F}_{1^n}) = N_X(n + 1). \tag{1.1}$$

The number of \mathbb{F}_1 -points corresponds to the case $\#X(\mathbb{F}_1) = N_X(1) = \lim_{q \rightarrow 1} N_X(q)$.

1.3.2. *Grothendieck classes and torifications*

The polynomial countability condition is very closely related to the nature of the motive of the variety X . In fact, one can lift the two conditions above from the behavior of the counting of points to the classes in the Grothendieck ring of varieties.

The Grothendieck ring $K_0(\mathcal{V}_\mathbb{Z})$ of varieties over \mathbb{Z} is generated by isomorphism classes $[X]$ of varieties with the relation

$$[X] = [Y] + [X \setminus Y], \tag{1.2}$$

for any closed subvariety $Y \subset X$ and with the product structure given by

$$[X \times Y] = [X] \cdot [Y].$$

Classes in the Grothendieck ring are also called *virtual motives* or *naive motives*.

It is customary to denote by $\mathbb{L} = [\mathbb{A}^1]$ the Lefschetz motive, the class of the affine line and by $\mathbb{T} = \mathbb{L} - 1 = [\mathbb{G}_m]$ the torus motive, the class of the multiplicative group.

One can then strengthen the condition listed above for the existence of \mathbb{F}_{1^n} -points in terms of the following conditions on the Grothendieck class of a variety X over \mathbb{Z} .

Condition 1.2. Let X be a variety over \mathbb{Z} . Then X has a *motivic \mathbb{F}_1 -structure* if the following conditions are satisfied:

(1) *Torification:* The class $[X]$ has a decomposition

$$[X] = \sum_{k=0}^N a_k \mathbb{T}^k. \tag{1.3}$$

(2) *Positivity:* All the coefficients a_k of (1.3) are non-negative integers, $a_k \geq 0$.

We refer to these conditions as a torification of the Grothendieck class (see [19] for a discussion of the different levels of torified structures). We refer to it as “motivic \mathbb{F}_1 -structure” as it implies that the virtual motive $[X]$ of the variety behaves as if the variety had an \mathbb{F}_1 -structure in the stronger sense described in Condition 1.4 below.

Lemma 1.3. *Condition 1.2 implies Condition 1.1.*

Proof. As an additive invariant, the counting of points factors through the Grothendieck ring, hence we have

$$N_X(q) = \sum_{k=0}^N a_k \#\mathbb{T}^k(\mathbb{F}_q) = \sum_{k=0}^N a_k (q - 1)^k,$$

since $\#\mathbb{G}_m(q) = q - 1$. Moreover, the positivity $a_k \geq 0$ implies $N_X(n + 1) = \sum_k a_k n^k \geq 0$. □

The number of \mathbb{F}_1 -points has a simple geometric interpretation in this setting as $X(\mathbb{F}_1) = a_0 = \chi(X)$, the Euler characteristic of X . The relation between \mathbb{F}_{1^n} -points and roots of unity is also evident, as the value $N_X(n + 1) = \sum_k a_k n^k$ counts every class of a zero-dimensional torus (there are a_0 of those, the \mathbb{F}_1 -points) together with n points in each \mathbb{G}_m (or n^k points in each \mathbb{G}_m^k) which corresponds to counting the points of the group μ_n of roots of units of order n embedded in the torus \mathbb{G}_m .

1.3.3. Geometric torifications

A further strengthening of this condition is achieved through the notion of a *geometric torification*. This is the notion of torifications considered in [15]. One replaces the condition on the Grothendieck class with a geometric condition on the variety, namely the requirement that the variety decomposes as a union of tori.

Condition 1.4. Let X be a variety over \mathbb{Z} . The *geometric torification condition* is the requirement that there is a morphism $e_X : T \rightarrow X$, for $T = \coprod_{j \in I} T_j$ with $T_j = \mathbb{G}_m^{k_j}$, such that $e_X|_{T_j}$ is an immersion for each j and e_X induces bijections of sets of k -points, $T(k) \simeq X(k)$, over any field k .

We also refer to this condition as a *geometric \mathbb{F}_1 -structure*.

Lemma 1.5. *Condition 1.4 implies Condition 1.2, hence also Condition 1.1.*

Proof. In fact, if X has a geometric torification in this sense, by the relation (1.2) in the Grothendieck ring, the class decomposes as $[X] = \sum_{j \in I} \mathbb{T}^{k_j} = \sum_k a_k \mathbb{T}^k$, where the coefficients satisfy $a_k \geq 0$, since $a_k = \#\{T_j \subset T \mid T_j = \mathbb{G}_m^k\}$. \square

One can impose further conditions on the torification, like the requirement that it is *affine* or *regular*. We will not discuss those here, nor their specific role in \mathbb{F}_1 -geometry. We refer the reader to [15, 19] for further discussions of these properties and of the notion of morphisms of torified spaces. For our purposes here, we take a minimalistic approach to \mathbb{F}_1 -geometry and we only require the existence of a geometric torification as a condition for the existence of an \mathbb{F}_1 -structure on X .

1.4. Towards a geometry over \mathbb{F}_ζ

Our purpose here is to use counting functions, classes in the Grothendieck ring, and geometric torifications, to provide a possible setting for \mathbb{F}_ζ -geometry, with ζ a root of unity, compatible with the notions discussed above of \mathbb{F}_1 and \mathbb{F}_{1^n} -geometry.

2. Two Naive Approaches to Points Over \mathbb{F}_ζ

We describe here two different approaches to a notion of points over \mathbb{F}_ζ , where ζ is a root of unity of order n . The first is based on the idea of replacing the notion of \mathbb{F}_1 -points given by the limiting behavior when $q \rightarrow 1$ of the counting function $N_X(q)$ of \mathbb{F}_q -points with the behavior of the same function at roots of unity. The second notion is instead based on extending the polynomial interpolation of the counting function $N_X(q)$, whose values at positive integers count the \mathbb{F}_{1^n} -points to values at negative integers as counting of \mathbb{F}_ζ -points.

In order to distinguish different notions of \mathbb{F}_ζ -points, we will be assigning different names to the various conditions we introduce. So we will be talking of “evaluation \mathbb{F}_ζ -points” in the first case and of “interpolation \mathbb{F}_ζ -points” in the latter, so that one can keep in mind what procedure we are following.

2.1. \mathbb{F}_ζ -points and cell decompositions

Condition 2.1. Let ζ be a root of unity, $\zeta = \exp(2\pi i k/n)$, and let X be a variety over \mathbb{Z} , with $N_X(q)$ the counting function of points over \mathbb{F}_q . We say that X has “evaluation \mathbb{F}_ζ -points” if the following conditions hold:

- (1) *Polynomial countability:* The counting function $N_X(q)$ is a polynomial $N_X(q) = \sum_{k=0}^N b_k q^k$.
- (2) *Positivity and divisibility:* The only coefficients $b_k \neq 0$ occur at degrees k with $n \mid k$, with $b_k > 0$.

Polynomial countability requires the counting function to be given by a polynomial with integer coefficients $b_k \in \mathbb{Z}$. One could, in principle, consider rational coefficients with the condition that the counting function yields integer values, but it is known (see, for instance, [22, Proposition 6.1]) that if the counting function of a variety is given by a polynomial with rational coefficients, then the polynomial must in fact have integer coefficients.

Notice that the second condition implies a *positivity* condition for the number of \mathbb{F}_ζ -points, defined as the value $N_X(\zeta)$. In fact, Condition 2.1 implies that the polynomial $N_X(q)$ has non-negative value $N_X(\zeta) \geq 0$.

The value $N_X(\zeta)$ will then count the number of \mathbb{F}_ζ -points.

As in the case of \mathbb{F}_1 and \mathbb{F}_{1^n} -points, we can make this condition more geometric, by first rephrasing it in terms of Grothendieck classes and then in terms of geometric decompositions of the variety.

Condition 2.2. Let ζ be a root of unity, $\zeta = \exp(2\pi i k/n)$. A variety X over \mathbb{Z} has an *evaluation \mathbb{F}_ζ -structure* at the *motivic level* if the following conditions hold:

(1) *Affine decomposition:* The class $[X]$ has a decomposition

$$[X] = \sum_{k=0}^N b_k \mathbb{L}^k. \tag{2.1}$$

(2) *Positivity and divisibility:* The only coefficients $b_k \neq 0$ occur at degrees k with $n \mid k$, with $b_k > 0$.

At a more geometric level we can formulate a stronger geometric version of this condition as a decomposition of the variety which implies the decomposition of the Grothendieck class.

Condition 2.3. Let ζ be a root of unity, $\zeta = \exp(2\pi i k/n)$. A variety X over \mathbb{Z} has an *evaluation \mathbb{F}_ζ -structure* at the *geometric level* if there exists a cell decomposition $X = \coprod_{j \in I} \mathbb{A}^{k_j}$ where the dimensions of the cells satisfy $n \mid k_j$ for all $j \in I$.

Since we want to think of \mathbb{F}_1 as being in some sense an extension of \mathbb{F}_ζ we need to check that conditions we use to construct \mathbb{F}_ζ -points and \mathbb{F}_ζ -structures imply the corresponding \mathbb{F}_1 -conditions.

Lemma 2.4. *Condition 2.1 implies Condition 1.1. Condition 2.2 implies Condition 1.2 and Condition 2.1. Condition 2.3 implies Condition 2.2 and Condition 1.4.*

Proof. The first condition is the same. The second condition implies that $N_X(q) = \sum_{k=0}^N b_k q^k$ with non-negative coefficients, hence $N_X(n+1) \geq 0$ for all $n \geq 0$. In the same way, using $\mathbb{T} = \mathbb{L} - 1$, one sees that the existence of a decomposition (2.1) into powers of the Lefschetz motive with non-negative coefficients implies the existence of a decomposition (1.3) with non-negative coefficients, since each $\mathbb{L}^k = \sum_j \binom{k}{j} \mathbb{T}^j$. At the geometric level, the existence of a cell decomposition implies the existence

of a torification by decomposing each affine space into a union of tori. The implications between the three \mathbb{F}_ζ -conditions follow as in the corresponding implications of the \mathbb{F}_1 -conditions. □

Remark 2.5. With this notion, a variety X can have “evaluation \mathbb{F}_ζ -points” for at most finitely many ζ ’s, since the order of ζ has to be a number that divides all the exponents of the polynomial $N_X(q)$.

As we will see later, it is convenient to also consider a weaker form of Condition 2.1 and of the corresponding geometrizations, Condition 2.2 and Condition 2.3, defined as follows.

Condition 2.6. Let ζ be a root of unity, $\zeta = \exp(2\pi ik/n)$. A variety X over \mathbb{Z} has a *partial evaluation \mathbb{F}_ζ -structure* if the following conditions hold:

- (Counting Function): $N_X(q) = \sum_{k=0}^N b_k q^{nk} + (q^n - 1)P(q)$, with $b_k \geq 0$ and a polynomial $P(q)$ satisfying $P(n + 1) \geq 0$ for all $n \geq 0$.
- (Grothendieck Class): $[X] = \sum_{k=0}^N b_k \mathbb{L}^{nk} + (\mathbb{L}^n - 1)P(\mathbb{L})$, with $b_k \geq 0$ and a polynomial $P(\mathbb{L}) = \sum_j a_j \mathbb{T}^j$ with $a_j \geq 0$.
- (Geometric Decomposition): There is a subvariety $Y \subset X$ with a cell decomposition $Y = \bigcup_{j \in J} \mathbb{A}^{nk_j}$, such that the complement $X \setminus Y$ admits a geometric torification, with Grothendieck class $[X \setminus Y] = (\mathbb{L}^n - 1)P(\mathbb{L})$.

