



# The Anomalous Elastic and Yield Behavior of Fused Silica Glass: A Variational and Multiscale Perspective

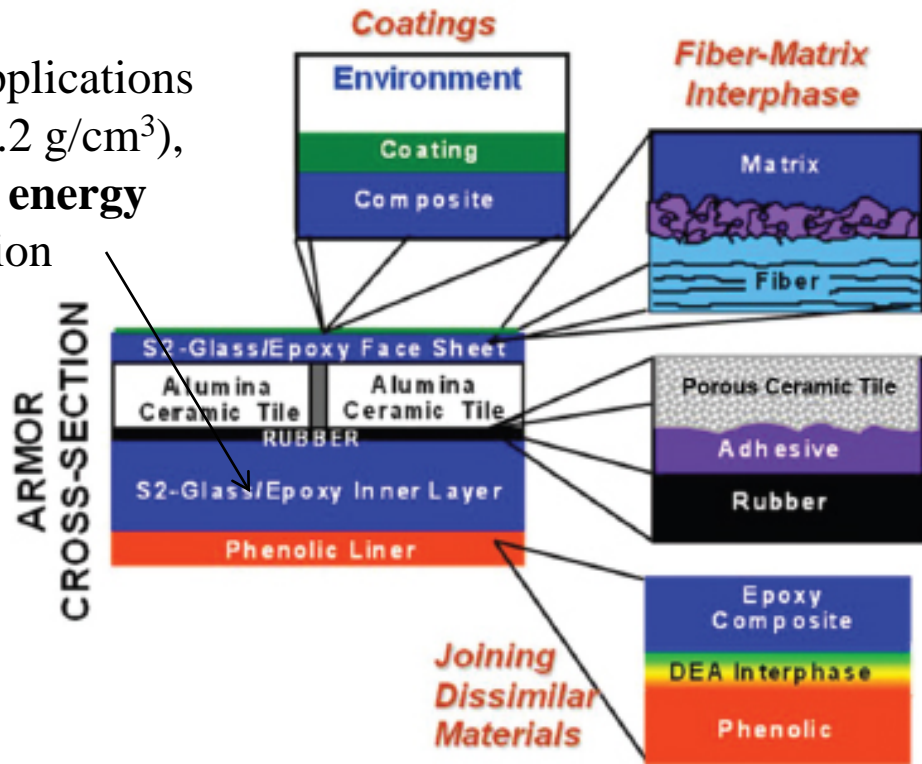
Michael Ortiz  
California Institute of Technology

MEAM Seminar  
School of Engineering and Applied Sciences  
University of Pennsylvania

February 27, 2018

# Glass as protection material

- Glass is attractive in many applications because of its **low density** ( $2.2 \text{ g/cm}^3$ ), **high strength** (5-6 GPa) and **energy dissipation** due to densification



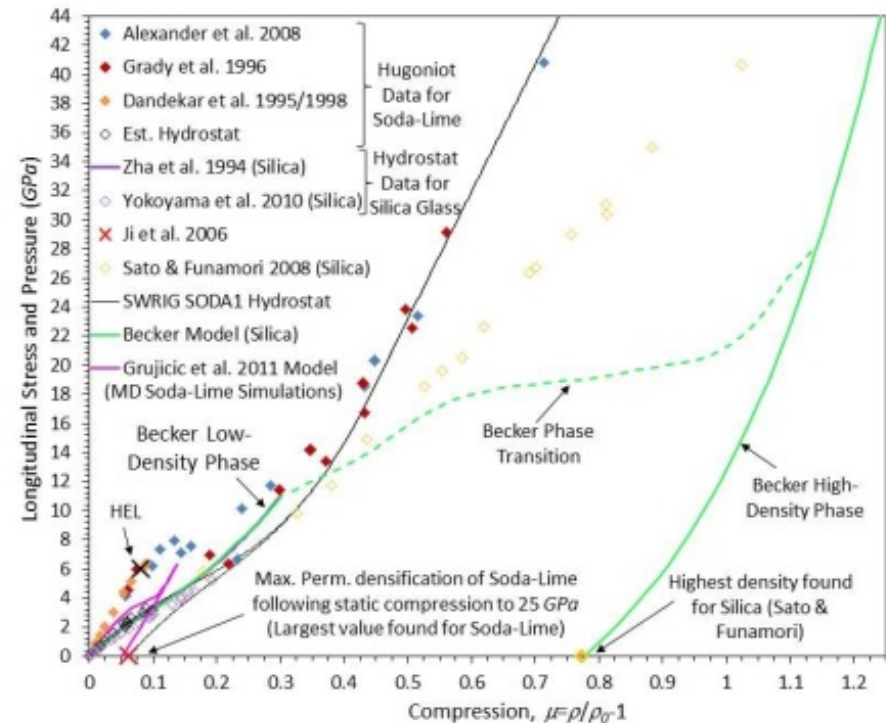
Cross section of armor tile typically used in armored vehicles showing complexity of armor architecture.

J.W. McCauley, in: *Opportunities in Protection Materials Science and Technology for Future Army Applications*,  
US National Research Council, 2011.

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# Fused silica glass: Densification

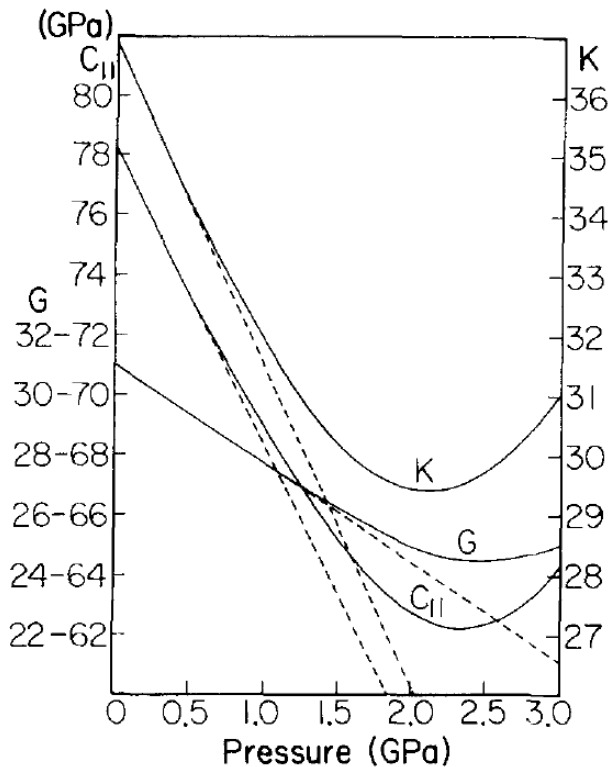
- The equation of state of glass in compression exhibits a **densification** phase transition at a pressure of 20 GPa
- For a glass starting in its low-density phase, upon the attainment of the transition pressure the glass begins to undergo a **permanent reduction in volume**
- Reductions of up to 77% at pressures of 55 GPa have been reported
- The transformation is **irreversible**, and unloading takes place along a densified equation of state resulting in permanent volumetric deformation



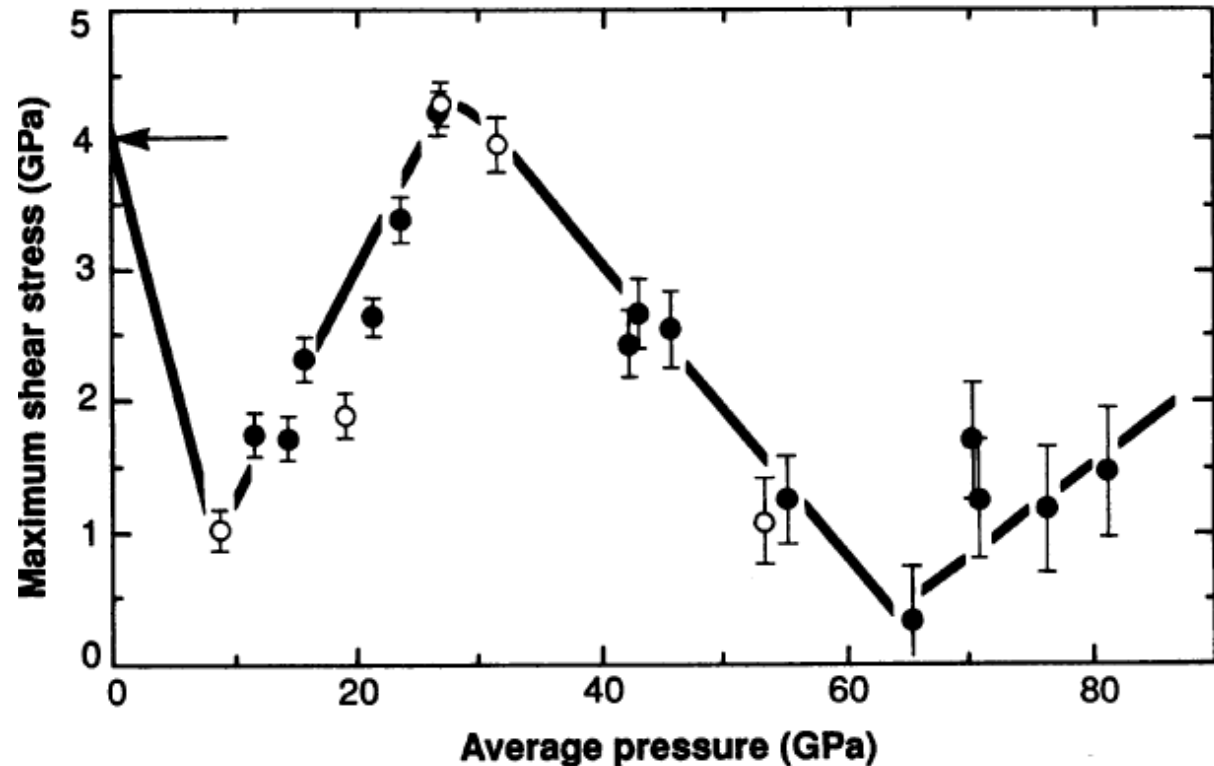
*Compilation of equation-of-state data for glass (soda lime and fused silica)<sup>1</sup>.*

<sup>1</sup>R. Becker, *ARL Ballistics Protection Technology Workshop*, 2010.

# Fused silica glass: Pressure-shear



Measured elastic moduli showing *anomalous* dependence on pressure<sup>1</sup>

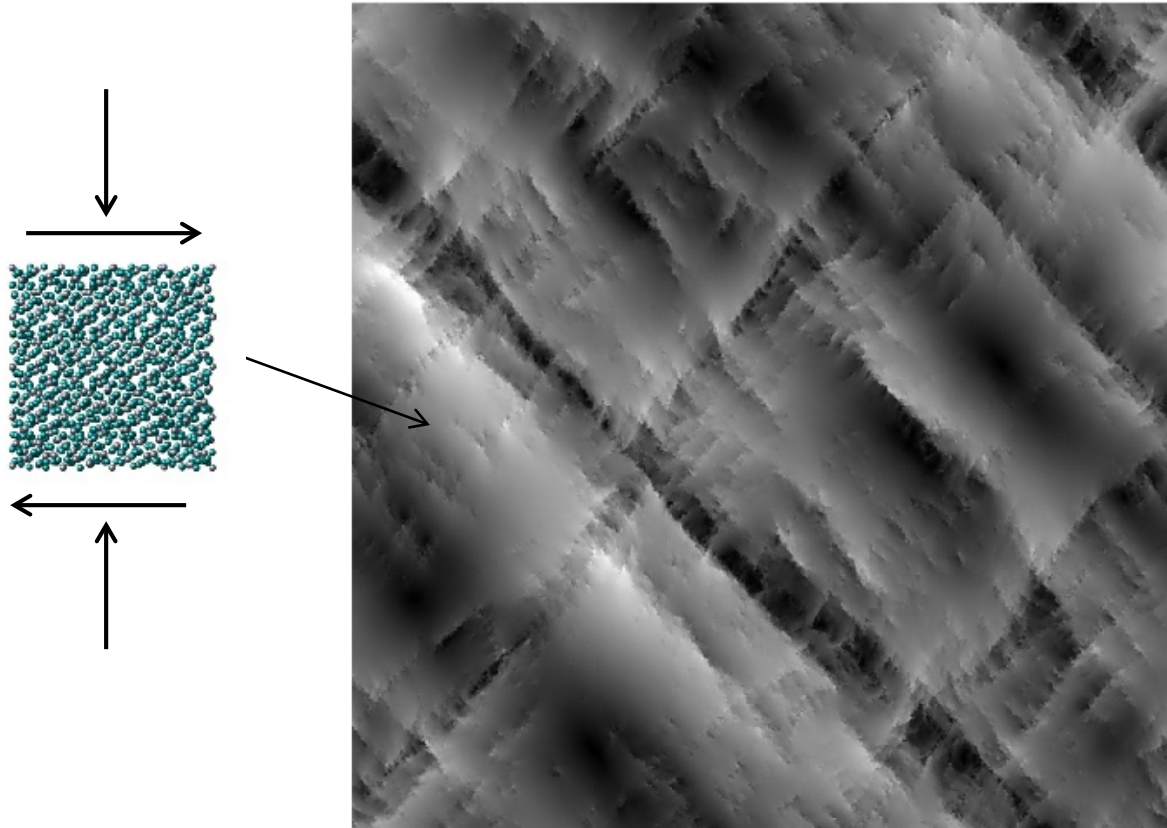


Measured shear yield stress vs. pressure showing *non-convex* dependence on pressure<sup>2</sup>

<sup>1</sup>K. Kondo, *J. Appl. Phys.*, **52**(4):2826-2831, 1981.

<sup>2</sup>C. Meade and R. Jeanloz, *Science*, **241**(4869):1072-1074, 1988.

# Fused silica glass: Pressure-shear



Molecular Dynamics (MD) simulation of amorphous solid showing pattering of deformation field<sup>1</sup>

<sup>1</sup>C.E. Maloney and M.O. Robbins, *J. Phys.: Cond. Matter*, 20(24):244128, 2008.



# Multiscale modeling approach

## Atomistic modeling of fused silica:

- Volumetric response (hysteretic)
- Pressure-dependent shear response
- Rate-sensitivity+viscosity+temperature

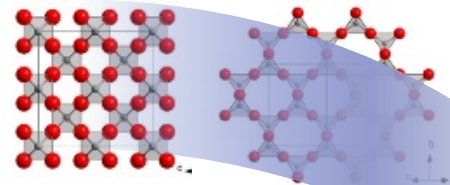
## Mesoscopic modeling:

- Critical-state plasticity

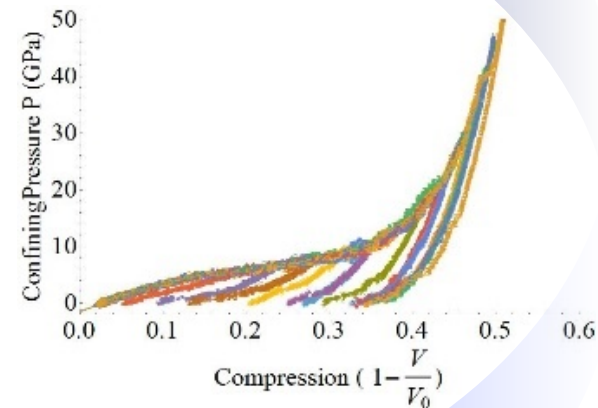
## Macroscopic modeling:

- Relaxation

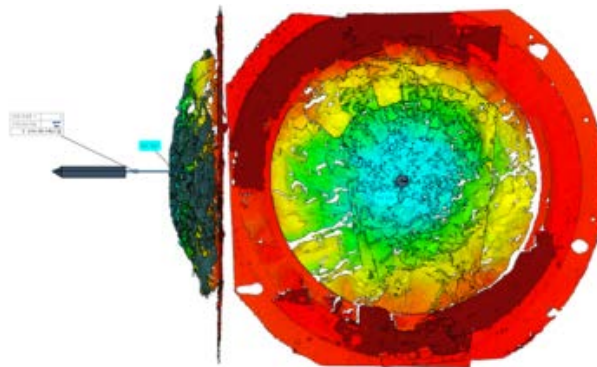
**Continuum  
Models**



**Data  
Mining**



*(OTM ballistic  
simulation of  
brittle target ,  
Courtesy B. Li)*



**Applications**

# Multiscale modeling approach

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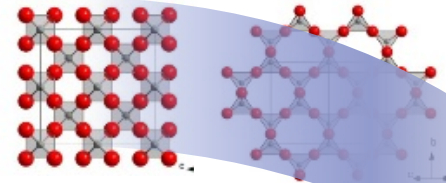
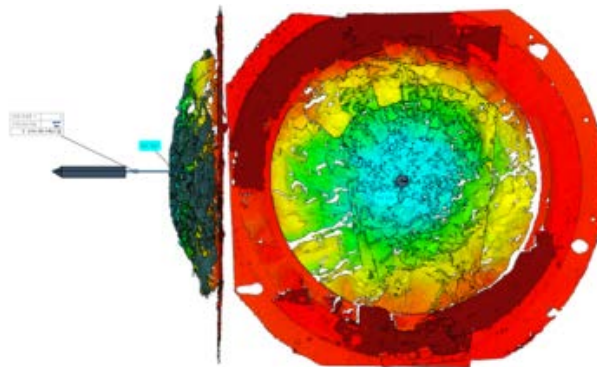
- Critical-state plasticity

## Macroscopic modeling:

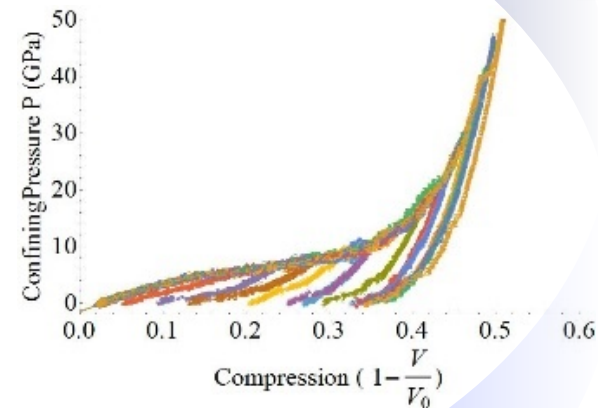
- Relaxation

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**Data  
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**Applications**

# Computational model – MD

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## Molecular Dynamics Calculations:

- Calculations performed using **Sandia National Laboratories (SNL) Large-scale Atomic/Molecular Massively Parallel Simulator LAMMPS** (*Plimpton S, J Comp Phys, 117(1995):1-19*).

