

$$U^{K+2}(e, y, x_1, \dots, x_K)$$

that U is universal for $K+1$ -ary Π_n^0 relations.

So let:

$$W^{K+1}(e, x_1, \dots, x_K) \text{ be } \exists y U^{K+2}(e, y, x_1, \dots, x_K)$$

Then $W^{K+1} \in \Sigma_{n+1}^0$ (by its defn)

and U is universal since if $R \in \Sigma_{n+1}^0$ is K -ary then

$$R(\vec{x}) \text{ iff } \exists y Q(y, \vec{x})$$

(for some $Q \in \Pi_n^0$)

$$\text{iff } \exists y U^{K+2}(e, y, \vec{x})$$

(for some e) ✓

Follows for Π_{n+1}^0 ✓ ✓

Prop'n Δ_n^0 does not have enum. prop.

Pf: exercise (diag arg + fact that Δ_n^0 closed under complements)

Corollary

- Σ_n^0, Π_n^0 not closed under complements
- Σ_n^0 not closed under $\vee$
- Π_n^0 " " under $\exists$

- $\Sigma_n^0 \cup \Pi_n^0 \not\subseteq \Delta_{n+1}^0 \not\subseteq$ both Σ_{n+1}^0 and Π_{n+1}^0

doesn't
contradict
Kleene's
enum.
theorem
why?

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PF: exercise

read sm thm

Example let $\{ \varphi_e \}$ be an effective, acceptable enumeration of the (unary) recursive functions

let $C = \{ e \mid \varphi_e \text{ is total} \}$
What is complexity of C ?

Can define C by:

$$C = \{ e \mid \forall x \exists y \varphi_e(x) = y \}$$

" $\forall \exists$ recursive" so Π_2^0
(at most)

Why is this enough?

i.e. $C \in \Pi_2^0$

Does $C \in$ any lower complexity class?

No: in fact C is Π_2^0 -complete

Why: Fix $A \in \Pi_2^0$, $A \subseteq \mathbb{N}$.
WTS $A \leq_R C$.

$$x \in A \Leftrightarrow \forall y B(x, y)$$

$$\Leftrightarrow \forall y f(x, y) \downarrow$$

For some Σ^0_1 B

For some partial recursive f

||7a ->

So there is s.t.

$$x \in A \Leftrightarrow \forall y (\varphi_e(x, y) \downarrow) \Leftrightarrow \forall y (\varphi_{s(e)}(y) \downarrow)$$

so $x \mapsto s(e, x)$ gives reduction from A to C

S from sm thm
 $S_n \rightarrow$
is p.r.

Post's Theorem

↳ gives a connection between the arithmetic hierarchy and Turing jump.

Theorem (Post): Sps C_n is a complete Σ_n^0 set. Then:

View C_n as subset of N .

- (1) $A \subseteq N^k$ is r.e. in C_n iff $A \in \Sigma_{n+1}^0$
- (2) $A \subseteq N^k$ is recursive in C_n iff $A \in \Delta_{n+1}^0$

if $A \subseteq N^k$
 $A \leq_R C_n$
 means we
 view A
 as subset
 of N
 via coding

PF (2) follows from (1) by relativization
 of Post: A is rec. iff A and A^c both r.e.

PF of (1) ($\Leftarrow$) Sps $A \in \Sigma_{n+1}^0$
 - then $A(x)$, (if $\exists y P(y, \vec{x})$) for some

$$P \in \Pi_n^0$$

$$\Rightarrow P^c \in \Sigma_n^0$$

$$\Rightarrow P^c \leq_R C_n \quad (\text{Since } C_n \text{ is } \Sigma_n^0\text{-complete})$$

$$\Rightarrow P^c \leq_T C_n$$

$$\Rightarrow P \leq_T C_n$$

$$(P^c \equiv_T P \text{ always})$$

So P is rec. in C_n

So A is r.e. in C_n ✓

($\Rightarrow$) Sps $A \in RE(C_n)$. let α denote char. function of C_n

$C_n \in \Sigma_n^0$ means we know " $x(i) = 1$ " $\cup \Sigma_n^0$
 hence " $\neg(x(i) = 1)$ " is Π_n^0
 i.e. " $x(i) = 0$ "

Since A is r.e. in C_n we know from before there is a p.r. T and $e \in \mathbb{N}$ s.t.

$$A(\vec{x}) \text{ iff } \exists m T(e, \langle \vec{x} \rangle, x(m))$$

equiv to:

$$\exists n (\text{Seq}(n) \wedge \forall i < \text{length}(n) ((n)_i = x(i)) \wedge T(e, \langle \vec{x} \rangle, n))$$

everything after " $\exists n$ " is p.r. except for ~~forall~~ clause " $(n)_i = x(i)$ "

How complex is this?

$$\text{Equiv to: } (x(i) = 1 \Rightarrow (n)_i = 1) \wedge (x(i) = 0 \Rightarrow (n)_i = 0)$$

$$\text{equiv: } (x(i) = 0 \vee (n)_i = 1) \wedge (x(i) = 1 \vee (n)_i = 0)$$

$$\uparrow$$

$$\Sigma_n^0$$

$$\uparrow$$

$$\text{p.r.}$$

$$\uparrow$$

$$\Pi_n^0$$

$$\uparrow$$

$$\text{p.r.}$$

$$\text{overall } \Sigma_n^0 \wedge \Pi_n^0 \text{ which is } \Sigma_{n+1}^0$$

Hence

is " $\exists n \Sigma_{n+1}^0$ " and since Σ_{n+1}^0

closed under $\exists$, is Σ_{n+1}^0

$$\Rightarrow A \in \Sigma_{n+1}^0 \quad \checkmark$$

~~Corollary: Again letting C_n be any~~

Get $\mathcal{Q}^n$ such $\mathcal{Q}^{n+1}$ ^{n-times}

Corollary: Again letting C_n be any fixed Σ_n^0 -complete set, we have

$$[C_n]_{\perp} = \mathcal{Q}^{(n)}$$

for every $n \in \mathbb{N}$.