Remark 2.7. The existence of a cell decomposition into affine spaces implies the existence of a geometric torification. Requiring that the complement of a torified submanifolds inside a torified manifold also has a torification is in general a very strong condition, see the discussion of “complemented subspaces” and constructible torifications in [19]. The condition on the existence of a torification on $X \setminus Y$ can be weakened by requiring the existence of a *constructible torification* in the sense of [19], with Grothendieck class $[X \setminus Y] = (\mathbb{L}^n - 1)P(\mathbb{L})$.

2.2. \mathbb{F}_ζ -points and polynomial interpolation

One arrives at a different possible notion of \mathbb{F}_ζ -points if one extends the polynomial interpolation $N_X(n + 1) = \#X(\mathbb{F}_{1^n})$ used to define points over the extensions \mathbb{F}_{1^n} in such a way that \mathbb{F}_1 would play the same role of an extension of \mathbb{F}_ζ . This leads to regarding the values of the counting function $N_X(q)$ at negative integers as representing points over \mathbb{F}_ζ .

Condition 2.8. Let X be a variety over \mathbb{Z} . Then X has “interpolation \mathbb{F}_ζ -points” if the following conditions hold:

- (1) *Polynomial countability:* The counting function $N_X(q)$ is a polynomial in q .
- (2) *Positivity:* The polynomial N_X takes non-negative values $N_X(m + 1) \geq 0$ for all $m \geq 0$ and for $m = -n < 0$.

Remark 2.9. With this notion, a variety X can have “interpolation \mathbb{F}_ζ -points” for all n if the polynomial N_X has non-negative values at all (positive and negative) integers.

This notion of \mathbb{F}_ζ -points can also be strengthened to a condition on the Grothendieck class and on geometric decompositions of the variety. We focus here on varieties that have an interpolation \mathbb{F}_ζ -structure simultaneously for all n . We formulate geometric conditions that extend the notion of torification used in the \mathbb{F}_1 -case.

Condition 2.10. A variety X over \mathbb{Z} has an *interpolation \mathbb{F}_ζ -structure* at the *motivic level*, for all $n \geq 0$, if the following conditions hold:

(1) *Torification:* The class $[X]$ has a decomposition

$$[X] = \sum_{k=0}^N a_k \mathbb{T}^k. \tag{2.2}$$

(2) *Dual torification:* The polynomial $P(\mathbb{L}) = \sum_k a_k (-1)^k (\mathbb{L} + 1)^k$, obtained by replacing $\mathbb{L} \mapsto -\mathbb{L}$ in (2.2) can also be written in the form

$$P(\mathbb{L}) = \sum_k c_k \mathbb{T}^k, \tag{2.3}$$

with coefficients $c_k \geq 0$.

Example 2.11. Any polynomial $\sum_k b_k \mathbb{L}^k$ that is of even degree, with $b_k \geq 0$ and $b_{2k+2} \geq b_{2k+1}$, satisfies (2.2) and (2.3).

Condition 2.10 implies the existence of interpolation \mathbb{F}_ζ -points for all n .

Lemma 2.12. *Condition 2.10 implies that Condition 2.8 is satisfied for all $n \geq 0$.*

Proof. Condition (2.2) implies that $N_X(n+1) \geq 0$ for all $n \geq 0$. By Condition (2.3), the polynomial $\hat{N}_X(q) := N_X(-q)$ is then of the form $\hat{N}_X(q) = \sum_k c_k (q - 1)^k$, with all $c_k \geq 0$, hence positivity is satisfied at all negative integers as well, $\hat{N}_X(n) = N_X(-n) \geq 0$, for all $n \geq 0$. □

This suggests a geometric condition that induces Condition 2.10 for the classes.

Condition 2.13. A variety X over \mathbb{Z} has an *interpolation \mathbb{F}_ζ -structure* at the *geometric level*, for all $n \geq 0$, if X has a geometric torification $X = \bigcup_{j \in J} \mathbb{G}_m^{k_j}$ and there exists another variety \hat{X} with a geometric torification $\hat{X} = \bigcup_{\ell \in I} \mathbb{G}_m^{d_\ell}$, such that the Grothendieck classes are related by

$$[\hat{X}] = \sum_k (-1)^k b_k \mathbb{L}^k, \quad \text{where } [X] = \sum_k b_k \mathbb{L}^k. \tag{2.4}$$

Example 2.14. For $X = \mathbb{P}^{2N}$ a variety satisfying (2.4) is $\hat{X} = \mathbb{A}^0 \cup \bigcup_{k=1}^N (\mathbb{A}^{2k} \setminus \mathbb{A}^{2k-1}) = \mathbb{A}^0 \cup \mathbb{G}_m \times (\bigcup_{k=1}^N \mathbb{A}^{2k-1})$.

The different approaches described in this section, naively based on the ideas of evaluation at $q = \zeta$ and of interpolation over negative integers of the positivity condition $N_X(n + 1) \geq 0$, seem to lead to very different notions of \mathbb{F}_ζ -geometry. In the following sections we describe a motivic setting which will allow us to reconcile and unify these views.

3. The Habiro Ring and the Grothendieck Ring

A more sophisticated way to keep track of conditions, at the level of classes in the Grothendieck ring, that would correspond to “ n th roots of \mathbb{F}_1 -structures” can be achieved by considering cyclotomic completions of the Grothendieck ring of varieties, modeled on the well-known Habiro completion of polynomial rings, [10, 11, 18]. This type of completion allows for a consistent system of evaluations and expansions at all roots of unity. We show that, in our motivic setting, the target of the evaluation maps are Grothendieck rings of a family of orbit categories of pure motives, with respect to the tensor action of the Tate motives $\mathbb{Q}(n)$.

3.1. The Habiro ring as analytic functions on roots of unity

The Habiro ring was introduced in [10] as a *cyclotomic completion* of the polynomial ring $\mathbb{Z}[q]$, or more generally of $R[q]$ with R a commutative ring with unit. Namely, one defines the Habiro ring $\widehat{\mathbb{Z}[q]}$ (also sometimes denoted $\mathbb{Z}[q]^\mathbb{N}$) as the projective limit

$$\widehat{\mathbb{Z}[q]} = \varprojlim_n \mathbb{Z}[q]/((q)_n) \tag{3.1}$$

of the quotients $\mathbb{Z}[q]/((q)_n)$ by the principal ideals $\mathcal{I}_n = ((q)_n)$ generated by the elements $(q)_n := ((1-q)(1-q^2) \cdots (1-q^n))$. The ideals \mathcal{I}_n are ordered by divisibility, with $(q)_k \mid (q)_n$ for $k \leq n$, so that $((q)_n) \subset ((q)_k)$, with projections $\mathbb{Z}[q]/((q)_n) \rightarrow \mathbb{Z}[q]/((q)_k)$.

3.1.1. Formal series

The elements of $\widehat{\mathbb{Z}[q]}$ are therefore formal series $\sum_n P_n(q)$ with $P_n(q) \in \mathcal{I}_n$, or equivalently formal series

$$a_0(q) + \sum_{n \geq 1} a_n(q)(1-q)(1-q^2) \cdots (1-q^n), \tag{3.2}$$

with the $a_n(q) \in \mathbb{Z}[q]$ for $n \geq 0$.

As observed in [18], one can interpret the Habiro ring as a deformation of the ring $\widehat{\mathbb{Z}}$, given by the projective limit (see [26, 14])

$$\widehat{\mathbb{Z}} = \varprojlim_n \mathbb{Z}/(n!), \tag{3.3}$$

with the product $(q^n - 1) \cdots (q - 1)$ replacing $n!$.

3.1.2. Evaluation at roots of unity

Given any roots of unity ζ , there is an evaluation map, which is a surjective ring homomorphism

$$e_\zeta : \widehat{\mathbb{Z}[q]} \rightarrow \mathbb{Z}[\zeta]. \tag{3.4}$$

Moreover, as shown in [10, Theorem 6.2], the map obtained by considering all evaluations, for all roots of unity,

$$e : \widehat{\mathbb{Z}[q]} \rightarrow \prod_{\zeta} \mathbb{Z}[\zeta], \quad e(f) = (e_\zeta(f))_\zeta = (f(\zeta))_\zeta \tag{3.5}$$

is *injective*. In fact, a more refined result in [10] shows that evaluations on certain infinite sets of roots of unity suffices to determine the function in the Habiro ring.

3.1.3. Taylor expansions at roots of unity

Functions in the Habiro ring have well-defined Taylor expansions at all roots of unity, given by ring homomorphisms

$$\sigma_\zeta : \widehat{\mathbb{Z}[q]} \rightarrow \mathbb{Z}[\zeta][[q - \zeta]], \tag{3.6}$$

and the function in the Habiro ring is completely determined by its Taylor expansion at any root of unity, since by [10, Theorem 5.2] these homomorphisms are injective. These properties can be used to interpret the Habiro ring as a ring of *analytic functions at roots of unity*. More recently, Manin interpreted the Habiro ring as a possible approach to analytic geometry over \mathbb{F}_1 , [18]. In a similar perspective, the Habiro ring was related to endomotives and the Bost–Connes system in [20].

3.2. Projective systems of Grothendieck rings

For our purposes, we will consider here a construction similar to the Habiro ring, but based on the Grothendieck ring of varieties.

Definition 3.1. Let $(\mathbb{L})_n := (\mathbb{L} - 1)(\mathbb{L}^2 - 1) \cdots (\mathbb{L}^n - 1)$, namely the class

$$(\mathbb{L})_n = [(\mathbb{A}^n \setminus \{\mathbf{0}\}) \times \cdots \times (\mathbb{A}^2 \setminus \{\mathbf{0}\}) \times (\mathbb{A}^1 \setminus \{\mathbf{0}\})],$$

where $\mathbf{0} = (0, \dots, 0) \in \mathbb{A}^k$. Letting $\mathcal{I}_n = ((\mathbb{L})_n)$ be the ideal in $K_0(\mathcal{V}_{\mathbb{Z}})$ generated by this element, we obtain a quotient

$$K_0(\mathcal{V}_{\mathbb{Z}})/\mathcal{I}_n = K_0(\mathcal{V}_{\mathbb{Z}})/((\mathbb{L} - 1)(\mathbb{L}^2 - 1) \cdots (\mathbb{L}^n - 1)).$$

Since $((\mathbb{L})_n) \subset ((\mathbb{L})_k)$, for $k \leq n$, the ideals \mathcal{I}_n are ordered by inclusion, with projections $K_0(\mathcal{V}_{\mathbb{Z}})/\mathcal{I}_n \twoheadrightarrow K_0(\mathcal{V}_{\mathbb{Z}})/\mathcal{I}_k$ and we can consider the projective limit

$$\widehat{K_0(\mathcal{V}_{\mathbb{Z}})} := \varprojlim_n K_0(\mathcal{V}_{\mathbb{Z}})/((\mathbb{L} - 1)(\mathbb{L}^2 - 1) \cdots (\mathbb{L}^n - 1)). \tag{3.7}$$

We refer to this ring as the *Habiro–Grothendieck ring*.