## Long-Range Coulombic Interactions:

- Summation is performed in K-space using **Ewald summation**

## Short-Range Interactions:

- **BKS** Interatomic potential<sup>1</sup>

$$E(r_{ij}) = A \exp(-r_{ij}/\rho) - C/r_{ij}^6 + D/r_{ij}^{12}$$

## Other computational details:

- Stresses computed through virial theorem
- Strain rate  $\sim 1 \times 10^7$  1/s
- NVE ensemble: temperatures computed from kinetic energy
- NVT ensemble: Thermostating

<sup>1</sup>Malavasi, G., Menziani, M. C., Pedone, A., Segre, U., 2006. *Journal of Non-Crystalline Solids* **352** (3), 285-296.

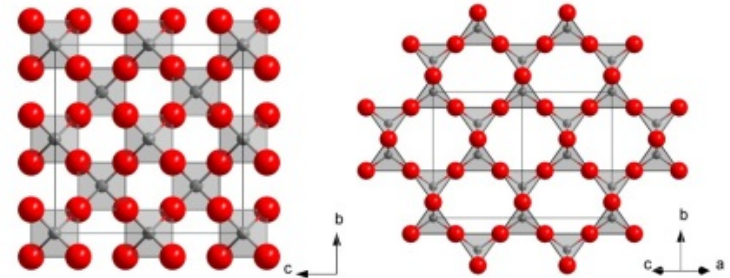


# RVE setup – Quenching

**Starting structure:  $\beta$ -cristobalite**

**$\beta$ -cristobalite:** Polymorph characterized by **corner-bonded  $\text{SiO}_4$  tetrahedra**

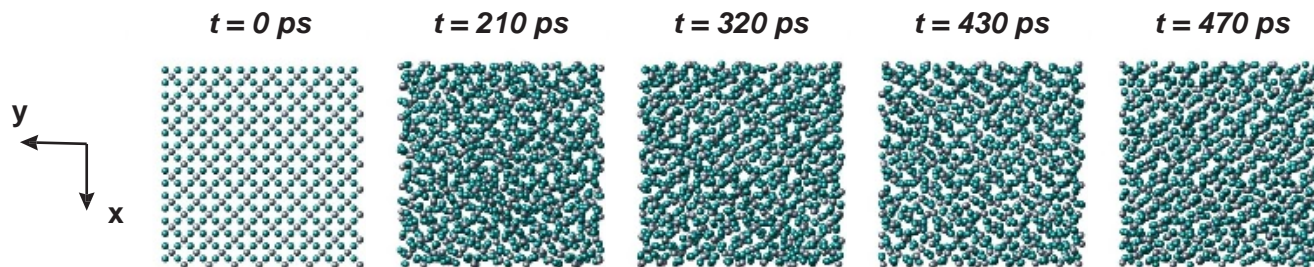
**Amorphous** structure of fused silica: Obtained through the **fast quenching** of a melt



*Ideal structure of  $\beta$ -cristobalite (adapted from <https://en.wikipedia.org/wiki/Cristobalite>)*

Steps taken during **quenching** process<sup>1</sup>:

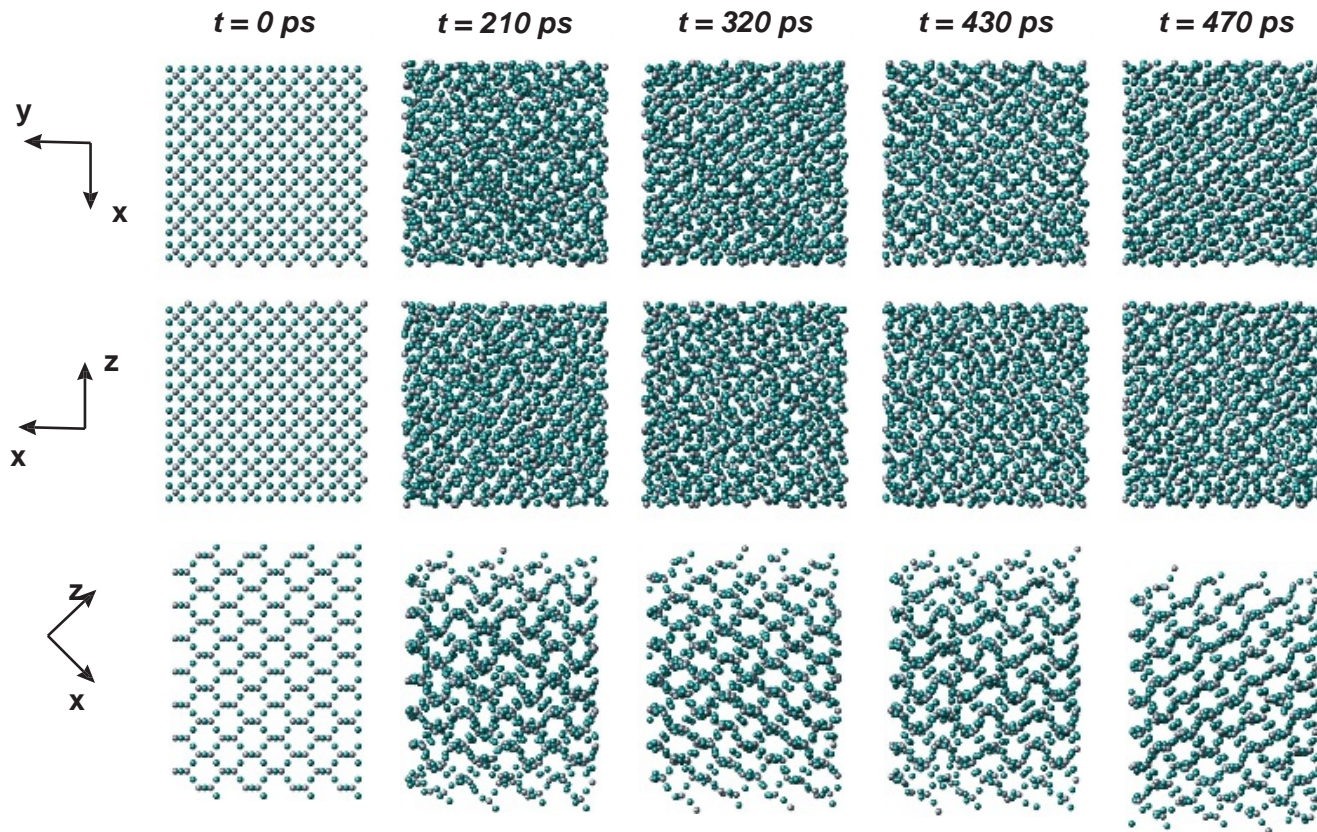
- Uniform temperature decrease from 5000 K to 300 K, decreasing the temperature with steps of 500 K
- Total cooling time: 470 ps



<sup>1</sup>Malavasi, G., Menziani, M. C., Pedone, A., Segre, U., 2006. *Journal of Non-Crystalline Solids* **352** (3), 285-296.

# RVE setup – Quenching

**Rapid cooling of a  $\beta$ -cristobalite melt:** Generation of an **amorphous** structure

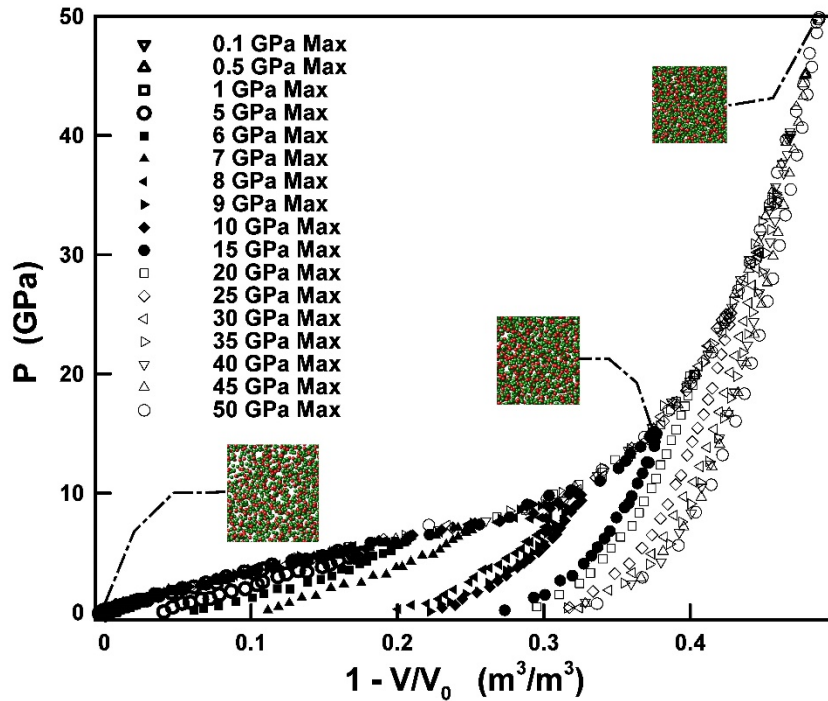


Quenching procedure for the generation of amorphous silica.  $T = 5000$  K at  $t = 0$  ps and  $T = 300$  K at  $t = 470$  ps.

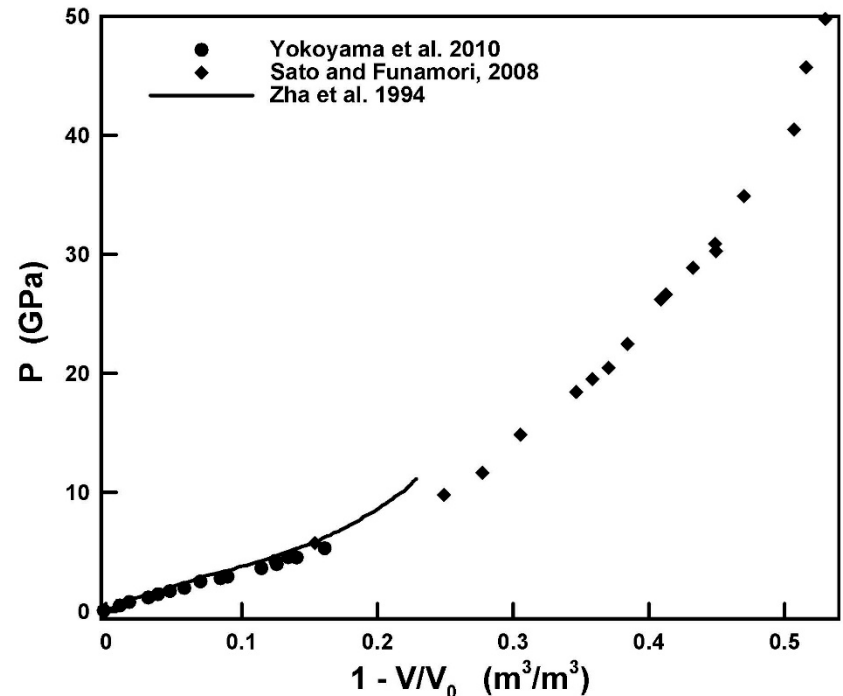
# Volumetric compression

## Hydrostatic compression/ decompression of amorphous silica:

- Molecular dynamics results exhibit irreversible densification at 14-20 GPa



MD calculations



Experimental<sup>1,2,3</sup>

<sup>1</sup>Yokoyama, A., Matsui, M., Higo, Y., Kono, Y., Irifune, T., Funakoshi, K., 2010. *Journal of Applied Physics* **107** (12).

<sup>2</sup>Sato, T., Funamori, N., Dec 2008. *Phys. Rev. Lett.* **101**, 255502.

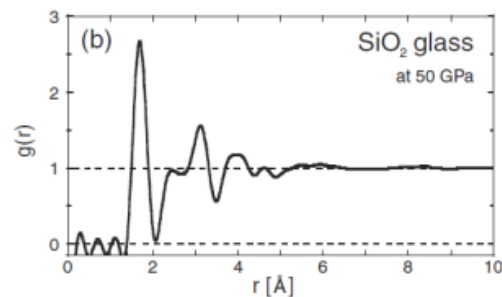
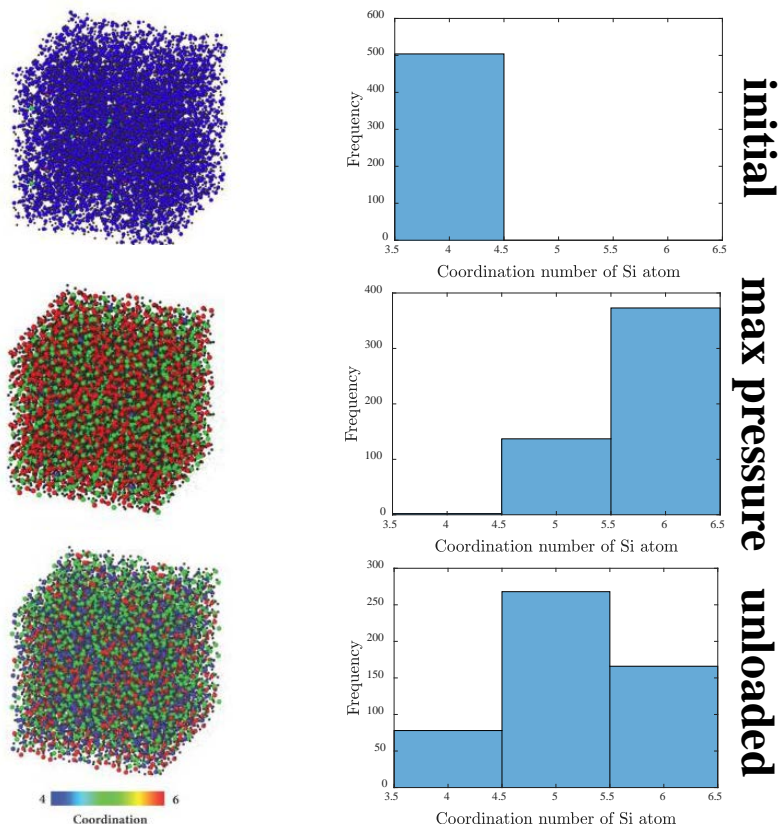
<sup>3</sup>Zha, C. S., Hemley, R. J., Mao, H. K., 1994. *High-Pressure Science and Technology* - 1993, Pts 1 and 2, 93-96.



