

# Formal Languages 2: Group Theory

Matilde Marcolli

CS101: Mathematical and Computational Linguistics

Winter 2015

- Group  $G$ , with presentation  $G = \langle X \mid R \rangle$  (finitely presented)
  - $X$  (finite) set of generators  $x_1, \dots, x_N$
  - $R$  (finite) set of relations:  $r \in R$  words in the generators and their inverses

Word problem for  $G$ :

- Question: when does a word in the  $x_j$  and  $x_j^{-1}$  represent the element  $1 \in G$ ?
- When do two words represent the same element?
- Comparing different presentations
- is there an algorithmic solution?

## Word problem and formal languages

- for  $G = \langle X \mid R \rangle$  call  $\hat{X} = \{x, x^{-1} \mid x \in X\}$  symmetric set of generators
- Language associated to a finitely presented group  $G = \langle X \mid R \rangle$

$$\mathcal{L}_G = \{w \in \hat{X}^* \mid w = 1 \in G\}$$

set of words in the generators representing trivial element of  $G$

- What kind of formal language is it?

- Algebraic properties of the group  $G$  correspond to properties of the formal language  $\mathcal{L}_G$ :
  - 1  $\mathcal{L}_G$  is a **regular language** (Type 3) iff  $G$  is finite (Anisimov)
  - 2  $\mathcal{L}_G$  is **context-free** (Type 2) iff  $G$  has a free subgroup of finite index (Muller–Schupp)
- Formal languages and solvability of the word problem:
  - Word problem solvable for  $G$  (finitely presented) iff  $\mathcal{L}_G$  is a **recursive language**

## Recursive languages (alphabet $\hat{X}$ ):

- $\mathcal{L}_G$  recursive subset of  $\hat{X}^*$
- equivalently the characteristic function  $\chi_{\mathcal{L}_G}$  is a total recursive function
- Total recursive functions are computable by a Turing machine that always halts
- For a recursive language there is a Turing machine that always halts on an input  $w \in \hat{X}^*$ : accepts it if  $w \in \mathcal{L}_G$ , rejects it if  $w \notin \mathcal{L}_G$ : so word problem is (algorithmically) solvable

## Finitely presented groups with unsolvable word problem (Novikov)

- Group  $G$  with **recursively enumerable presentation**:  $G = \langle X \mid R \rangle$  with  $X$  finite and  $R$  recursively enumerable
- Group is recursively presented iff it can be embedded in a finitely presented group ( $X$  and  $R$  finite)
- Example of recursively presented  $G$  with unsolvable word problem

$$G = \langle a, b, c, d \mid a^n b a^n = c^n d c^n, n \in A \rangle$$

for  $A$  recursively enumerable subset  $A \subset \mathbb{N}$  that has unsolvable membership problem

- If recursively presented  $G$  has unsolvable word problem and embeds into finitely presented  $H$  then  $H$  also has unsolvable word problem.

**Example:** finite presentation with unsolvable word problem

- Generators:  $X = \{a, b, c, d, e, p, q, r, t, k\}$
- Relations:

$$p^{10}a = ap, \quad p^{10}b = bp, \quad p^{10}c = cp, \quad p^{10}d = dp, \quad p^{10}e = ep$$

$$aq^{10} = qa, \quad bq^{10} = qb, \quad cq^{10} = qc, \quad dq^{10} = qd, \quad eq^{10} = qe$$

$$ra = ar, \quad rb = br, \quad rc = cr, \quad rd = dr, \quad re = er, \quad pt = tp, \quad qt = tq$$

$$pacqr = rpcaq, \quad p^2 adq^2 r = rp^2 daq^2, \quad p^3 bcq^3 r = rp^3 cbq^3$$

$$p^4 bdq^4 r = rp^4 dbq^4, \quad p^5 ceq^5 r = rp^5 ecaq^5, \quad p^6 deq^6 r = rp^6 edbq^6$$

$$p^7 cdcq^7 r = rp^7 cdceq^7, \quad p^8 ca^3 q^8 r = rp^8 a^3 q^8, \quad p^9 da^3 q^9 r = rp^9 a^3 q^9$$

$$a^{-3} ta^3 k = ka^{-3} ta^3$$

How are such examples constructed?