Elements in this ring can be written as formal series

$$\alpha_0 + \sum_{n \geq 1} \alpha_n (\mathbb{L} - 1)(\mathbb{L}^2 - 1) \cdots (\mathbb{L}^n - 1), \quad \alpha_k \in K_0(\mathcal{V}_{\mathbb{Z}}), \quad k \geq 0. \tag{3.8}$$

3.2.1. Habiro–Grothendieck ring and the Tate motive

In the theory of motives it is customary to introduce a formal inverse of the Lefschetz motive, the Tate motive $\mathbb{L}^{-1} =: \mathbb{Q}(1)$. Correspondingly, one considers the Grothendieck ring $K_0(\mathcal{V}_{\mathbb{Z}})[\mathbb{L}^{-1}]$. In our setting, we can then consider the Habiro–Grothendieck ring given by

$$K_0(\widehat{\mathcal{V}_{\mathbb{Z}}})[\mathbb{L}^{-1}] = \varprojlim_n K_0(\mathcal{V}_{\mathbb{Z}})[\mathbb{L}^{-1}] / ((\mathbb{L} - 1)(\mathbb{L}^2 - 1) \cdots (\mathbb{L}^n - 1)). \tag{3.9}$$

Lemma 3.2. *Let $[\mathrm{GL}_n] \in K_0(\mathcal{V}_{\mathbb{Z}})$ be the Grothendieck class of the general linear group GL_n . The ring $K_0(\widehat{\mathcal{V}_{\mathbb{Z}}})[\mathbb{L}^{-1}]$ of (3.9) is equivalently obtained in the form*

$$K_0(\widehat{\mathcal{V}_{\mathbb{Z}}})[\mathbb{L}^{-1}] = \varprojlim_n K_0(\mathcal{V}_{\mathbb{Z}})[\mathbb{L}^{-1}] / ([\mathrm{GL}_n]). \tag{3.10}$$

Proof. We have (see, for instance, [2, Lemma 2.6])

$$[\mathrm{GL}_n] = \mathbb{L}^{n(n-1)/2} (\mathbb{L} - 1)(\mathbb{L}^2 - 1) \cdots (\mathbb{L}^n - 1). \tag{3.11} \quad \square$$

3.2.2. The Tate motive as a Habiro–Grothendieck class

The versions (3.7) and (3.9), (3.10) of the Habiro–Grothendieck ring are in fact equivalent, since the Lefschetz motive \mathbb{L} already has an inverse \mathbb{L}^{-1} in (3.7).

Lemma 3.3. *In the Habiro–Grothendieck ring $\widehat{K_0(\mathcal{V})}$ the Lefschetz motive \mathbb{L} is invertible, with the Tate motive \mathbb{L}^{-1} given by*

$$\mathbb{L}^{-1} = \sum_{n \geq 0} \mathbb{L}^n (\mathbb{L}^n - 1) \cdots (\mathbb{L}^2 - 1)(\mathbb{L} - 1).$$

Proof. The argument is as in [10, Proposition 7.1]. □

Remark 3.4. If instead of taking quotients with respect to the ideals generated by the classes $[\mathrm{GL}_n]$, one inverts these elements, one obtains $K_0(\mathcal{V}_{\mathbb{Z}})[[\mathrm{GL}_n]^{-1}, n \geq 1]$, which is isomorphic to the Grothendieck ring of algebraic stacks, [2].

3.2.3. The Habiro ring of Tate motives

The subring of virtual Tate motives in $K_0(\mathcal{V}_{\mathbb{Z}})$ (respectively, in $K_0(\mathcal{V}_{\mathbb{Z}})[\mathbb{L}^{-1}]$) is the polynomial ring $\mathbb{Z}[\mathbb{L}]$ (respectively, the ring of Laurent polynomials $\mathbb{Z}[\mathbb{L}, \mathbb{L}^{-1}]$). The corresponding Tate Habiro–Grothendieck ring is the classical Habiro ring

$$\widehat{\mathbb{Z}[\mathbb{L}]} = \varprojlim_n \mathbb{Z}[\mathbb{L}] / ((\mathbb{L} - 1)(\mathbb{L}^2 - 1) \cdots (\mathbb{L}^n - 1)), \tag{3.12}$$

whose elements are formal series

$$f_0(\mathbb{L}) + \sum_{n \geq 1} f_n(\mathbb{L})(\mathbb{L} - 1)(\mathbb{L}^2 - 1) \cdots (\mathbb{L}^n - 1), \quad f_k \in \mathbb{Z}[\mathbb{L}], \quad k \geq 0. \quad (3.13)$$

3.2.4. Evaluation maps

For $n \geq 1$, let $(\mathbb{L}^n - 1)$ be the ideal in $K_0(\mathcal{V}_{\mathbb{Z}})$ generated by the element $\mathbb{L}^n - 1 = [\mathbb{A}^n \setminus \{0\}]$. This determines a quotient $K_0(\mathcal{V}_{\mathbb{Z}})/(\mathbb{L}^n - 1)$. The inclusion $((\mathbb{L})_n) \subset (\mathbb{L}^n - 1)$ implies the existence of surjective evaluation maps as follows.

Lemma 3.5. *There are evaluation maps, in the form of surjective ring homomorphisms*

$$\text{ev}_n : \widehat{K_0(\mathcal{V}_{\mathbb{Z}})} \rightarrow K_0(\mathcal{V}_{\mathbb{Z}})/(\mathbb{L}^n - 1). \quad (3.14)$$

We give a better motivic interpretation of the target $K_0(\mathcal{V}_{\mathbb{Z}})/(\mathbb{L}^n - 1)$ of the evaluation map in terms of orbit categories of motives.

3.3. Orbit categories of motives

Given an additive category \mathcal{C} and a self-equivalence F , the orbit category has $\text{Obj}(\mathcal{C}/F) = \text{Obj}(\mathcal{C})$ and morphisms given by

$$\text{Hom}_{\mathcal{C}/F}(X, Y) := \bigoplus_{k \in \mathbb{Z}} \text{Hom}_{\mathcal{C}}(X, F^k(Y)). \quad (3.15)$$

In particular, if \mathcal{C} is also a symmetric monoidal category and $F = - \otimes \mathcal{O}$ with \mathcal{O} a \otimes -invertible object in \mathcal{C} , the orbit category $\mathcal{C}/_{- \otimes \mathcal{O}}$ also has a symmetric monoidal structure compatible with the projection functor, see [24, §7].

3.3.1. Tate motives and orbit categories

In particular, we consider here the case where $\mathcal{M} = \text{Chow}_{\mathbb{Q}}$, the category of Chow motives with rational coefficients and $\mathcal{O} = \mathbb{Q}(n)$, where $\mathbb{Q}(1) = \mathbb{L}^{-1}$ is the Tate motive and $\mathbb{Q}(n) = \mathbb{Q}(1)^{\otimes n}$. The case with $n = 1$ gives rise to the orbit category $\mathcal{M}_1 = \text{Chow}_{\mathbb{Q}}/_{- \otimes \mathbb{Q}(1)}$ considered in [24], which embeds in the category of non-commutative motives. Here we also consider the orbit categories $\mathcal{M}_n = \text{Chow}_{\mathbb{Q}}/_{- \otimes \mathbb{Q}(n)}$ with $n > 1$.

3.3.2. Grothendieck groups of orbit categories

The Grothendieck group $K_0(\mathcal{C})$ of a pseudo-abelian category \mathcal{C} is the quotient of the free abelian group on the isomorphism classes $[M]$ of objects in \mathcal{C} by the subgroup generated by elements $[M] - [M'] - [M'']$ for $M \simeq M' \oplus M''$ in \mathcal{C} . In the symmetric monoidal case, $K_0(\mathcal{C})$ is also a ring.

Proposition 3.6. *Let $K_0(\mathcal{M})$ be the Grothendieck ring of the category $\mathcal{M} = \text{Chow}_{\mathbb{Q}}$ of Chow motives. Then the Grothendieck ring of the orbit category $\mathcal{M}_n = \text{Chow}_{\mathbb{Q}/-\otimes\mathbb{Q}(n)}$ can be identified with the quotient*

$$K_0(\mathcal{M}_n) = K_0(\mathcal{M})/((\mathbb{L}^n - 1)). \tag{3.16}$$

Proof. Isomorphic objects $M \simeq N$ in $\text{Chow}_{\mathbb{Q}/-\otimes\mathbb{Q}(n)}$ are objects M, N in $\text{Chow}_{\mathbb{Q}}$ related by an isomorphism in $\text{Hom}_{\text{Chow}_{\mathbb{Q}/-\otimes\mathbb{Q}(n)}}(M, N)$, that is, such that $M \simeq N \otimes \mathbb{Q}(nk)$ in $\text{Chow}_{\mathbb{Q}}$, for some $k \in \mathbb{Z}$. This introduces new relations of the form $[M] = [M]\mathbb{L}^{nk}$ in the Grothendieck ring: these are elements of the ideal $(\mathbb{L}^n - 1)$. All relations $[M] - [M'] - [M'']$ in $K_0(\text{Chow}_{\mathbb{Q}})$ are still relations in $K_0(\text{Chow}_{\mathbb{Q}/-\otimes\mathbb{Q}(n)})$. Thus, we can identify $K_0(\text{Chow}_{\mathbb{Q}/-\otimes\mathbb{Q}(n)})$ with the quotient $K_0(\text{Chow}_{\mathbb{Q}})/(\mathbb{L}^n - 1)$. □

3.3.3. Euler characteristic and evaluation

There are motivic Euler characteristics associated to the orbit categories $\text{Chow}_{\mathbb{Q}/-\otimes\mathbb{Q}(n)}$.

Corollary 3.7. *The motivic Euler characteristic χ_{mot} of [9] induces motivic Euler characteristics $\chi_{\text{mot}}^{(n)}(X)$ of varieties X , which determine ring homomorphisms $\chi_{\text{mot}}^{(n)} : K_0(\mathcal{V})/((\mathbb{L}^n - 1)) \rightarrow K_0(\mathcal{M})/((\mathbb{L}^n - 1))$.*

Proof. Gillet and Soulé constructed a motivic Euler characteristic χ_{mot} , which is a ring homomorphism $\chi_{\text{mot}} : K_0(\mathcal{V}) \rightarrow K_0(\mathcal{M})$, by associating to a variety X a complex $W(X)$ in $\text{Chow}_{\mathbb{Q}}$, whose class $[W(X)] \in K_0(\mathcal{M})$ defines $\chi_{\text{mot}}(X)$. Further mapping $W(X)$ to its class $[W(X)] \in K_0(\text{Chow}_{\mathbb{Q}/-\otimes\mathbb{Q}(n)})$ defines a motivic Euler characteristic $\chi_{\text{mot}}^{(n)} : K_0(\mathcal{V}) \rightarrow K_0(\mathcal{M})/((\mathbb{L}^n - 1))$. The homomorphism χ_{mot} maps $\mathbb{L} \in K_0(\mathcal{V})$ to $\mathbb{L} \in K_0(\mathcal{M})$, and the induced morphism descends to a ring homomorphism $\chi_{\text{mot}}^{(n)} : K_0(\mathcal{V})/((\mathbb{L}^n - 1)) \rightarrow K_0(\mathcal{M})/((\mathbb{L}^n - 1))$. □

This, the evaluation maps (3.14) can be seen as maps to the Grothendieck rings of all the orbit categories $\mathcal{M}_n = \text{Chow}_{\mathbb{Q}/-\otimes\mathbb{Q}(n)}$. We will see in Sec. 4 below that some natural operations on the Habiro ring described in [20], modeled on the Bost–Connes quantum statistical mechanical system, have a motivic interpretation in terms of “roots of Tate motives”.