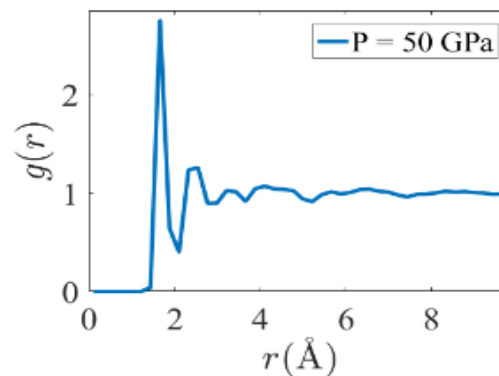
# Molecular basis of densification

## Hydrostatic compression/ decompression of amorphous silica:

- Irreversible 4-fold to 6-fold coordination transition
- Intermediate 5-fold coordinated dense silica polymorph<sup>1</sup>



(Sato and Funamori, 2010)

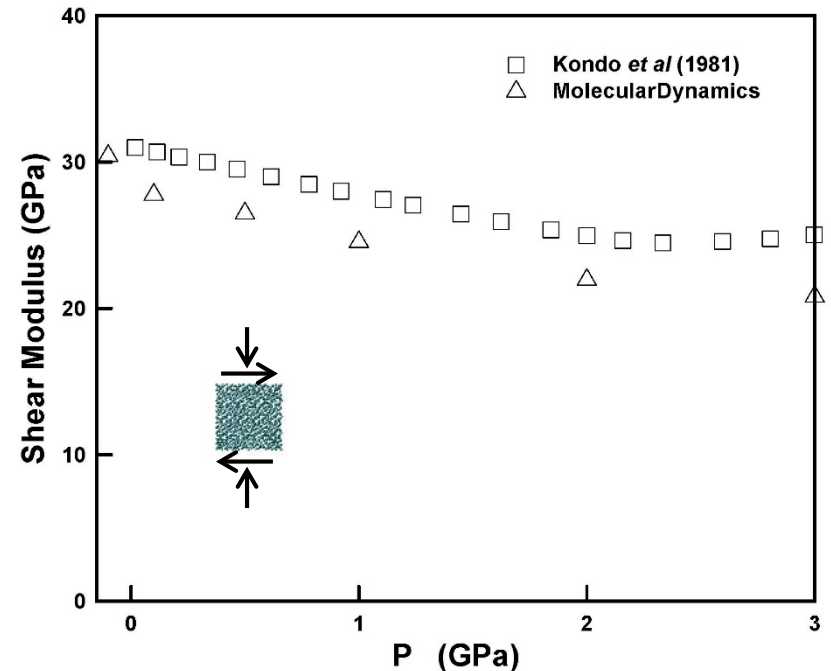
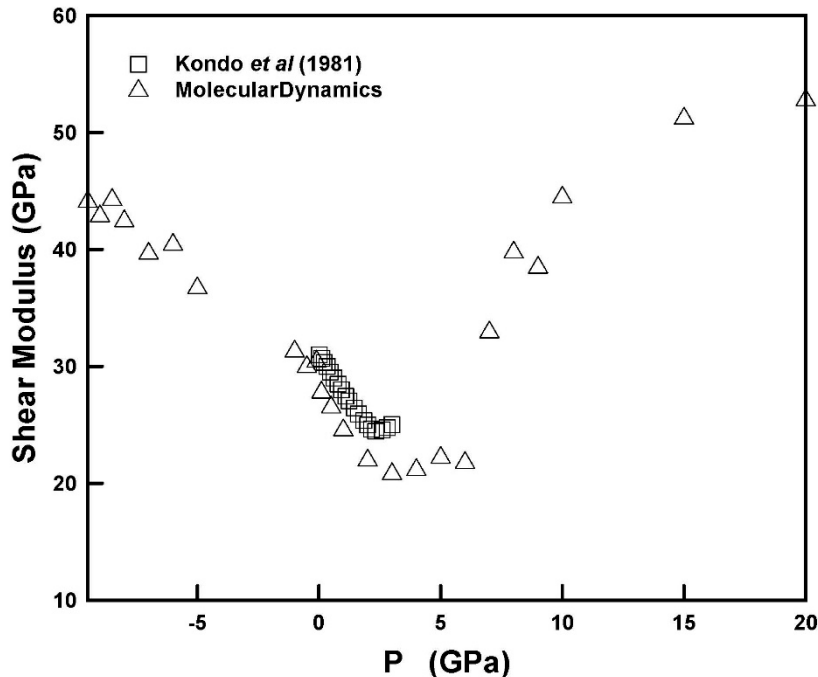


<sup>1</sup>Luo, S. N., Tschaune, O., Asimow, P. D., Ahrens, T. J., 2004.  
American Mineralogist 89, 455-461.

# Shear modulus vs. pressure

## Shear modulus of amorphous silica at constant pressure:

- Shear modulus decreases (increases) at low (high) pressure
- Anomalous shear modulus shows agreement with experiment



Initial shear modulus *versus* pressure

***Anomalous pressure dependence of shear modulus!***

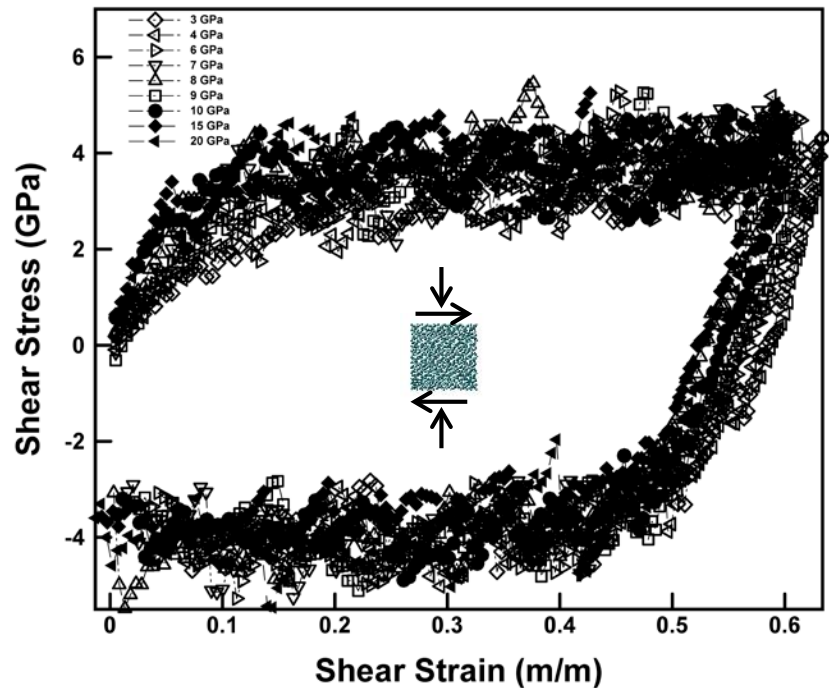
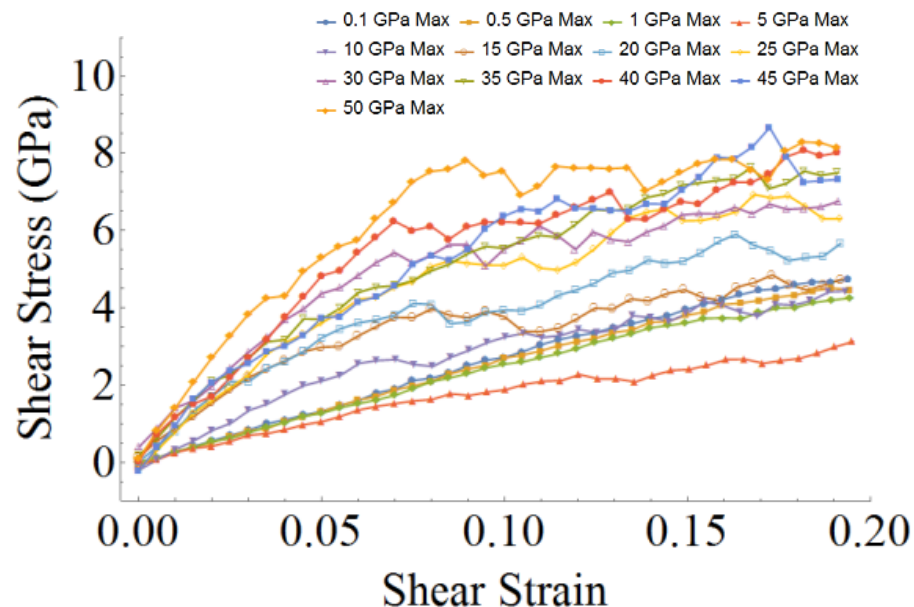
(shear modulus initially decreases with increasing pressure)

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# Pressure-shear coupling

## Simple shear of amorphous silica at constant hydrostatic pressure:

- Hydrostatic compression is performed followed by simple shear
- The pressure-dependent shear response is computed



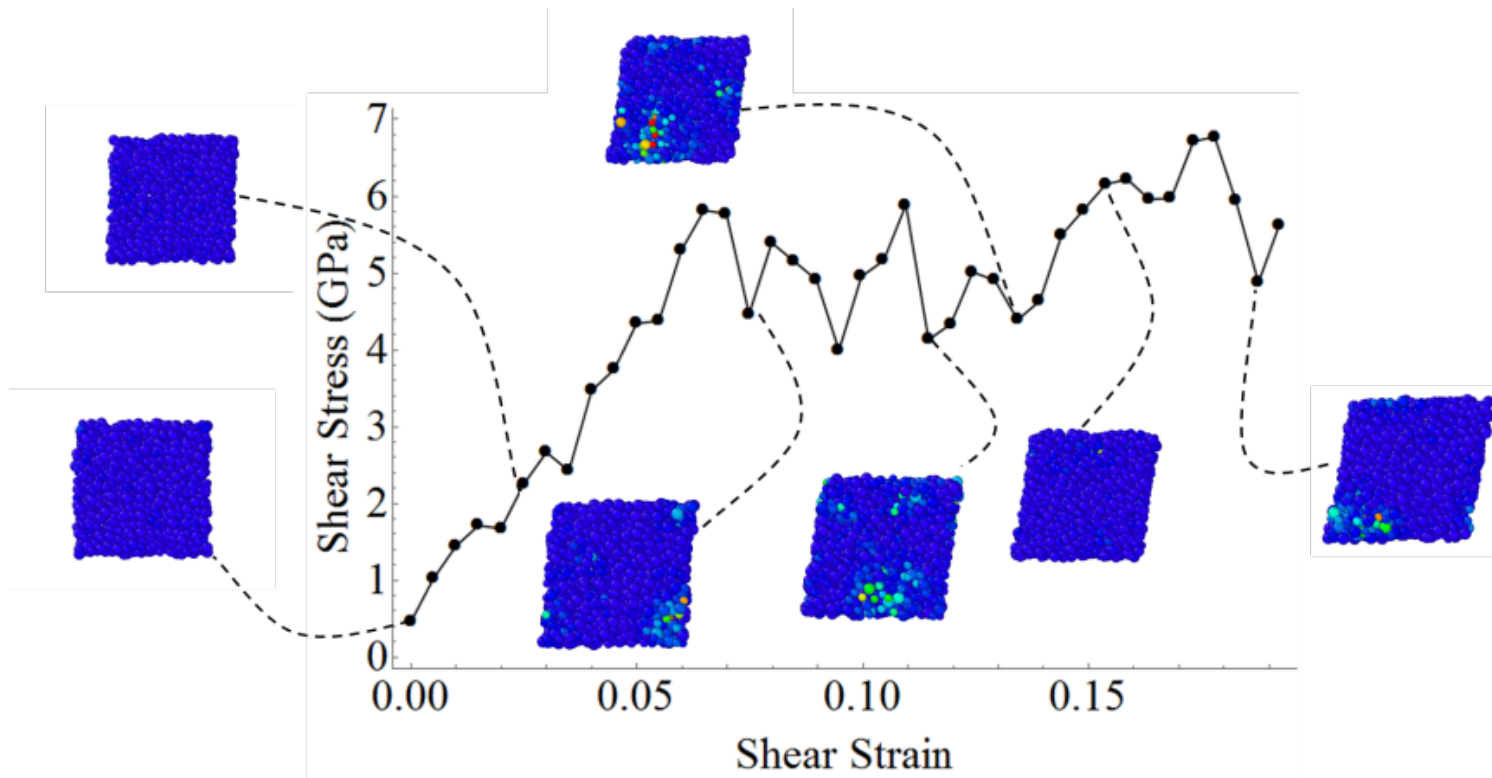
***Shear deformation is irreversible upon unloading!***  
(permanent or plastic shear deformation, pressure-dependent plasticity)



# Molecular basis of glass plasticity

## Shear Transformation Zones:

- Local microstructural rearrangements accommodate shear deformation
- Colored regions indicate large deviation from affine deformation from the previous step



***Local avalanches controlled by free-volume kinetics!***

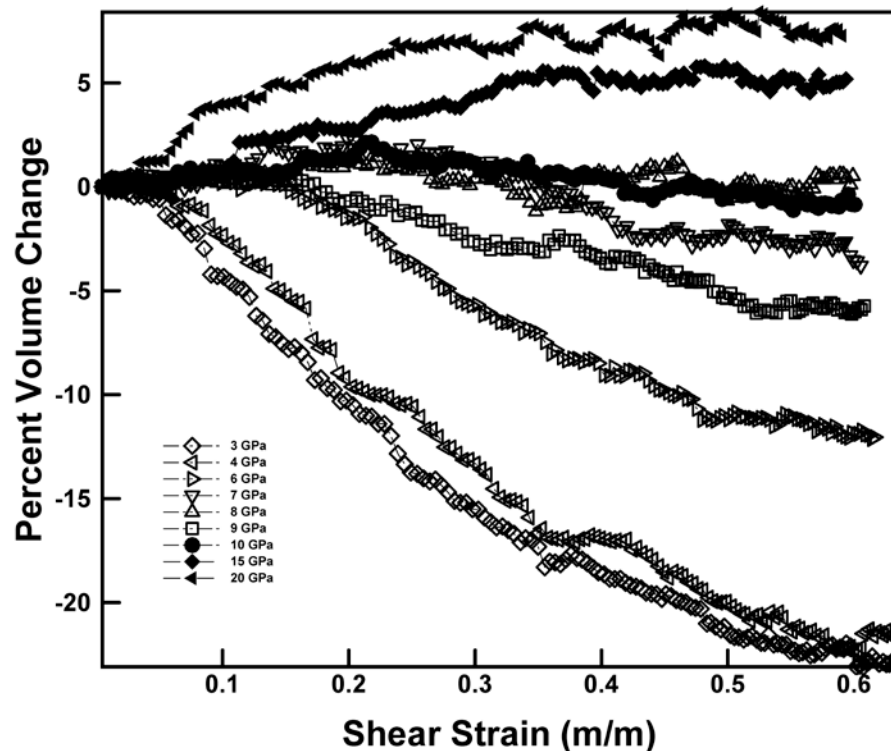
(shear deformation proceeds inhomogeneously through local bursts)

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# Volume evolution

## Volume vs. shear and degree of pre-consolidation:

- Volume attains constant value after sufficient shear deformation (critical state)
- Volume decreases (increases) in under- (over-) consolidated samples



***Evidence of critical state behavior!***  
(in analogy to granular media)

# Multiscale modeling approach

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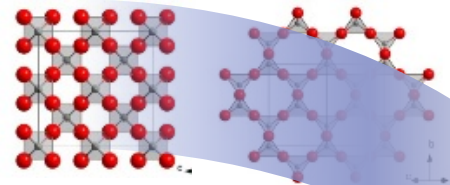
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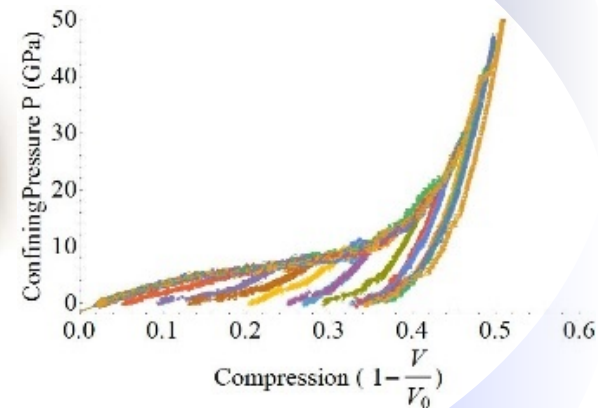
## Macroscopic modeling:

- Relaxation

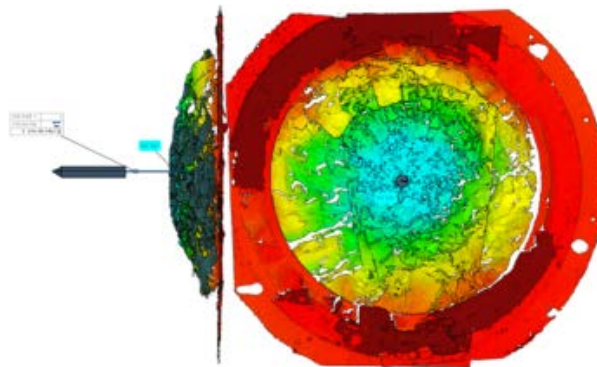
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**Data Mining**



*(OTM ballistic simulation of brittle target, Courtesy B. Li)*

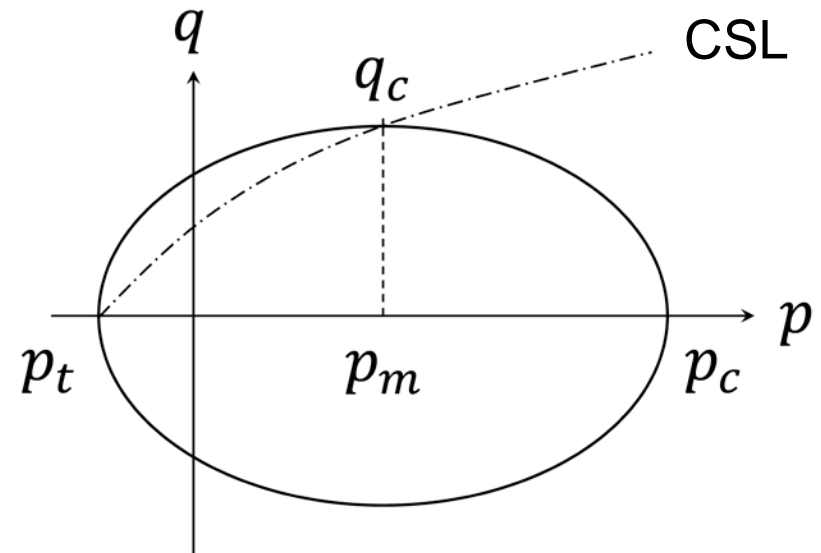
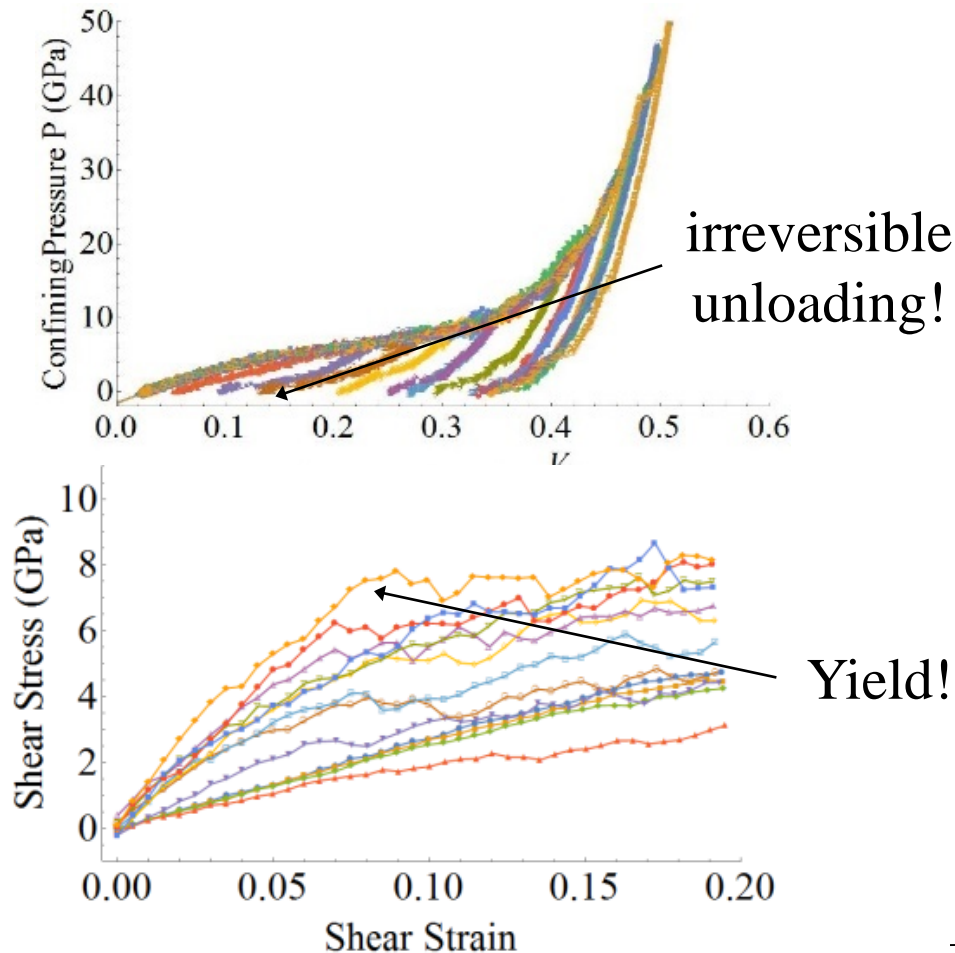


**Applications**

# Critical-state plasticity model

## Modeling approach:

- Critical-state theory of plasticity (Cam-Clay)



Assumed yield locus in pressure ( $p$ )  
Mises shear stress ( $q$ ) plane and  
critical-state line (CSL)

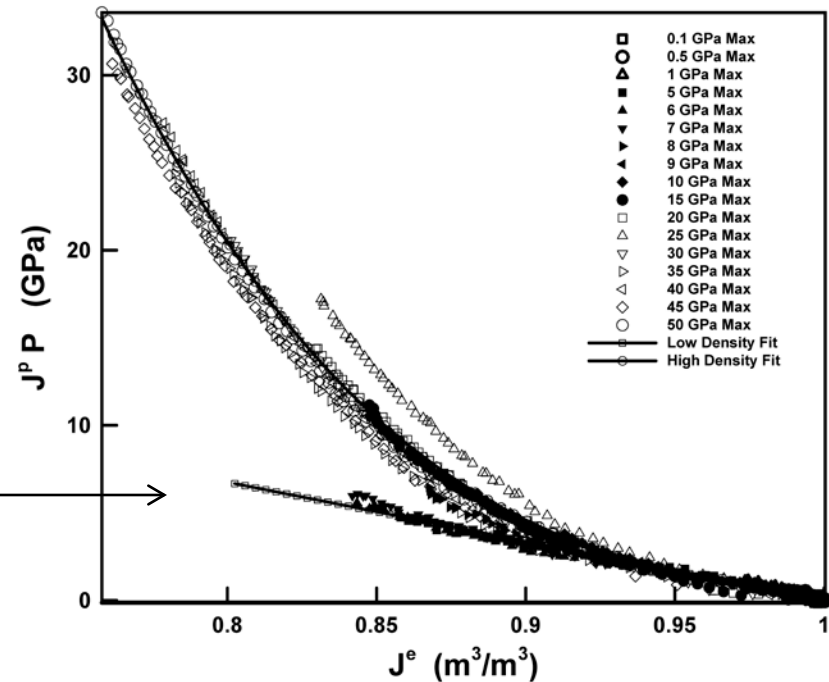
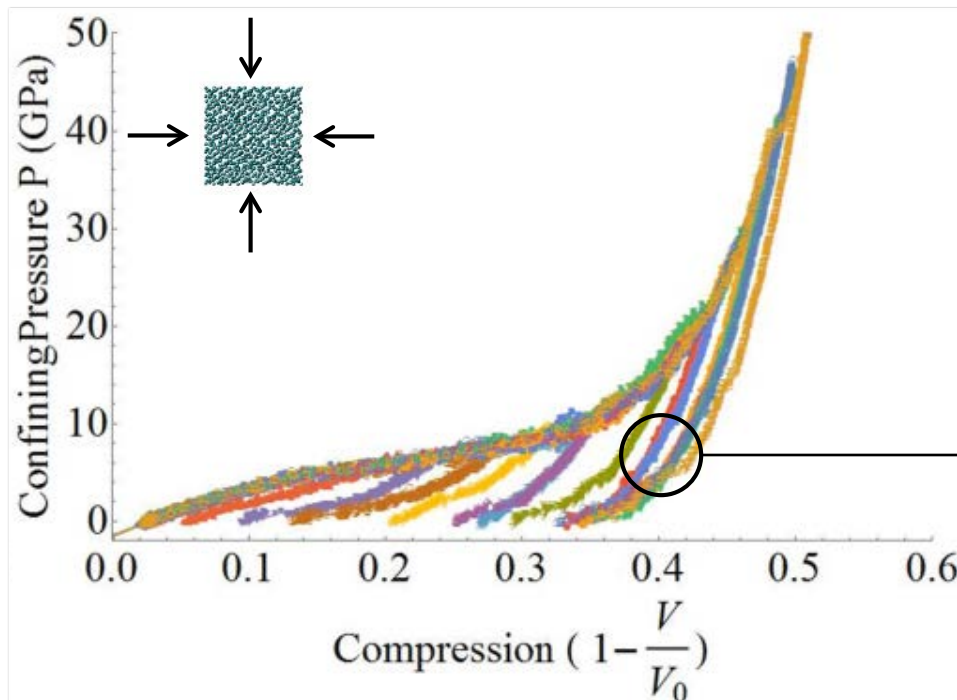
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# Elastic pressure-shear response

Neo-Hookean elastic response fitted to:

- Volumetric compression data (elastic unloading)
- Pressure-shear data (elastic regime)

$$W^e(\mathbf{C}^e) = \frac{\mu(J^e)}{2} (J^{e-2/3} \text{tr}(\mathbf{C}^e) - 3) + f(J^e)$$

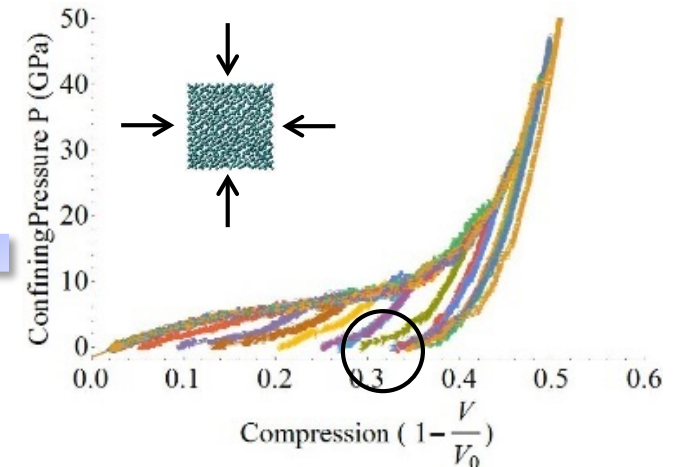
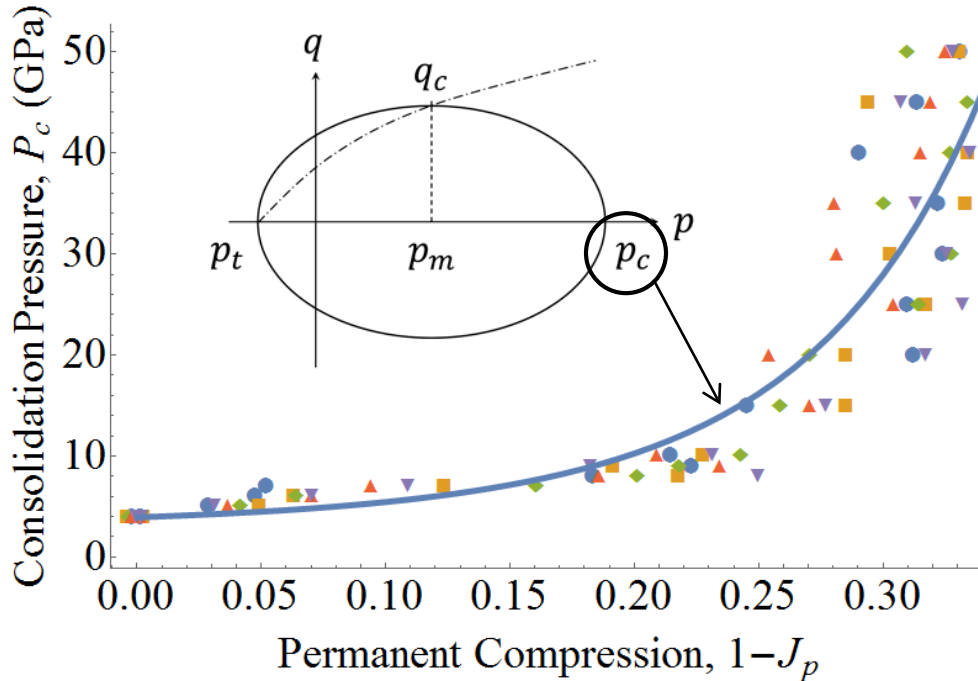


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# Critical-state plasticity model

## Densification:

- Pressure-volume response of fuse silica interpreted as consolidation curve in critical state plasticity



$$p_c = -\frac{K_b}{k_b} \left[ (J_p)^{-k_b} - 1 \right] + \Gamma$$

Table 1: Hardening Rule Parameters

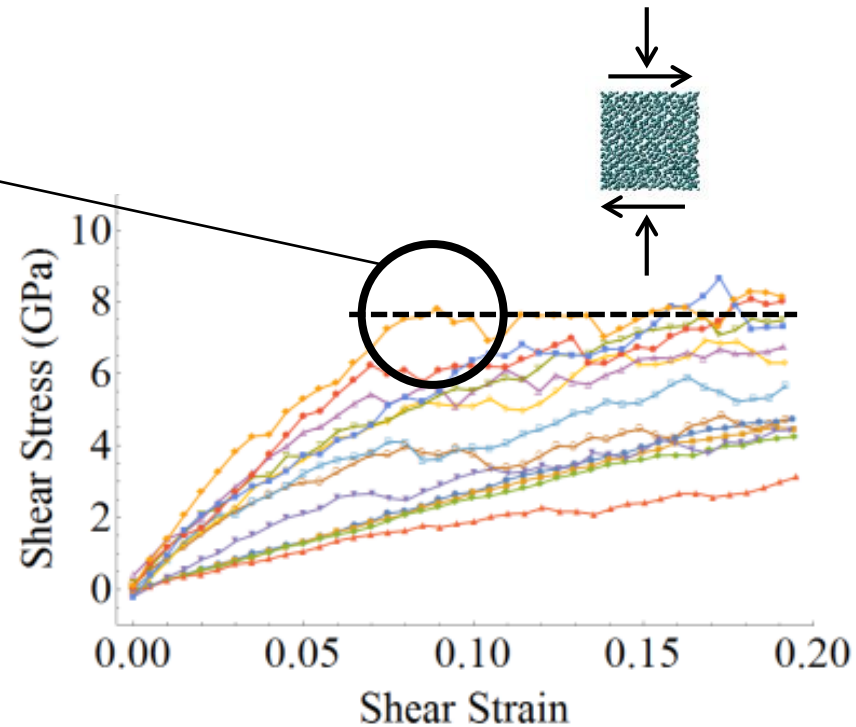
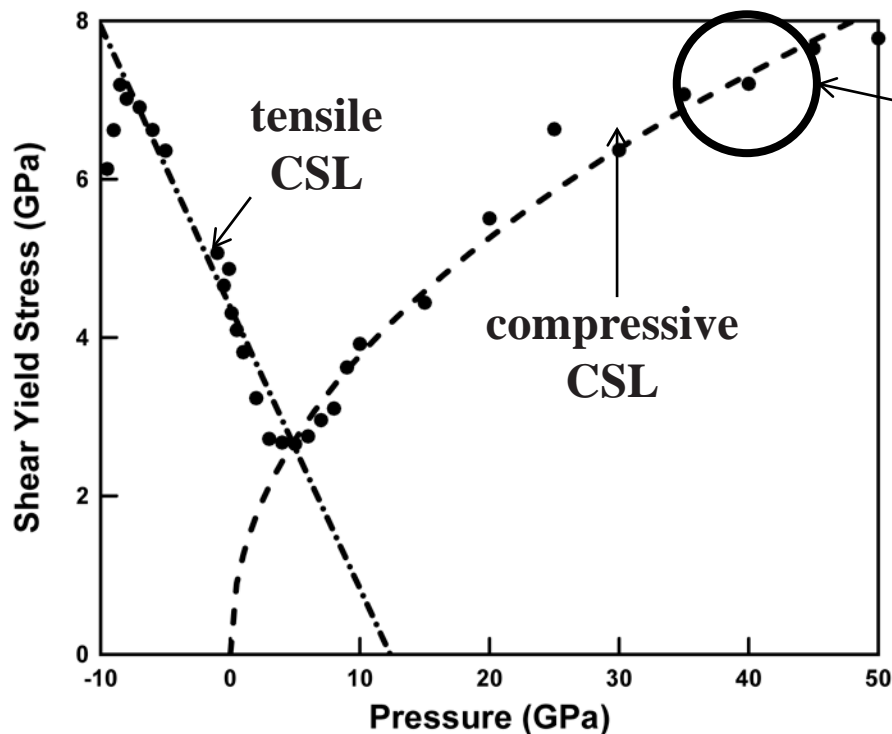
$K_B$	$k_b$	$\Gamma$
8.48613 GPa	9.2689	3.02934 GPa



