Proc. Natl. Acad. Sci. USA Vol. 79, pp. 2554-2558, April 1982 Biophysics

# Neural networks and physical systems with emergent collective computational abilities

(associative memory/parallel processing/categorization/content-addressable memory/fail-soft devices)

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#### Luke Frankiw and Jonathan Kenny

Topics in Systems Neuroscience California Institute of Technology April 26th, 2017

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- Revitalized interest into neural network research during the early 1980s.
- Still an active researcher, proposed a model uniting associative memory and deep learning networks at *NIPS* 2016.



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#### **Complex behavior**



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Neural networks and physical

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Access with partial inputs











Short-term mean firing rate (neglect individual spikes)



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Short-term mean firing rate (neglect individual spikes)



Membrane Potential (Volts) or "Input"

Neuron/unit (s)



Neuron/unit (s)





Neuron/unit (s)













Some restrictions on weights (w) and neurons (s):



Some restrictions on weights (w) and neurons (s):

• No recursive connections



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• No recursive connections





Memory





Memory





Memory



Train weights to include this memory, with **weight update algorithm**.



1	1	1	1	1
-1	-1	-1	1	-1
-1	-1	-1	1	-1
1	-1	-1	1	-1
1	1	1	-1	-1




Perform pattern completion using **neuron update algorithm**.











4,096 neuron Hopfield network (8.3 million weights)

#### Memory encoding in the neurons

Weight training



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Memory encoding in the neurons

Weight training



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Store memory in network

(weight update algorithm)

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#### Memory encoding in the neurons

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neurons

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Memory

**Incomplete Memory** 



Add noise (20% bit flip)



4,096 neuron Hopfield network (8.3 million weights)

Memory



Add noise (20% bit flip)

(neuron update algorithm)

**Pattern completion** 



4,096 neuron Hopfield network (8.3 million weights)

#### Memory



Add noise (50% bit flip)

**Incomplete Memory** 



4,096 neuron Hopfield network (8.3 million weights)

#### Memory



Add noise (50% bit flip)

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**Pattern completion** 



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### Five neuron example

• Let's consider the case where we have a five node Hopfield net made up of 0's and 1's.

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- Let's consider the case where we have a five node Hopfield net made up of 0's and 1's.
- We will use the model system described in the paper.
  - Each node has two states: 0 when "not firing" and 1 when "firing".

$$\begin{array}{lll} V_i \rightarrow 1 & \text{if} & \sum_{j \neq i} T_{ij} V_j & > U_i \\ V_i \rightarrow 0 & \text{if} & \sum_{j \neq i} T_{ij} V_j & < U_i \end{array}.$$