3.4. Counting functions and the Habiro ring

Over a finite field \mathbb{F}_q , the counting function $X \mapsto N_q(X) = \#X(\mathbb{F}_q)$ factors through the Grothendieck ring $N_q : K_0(\mathcal{V}_{\mathbb{F}_q}) \rightarrow \mathbb{Z}$, since it satisfies the inclusion–exclusion and product relations. The counting function induces an identification $N : \widehat{\mathbb{Z}[\mathbb{L}]} \rightarrow \widehat{\mathbb{Z}[q]}$ of the Tate part $\widehat{\mathbb{Z}[\mathbb{L}]} \subset \widehat{K_0(\mathcal{V}_{\mathbb{Z}})}$ of the Habiro–Grothendieck ring with the Habiro ring on polynomials $\mathbb{Z}[q]$, and we can interpret functions $f(q)$ in $\widehat{\mathbb{Z}[q]}$ as counting functions of Tate classes in $\widehat{K_0(\mathcal{V}_{\mathbb{Z}})}$.

Under the evaluation maps (3.14), the counting functions are correspondingly mapped to the a counting function in $\mathbb{Z}[q]/(q^n - 1)$ as the image of the counting function $\widehat{\mathbb{Z}[q]}$, consistently with the observation in [24], that counting functions on the orbit category of motives $\text{Chow}_{\mathbb{Q}/- \otimes \mathbb{Q}(1)}$ are only defined up to $q - 1$.

4. Roots of Tate Motives

The idea of introducing Tate motives $\mathbb{Q}(r)$ for r not an integer was suggested by Manin in [17]. In particular, in the case of finite fields, a nice geometric interpretation of $\mathbb{Q}(1/2)$, a square root of the Tate motive $\mathbb{Q}(1)$, in terms of a supersingular elliptic curves was suggested by Manin and fully developed by Ramachandran in [21]. Other considerations on the “exotic” Tate motives $\mathbb{Q}(r)$ with $r \notin \mathbb{Z}$, can be found in [7, §7]. Here we will take a purely formal approach to the construction of these objects, motivated by a semigroup of endomorphisms of the Habiro ring studied in [20] from a quantum statistical mechanical point of view.

4.1. Roots of Tate motives and the Habiro–Grothendieck ring

We focus here on the Tate part $\mathbb{Z}[\mathbb{L}]$ of the Grothendieck ring of varieties $K_0(\mathcal{V})$ and the Habiro ring $\widehat{\mathbb{Z}[\mathbb{L}]}$.

As in [20], we consider endomorphisms $\sigma_n : \widehat{\mathbb{Z}[\mathbb{L}]} \rightarrow \widehat{\mathbb{Z}[\mathbb{L}]}$ of the Habiro ring induced by the morphisms $\sigma_n : \mathbb{Z}[\mathbb{L}] \rightarrow \mathbb{Z}[\mathbb{L}]$ given by $\mathbb{L} \mapsto \mathbb{L}^n$. These extend to the Habiro completion since $(\mathbb{L})_m \mid \sigma_n(\mathbb{L})_m$, see [20, Proposition 2.1].

As in [20], we denote by $\widehat{\mathbb{Z}[\mathbb{L}]}_{\infty}$ the direct limit

$$\widehat{\mathbb{Z}[\mathbb{L}]}_{\infty} = \varinjlim (\sigma_n : \widehat{\mathbb{Z}[\mathbb{L}]} \rightarrow \widehat{\mathbb{Z}[\mathbb{L}]}) \tag{4.1}$$

of the system of maps $\sigma_n : \widehat{\mathbb{Z}[\mathbb{L}]} \rightarrow \widehat{\mathbb{Z}[\mathbb{L}]}$. As shown in [20, Lemma 2.3 and Proposition 2.2], the ring $\widehat{\mathbb{Z}[\mathbb{L}]}_{\infty}$ has an equivalent description as follows.

Let $\mathcal{P}_{\mathbb{Z}} = \mathbb{Z}[\mathbb{L}^r; r \in \mathbb{Q}_+^*]$ the ring of polynomials in the rational powers of the variable \mathbb{L} , and let $\widehat{\mathcal{P}}_{\mathbb{Z}}$ be the completion

$$\widehat{\mathcal{P}}_{\mathbb{Z}} = \varprojlim_N \mathcal{P}_{\mathbb{Z}} / \mathcal{J}_N, \tag{4.2}$$

with respect to the ideals \mathcal{J}_N generated by the $(\mathbb{L}^r)_N = (\mathbb{L}^{rN} - 1) \cdots (\mathbb{L}^r - 1)$ with $r \in \mathbb{Q}_+^*$. Then the direct limit above can be identified with

$$\widehat{\mathbb{Z}[\mathbb{L}]}_{\infty} \simeq \widehat{\mathcal{P}}_{\mathbb{Z}}. \tag{4.3}$$

The morphisms $\sigma_n : \widehat{\mathbb{Z}[\mathbb{L}]} \rightarrow \widehat{\mathbb{Z}[\mathbb{L}]}$ induce automorphisms of $\widehat{\mathbb{Z}[\mathbb{L}]}_{\infty}$, which induce an action of the group \mathbb{Q}_+^* by

$$f(\mathbb{L}) \mapsto f(\mathbb{L}^r), \quad r \in \mathbb{Q}_+^*. \tag{4.4}$$

This action can be encoded in the crossed product ring $\widehat{\mathbb{Z}[\mathbb{L}]}_{\infty} \rtimes \mathbb{Q}_+^*$ considered in [20].

In the motivic setting we are considering, this means that taking the direct limit of the Tate part $\widehat{\mathbb{Z}[\mathbb{L}]}$ of the Habiro–Grothendieck ring with respect to the endomorphisms $\sigma_n : \widehat{\mathbb{Z}[\mathbb{L}]} \rightarrow \widehat{\mathbb{Z}[\mathbb{L}]}$ has the effect of introducing formal roots \mathbb{L}^r of the Lefschetz motive, with $r \in \mathbb{Q}_+^*$. We can view this at the level of categories of motives, by introducing formal roots of Tate motives $\mathbb{Q}(r)$, with $r \in \mathbb{Q}_+^*$ using the Tannakian formalism. We will discuss the relevance of roots of Tate motives to \mathbb{F}_ζ -geometry in the following section.

4.2. Formal roots of Tate motives

Let $\mathcal{T} = \text{Num}_{\mathbb{Q}}^\dagger$ denote the Tannakian category of pure motives, with the numerical equivalence relation on cycles, with \dagger denoting the twist in the commutativity morphisms of the tensor structure by the Koszul sign rule, which is needed to make the category Tannakian. The category \mathcal{T} is equivalent to the category of finite-dimensional linear representation of an affine group scheme, the motivic Galois group $G = \text{Gal}(\mathcal{T})$. The inclusion of the Tate motives in $\text{Num}_{\mathbb{Q}}^\dagger$ determines a group homomorphism $t : G \rightarrow \mathbb{G}_m$, such that $t \circ w = 2$, where $w : \mathbb{G}_m \rightarrow G$ is the weight homomorphism (see [6, §5]).

We proceed as in the construction of the square root of $\mathbb{Q}(1)$ given in [13, §3.4]. This describes the category obtained by formally adding to \mathcal{T} a new object $\mathbb{Q}(1/p)$ with the property that $\mathbb{Q}(1/p)^{\otimes p} = \mathbb{Q}(1)$, namely a formal tensor p th root of the Tate motive.

Definition 4.1. For $\mathcal{T} = \text{Num}_{\mathbb{Q}}^\dagger$ and $G = \text{Gal}(\mathcal{T})$, consider the homomorphisms $\sigma_p : \mathbb{G}_m \rightarrow \mathbb{G}_m$ given by $\sigma_p : \lambda \mapsto \lambda^p$, and let $G^{(p)}$ denote the fibered product

$$G^{(p)} = \{(g, \lambda) \in G \times \mathbb{G}_m : t(g) = \sigma_p(\lambda)\}. \tag{4.5}$$

The resulting Tannakian category $\text{Rep}_{G^{(p)}}$ is denoted by $\mathcal{T}(\mathbb{Q}(\frac{1}{p}))$.

We can iterate this construction over the set of generators of the multiplicative semigroup \mathbb{N} given by the set of primes.

Lemma 4.2. *Let $p \neq q$ be prime numbers and consider the homomorphisms σ_p and σ_q of \mathbb{G}_m as above. Let $G^{(p,q)}$ and $G^{(q,p)}$ denote, respectively, the fiber products*

$$\begin{aligned} G^{(p,q)} &= \{(g, \lambda) \in G^{(q)} \times \mathbb{G}_m : t(\pi_q(g)) = \sigma_p(\lambda)\}, \\ G^{(q,p)} &= \{(g, \lambda) \in G^{(p)} \times \mathbb{G}_m : t(\pi_p(g)) = \sigma_q(\lambda)\}, \end{aligned}$$

with $\pi_p : G^{(p)} \rightarrow G$ and $\pi_q : G^{(q)} \rightarrow G$ the projections of the fibered product. Then $G^{(p,q)} \simeq G^{(q,p)}$, with $\text{Rep}(G^{(p,q)})$ the Tannakian category obtained by adjoining formal roots $\mathbb{Q}(1/p)$ and $\mathbb{Q}(1/q)$ with $\mathbb{Q}(1/p)^{\otimes p} = \mathbb{Q}(1) = \mathbb{Q}(1/q)^{\otimes q}$, and $\mathbb{Q}(1/(pq))$ with $\mathbb{Q}(1/(pq))^{\otimes p} = \mathbb{Q}(1/q)$ and $\mathbb{Q}(1/(pq))^{\otimes q} = \mathbb{Q}(1/p)$.

Proof. We can identify

$$G^{(p,q)} \simeq G^{(q,p)} \simeq \{(g, \lambda, \tilde{\lambda}) \in G \times \mathbb{G}_m \times \mathbb{G}_m \mid t(g) = \sigma_p(\lambda) = \sigma_q(\tilde{\lambda})\},$$

hence the resulting pullback only depends on the unordered set $\{p, q\}$. By construction, the pullback introduces additional generators in the category $\text{Rep}(G^{(p,q)})$, of the form $\mathbb{Q}(1/p)$, $\mathbb{Q}(1/q)$, $\mathbb{Q}(1/(pq))$, with the listed properties under tensor powers. \square

One can iterate this process, by considering the set of all primes in \mathbb{Z} (the generators of the multiplicative semigroup \mathbb{N} of positive integers) and associate to the first N elements $\{p_1, \dots, p_N\}$ the corresponding group $G^{(p_1, \dots, p_N)}$ obtained as the iterated pullback along the map $\sigma_{p_j} : \mathbb{G}_m \rightarrow \mathbb{G}_m$ of $G^{(p_1, \dots, p_{j-1})}$, for $j = 1, \dots, N$. The corresponding Tannakian category $\mathcal{T}^{(p_1, \dots, p_{j-1})}$ is obtained from $\mathcal{T} = \text{Num}_{\mathbb{Q}}^{\dagger}$ by introducing additional additional generators $\mathbb{Q}(1/n)$ for all $n \in \mathbb{N}$ with primary decomposition $n = p_1^{a_1} \cdots p_N^{a_N}$ and with the obvious relations under tensor products,

$$\mathbb{Q} \left(\frac{1}{p_1^{a_1} \cdots p_N^{a_N}} \right)^{\otimes p_j} = \mathbb{Q} \left(\frac{1}{p_1^{a_1} \cdots p_j^{a_j-1} \cdots p_N^{a_N}} \right).$$

The following result is then a direct consequence of the construction.