# Critical-state plasticity model

## Pressure-shear plasticity:

- Critical states (yielding at constant volume) define Critical State Line



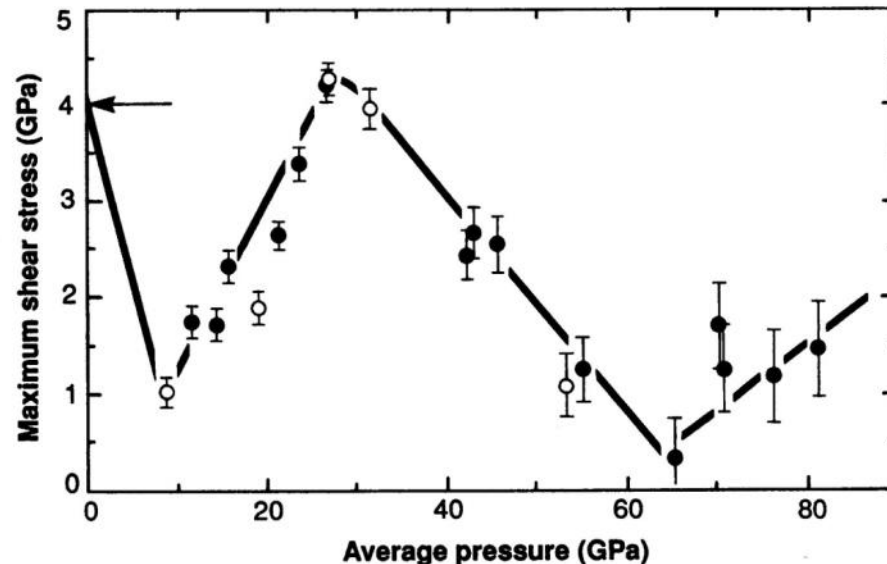
***Anomalous pressure dependence of shear yield stress!***  
***Non-convex Critical-State Line!***

# Anomalous plasticity of fused silica

## Effect of a Coordination Change on the Strength of Amorphous SiO<sub>2</sub>

CHARLES MEADE AND RAYMOND JEANLOZ

**Fig. 1.** Maximum shear stress in silica glass at room temperature and average pressures ( $\bar{P}$ ) between 8.6 and 81 GPa. Each point corresponds to a separate sample, and the heavy line shows the general trend of the data. The shear stress is determined from Eq. 1, and it is a measure of the yield strength of the sample at high pressures. The error bars represent the combined uncertainties from the measurements of  $h$  and  $\partial P/\partial r$ . The open circles show the strength of samples that were initially compressed to 50 GPa, unloaded, and then recompressed. The arrow marks the zero pressure strength of silica glass (19).



SCIENCE, VOL. 241

*Anomalous shear yield stress  
documented in geophysics literature!*

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# Multiscale modeling approach

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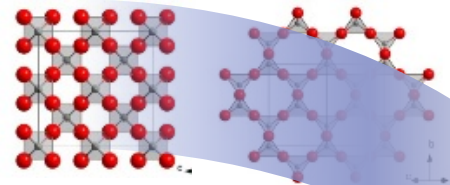
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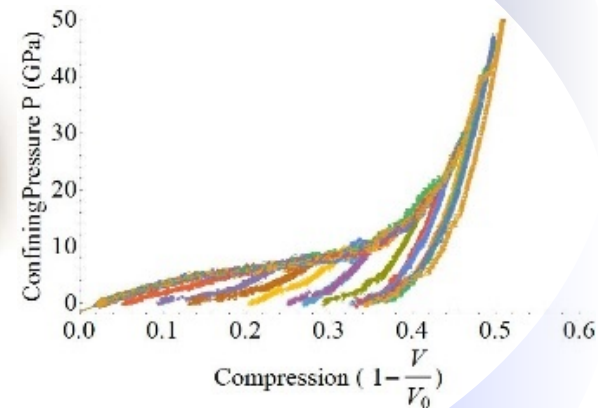
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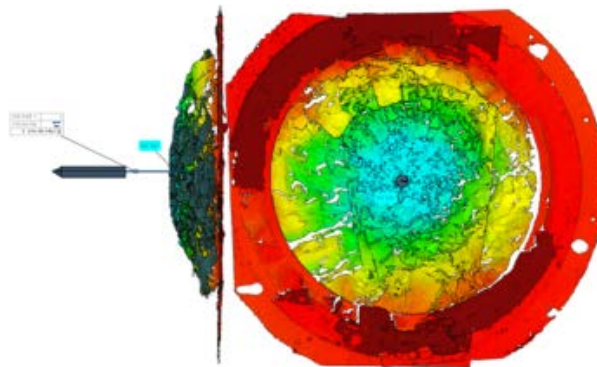
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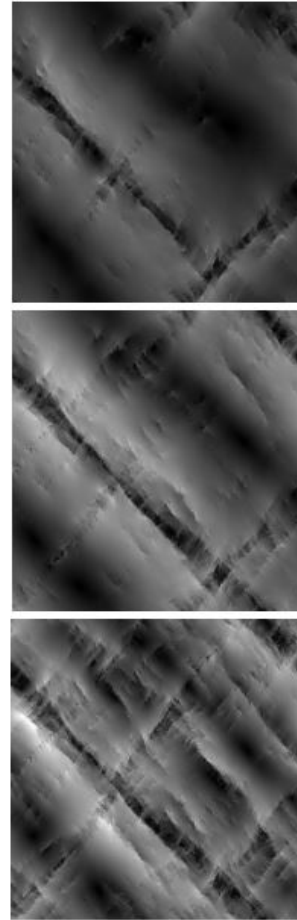
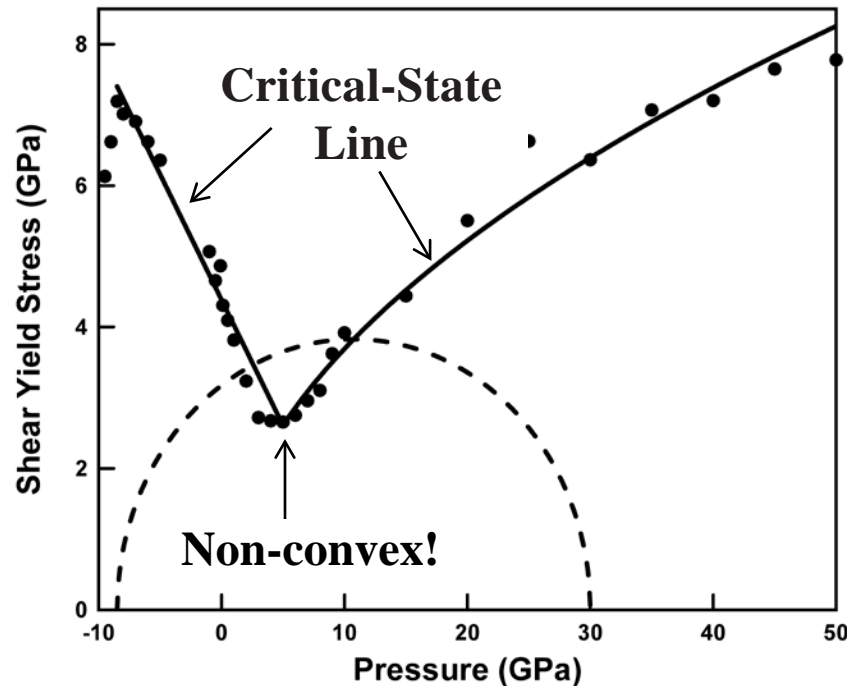


**Applications**

# Non-convex limit analysis – Relaxation

## Relaxation:

- Strong non-convexity (material instability) is exploited by the material to maximize dissipation (**relaxation**, per calculus of variations)
- Relaxation occurs through the formation of fine **microstructure**<sup>1</sup> (finely patterned stress and deformation fields at the microscale) —→



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# Non-convex limit analysis – Relaxation

## Relaxation:

- Classical limit analysis, kinematic and static problems:

$$\inf_v \sup_{\sigma} \left\{ \int_{\Omega} \sigma \cdot \nabla v \, dx : \sigma(x) \in K, v(x) = g(x) \text{ on } \partial\Omega \right\}$$

- Reformulation for non-convex elastic domain  $K$ :

$$\sup_{\sigma} \inf_v \left\{ \int_{\Omega} \sigma \cdot \nabla v \, dx : \sigma(x) \in K, v(x) = g(x) \text{ on } \partial\Omega \right\}$$

- Reduced static problem:

$$\sup_{\sigma} \left\{ \int_{\partial\Omega} \sigma \nu \cdot g \, d\mathcal{H}^2 : \sigma(x) \in K, \operatorname{div} \sigma(x) = 0 \text{ in } \Omega \right\}$$

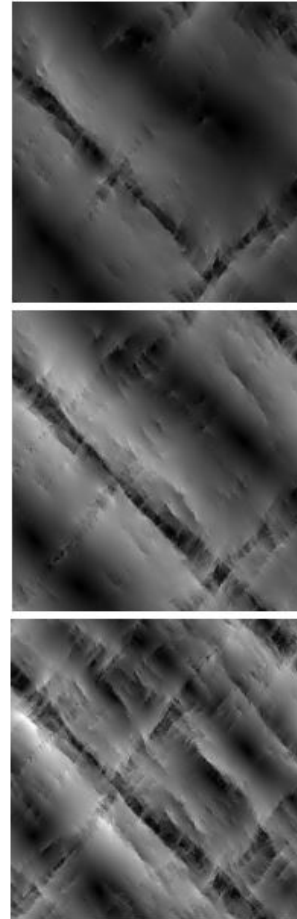
- Supremum non-attained for strongly non-convex  $K$ !

- Div-quasiconvex envelop of  $K$ :

$$\bar{K} = \left\{ \sigma : \xi_h \rightharpoonup \sigma, \xi_h(x) \in K, \operatorname{div} \xi_h(x) = 0 \text{ in } \Omega \right\}$$

- Relaxed static problem (attained):

$$\sup_{\sigma} \left\{ \int_{\partial\Omega} \sigma \nu \cdot g \, d\mathcal{H}^2 : \sigma(x) \in \bar{K}, \operatorname{div} \sigma(x) = 0 \text{ in } \Omega \right\}$$



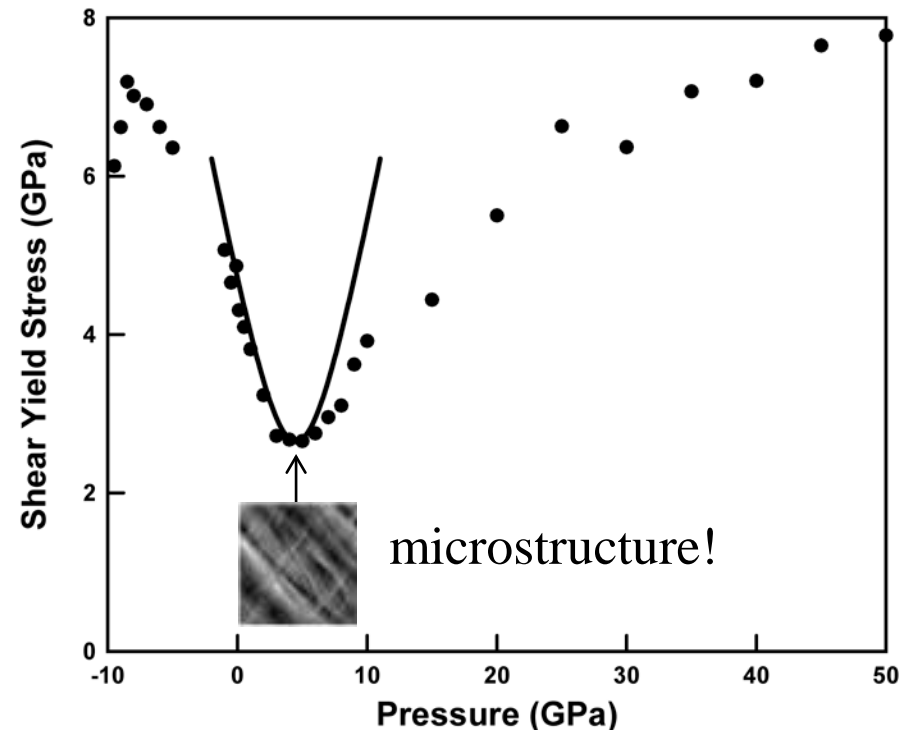
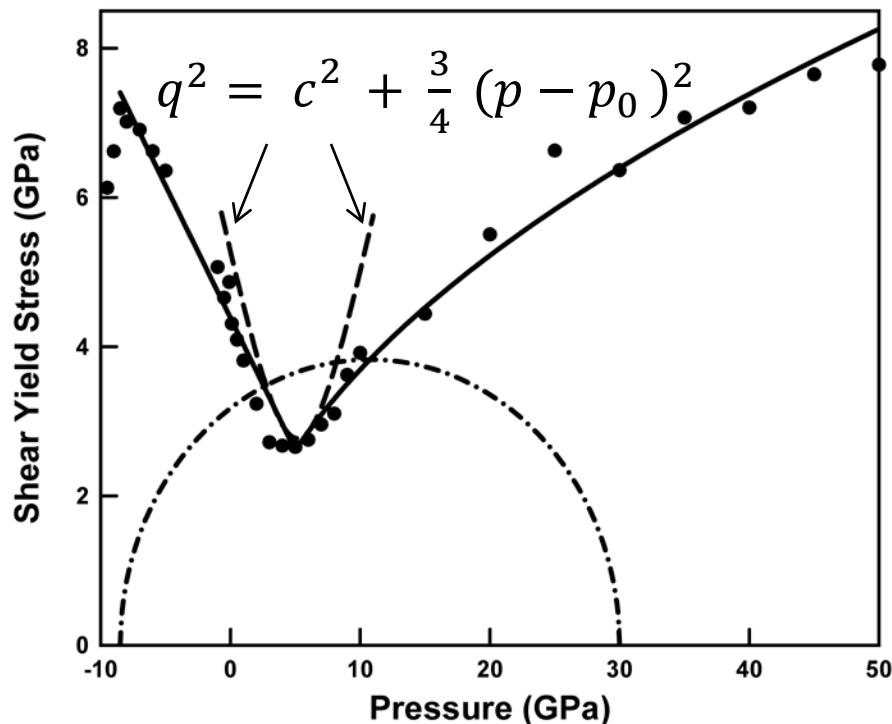
$\xi_h$

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# Non-convex limit analysis – Relaxation