A technique to construct semigroup presentations with unsolvable word problem:

- G.S. Cijtin, *An associative calculus with an insoluble problem of equivalence*, Trudy Mat. Inst. Steklov, vol. 52 (1957) 172–189

A technique for passing from a semigroup with unsolvable word problem to a group with unsolvable word problem

- V.V. Borisov, *Simple examples of groups with unsolvable word problems*, Mat. Zametki 6 (1969) 521–532

Example above: method applied to simplest known semigroup example

- D.J. Collins, *A simple presentation of a group with unsolvable word problem*, Illinois Journal of Mathematics 30 (1986) N.2, 230–234



## Regular language $\Leftrightarrow$ finite group

- If  $G$  finite, use standard presentation

$$G = \langle x_g, g \in G \mid x_g x_h = x_{gh} \rangle$$

Construct FSA  $M = (Q, F, \mathfrak{A}, \tau, q_0)$  with  $Q = \{x_g \mid g \in G\}$ ,  
 $\mathfrak{A} = \{x_g^{\pm 1} \mid g \in G\}$ ,  $q_0 = x_1$ ,  $F = \{q_0\}$  and transitions  $\tau$  given by

$$(x_g, x_h, x_{gh}), \quad g, h \in G$$

$$(x_g, x_h^{-1}, x_{gh^{-1}}), \quad g, h \in G$$

The finite state automaton  $M$  recognizes  $\mathcal{L}_G$

- If  $G$  is infinite and  $X$  is a finite set of generators for  $G$

For any  $n \geq 1$  there is a  $g \in G$  such that  $g$  not obtained from any word of length  $\leq n$  (only finitely many such words and  $G$  is infinite)

If  $M$  deterministic FSA with alphabet  $\hat{X}$  and  $n = \#Q$  number of states, take  $g \in G$  not represented by any word of length  $\leq n$

then there are prefixes  $w_1$  and  $w_1w_2$  of  $w$  such that, after reading  $w_1$  and  $w_1w_2$  obtain same state

so  $M$  accepts (or rejects) both  $w_1w_1^{-1}$  and  $w_1w_2w_1^{-1}$  but first is 1 and second is not ( $w_2 \neq 1$ )

so  $M$  cannot recognize  $\mathcal{L}_G$

## Cayley graph

- Vertices  $V(\mathcal{G}_G) = G$  elements of the group
- Edges  $E(\mathcal{G}_G) = G \times X$  with edge  $e_{g,x}$  oriented with  $s(e_{g,x}) = g$  and  $t(e_{g,x}) = gx$
- for  $x^{-1} \in \hat{X}$  edge with opposite orientation  $e_{g,x^{-1}} = \bar{e}_{g,x}$  with  $s(e_{g,x^{-1}}) = gx$  and  $t(e_{g,x^{-1}}) = gx x^{-1} = g$
- word  $w$  in the generators  $\Rightarrow$  oriented path in  $\mathcal{G}_G$  from  $g$  to  $gw$
- word  $w = 1 \in G$  iff corresponding path in  $\mathcal{G}_G$  is closed
- $G$  acts on  $\mathcal{G}_G$ : acting on  $V(\mathcal{G}_G) = G$  and on  $E(\mathcal{G}_G) = G \times X$  by left multiplication (translation)
- invariant metric:  $d(g, h) =$  minimal length of path from vertex  $g$  to vertex  $h$ , with  $d(ag, ah) = d(g, h)$  for all  $a \in G$

## Main idea for the context-free case

- $X$  set of generators of  $G$
- if for  $y_i \in \hat{X}$ , a word  $w = y_1 \cdots y_n = 1$  get closed path in the Cayley graph  $\mathcal{G}_G$
- consider a polygon  $\mathcal{P}$  with boundary this closed path
- obtain a characterization of the context-free property of  $\mathcal{L}_G$  in terms of properties of triangulations of this polygon

## Plane polygons and triangulations

- a plane polygon  $\mathcal{P}$ : interior of a simple closed curve given by a finite collections of (smooth) arcs in the plane joined at the endpoints
- triangulation of  $\mathcal{P}$ : decomposition into triangles (with sides that are arcs): two triangles can meet in a vertex or an edge (or not meet)
- allow 1-gons and 2-gons (as “triangulated”)
- triangle in a triangulation is *critical* if has two edges on the boundary of the polygon
- triangulation is *diagonal* if no more vertices than original ones of the polygon
- Combinatorial fact: a diagonal triangulation has at least two critical triangles (for  $\mathcal{P}$  with at least two triangles)

## $K$ -triangulations

- diagonal triangulation of a polygon  $\mathcal{P}$  with boundary a closed path in the Cayley graph  $\mathcal{G}_G$
- each edge of the triangulation is labelled by a word in  $\hat{X}^*$
- going around the boundary of each triangle gives a word in  $\mathcal{L}_G$  (a word  $w$  in  $\hat{X}^*$  with  $w = 1 \in G$ )
- all words labeling edges of the triangulation have length  $\leq K$