Corollary 4.3. *One can then consider the limit $G^{\mathbb{N}} = \varprojlim G^{(p_1, \dots, p_{j-1})}$. The corresponding category of representations $\mathcal{T}^{\mathbb{N}}$ contains roots of Tate motives $\mathbb{Q}(1/n)$ of all order $n \in \mathbb{N}$. The subcategory of $\mathcal{T}^{\mathbb{N}}$ generated by all the roots $\mathbb{Q}(1/n)$ of the Tate motive contains the usual Tate motives and has an action of the group \mathbb{Q}_+^* by automorphisms.*

4.3. Roots of Tate motives and orbit categories

There are surjective evaluation maps from the direct limit $\widehat{\mathbb{Z}[\mathbb{L}]}_{\infty}$ of (4.1) and the quotient rings $\mathbb{Z}[\mathbb{L}^r]/(\mathbb{L}^{Nr} - 1)$, for any given $r \in \mathbb{Q}_+^*$ and $N \in \mathbb{N}$. In particular, it suffices to look at the cases where $r = 1/p$ and $(N, p) = 1$. The quotient ring $\mathbb{Z}[\mathbb{L}^{1/p}]/(\mathbb{L}^{N/p} - 1)$ can be seen as the K_0 of the Tate part of the orbit category $\mathcal{T}(\mathbb{Q}(1/p))_{/-\otimes\mathbb{Q}(N/p)}$, by the same argument as in Proposition 3.6. Namely, we have the following, which follows directly from the previous discussion.

Proposition 4.4. *The category $\mathcal{T}(\mathbb{Q}(1/p))$ has*

$$K_0(\mathcal{T}(\mathbb{Q}(1/p))) = K_0(\mathcal{T})[t]/(t^q - \mathbb{L}),$$

and the subcategory of Tate motives, generated by $\mathbb{L}^{1/p}$, has K_0 given by $\mathbb{Z}[\mathbb{L}^{1/p}]$. The orbit category $\mathcal{T}(\mathbb{Q}(1/p))_{/-\otimes\mathbb{Q}(N/p)}$ has

$$K_0(\mathcal{T}(\mathbb{Q}(1/p))_{/-\otimes\mathbb{Q}(N/p)}) = K_0(\mathcal{T}(\mathbb{Q}(1/p)))/(\mathbb{L}^{N/p} - 1)$$

and the subcategory of Tate motives has K_0 given by $\mathbb{Z}[\mathbb{L}^{1/p}]/(\mathbb{L}^{N/p} - 1)$. There are surjective homomorphisms

$$\text{ev}_{p,N} : \widehat{\mathbb{Z}[\mathbb{L}]}_{\infty} \twoheadrightarrow K_0(\mathcal{T}(\mathbb{Q}(1/p))_{/-\otimes\mathbb{Q}(N/p)}).$$

5. Roots of Tate Motives and \mathbb{F}_ζ -geometry

We can now introduce another possible way of thinking of \mathbb{F}_ζ -structures, which will be related to the notion of “evaluation \mathbb{F}_ζ -structure” discussed in Sec. 2.

Condition 5.1. Let ζ be a root of unity of order n . Let X be a variety over \mathbb{Z} that has an \mathbb{F}_1 -structure, with Grothendieck class $[X] = \sum_k b_k \mathbb{L}^k$ in $\mathbb{Z}[\mathbb{L}]$. Then a *Tate root F_ζ -structure* for X is a choice of an object M in the category $\mathcal{T}^{\mathbb{N}}$ of motives containing all roots of Tate motives, with the property that the class $[M] \in K_0(\mathcal{T}^{\mathbb{N}})$ is of the form

$$[M] = \sum_k b_k \mathbb{L}^{k/n}.$$

We can view the class $[M]$ as being in $K_0(\mathcal{T}(\mathbb{Q}(1/n)))$ rather than in the larger category $\mathcal{T}^{\mathbb{N}}$.

Remark 5.2. The condition on the existence of an “evaluation \mathbb{F}_ζ -structure” discussed in Sec. 2 above becomes the condition that there exists a “Tate root F_ζ -structure” M , such that M is in fact in \mathcal{T} itself, inside the larger $\mathcal{T}(\mathbb{Q}(1/n))$.

Example 5.3. If X has an affine cell decomposition $X = \coprod_{j \in J} \mathbb{A}^{k_j}$, then $[X] = \sum_j \mathbb{L}^{k_j}$ and an example of a choice of a Tate root F_ζ -structure is given by $M = \bigoplus_{j \in J} \mathbb{L}^{k_j/n}$.

6. \mathbb{F}_ζ -geometry in the Habiro–Grothendieck Ring

We now upgrade our previous notions of \mathbb{F}_ζ points to versions that can be formulated for functions in the Habiro ring, viewed as counting functions for classes in the Tate part of the Grothendieck–Habiro ring, and then further upgrade the resulting conditions to geometric conditions based on torification, for certain classes of ind-varieties.

6.1. Ind-varieties and Habiro functions

Recall that an ind-variety over \mathbb{Z} is a direct limit $X = \varinjlim_\alpha X_\alpha$ of a direct system of varieties X_α over \mathbb{Z} with morphisms $\phi_{\alpha,\beta} : X_\alpha \rightarrow X_\beta$ for all $\alpha < \beta$ in the directed set of indices $\alpha, \beta \in \mathcal{I}$.

Suppose given a formal series $f(q) = \sum_{m=0}^\infty a_m(q)(q^m - 1) \cdots (q^2 - 1)(q - 1)$ in the Habiro ring $\widehat{\mathbb{Z}[q]}$, with the property that the polynomials $a_m(q)$ are counting functions

$$a_m(q) = N_{X_m}(q), \tag{6.1}$$

for some varieties X_m over \mathbb{Z} . Consider then, for each $N \geq 0$ the varieties \mathcal{X}_N given by

$$\mathcal{X}_N = \bigcup_{m=0}^{N-1} X_m \times (\mathbb{A}^m \setminus \{0\}) \times \cdots \times (\mathbb{A}^2 \setminus \{0\}) \times (\mathbb{A}^1 \setminus \{0\}). \tag{6.2}$$

This gives formally an interpretation (not necessarily unique) of the Habiro function as a counting function of an ind-variety $f(q) = N_{\mathcal{X}}(q)$. We can use this interpretation to formulate conditions on the existence of \mathbb{F}_ζ -structures based on properties of functions in the Habiro ring.

6.2. \mathbb{F}_1 -structures on ind-varieties

We first recast the notion of \mathbb{F}_1 -structure based on torification and the behavior of the counting function, for ind-varieties and classes in the Habiro–Grothendieck ring. We formulate the condition from the strongest geometric level to the weaker level of the counting function in the Habiro ring.

Condition 6.1. An ind-variety $\mathcal{X} = \varprojlim_N \mathcal{X}_N$ over \mathbb{Z} has an \mathbb{F}_1 -structure if the following conditions hold:

- (Geometric Decompositions): The varieties \mathcal{X}_N have a decomposition of the form (6.2), where the varieties X_m admit a geometric torification, $X_m = \bigcup_{j \in J} \mathbb{T}^{k_j}$.
- (Grothendieck Class): The class $[\mathcal{X}_N]$ defines an element in the Tate part of the Habiro–Grothendieck ring $\widehat{\mathbb{Z}[\mathbb{L}]}$, of the form $\sum_{m=0}^\infty \alpha_m(\mathbb{L})(\mathbb{L}^m - 1) \cdots (\mathbb{L} - 1)$, where $[X_m] = \alpha_m(\mathbb{L})$ is a polynomial in $\mathbb{Z}[\mathbb{L}]$ of the form $\alpha_m(\mathbb{L}) = \sum_{j \in J} \mathbb{T}^{k_j}$.
- (Counting Function): The counting functions $N_{\mathcal{X}_N}(q)$ determine a function $N_{\mathcal{X}}(q)$ in the Habiro ring, with $N_{\mathcal{X}}(q) = \sum_{m=0}^\infty \alpha_m(q)(q^m - 1) \cdots (q - 1)$, where $\alpha_m(q) = N_{X_m}(q) = \sum_{j \in J} (q - 1)^{k_j}$.

In particular, the counting functions $N_{X_m}(q)$ and $N_{\mathcal{X}_N}(q)$ have the property that the values at all the non-negative integers $n \geq 0$ are non-negative, and provide a counting of points over all the extensions \mathbb{F}_{1^n} .

6.3. \mathbb{F}_ζ -structures on ind-varieties

We now refine Condition 6.1 for the existence of an \mathbb{F}_1 -structure to a notion of \mathbb{F}_ζ -structure based on our previous notion of “interpolation” \mathbb{F}_ζ -points, described in Sec. 2.2 above.

Condition 6.2. An ind-variety $\mathcal{X} = \varprojlim_N \mathcal{X}_N$ over \mathbb{Z} has an \mathbb{F}_ζ -structure, for $\zeta^n = 1$, if it has an \mathbb{F}_1 -structure in the sense of Condition 6.1 and the polynomial

$$N_{\mathcal{X}_n}(q) = \sum_{m=0}^{n-1} \alpha_m(q)(q^m - 1) \cdots (q - 1) \tag{6.3}$$

satisfies $N_{\mathcal{X}_n}(-n) \geq 0$. In particular, an ind-variety \mathcal{X} has an \mathbb{F}_ζ -structure for roots of unity ζ of all orders if $N_{\mathcal{X}_n}(-n) \geq 0$ for all $n \geq 0$.

The counting function $N_{\mathcal{X}_n}(q)$ modulo $q^n - 1$ gives the counting function associated to the class $ev_n([\mathcal{X}]) \in K_0(\mathcal{M})/(\mathbb{L}^n - 1)$, under the evaluation maps (3.14).

6.4. Constructible torifications and constructible \mathbb{F}_ζ -structures

In [19] a weaker form of the torification condition of [15] was introduced: the notion of a *constructible torification*, which defines a structure of \mathbb{F}_1 -constructible set, instead of the stronger notion of \mathbb{F}_1 -variety. As in ordinary algebraic geometry, where constructible sets are complements of algebraic varieties inside other varieties (which are not always varieties themselves), so the notion of a “constructible” torification encodes the operation of taking complements of geometric torifications inside other torifications, where the complement is not necessarily itself torified. We recall here the main definitions.