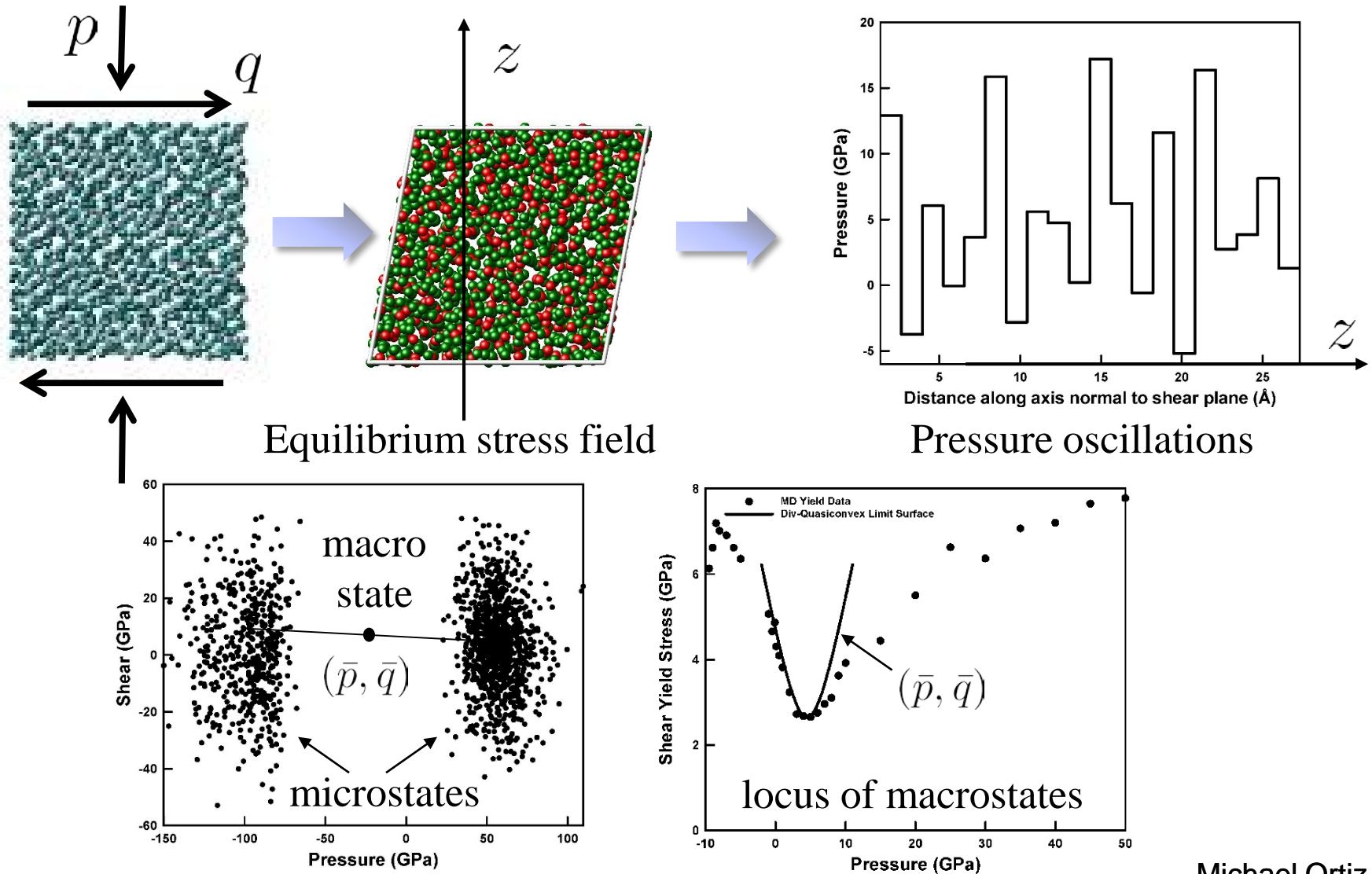
## Div-quasiconvex envelop of glass elastic domain:

- **Theorem** (Tartar'85). *The function  $f(\sigma) = 2|\sigma|^2 - \text{tr}(\sigma)^2$  is div-quasiconvex.*
- **Theorem.** *The set  $\{\sigma : q^2 \leq c^2 + \frac{3}{4}(p - p_0)^2\}$  is div-quasiconvex.*
- **Theorem** (CMO'17) *The div-quasiconvex envelop of  $K$  is:*





# Critical-state plasticity – Relaxation



# Multiscale modeling approach

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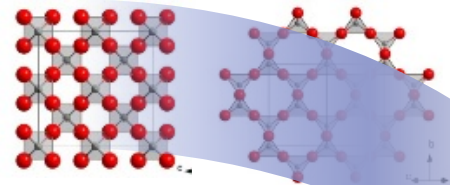
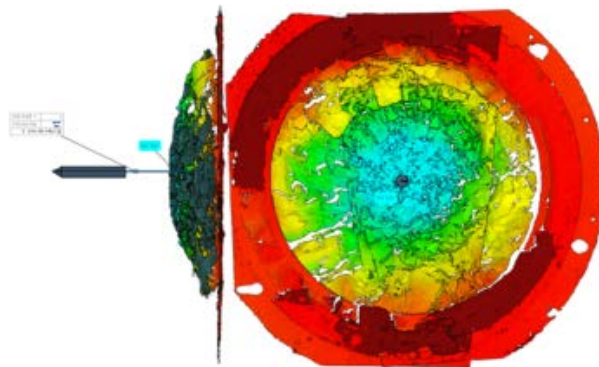
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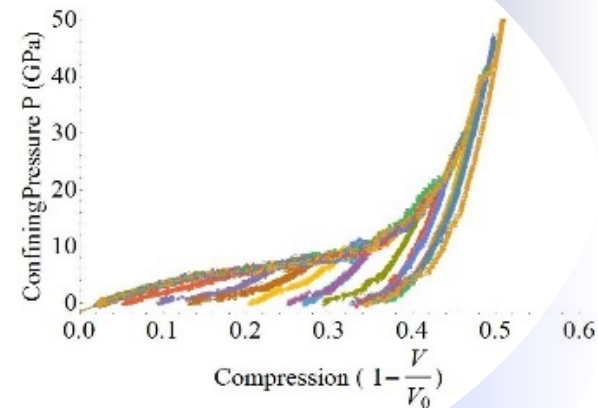
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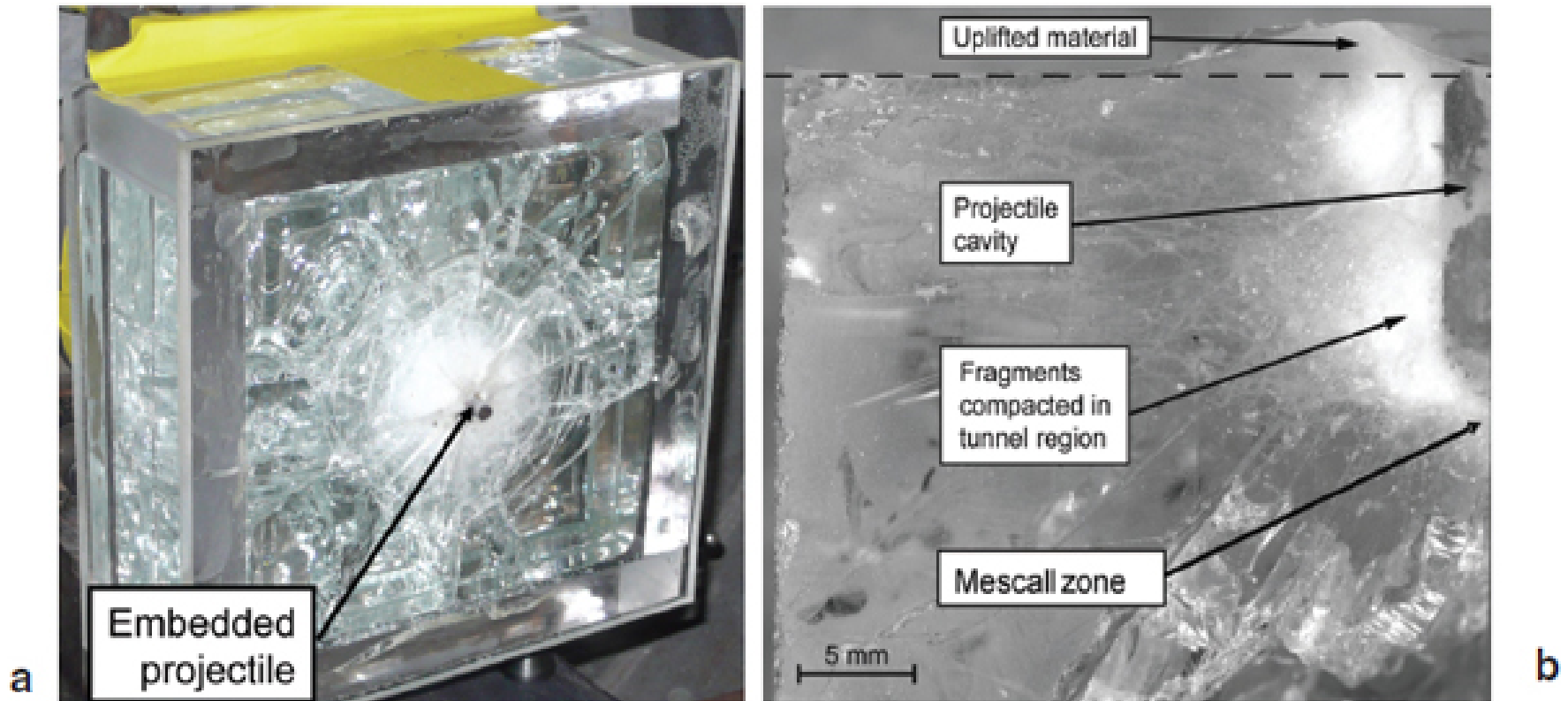


**Data  
Mining**



**Applications:  
Solvers!**

# Recall: Glass as protection material



A soda lime glass target impacted by steel rod at 300 m/s<sup>1</sup>.

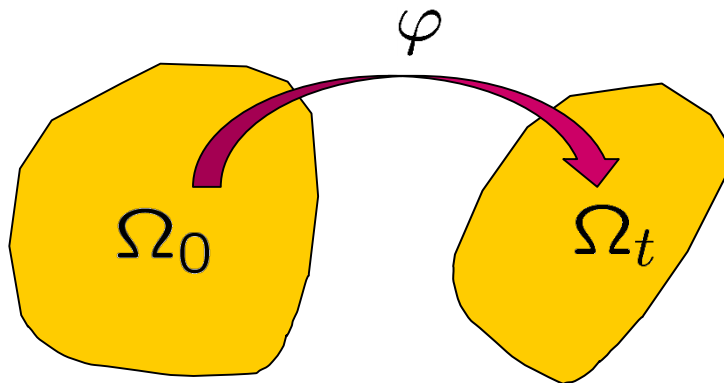
<sup>1</sup>Shockey, D., Simons, J. and Curran D.,  
*Int. J. Appl. Ceramic Tech.*, **7**(5):566-573, 2010.

# Optimal transportation problems

- Mass + linear-momentum transport (Eulerian):

$$\left\{ \begin{array}{ll} \partial_t \rho + \nabla \cdot (\rho v) = 0, & \text{in } [0, T] \times \Omega_t, \\ \partial_t(\rho v) + \nabla \cdot (\rho v \otimes v) = \nabla \cdot \sigma, & \text{in } [0, T] \times \Omega_t, \\ \sigma = \sigma(\text{deformation history}), & \text{in } [0, T] \times \Omega_t. \end{array} \right.$$

- Lagrangian reformulation:



$$\left\{ \begin{array}{l} \partial_t \varphi = v \circ \varphi, \\ \rho \circ \varphi = \rho_0 / \det(\nabla \varphi). \end{array} \right.$$

***Geometrically exact!***

# Optimal transportation — Time-discrete

- Semidiscrete action:  $A_d(\varphi_1, \dots, \varphi_{N-1}) =$

$$\sum_{k=0}^{N-1} \left\{ \underbrace{\frac{1}{2} \frac{d_W^2(\rho_k, \rho_{k+1})}{(t_{k+1} - t_k)^2}}_{\text{inertia}} - \underbrace{\frac{1}{2} [U(\varphi_k) + U(\varphi_{k+1})]}_{\text{potential energy}} \right\} (t_{k+1} - t_k)$$

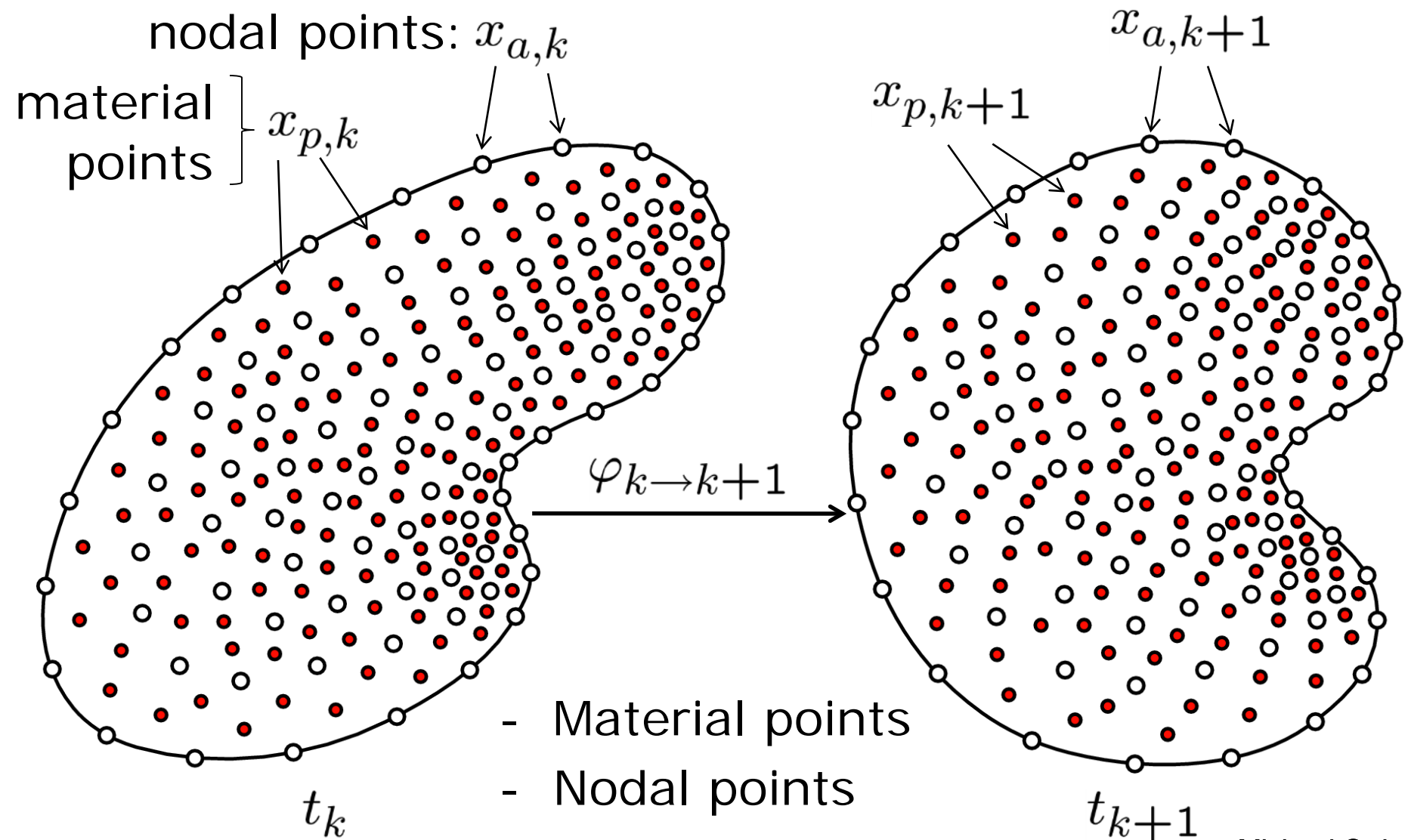
- Discrete Euler-Lagrange equations:  $\delta A_d = 0 \Rightarrow$

$$\frac{2\rho_k}{t_{k+1} - t_{k-1}} \left( \frac{\varphi_{k \rightarrow k+1} - x}{t_{k+1} - t_k} + \frac{\varphi_{k \rightarrow k-1} - x}{t_k - t_{k-1}} \right) = \nabla \cdot \sigma_k + \rho_k b_k$$

$$\rho_{k+1} \circ \varphi_{k \rightarrow k+1} = \rho_k / \det(\nabla \varphi_{k \rightarrow k+1})$$