## Context-free and $K$ -triangulations

Language  $\mathcal{L}_G$  is context-free  $\Leftrightarrow \exists K$  such that all closed paths in Cayley graph  $\mathcal{G}_G$  can be triangulated with a  $K$ -triangulation

Idea of argument:

If context-free grammar:

- use production rules for word  $w = 1$  (boundary of polygon) to produce a triangulation:

$$S \rightarrow AB \xrightarrow{\bullet} w_1 w_2 = w \quad \text{with } A \xrightarrow{\bullet} w_1 \text{ and } B \xrightarrow{\bullet} w_2$$

$\Rightarrow$  a subdivision of polygon into two arcs: draw an arc in the middle, etc.

If have  $K$ -triangulation for all loops in  $\mathcal{G}_G$ : get a context-free grammar with terminals  $\hat{X}$

- for each word  $u \in \hat{X}^*$  of length  $\leq K$  variable  $A_u$  and for  $u = vw$  in  $G$  production  $A_u \rightarrow A_v A_w$  in  $P$
- any word  $w = y_1 \cdots y_n$  from boundary of triangles in the triangulation also corresponds to  $A_1 \overset{\bullet}{\rightarrow} A_{y_1} \cdots A_{y_n}$  in the grammar (inductive argument eliminating the critical triangles and reducing size of polygon)
- and productions  $A_y \rightarrow y$  (terminals); get that the grammar recognizes  $\mathcal{L}_G$



## accessibility

To link context-free to the existence of a free subgroup, need a decomposition of the group that preserves both the context-free property and the existence of a free subgroup, so that can do an inductive argument

- HNN-extensions: two subgroups  $B, C$  in a group  $A$  and an isomorphism  $\gamma : B \rightarrow C$  (not coming from  $A$ )

$$A \star_C B = \langle t, A \mid tBt^{-1} = C \rangle$$

means generators as  $A$ , additional generator  $t$ ; relations of  $A$  and additional relations  $tbt^{-1} = \gamma(b)$  for  $b \in B$

- *accessibility series*: (accessibility length  $n$ )

$$G = G_0 \supset G_1 \supset \cdots \supset G_n$$

$G_i$  subgroups with  $G_i = G_{i+1} \star_K H$  with  $K$  finite

- finitely generated  $G$  is *accessible* if upper bound on length of any accessibility series (least upper bound = accessibility length)
- assume  $G$  context-free and accessible
- inductive argument (induction on accessibility length) on existence of a free finite-index subgroup:  
if  $n = 0$  have  $G$  finite group; if  $n > 0$   $G = G_1 \star_K H$ , context-free property inherited; inductively: free finite-index subgroup for  $G_1$ ; show implies free finite-index subgroup for  $G$
- then need to eliminate auxiliary accessibility condition

## Context-free $\Leftrightarrow$ free subgroup of finite index

- show that a finitely generated  $G$  with  $\mathcal{L}_G$  context-free is finitely presented
- then show finitely presented groups are accessible
- **Conclusion:** equivalent properties for finitely generated  $G$ 
  - 1  $\mathcal{L}_G$  is a context-free language
  - 2  $G$  has a free subgroup of finite index
  - 3  $G$  has deterministic word problem  
(using the fact that free groups do)

## Word problem and geometry

- Groups given by explicit presentations arise in geometry/topology as fundamental groups  $\pi_1(X)$  of manifolds

### Positive results

- Groups with solvable word problem include: negatively curved groups (Gromov hyperbolic), Coxeter groups (reflection groups), braid groups, geometrically finite groups [all in a larger class of “automatic groups”]

### Negative results

- Any finitely presenting group occurs as the fundamental group of a smooth 4-dimensional manifold
- The homeomorphism problem is unsolvable
  - A. Markov, *The insolubility of the problem of homeomorphy*, Dokl. Akad. Nauk SSSR 121 (1958) 218–220

## References:

- 1 Ian Chiswell, *A course in formal languages, automata and groups*, Springer, 2009
- 2 S.P. Novikov, *On the algorithmic unsolvability of the word problem in group theory*, Proceedings of the Steklov Institute of Mathematics 44 (1955) 1–143
- 3 V.V. Borisov, *Simple examples of groups with unsolvable word problems*, Mat. Zametki 6 (1969) 521–532
- 4 A.V. Anisimov, *The group languages*, Kibernetika (Kiev) 1971, no. 4, 18–24
- 5 D.E. Muller, P.E. Schupp, *Groups, the theory of ends, and context-free languages*, J. Comput. System Sci. 26 (1983), no. 3, 295–310