Recall first that, as defined in [19], one considers the set $\mathcal{C}_{\mathbb{F}_1}$ of all constructible sets over \mathbb{Z} that can be obtained, starting with the multiplicative group \mathbb{G}_m by repeated operations of products, disjoint unions, and taking complements.

Definition 6.3. A variety (or more generally a constructible set) X defined over \mathbb{Z} has a *constructible torification* if the following conditions hold:

- (1) There is a morphism of constructible sets $e : C \rightarrow X$ from a $C \in \mathcal{C}_{\mathbb{F}_1}$ such that the restriction of e to each component of C is an immersion and the map $e(k) : C(k) \rightarrow X(k)$ is a bijection of the sets of k -points for any field k .
- (2) The class $[X] \in K_0(\mathcal{V}_{\mathbb{Z}})$ has a decomposition $[X] = \sum_k a_k \mathbb{T}^k$ with $a_k \geq 0$.

We reformulate this condition in the context of ind-varieties and Habiro functions, and we obtain the following.

Condition 6.4. An ind-variety $\mathcal{X} = \varprojlim_N \mathcal{X}_N$ over \mathbb{Z} has a *constructible \mathbb{F}_1 -structure* if the following conditions hold:

- (Geometric Decompositions): The varieties \mathcal{X}_N have a decomposition of the form (6.2), where the varieties X_m admit a *constructible torification* as in Definition 6.3.
- (Grothendieck Class): The class $[\mathcal{X}_N]$ defines an element in the Tate part of the Habiro–Grothendieck ring $\widehat{\mathbb{Z}[\mathbb{L}]}$, of the form $\sum_{m=0}^\infty \alpha_m(\mathbb{L})(\mathbb{L}^m - 1) \cdots (\mathbb{L} - 1)$, where $[X_m] = \alpha_m(\mathbb{L})$ is a polynomial in $\mathbb{Z}[\mathbb{L}]$ of the form $\alpha_m(\mathbb{L}) = \sum_k a_{m,k} \mathbb{T}^k$ with $a_{m,k} \geq 0$.
- (Counting Function): The counting functions $N_{\mathcal{X}_N}(q)$ determine a function $N_{\mathcal{X}}(q)$ in the Habiro ring, with $N_{\mathcal{X}}(q) = \sum_{m=0}^\infty \alpha_m(q)(q^m - 1) \cdots (q - 1)$, where $\alpha_m(q) = N_{X_m}(q) = \sum_k a_{m,k}(q - 1)^k$, with $a_{m,k} \geq 0$.

6.5. Roots of Tate motives and \mathbb{F}_ζ -structures in the Habiro–Grothendieck ring

As in Sec. 5, we can also consider the notion of “Tate root \mathbb{F}_ζ -structure” in the setting of the Habiro–Grothendieck ring. For a class

$$[\mathcal{X}] = \sum_{m=1}^\infty \alpha_m(\mathbb{L})(\mathbb{L}^m - 1) \cdots (\mathbb{L} - 1)$$

a Tate root \mathbb{F}_ζ -structure, for ζ a root of unity of order n , is an element

$$f(\mathbb{L}^{1/n}) = \sum_{m=1}^{\infty} \alpha_m(\mathbb{L}^{1/n})(\mathbb{L}^{m/n} - 1) \cdots (\mathbb{L}^{1/n} - 1)$$

in the ring $\widehat{\mathbb{Z}[\mathbb{L}]}_\infty = \widehat{\mathcal{P}}_{\mathbb{Z}}$ of (4.1).

7. Examples

In this section we discuss several explicit examples of functions defining \mathbb{F}_ζ -structures on associated ind-varieties in the sense described above.

Some of the examples considered in this section are quantum modular forms in the sense of Zagier [27], but we do not know at this stage whether there is an interpretation of the quantum modularity condition in terms of some of the notions of \mathbb{F}_ζ -geometry that we have been considering in this paper. We hope to return to this question elsewhere, as well as to possible relations to physics, in the context of [8].

7.1. General linear groups

It is well known that, as in (3.11),

$$\#\mathrm{GL}_m(\mathbb{F}_q) = q^{m(m-1)/2}(q-1)(q^2-1)\cdots(q^m-1). \tag{7.1}$$

We consider a function in the Habiro ring, associated to the general linear groups, of the form

$$f_{\mathrm{GL}}(q) := 1 + \sum_{m=1}^{\infty} q^{m(m-1)/2}(q-1)(q^2-1)\cdots(q^m-1). \tag{7.2}$$

This is the counting function for the class in the Grothendieck–Habiro ring

$$\left[\bigcup_m \mathrm{GL}_m \right] = \sum_{m=0}^{\infty} [\mathrm{GL}_m], \tag{7.3}$$

where we set $[\mathrm{GL}_0] = 1$.

Proposition 7.1. *Let $\mathcal{X}_n = \coprod_{m=0}^n \mathrm{GL}_m$. The counting function $N_{\mathcal{X}_n}(1-n)$ is non-negative for odd n , hence $\mathcal{X} = \coprod_{m=0}^{\infty} \mathrm{GL}_m$ has an \mathbb{F}_ζ -structure for all roots of unity of odd order.*

Proof. We consider the sum as starting at $m = 1$. Summing the m th and $(m+1)$ th terms, we have $q^{m(m-1)/2}(q-1)(q^2-1)\cdots(q^m-1)[q^m(q^{m+1}-1)+1]$. When $m = 4k$, we have $(q-1)(q^2-1)\cdots(q^m-1)|_{q=1-n} \geq (-2)^{2k} \times 1^{2k} \geq 2$. We also have $q^{m(m-1)/2}|_{q=1-n} = q^{2k(4k-1)}|_{q=1-n} \geq (-2)^{2k(4k-1)} \geq 2$, while $(1-n)^{m+1} - 1 \leq -3$ and $(1-n)^m \geq 2$, so $(q^m(q^{m+1}-1)+1)|_{q=1-n} \leq -5$, hence the sum of these terms is negative. When $m = 4k+1$, $((q-1)(q^2-1)\cdots(q^m-1))|_{q=1-n}$ is negative, since there is an odd number of negative terms, while $(1-n)^{m(m-1)/2} = (1-n)^{2k(4k+1)}$

is positive, and (for $n > 1$) we have $(1 - n)^{m+1} - 1 \geq 1$ and $(1 - n)^m < -1$, so $(1 - n)^m((1 - n)^{m+1} - 1) + 1 < 0$. The trivial case of $n = 1$ also gives $((q - 1)(q^2 - 1) \cdots (q^m - 1))|_{q=1-n} = (-1)^{4k+1} < 0$. Thus, the sum of the terms is positive in this case. When $m = 4k + 3$, $((q - 1)(q^2 - 1) \cdots (q^m - 1))|_{q=1-n}$ is positive, since there is an even number of negative terms, while $(1 - n)^{m(m-1)/2} = (1 - n)^{(2k+1)(4k+3)}$ is negative and $(1 - n)^{m+1} - 1 \geq 1$ and $(1 - n)^m < -1$, so $(1 - n)^m((1 - n)^{m+1} - 1) + 1 < 0$. Thus, the sum of the terms is positive in this case. We then look at the cases for n . For $n = 1$ the sum starting from $m = 1$ is zero, and for $n = 2$ it is negative. For n even, the sum of terms larger than $m = 0$ begins with an odd term and ends with an odd term. Since the sum of the m th and $(m + 1)$ th term is negative for m even, the sum is bounded by $\sum_{m=2}^{n-1} N_{\mathcal{X}_n}(1 - n) \leq -20 \times \frac{n-2}{2}$. Adding the terms $m = 0$ and $m = 1$ does not change sign, hence the sum is negative. When n is odd, the sum of terms larger than $m = 0$ begins with an odd term and ends with an even term. Since the sum of the m th and $(m + 1)$ th term is positive for m odd, the sum is positive for odd n . \square

7.2. Matrix equations over \mathbb{F}_q

As another example, we consider a special case of the matrix equations over finite fields analyzed in [3]. Let A be a non-singular matrix of order $2m$. Then, as in [3], one knows that the number of solutions $Z(A, A)$ for $X'AX = A$ is given by

$$E_{2m}(q) = Z(A, A) = q^{m^2} \prod_{i=1}^m (q^{2i} - 1). \tag{7.4}$$

The term with $m = 0$ is set equal to 1. One sees immediately, that one can also read the expression (7.4) as counting points of a variety of the following form.

Lemma 7.2. *The number of solutions (7.4) is the counting function $N_{X_m}(q)$ of the variety $X_m = \mathbb{A}^{m^2} \times (\mathbb{A}^2 - \{\mathbf{0}\}) \times (\mathbb{A}^4 - \{\mathbf{0}\}) \times \cdots \times (\mathbb{A}^{2m} - \{\mathbf{0}\})$.*

We now consider the positivity condition $N_{\mathcal{X}_n}(1 - n) \geq 0$ for the existence of an \mathbb{F}_ζ -structure as in Condition 6.2.

Proposition 7.3. *The counting function $N_{\mathcal{X}_n}(q)$ satisfies $N_{\mathcal{X}_n}(1 - n) \geq 0$ for odd n and for n of the form $4k + 2$. Hence the ind-variety \mathcal{X} , in this case, has an \mathbb{F}_ζ -structure for all roots of unity of odd order and of order $4k + 2$.*

Proof. Summing the $(m - 1)$ th term and the m th term for $m \geq 2$, we have $(q^2 - 1) \cdots (q^{2k-2} - 1)(q^{m^2}(q^{2m} - 1) - q^{(m-1)^2})$. We need to check whether $(1 - n)^{m^2}((1 - n)^{2m} - 1) + (1 - n)^{(m-1)^2}$ is positive. When m is odd, $m \geq 3$, we have $(1 - n)^{(m-1)^2} > 1$, and the positivity of the above term is the same as the positivity of $[(1 - n)^{\text{odd}}((1 - n)^{\text{even}} - 1) + 1] \leq -2 \times (a \in \mathbb{Z}^+) + 1 \leq -1$, hence the sum of the two terms is negative. When m is even, the sign of the same term is given by the sign of $(1 - n)^{\text{odd}}[(1 - n)^{\text{odd}}((1 - n)^{\text{even}} - 1) + 1] \geq (-2)(-1) = 2$, hence the sum is positive. Thus, when n is odd, the sum $1 + \sum_{m=1}^{n-1} N_{\mathcal{X}_m}(1 - n) \geq 1 + (2) \times \frac{n-1}{2} \geq n > 0$.

When $n = 4k$, for $k \geq 1$, one has $\sum_{m=2}^{n/2-1} N_{X_m}(1-n) \leq (-1) \times \frac{n/2-2}{2} = 1-k$, hence the sum $\sum_{m=0}^{n/2-1} N_{X_m}(1-n) \leq 2-k + (1-n)(n^2-2n) \leq -k-4 < 0$. When $n = 4k+2$, the final term $\frac{n}{2} - 1$ is even, hence the sum behaves as in the odd case, ending with an even term, hence the sum is again positive. \square

7.3. Ramanujan’s q -hypergeometric function

We consider next the example of the two q -hypergeometric functions

$$\begin{aligned} \sigma(q) &= \sum_{n=0}^{\infty} \frac{q^{n(n+1)/2}}{(1+q)(1+q^2)\cdots(1+q^n)} \\ &= 1 + q - q^2 + 2q^3 - 2q^4 + q^5 + q^7 - 2q^8 + \cdots, \\ \sigma^*(q) &= 2 \sum_{n=1}^{\infty} \frac{(-1)^n q^{n^2}}{(1-q)(1-q^3)\cdots(1-q^{2n-1})} \\ &= -2q - 2q^2 - 2q^3 + 2q^7 + 2q^8 + 2q^{10} + \cdots. \end{aligned}$$

The function $\sigma(q)$ can be interpreted as counting function for a class in the Habiro–Grothendieck ring in the following way.