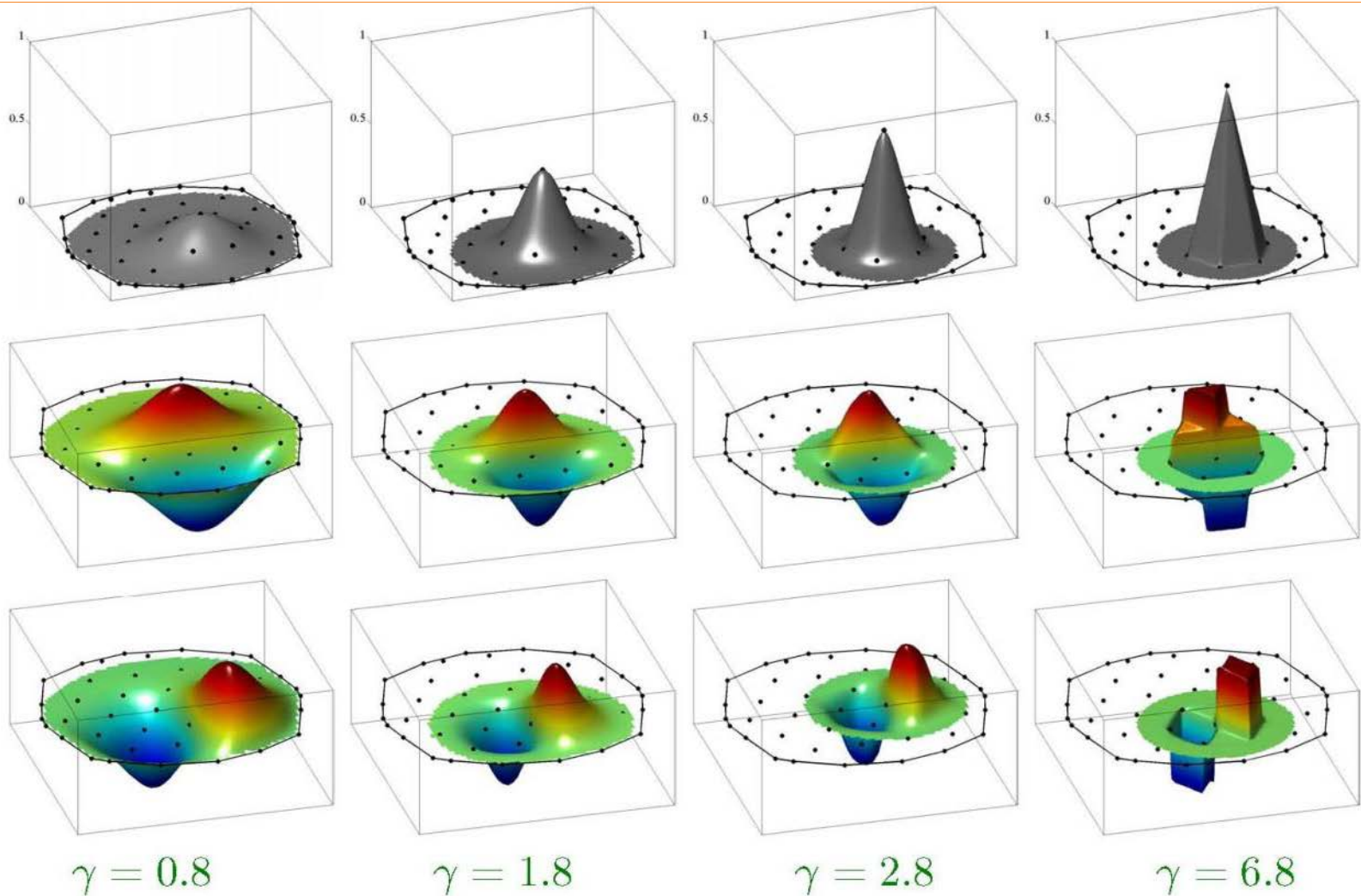
***Geometrically exact!***

# Optimal Transportation Meshfree (OTM)





# Max-ent interpolation



Max-ent shape functions,  $\gamma = \beta h^2$

Arroyo, M. and Ortiz, M., *IJNME*, **65** (2006) 2167.

Michael Ortiz  
UPEN 2018

# OTM Solver — Flow chart

(i) Explicit nodal coordinate update:

$$x_{k+1} = x_k + (t_{k+1} - t_k) \left( v_k + \frac{t_{k+1} - t_{k-1}}{2} M_k^{-1} f_k \right)$$

(ii) Material point update:

position:  $x_{p,k+1} = \varphi_{k \rightarrow k+1}(x_{p,k})$

deformation:  $F_{p,k+1} = \nabla \varphi_{k \rightarrow k+1}(x_{p,k}) F_{p,k}$

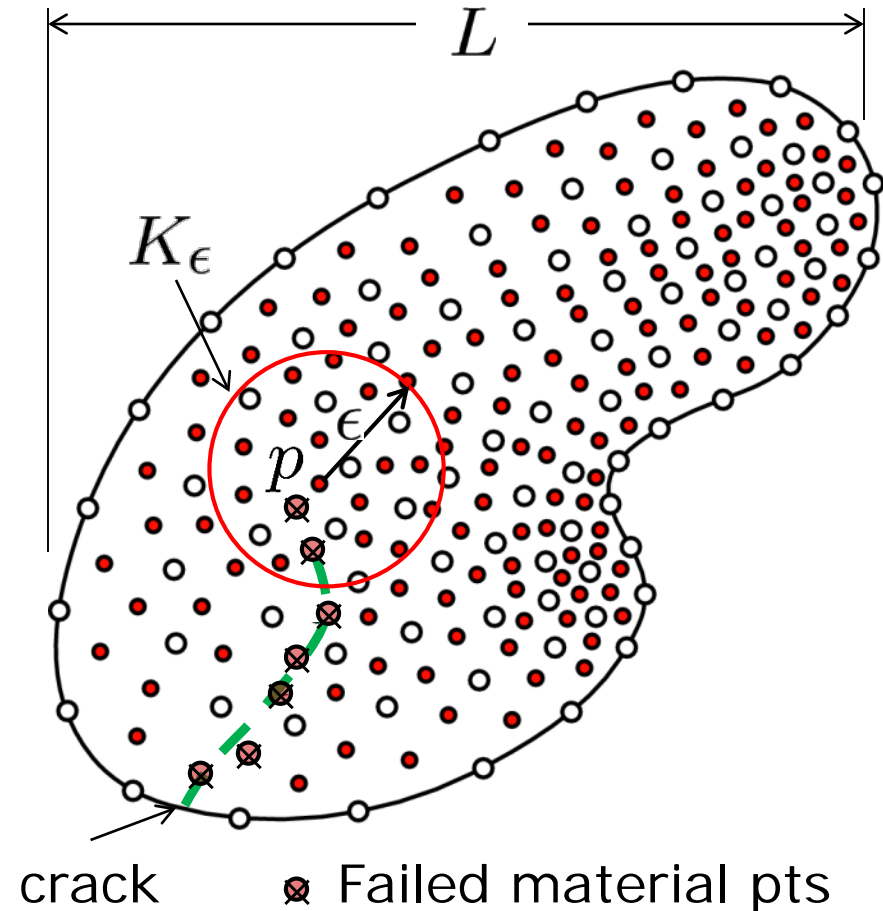
volume:  $V_{p,k+1} = \det \nabla \varphi_{k \rightarrow k+1}(x_{p,k}) V_{p,k}$

density:  $\rho_{p,k+1} = m_p / V_{p,k+1}$

(iii) Constitutive update at material points


(iv) Reconnect nodal and material points (range searches), recompute max-ext shape functions

# Fracture Solver – Material-point erosion



Schematic of  
 $\epsilon$ -neighborhood  
construction

- $\epsilon$ -neighborhood construction:  
Choose  $h \ll \epsilon \ll L$
- Erode material point  $p$  if

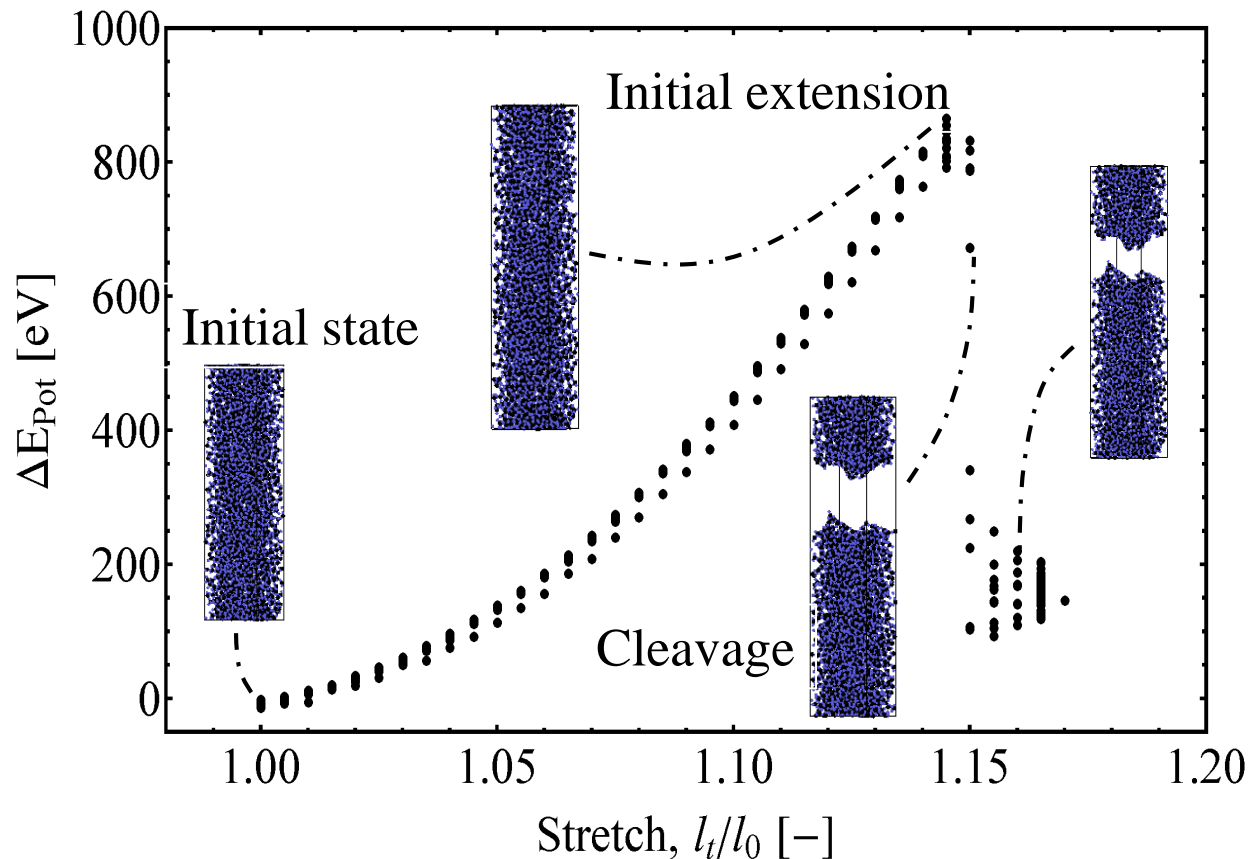
$$G_{p,h,\epsilon} \sim \frac{E_p h \epsilon}{|K_\epsilon|} \gtrless G_c$$


- Proof of convergence to Griffith fracture:
  - Schmidt, B., Fraternali, F. & MO, *SIAM J. Multiscale Model. Simul.*, **7**(3):1237-1366, 2009.

# Fracture of SiO<sub>2</sub>

**Tensile test, brittle fracture, specific fracture energy:**

- Common reported values (experimental and MD):  $G = 1\text{-}10 \text{ J/m}^2$

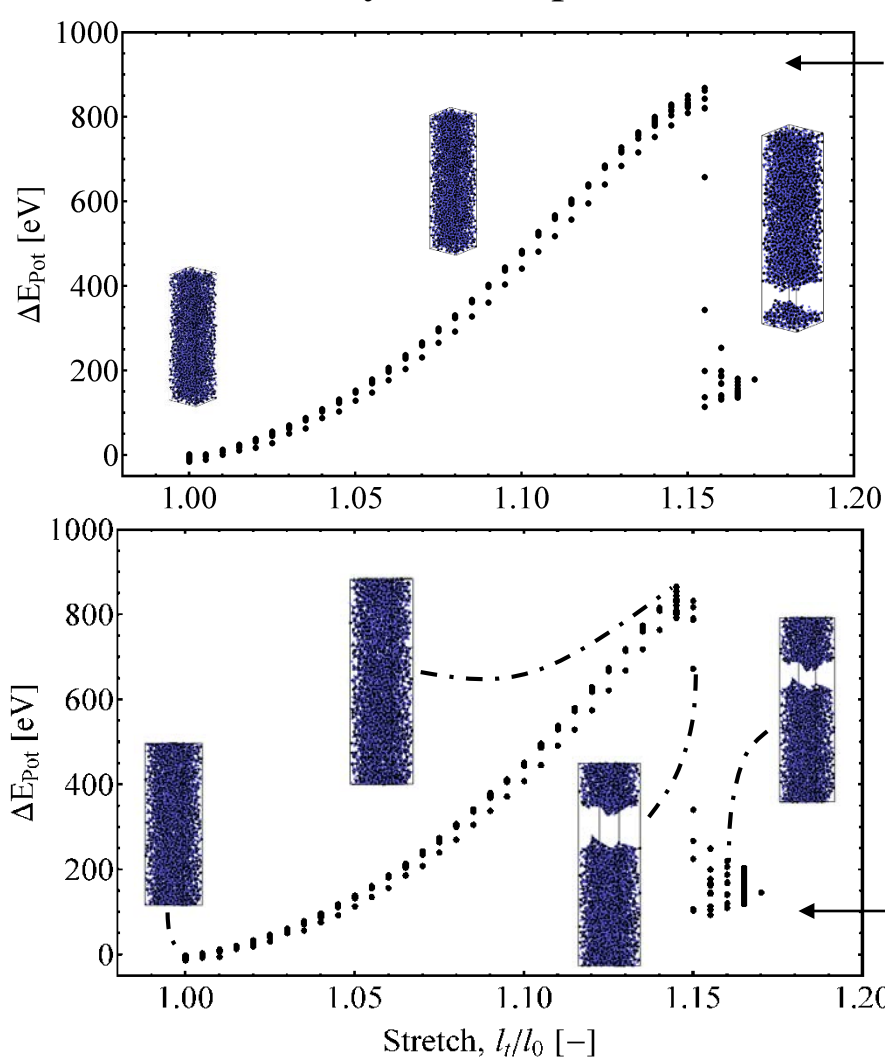


$$\gamma = (E_{\text{final}} - E_{\text{initial}}) / A \quad G = 2\gamma = 3.1969 \frac{\text{J}}{\text{m}^2}$$