PF: ez induction using prev. theorem.

First-order logic (FOL)

- want to study mathematical structures (sets equipped w/ relations, functions, and constants)

- e.g. structure of arithmetic:

$$\mathcal{N} = \langle \mathbb{N}, <, S, +, \cdot, 0 \rangle$$

(where S is successor function $S(n) = n+1$)

• our favorite group $\mathcal{G} = (G, e, \cdot)$

• our fav partial order $\mathcal{P} = (P, \leq)$

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er

aim to formalize:

- ① how to write statements about a structure (syntax)
- ② how to evaluate when such a statement is true in a given structure (semantics)
- ③ when such a statement can be formally proved.



Syntax: first-order languages

Def'n a formal language L consists of

(i) the logical symbols (these belong to every L)

- variable symbols $x_1, x_2, \dots, y, z$ etc. (ctbly many)
- connecting $\wedge$ ("and") and $\neg$ ("not")
- exists quantifier $\exists$
- equality symbol $=$
- parentheses $()$ and comma $,$

(ii) its non-logical symbols (specific to L)

- set of relation symbols $\{R_i\}$ where R_i has arity n_i

(3c)

- " " function symbols $\{f_j\}$
where ~~are~~ given f_j arity m_j
- " " constant symbols $\{c_k\}$

↳ to specify L enough to specify $\{R_i\}, \{f_j\}, \{c_k\}$

- write: $L = \{R_i\} \cup \{f_j\} \cup \{c_k\}$

- e.g. lang. of arithmetic $\cup$

$$L_{ar} = \{<\} \cup \{f, +, \cdot\} \cup \{0\}$$

$$= \{r, f, +, \cdot, 0\} \quad \text{where}$$

$<$ is binary relation symbol, $f, +, \cdot$ are binary function symbols, 0 is constant symbol.

The terms of L are defined inductively

(i) Variables x_i and constants c_k are terms

(ii) If $t_1, \dots, t_n$ are terms ~~and~~ and f is a ~~an~~ n -ary function symbol, then $f(t_1, \dots, t_n)$ is a term

terms are syntactic, but think:
represent outputs of functions that
can be defined by iteratively composing
the basic functions (represented by) the
 f_j .

Formulas also defined inductively:

- "atomic"
Formulas
- (i) if $t_1, \dots, t_n$ are terms and R is a n -ary relation symbol, $R(t_1, \dots, t_n)$ is a term
 - (ii) if s, t ~~terms~~ ^{terms}, $s = t$ is a term
 - (iii) if ϕ, χ Formulas, so are $\phi \wedge \chi, \neg \phi, \exists x_i \phi$

Formulas also syntactic - but represent assertions about terms

We'll also use connectives $\vee$ "or"
 $\Rightarrow$ "implies"
 $\Leftrightarrow$ "iff"

and quantifier $\forall$ "for all"
 but there are technical abbreviations:

$$\phi \vee \chi := \neg(\neg\phi \wedge \neg\chi)$$

$$\phi \Rightarrow \chi := \neg\phi \vee \chi$$

$$\phi \Leftrightarrow \chi := (\phi \Rightarrow \chi) \wedge (\chi \Rightarrow \phi)$$

$$\forall x_i \chi := \neg \exists \neg \chi$$

Ex: Consider $L = \{<, S, +, \cdot, 0\}$

$0, x_1, x_5, S(0), S(S(0)), +(x_1, x_5)$

are all terms

We'll abbreviate $S(0)$ as 1

$S(S(0))$ as 2 etc.

Write $x_i + x_j$ for $+(x_i, x_j)$

$x_i \cdot x_j$ for $\cdot (x_i, x_j)$ etc.

Other similar abbreviations, $x^3 = x \cdot (x \cdot x)$

A more complicated term: $2x^3 + 5x + 1$
(terms in this lang rep multivar poly's
w/ integer coeff's)

$0 = x_3$; $0 < S(0)$; $x^3 + z = y^2$
are all atomic formulas

$\forall x (0 < x \Rightarrow \exists y (S(y) = x))$ is
a non-atomic formula.

- Want to define when a given formula is T/F in a given structure.
- Not all formulas intuitively have a truth value, e.g. $0 < x$ depends on value of x
- Leads to concept of free and bound variables.

Def'n if formula $\exists x \phi$, the scope of $\exists x$ is ϕ

Def'n an occurrence of a variable x in a formula ϕ is bound if it occurs in a quantifier $\exists x$ or in the scope of a ~~quantifier~~ quantifier in a subformula $\exists x \chi$. Otherwise it is free.

e.g. in $\forall y \exists z (y < x \wedge x < z)$
 y, z are bound
 x is free

(Intuition: free vars are those we need to specify before formula becomes T or F)

Def'n ϕ is a sentence if it has no free variables.

We write $\phi(x_1, \dots, x_n)$ to indicate free variables of ϕ are among $x_1, \dots, x_n$
 (some might be bound or not occurring)