Lemma 7.4. *The q -hypergeometric function*

$$\sigma(q) = \sum_{n=0}^{\infty} \frac{q^{n(n+1)/2}}{(1+q)(1+q^2)\cdots(1+q^n)} \tag{7.5}$$

is the counting function of the Habiro–Grothendieck class

$$\sigma(\mathbb{L}) = \mathbb{L}^0 + \sum_{n=0}^{\infty} \mathbb{L}^{n+1}(\mathbb{L}-1)(\mathbb{L}^2-1)\cdots(\mathbb{L}^n-1). \tag{7.6}$$

There is an ind-variety

$$\mathcal{X}_\sigma = \bigcup_{n=0}^{\infty} \mathbb{A}^{n+1} \times (\mathbb{A}^1 \setminus \{0\}) \times (\mathbb{A}^2 \setminus \{0\}) \times \cdots \times (\mathbb{A}^n \setminus \{0\}) \tag{7.7}$$

such that $[\mathcal{X}_\sigma] = \sigma(\mathbb{L})$. All the varieties

$$\mathcal{X}_{\sigma,N} = \bigcup_{n=0}^N \mathbb{A}^{n+1} \times (\mathbb{A}^1 \setminus \{0\}) \times (\mathbb{A}^2 \setminus \{0\}) \times \cdots \times (\mathbb{A}^n \setminus \{0\})$$

admit a geometric torification.

Proof. The q -hypergeometric function (7.5) satisfies the identity (see [1, 27])

$$\sigma(q) = 1 + \sum_{n=0}^{\infty} q^{n+1}(q-1)(q^2-1)\cdots(q^n-1). \tag{7.8}$$

In the form (7.8) this function can be thought of as the counting function associated to the class (7.6). It is clear by construction that $[\mathcal{X}_\sigma] = \sigma(\mathbb{L})$. To show that the varieties $\mathcal{X}_{\sigma, N}$ admit a geometric torification it suffices to show that a complement $\mathbb{A}^k \setminus \{0\}$ admits a torification, as one can then construct torifications on all the products $\mathbb{A}^{n+1} \times (\mathbb{A}^1 \setminus \{0\}) \times (\mathbb{A}^2 \setminus \{0\}) \times \cdots \times (\mathbb{A}^n \setminus \{0\})$. We proceed inductively. For $k = 1$ we have $\mathbb{A}^1 \setminus \{0\} = \mathbb{G}_m$ which is already a torus. Let us assume that we have constructed a torification for the complement $\mathbb{A}^k \setminus \{0\}$. Then we decompose $\mathbb{A}^{k+1} \setminus \{0\}$ as a disjoint union of $(\mathbb{A}^{k+1} \setminus \mathbb{A}^k) \cup (\mathbb{A}^k \setminus \{0\})$. The first term can be identified with $\mathbb{A}^k \times \mathbb{G}_m$ where both factors admit torifications, while the second term has a torification by inductive hypothesis. \square

Proposition 7.5. *The counting functions $N_{\mathcal{X}_{\sigma, n}}(q)$ satisfy $N_{\mathcal{X}_{\sigma, n}}(1 - n) \geq 0$ for $n = 1, n = 4k + 3$, and $n = 4k$. Thus \mathcal{X}_σ has an \mathbb{F}_ζ -structure at non-trivial roots of unity ζ of order $4k + 3$ and $4k$.*

Proof. Summing the m th, $(m + 1)$ th, $(m + 2)$ th and $(m + 3)$ th terms in the series

$$\sigma(q) - 1 = \sum_{m=0}^{\infty} q^{m+1}(q - 1)(q^2 - 1) \cdots (q^m - 1)$$

gives

$$\begin{aligned} & (q - 1)(q^2 - 1) \cdots (q^m - 1)(q^{m+4}(q^{m+3} - 1)(q^{m+2} - 1)(q^{m+1} - 1) \\ & \quad + q^{m+3}(q^{m+2} - 1)(q^{m+1} - 1) + q^{m+2}(q^{m+1} - 1) + q^{m+1}) \\ & = q^{m+1}(q - 1)(q^2 - 1) \cdots (q^m - 1)(q^3(q^{m+3} - 1)(q^{m+2} - 1)(q^{m+1} - 1) \\ & \quad + q^2(q^{m+2} - 1)(q^{m+1} - 1) + q(q^{m+1} - 1) + 1). \end{aligned}$$

When $m = 4k$, we have

$$\begin{aligned} & q^2(q^{m+2} - 1)(q^{m+1} - 1) + q(q^{m+1} - 1)|_{q=1-n} \\ & = q(q^{m+1} - 1)(q(q^{m+2} - 1) + 1)|_{q=1-n} \leq -q(q^{m+1} - 1)|_{q=1-n} \leq -6, \\ & (q^3(q^{m+3} - 1)(q^{m+2} - 1)(q^{m+1} - 1) + 1)|_{q=1-n} \leq -18 + 1 = -17. \end{aligned}$$

So we have

$$\begin{aligned} & (q^3(q^{m+3} - 1)(q^{m+2} - 1)(q^{m+1} - 1) + q^2(q^{m+2} - 1)(q^{m+1} - 1) \\ & \quad + q(q^{m+1} - 1) + 1)|_{q=1-n} \leq -23. \end{aligned}$$

The term $(q - 1)(q^2 - 1) \cdots (q^m - 1)|_{q=1-n} \geq 2$, since there is an even number of negative terms, and $(1 - n)^{m+1} \leq -2$. Thus, the sum of the four terms is bounded below by 92, hence positive.

In the case $m = 4k + 1$, we have

$$q^2(q^{m+3} - 1)(q^{m+2} - 1) + q(q^{m+2} - 1) = q(q^{m+2} - 1)(q(q^{m+3} - 1) + 1)$$

with $(q(q^{m+3} - 1) + 1)|_{q=1-n} \leq -1$ and

$$\begin{aligned} & q^2(q^{m+3} - 1)(q^{m+2} - 1) + q(q^{m+2} - 1) \\ &= (q(q^{m+2} - 1)(q(q^{m+3} - 1) + 1))|_{q=1-n} \leq -6, \\ & (q^2(q^{m+3} - 1)(q^{m+2} - 1) + q(q^{m+2} - 1) + 1)|_{q=1-n} \leq -5, \\ & (q^3(q^{m+3} - 1)(q^{m+2} - 1)(q^{m+1} - 1) + q^2(q^{m+2} - 1)(q^{m+1} - 1) + q(q^{m+1} - 1)) \\ &= q(q^{m+1} - 1)(q^2(q^{m+3} - 1)(q^{m+2} - 1) + q(q^{m+2} - 1) + 1)|_{q=1-n} \geq 10, \\ & (q^3(q^{m+3} - 1)(q^{m+2} - 1)(q^{m+1} - 1) + q^2(q^{m+2} - 1)(q^{m+1} - 1) \\ &+ q(q^{m+1} - 1) + 1)|_{q=1-n} \geq 11, \end{aligned}$$

while $(q - 1)(q^2 - 1) \cdots (q^m - 1)|_{q=1-n} \leq -2$, since there is an odd number of negative terms, and $(1 - n)^{m+1} \geq 2$. So the sum of the four terms is bounded above by -44 , hence negative.

For $m = 4k + 2$, we have

$$\begin{aligned} & (q^2(q^{m+2} - 1)(q^{m+1} - 1) + q(q^{m+1} - 1)) \\ &= q(q^{m+1} - 1)(q(q^{m+2} - 1) + 1)|_{q=1-n} \leq -q(q^{m+1} - 1) \leq -6, \\ & (q^3(q^{m+3} - 1)(q^{m+2} - 1)(q^{m+1} - 1) + 1)|_{q=1-n} \leq -17, \end{aligned}$$

so that

$$\begin{aligned} & (q^3(q^{m+3} - 1)(q^{m+2} - 1)(q^{m+1} - 1) + q^2(q^{m+2} - 1)(q^{m+1} - 1) \\ &+ q(q^{m+1} - 1) + 1)|_{q=1-n} \leq -23. \end{aligned}$$

The product $(q - 1)(q^2 - 1) \cdots (q^m - 1)|_{q=1-n} \leq -2$ is positive since there is an even number of negative terms and $(1 - n)^{m+1} \leq -2$. Thus, the sum of the four terms is bounded above by -92 , hence negative.

For $m = 4k + 3$, in $q^2(q^{m+3} - 1)(q^{m+2} - 1) + q(q^{m+2} - 1) = q(q^{m+2} - 1)(q(q^{m+3} - 1) + 1)$ we have $(q(q^{m+3} - 1) + 1)|_{q=1-n} \leq -1$ and

$$\begin{aligned} & (q^2(q^{m+3} - 1)(q^{m+2} - 1) + q(q^{m+2} - 1))|_{q=1-n} \\ &= (q(q^{m+2} - 1)(q(q^{m+3} - 1) + 1))|_{q=1-n} \leq -6, \\ & (q^2(q^{m+3} - 1)(q^{m+2} - 1) + q(q^{m+2} - 1) + 1)|_{q=1-n} \leq -5, \\ & (q^3(q^{m+3} - 1)(q^{m+2} - 1)(q^{m+1} - 1) + q^2(q^{m+2} - 1)(q^{m+1} - 1) \\ &+ q(q^{m+1} - 1))|_{q=1-n} \\ &= (q(q^{m+1} - 1)(q^2(q^{m+3} - 1)(q^{m+2} - 1) + q(q^{m+2} - 1) + 1))|_{q=1-n} \geq 10, \\ & (q^3(q^{m+3} - 1)(q^{m+2} - 1)(q^{m+1} - 1) + q^2(q^{m+2} - 1)(q^{m+1} - 1) \\ &+ q(q^{m+1} - 1) + 1)|_{q=1-n} \geq 11. \end{aligned}$$

The product $(q - 1)(q^2 - 1) \cdots (q^m - 1)|_{q=1-n} \geq 2$ since there is an even number of negative terms, and $(1 - n)^{m+1} \geq 2$. Thus, the sum of the four terms is bounded below by 44, hence positive.

When $n = 1$, $\sigma(1 - n) = 2 > 0$. When $n = 2$, $\sigma(1 - n) = 1 + \sum_{m=0}^1 q^{m+1}(q - 1)(q^2 - 1) \cdots (q^m - 1)|_{q=1-n} = 1 + q + q^2(q - 1)|_{q=1-n} = -2 < 0$.

When $n \geq 3$ and of the form $n = 4k + 1$, the sum from $m = 1$ to $n - 1 = 4k$ can be split into groups of four terms each, with each group beginning with $m = 4k + 1$, hence we obtain $\sigma(1 - n) \leq -44k + 1 < 0$.