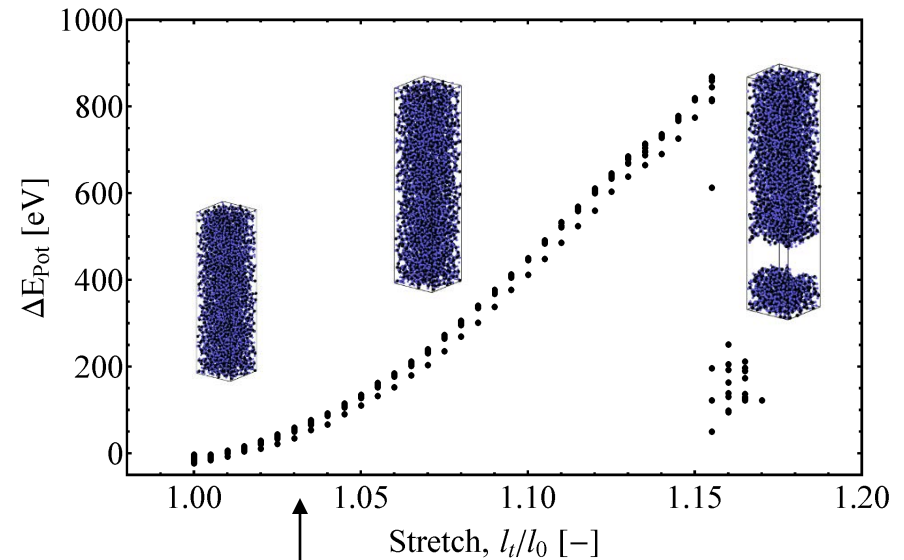
# Fracture of SiO<sub>2</sub>

## Tensile test, brittle fracture, specific fracture energy:

- Variability with respect of initial conditions, area, width



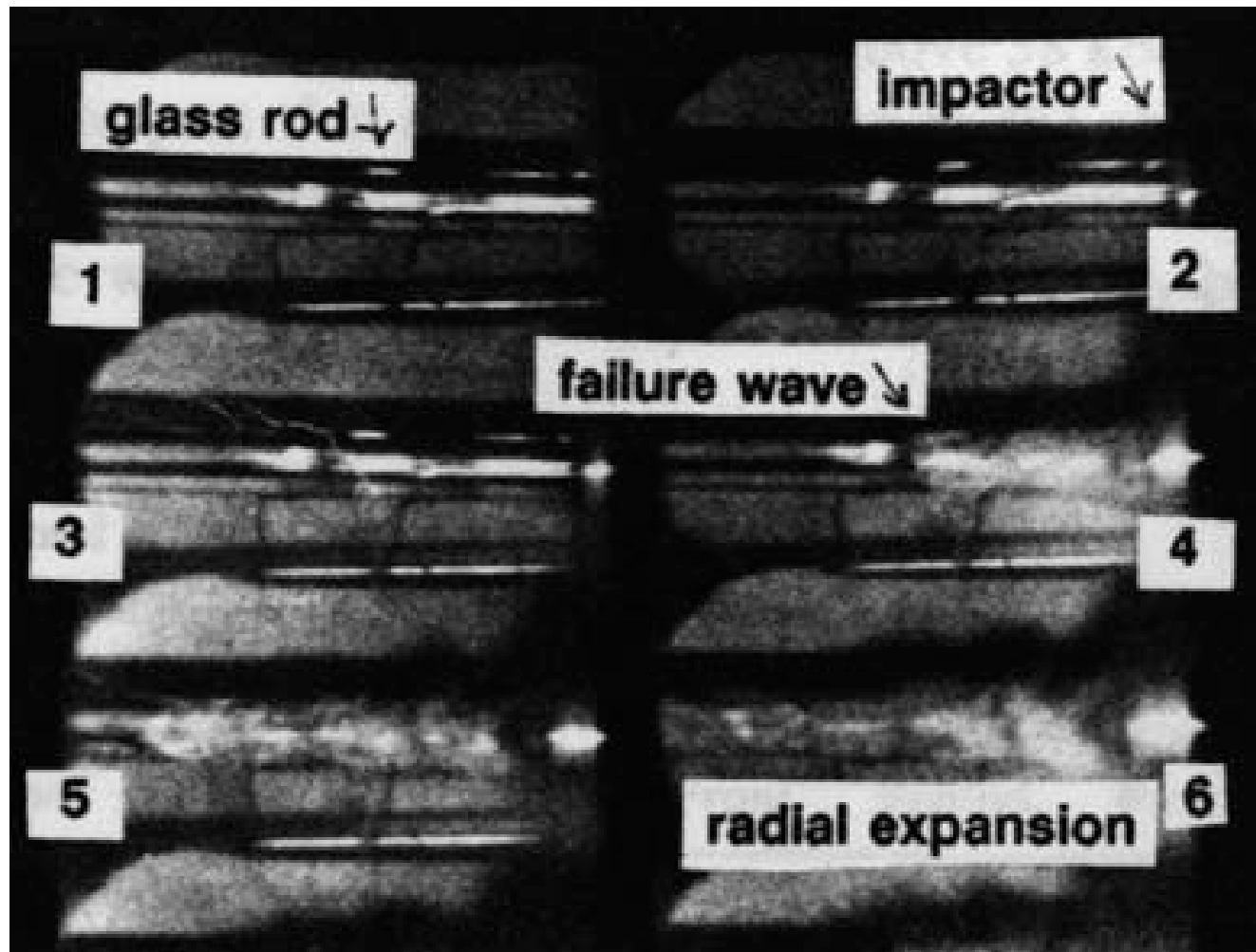
$$G = 2\gamma = 2.9387 \frac{\text{J}}{\text{m}^2}$$



$$G = 2\gamma = 3.03192 \frac{\text{J}}{\text{m}^2}$$

$$G = 2\gamma = 3.1969 \frac{\text{J}}{\text{m}^2}$$

# Application: Failure waves in glass rods



Failure wave in pyrex rod at 210 m/s.

Brar, N.S., Bless, S.J. and Rosenberg, Z., *Appl. Phys. Lett.*, **59**:3396, 1991.

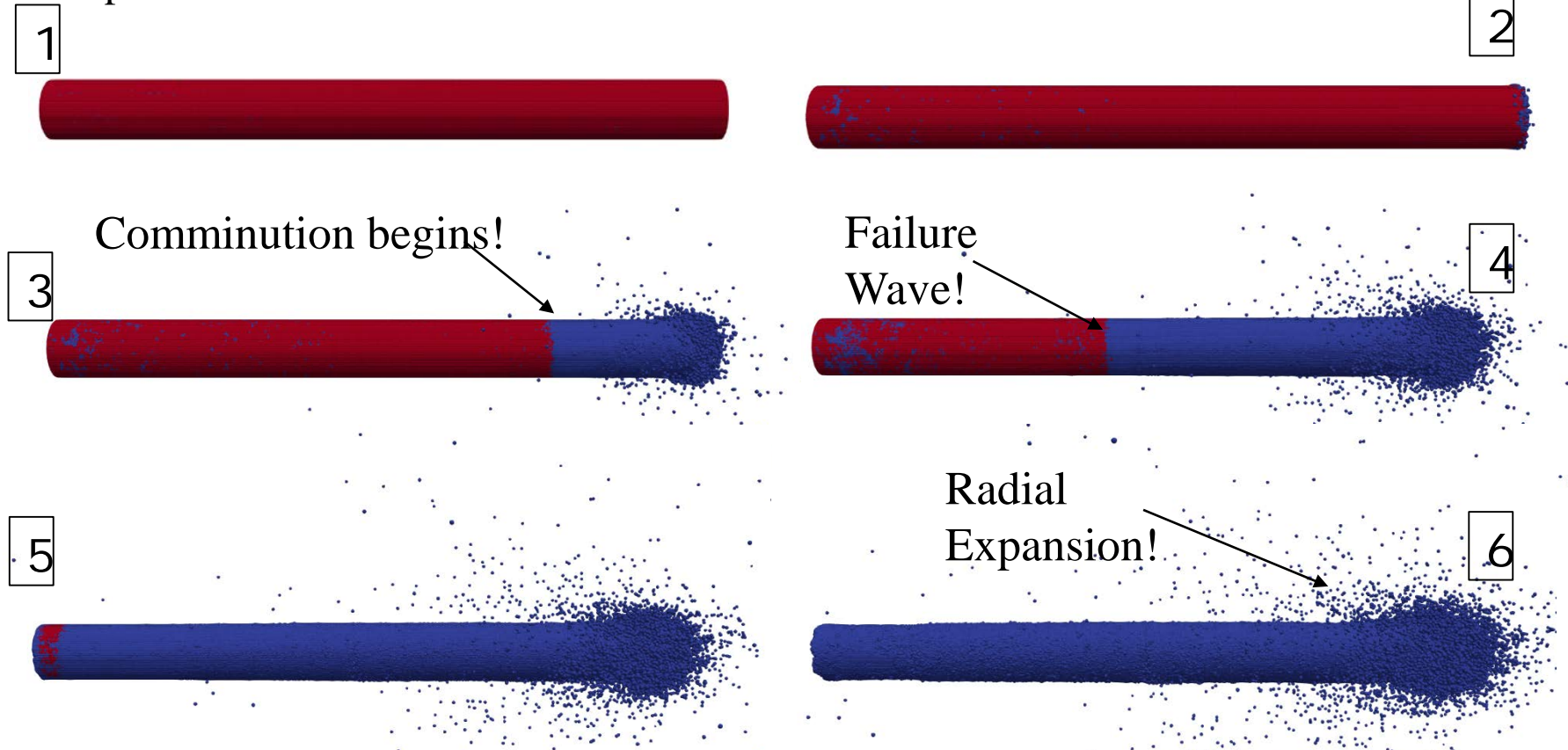
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UPEN 2018



# OTM Solver – Failure wave in glass rod

## Comparison to Experiment:

- After impact, the failure wave propagates in close agreement with experiment

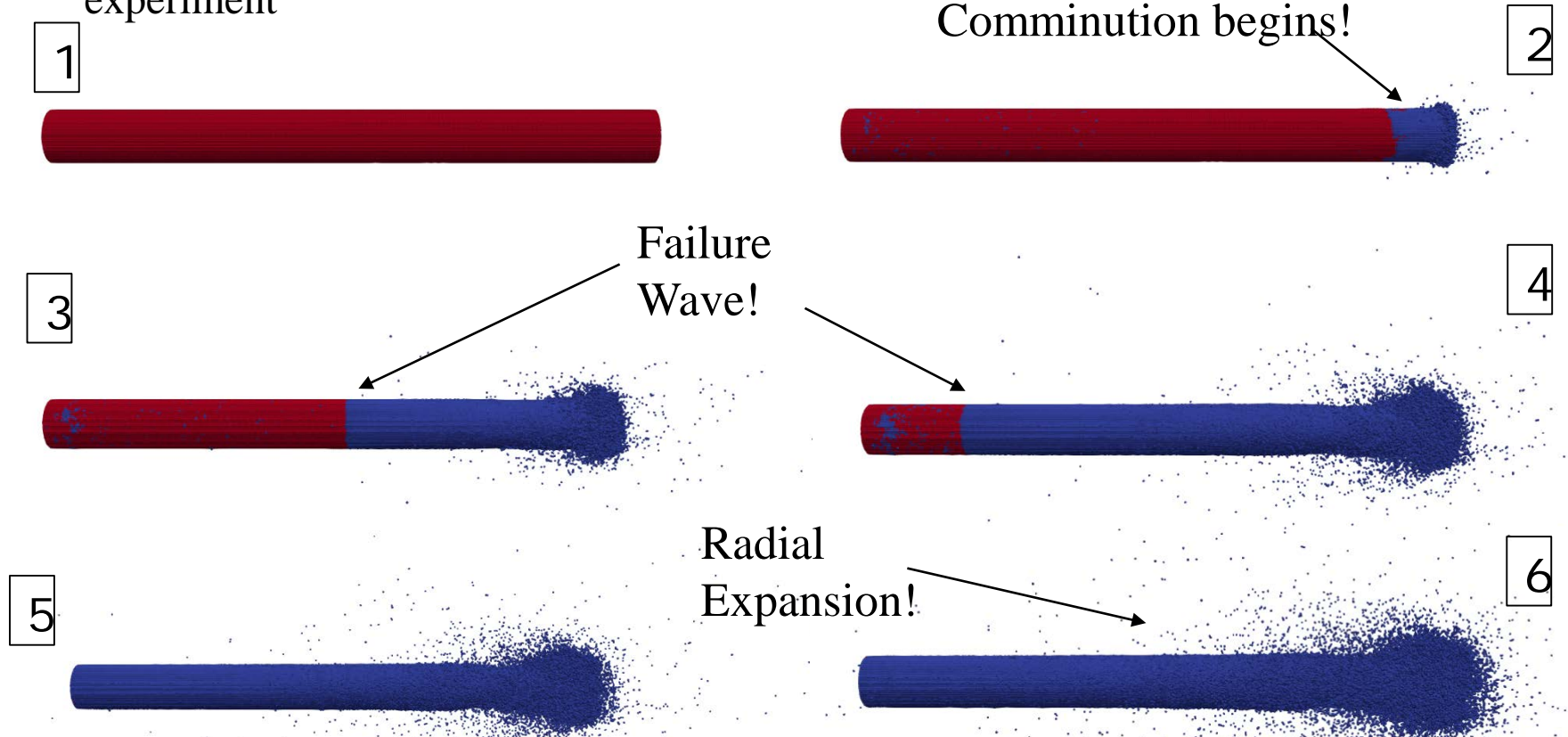


- $V_{\text{failure}} (\text{sim}) = 4.7 \text{ mm}/\mu\text{s}$
  - $V_{\text{failure}} (\text{exp}) = 4.5 \text{ mm}/\mu\text{s}$
- } Close Agreement!

# OTM Solver – Failure wave in glass rod

A faster impact speed:  $V = 336 \text{ m/s}$

- Again, the failure wave propagates in close agreement with experiment



- $V_{\text{failure}} (\text{sim}) = 5.4 \text{ mm}/\mu\text{s}$
  - $V_{\text{failure}} (\text{exp}) = 5.2 \text{ mm}/\mu\text{s}$
- } Close Agreement!

# Multiscale modeling approach

## Atomistic modeling of fused silica:

- Volumetric response (hysteretic)
- Pressure-dependent shear response
- Rate-sensitivity+viscosity+temperature

## Mesoscopic modeling:

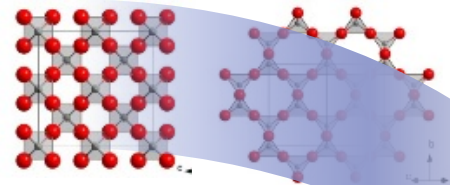
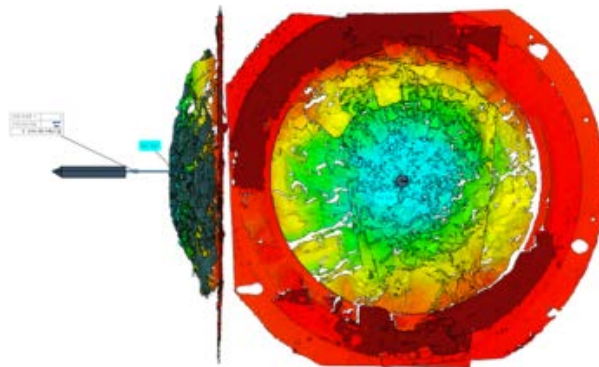
- Critical-state plasticity

## Macroscopic modeling:

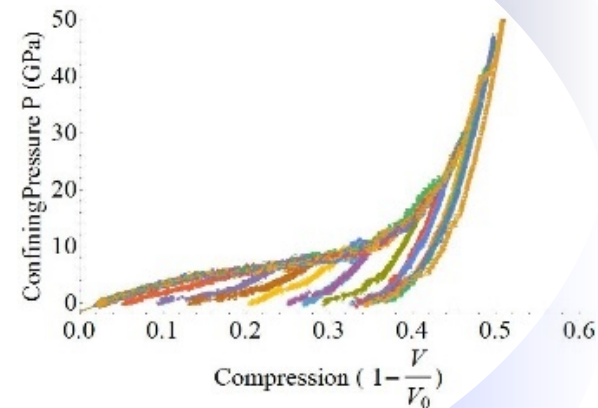
- Relaxation

**Continuum  
Models**

*(OTM ballistic  
simulation of  
brittle target ,  
Courtesy B. Li)*



**Data  
Mining**



**Applications**

# Concluding remarks

- Fused silica glass (amorphous) lends itself ideally to multiscale modeling, atoms to solvers
- Elasticity and yielding of fused silica glass are anomalous:
  - *Shear modulus decreases with increasing pressure*
  - *Critical state line (limit elastic domain) **non-convex!***
- Non-convexity of yielding can be relaxed (explicitly and in closed form) by allowing for stress patterning (under equilibrium constraint) at the microscale
- Particle solvers are powerful for applications involving complex fracture, fragmentation
- Unmodeled: Thermal EoS, thermal softening, nonlinear viscosity, shear banding...