• Suppose we want to store two patterns  $V^1$  and  $V^2$ .

```
V^1 = (0 \ 1 \ 1 \ 0 \ 1)
V^2 = (1 \ 0 \ 1 \ 0 \ 1)
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- Suppose we want to store two patterns V<sup>1</sup> and V<sup>2</sup>.
   V<sup>1</sup> = (0 1 1 0 1)
   V<sup>2</sup> = (1 0 1 0 1)
- We will use the prescribed information storage algorithm from Hopfield's paper.
  - Note: in this example we use 0's and 1's as did Hopfield in his paper. If we were to use -1's and 1's, the information algorithm simplifies.

$$T_{ij} = \sum_{s} (2V_i^s - 1)(2V_j^s - 1)$$
[2]

### Weight Matrix



- Begin by calculating the upper diagonal for the first pattern:
   V<sup>1</sup> = (0 1 1 0 1)
- Let's look at T<sub>12.</sub>



 $T_{12}^{-1}=(-1)(1)$ 

**T**<sub>12</sub>= -1

### Weight Matrix



$$\begin{aligned} \mathbf{T}_{14} &= (2V_1 - 1)(2V_4 - 1) = (0 - 1)(0 - 1) = (-1)(-1) = \\ 1 \end{aligned}$$
$$\begin{aligned} \mathbf{T}_{15} &= (2V_1 - 1)(2V_5 - 1) = (0 - 1)(2 - 1) = (-1)(1) = \\ -1 \end{aligned}$$
$$\begin{aligned} \mathbf{T}_{23} &= (2V_2 - 1)(2V_3 - 1) = (2 - 1)(2 - 1) = (1)(1) = 1 \\ \mathbf{T}_{24} &= (2V_2 - 1)(2V_4 - 1) = (2 - 1)(0 - 1) = (1)(-1) = \\ -1 \end{aligned}$$
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 $\mathbf{T_{13}} = (2V_1 - 1)(2V_3 - 1) = (0 - 1)(2 - 1) = (-1)(1) = -1$ 



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• We know by the formula below, our weight matrix is symmetrical:

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• Thus, we can reflect and fill in the rest of the matrix.



# Now lets calculate the matrix for the second pattern: $V^2 = (10101)$

$T_{12} = (2V_1 - 1)(2V_2 - 1) = (2 - 1)(0 - 1) = (1)(-1) = -1$				
$T_{13} = (2V_1 - 1)(2V_3 - 1) = (2 - 1)(2 - 1) = (1)(1) = 1$	0	-1	1	-1
$T_{14} = (2V_1 - 1)(2V_4 - 1) = (2 - 1)(0 - 1) = (1)(-1) = -1$	-1	0	-1	1
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$T_{35} = (2V_3 - 1)(2V_5 - 1) = (2 - 1)(2 - 1) = (1)(1) = 1$				
$T_{a5} = (2V_a - 1)(2V_5 - 1) = (0 - 1)(2 - 1) = (-1)(1) = -1$				

1

-1

1

-1

 $\cap$ 

Now, as in [2], we add the matrices together to get a final weight matrix.

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### **Final Weight Matrix**

0	-2	0	0	0
-2	0	0	0	0
0	0	0	-2	2
0	0	-2	0	-2
0	0	2	-2	0
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- The Hamming distance between the two patterns is 2. The smaller this distance, the harder it is to tell these patterns apart.
- As an analogy, consider how it might be more difficult to discriminate between an "O" and a "Q" as compared to two letters that are much different (i.e. have a greater hamming distance).

#### Updating the nodes

- Quite simply, to update the nodes of the Hopfield network you do a weighted sum of the inputs from the other nodes.
  - If the value is less than your threshold (in this case U = 0), you output 0.
  - Otherwise, the output is 1.
  - We will also make the stipulation that if the weighted sum = 0, the output is 1.
  - This is directly from [1] below:

#### • There are two ways to update the nodes:

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- Asynchronous processing is believed to better model how our neurons fire.
- Additionally, problems involving oscillatory states arise with synchronous updating.
- For the sake of simplicity, we will update the nodes one by one in order a fixed order **1**, **5**, **2**, **4**, **3**.

## Asynchronous



## Asynchronous



## Asynchronous



## Synchronous



$$V_1 in = \sum W_{j1} V_j$$

$$= T_{21}V_1 + T_{31}V_2 + T_{41}V_4 + T_{51}V_5$$

$$= (-2*1) + (0*1) + (0*1) + (0*1)$$

= -2

-2 is less than threshold so V1 = 0 and our current state = (0 1 1 1 1)

State =  $(0 \ 1 \ 1 \ 1 \ 1)$   $V_2$  in =  $(-2 \ 0 \ 0 \ 0) * (0 \ 1 \ 1 \ 1 \ 1) = 0$ since  $0 \ge 0$ ,  $V_2 = 1$  (it didn't change)

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```

```
State = (0 1 1 0 1)