For $n = 4k + 2$, the sum from $m = 2$ to $n - 1 = 4k + 1$ can be split into groups of four terms, each starting with $m = 4k + 2$, hence $\sigma(1 - n) \leq -92k + 1 + (q + q^2(q - 1))|_{q=1-n} \leq -92k - n - 6 < 0$.

When $n = 4k + 3$, the sum from $m = 3$ to $n - 1 = 4k + 2$ can be split into groups of four terms starting with $m = 4k + 3$, and one has $\sigma(1 - n) \geq 44k + 1 + (q + q^2(q - 1) + q^3(q - 1)(q^2 - 1))|_{q=1-n}$, where $(q^2(q - 1) + q^3(q - 1)(q^2 - 1))|_{q=1-n} = q^2(q - 1)(q(q^2 - 1) + 1)|_{q=1-n} \geq 6$, so that $\sigma(1 - n) \geq 44k + 1 + (q + q^2(q - 1) + q^3(q - 1)(q^2 - 1))|_{q=1-n} \geq 44k + 7 + 1 - 4k - 3 = 40k + 5 > 0$.

When $n = 4k$, the sum from $m = 4$ to $n - 1 = 4k - 1$ can be separated into groups of four terms each starting with $m = 4k$, so that one obtains $\sigma(1 - n) \geq 92k + 1 + (q + q^2(q - 1) + q^3(q - 1)(q^2 - 1) + q^4(q - 1)(q^2 - 1)(q^3 - 1))|_{q=1-n} \geq 92k + 25 + 1 - 4k = 88k + 26 > 0$. □

We also consider the case of the function $\sigma^*(q)$, which we write in the equivalent form (see [27])

$$\sigma^*(q) = 2 \sum_{k=0}^{\infty} (-1)^{k+1} q^{k+1} (q^2 - 1)(q^4 - 1) \cdots (q^{2k} - 1).$$

We can view this as the counting function of some ind-variety with an \mathbb{F}_1 -structure in the following way.

Lemma 7.6. *Let $\mathcal{X}_{\sigma^*, \ell}$ be a union of tori with Grothendieck class*

$$[\mathcal{X}_{\sigma^*, \ell}] = 4\ell\mathbb{T} + \sum_{k=2}^{4\ell+1} \binom{4\ell+1}{k} \mathbb{T}^k,$$

with $\mathbb{T} = \mathbb{L} - 1$. Then setting

$$\mathcal{Y}_\ell = \mathbb{A}^{2\ell} \times (\mathbb{A}^2 \setminus \{\mathbf{0}\}) \times \cdots \times (\mathbb{A}^{4\ell-2} \setminus \{\mathbf{0}\}) \times \mathcal{X}_{\sigma^*, \ell}$$

and $\mathcal{Y} = \bigcup_{\ell=1}^{\infty} \mathcal{Y}_\ell$, gives an ind-variety formally satisfying

$$2[\mathcal{X}] = 2 \sum_{\ell=1}^{\infty} \mathbb{L}^{2\ell+1} (\mathbb{L}^2 - 1) \cdots (\mathbb{L}^{4\ell} - 1) [\mathcal{X}_{\sigma^*, \ell}] = \sigma^*(\mathbb{L}).$$

Proof. The difference of two consecutive terms in $\sigma^*(q)$ is

$$\begin{aligned} & q^{2\ell+1}(q^2 - 1)(q^4 - 1) \cdots (q^{4\ell} - 1) - q^{2\ell}(q^2 - 1)(q^4 - 1) \cdots (q^{4\ell-2} - 1) \\ &= q^{2\ell}(q^2 - 1) \cdots (q^{4\ell-2} - 1)(q^{4\ell+1} - q - 1). \end{aligned}$$

This can be seen as the counting function $N_{\mathcal{Y}_\ell}(q)$, since $\mathbb{L}^{4\ell+1} - \mathbb{L} - 1 = 4\ell\mathbb{T} + \sum_{k=2}^{4\ell+1} \binom{4\ell+1}{k} \mathbb{T}^k$. The varieties $\mathbb{A}^{2\ell}$ and $(\mathbb{A}^k \setminus \{\mathbf{0}\})$ have a geometric torification, and so does $\mathcal{X}_{\sigma^*, \ell}$, as a union of tori. Thus, the varieties \mathcal{Y}_ℓ can be torified and \mathcal{Y} has an \mathbb{F}_1 -structure according to our definition. \square

Proposition 7.7. *The counting function $N_{\mathcal{Y}_\ell}(q)$ satisfied $N_{\mathcal{Y}_\ell}(1 - n) \geq 0$ for all $n = 4k$, hence \mathcal{Y} has an \mathbb{F}_ζ -structure at all roots of unity ζ of order $4k$.*

Proof. As above, summing the $(k - 1)$ th term and the k th term for $k \geq 2$, we have $(q^2 - 1) \cdots (q^{2k-2} - 1)(q^{k+1}(q^{2k} - 1) + q^k)$. Thus, we need to check when $((1 - n)^{k+1}((1 - n)^{2k} - 1) + (1 - n)^k) = (1 - n)^k((1 - n)((1 - n)^{2k} - 1) + 1)$ is positive. For all k , $((1 - n)((1 - n)^{2k} - 1) + 1) \leq (-2)(1 + 1) \leq -2$. Moreover, for k odd, $(1 - n)^k \leq -2$, hence $(1 - n)^k[(1 - n)((1 - n)^{2k} - 1) + 1] \geq 4$, while when k is even, $(1 - n)^k \geq 2$ and $(1 - n)^k((1 - n)((1 - n)^{2k} - 1) + 1) \leq -4$.

When $n = 1$, $\sigma^*(q)|_{q=1-n} = 0$, and when $n = 2$, we have $\sigma^*(q)|_{q=1-n} = -2 < 0$.

For $n > 2$ odd, the sum for k from 1 to $n - 1$ begins with an odd term and ends with an even term, hence the sum is bounded above by $-4 \times \frac{n-1}{2}$, and

$$\begin{aligned} & 2 \sum_{k=0}^{n-1} (-1)^{k+1} q^{k+1} (q^2 - 1)(q^4 - 1) \cdots (q^{2k} - 1)|_{q=1-n} \\ & \leq -4(n - 1) + 2(1 - n) = -6(n - 1) < 0. \end{aligned}$$

For $n > 2$ even, the sum for k from 1 to $n/2 - 1$ begins with an odd term and ends with $\frac{n}{2} - 1$. If n is of the form $4k + 2$, then the sum ends with an even term and the resulting summation

$$2 \sum_{k=0}^{n/2-1} (-1)^{k+1} q^{k+1} (q^2 - 1)(q^4 - 1) \cdots (q^{2k} - 1)|_{q=1-n}$$

behaves as in the case n odd discussed above. If n is of the form $4k$ for $k \geq 1$, on the other hand, the sum ends in an odd term, and

$$\begin{aligned} & 2 \sum_{k=0}^{n/2-1} (-1)^{k+1} q^{k+1} (q^2 - 1)(q^4 - 1) \cdots (q^{2k} - 1)|_{q=1-n} \\ & \geq 8(k - 1) + (2q + 2q^2(q^2 - 1))|_{q=1-n} \geq 8k - 4 > 0. \end{aligned} \quad \square$$

7.4. Kontsevich's function

Finally, we show an example where the weaker notion of *constructible torification* occurs, as in [19]. The formal series

$$K(q) = \sum_{k=0}^{\infty} (1 - q)(1 - q^2) \cdots (1 - q^k) \tag{7.9}$$

was introduced by Kontsevich in relation to Feynman integrals, and further studied by Zagier in [28]. It does not converge on any open set in \mathbb{C} , but it has a well-defined value at all roots of unity.

In our setting, (7.9) can be interpreted as a counting function, for an ind-variety that has a *constructible* torification.

Proposition 7.8. *The formal series (7.9) is the counting function for the class in the Habiro–Grothendieck ring*

$$K(\mathbb{L}) = \sum_{k=0}^{\infty} (\mathbb{L}^{2k} - 2)(\mathbb{L}^{2k-1} - 1) \cdots (\mathbb{L}^2 - 1)(\mathbb{L} - 1). \tag{7.10}$$

We use the notation $\mathbf{0} = (0, 0, \dots, 0) \in \mathbb{A}^k$ and $\mathbf{1} = (1, 1, \dots, 1) \in \mathbb{A}^k$. The ind-variety

$$X_K = \bigcup_{k=0}^{\infty} (\mathbb{A}^{2k} \setminus \{\mathbf{0}, \mathbf{1}\}) \times (\mathbb{A}^{2k-1} \setminus \{\mathbf{0}\}) \times \cdots \times (\mathbb{A}^2 \setminus \{\mathbf{0}\}) \times (\mathbb{A}^1 \setminus \{0\}) \tag{7.11}$$

has $[X_K] = K(\mathbb{L})$ and all the varieties $X_{K,N}$ given by

$$X_{K,N} = \bigcup_{k=0}^N (\mathbb{A}^{2k} \setminus \{\mathbf{0}, \mathbf{1}\}) \times (\mathbb{A}^{2k-1} \setminus \{\mathbf{0}\}) \times \cdots \times (\mathbb{A}^2 \setminus \{\mathbf{0}\}) \times (\mathbb{A}^1 \setminus \{0\})$$

admit constructible torifications, in the sense of Sec. 6.4 above, when $N = 4k$.

Proof. We interpret the sum of two consecutive terms in the Kontsevich series as

$$\begin{aligned} & (1 - q)(1 - q^2) \cdots (1 - q^{2k-1}) + (1 - q)(1 - q^2) \cdots (1 - q^{2k}) \\ &= (q^{2k} - 2)(q^{2k-1} - 1) \cdots (q - 1), \end{aligned}$$

which is the counting function for the product $(\mathbb{L}^{2k} - 2) \times (\mathbb{L}^{2k-1} - 1) \times \cdots \times (\mathbb{L} - 1)$. Clearly, by construction $K(\mathbb{L})$ is the class of X_K , and the existence of a constructible torification on $X_{K,N}$ can be shown by showing that the complement $\mathbb{A}^{2k} \setminus \{\mathbf{0}, \mathbf{1}\}$ admits a constructible torification, as then a constructible torification of $X_{K,N}$ can be obtained using that of $\mathbb{A}^{2k} \setminus \{\mathbf{0}, \mathbf{1}\}$, together with geometric torifications on the $\mathbb{A}^k \setminus \{\mathbf{0}\}$, constructed as in Lemma 7.4. The variety $\mathbb{A}^{2k} \setminus \{\mathbf{0}, \mathbf{1}\}$ clearly admits a constructible torification, by taking the complement of a point $\{\mathbf{1}\}$ (with its trivial torification) in a torification $\mathbb{A}^{2k} \setminus \{\mathbf{0}\}$. However, the positivity of the Grothendieck class, which is necessary for the notion of constructible torification is *not* satisfied by the individual term $\mathbb{A}^{2k} \setminus \{\mathbf{0}, \mathbf{1}\}$, since its Grothendieck class in the variable \mathbb{T} is given by $-1 + \sum_{j=1}^{2k} \binom{2k}{j} \mathbb{T}^j$. However, the varieties $X_{K,N=4k}$ satisfy the positivity of the Grothendieck class, since the negative terms cancel in pairs, hence the $X_{K,4k}$ have a constructible torification in the full sense of Definition 6.3. □

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