V_3in = (0 0 0 -2 2) * (0 1 1 0 1) = 2

since 2 >= 0, V_3 = 1 (it didn't change)
```

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V<sub>2</sub>in = (-2 0 0 0 0) * (0 1 1 1 1) = 0
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State = (0 1 1 0 1)  $V_5 in = (0 0 2 - 2 0) * (0 1 1 0 1) = 2$ since 2 >= 0,  $V_5 = 1$  (it didn't change)

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State =  $(0 \ 1 \ 1 \ 0 \ 1)$   $V_3 in = (0 \ 0 \ 0 \ -2 \ 2) * (0 \ 1 \ 1 \ 0 \ 1) = 2$ since 2 >= 0,  $V_3 = 1$  (it didn't change) State =  $(0 \ 1 \ 1 \ 0 \ 1)$   $V_1$  in =  $(0 \ -2 \ 0 \ 0 \ 0) * (0 \ 1 \ 1 \ 0 \ 1) = -2$ since -2 < 0,  $V_1 = 0$  (it didn't change)

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- We can stop when we cycle through all the nodes once and none of them change (thus the reason a fixed order was selected).
- The network ends up at the pattern (0 1 1 0 1).
- In saying this, in this case the network will end up at a pre-learned pattern using a different fixed order of updating or if the nodes are updated randomly.

#### **Energy Function**

• Energy function [7] is derived from two-state Ising model:

$$E = -\frac{1}{2} \sum_{i \neq j} T_{ij} V_i V_j \quad .$$
 [7]

• [8] can be derived from [7] by simple subtraction:

$$\Delta E = -\Delta V_i \sum_{j \neq i'} T_{ij} V_j . \qquad [8]$$

$$\Delta E = -\Delta V_i \sum_{i \neq j} T_{ij} V_j$$

- A simple proof shows that delta E in [8] is **never > 0**.
- Consider the weighted sum describing the input to unit i:

$$\sum_{i\neq j} T_{ij} V_j$$

- CASE 1: The weighted sum is negative
  - Because the weighted sum is negative we know from [1] that Vi must be 0.

$$\begin{array}{cccc} V_i \rightarrow 1 \\ V_i \rightarrow 0 \end{array} \quad \text{if} \quad \sum_{j \neq i} T_{ij} V_j & > U_i \\ < U_i \end{array} .$$
 [1]

 $\Delta E = -\Delta V_i \sum_{ij} T_{ij} V_j$ 

- Either it changed from a one to a zero and thus Vi = -1, or it was zero and remained so and thus delta E = 0.
- In the former case, the weighted sum is negative, Vi is negative, and there is a negative term in [8] and thus delta E must be negative.
- CASE 2: The weighted sum is positive
  - Because the weighted sum is positive, via [1] Vi must be +1
  - Vi was either a one and remained so, and thus delta E =0, or it was 0 and changed to +1. In the latter case, delta Vi is positive, the weighted sum is positive, and we have a negative term in [8] and thus, delta E must be negative.

• Going back to our example, let's calculate the energy following each update.

$$(-1/2)[(-2*1)+(-2*1)+(-2*1)+(-2*1)+(-2*1)+(-2*1)+(-2*1)+(-2*1)] = 4$$

- Following this step, the state was (01111). For the sake of brevity: E = 2.0
- The final state was (0 1 1 0 1). E = -2.0 <- Minimum



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- The final state was (0 1 1 0 1). E = -2.0 <- Minimum



- Because our example was only five neurons (and thus 2^5 possible combinations), it is possible to represent the energy of all states.
- In doing so, you can see that the trained patterns are lowest in energy.
- Additionally, we have a spurious minima. It is worth noting this spurious minima occurs when **[0, 1, 1, 0, 1]** changes from **[0, 0, 1, 0, 1]** and thus, delta E in this case is 0.



# Local Minima

•Because a Hopfield net always makes decisions that lead to lower and lower energy, it makes it impossible to escape true local minima.

•In the figure below, B and C are learned patterns where A is not.

•If the state starts near state A and falls into A, it will be impossible with asynchronous updating to escape A and reach a trained pattern.



### The memory capacity

• How many memories can a Hopfield network hold?

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- Empirical evidence suggests 0.15 \* # of neurons.



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- How many memories can a Hopfield network hold?
- Empirical evidence suggests 0.15 \* # of neurons.
- The addition of new memories beyond the capacity overloads the network and makes all retrieval impossible.
- Clipping training weights to "forget" distant memories can prevent this from occurring.


## Preventing "spurious" memories

- You can prevent two energy minima/memories from combining by "unlearning" spurious memories.
- Francis Crick proposed that this "unlearning" procedure could be what REM sleep is for; preventing spurious memory formation using random inputs from the thalamus.



JJ Hopfield. 'Unlearning' has a stabilizing effect in collective memories. Nature. 1983.

## F Crick. The function of dream sleep. Nature. 1983.