

Optimal scaling laws in ductile fracture

M. Ortiz

California Institute of Technology

Symposium on
New Developments in Defects Mechanics

Chair: Xanthippi Markenscoff, UCSD

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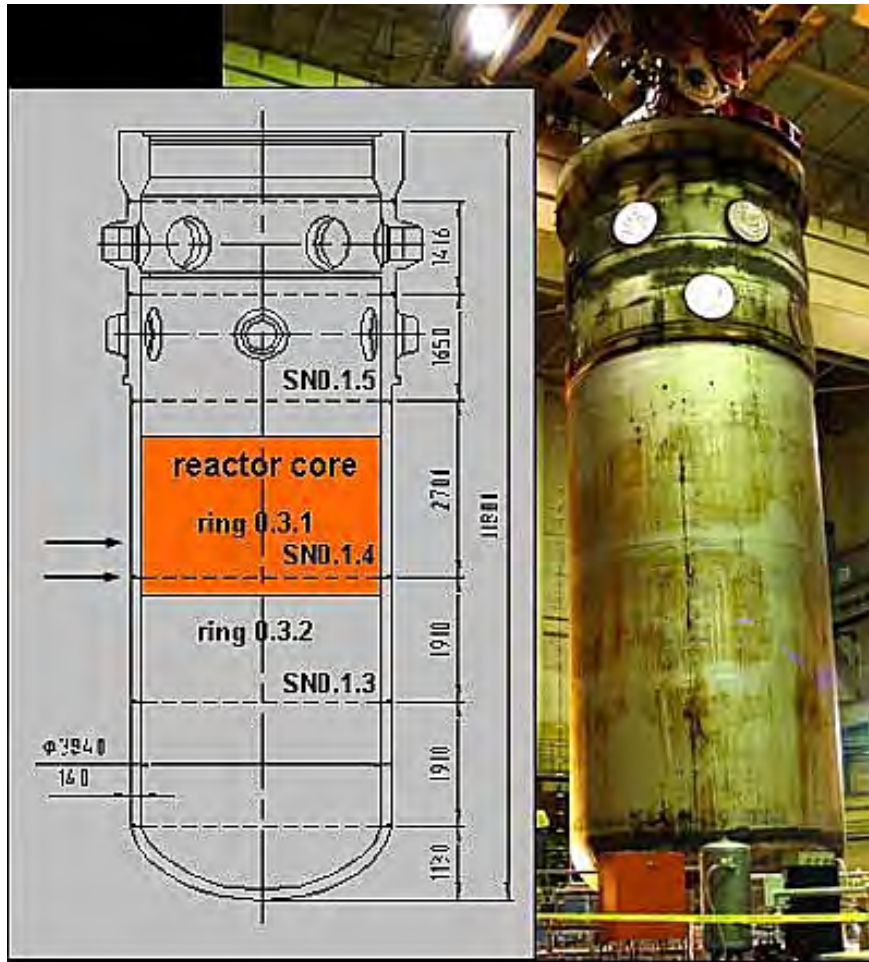
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Outline

- Background/phenomenology of ductile fracture
- Metals: mathematical formulation
- Optimal scaling laws
- Numerical approximation
- Applications: Hypervelocity impact and explosively-driven caps
- Extension to polymers
- Application: Taylor anvil tests on polyurea



Background on ductile fracture



- Linear-elastic fracture mechanics proved inadequate for assessing, e.g., safety of mild-steel pressure vessels in nuclear power plants, which spurred the development of *elastic-plastic fracture mechanics* (with focus on Rice's J-integral formalism)

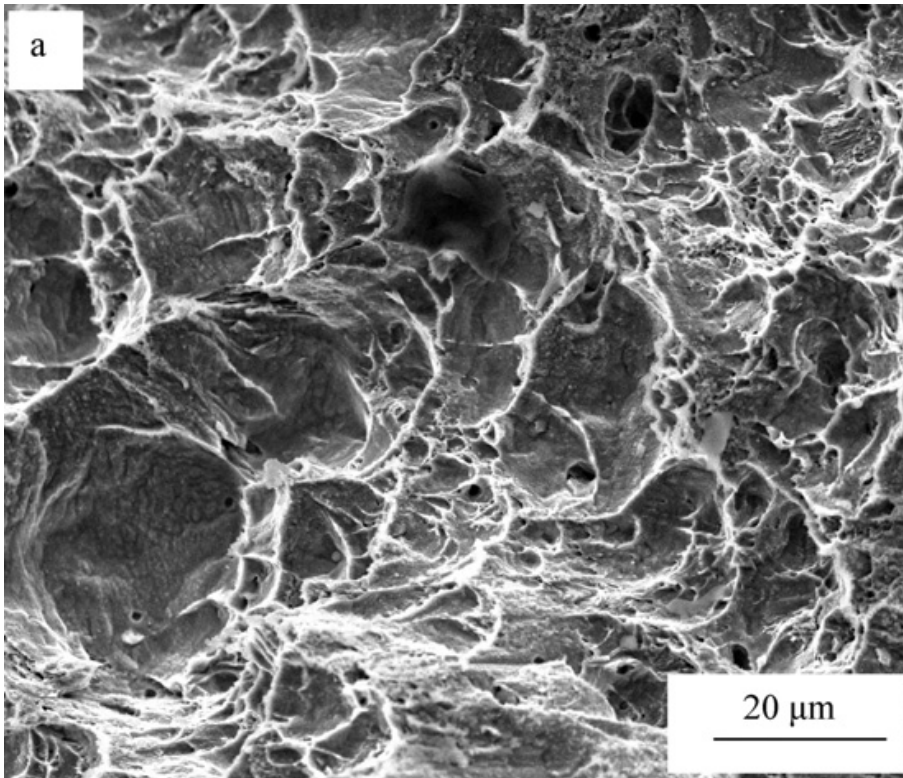
Reactor Pressure Vessel (RPV)
from Greifswald Nuclear Power Plant
(courtesy Viehrig, H.W. and Houska, M.,
Helmholtz Zentrum, Dresden-Rossendorf,
<https://www.hzdr.de/db/Cms?pNid=2698>)



Background on ductile fracture



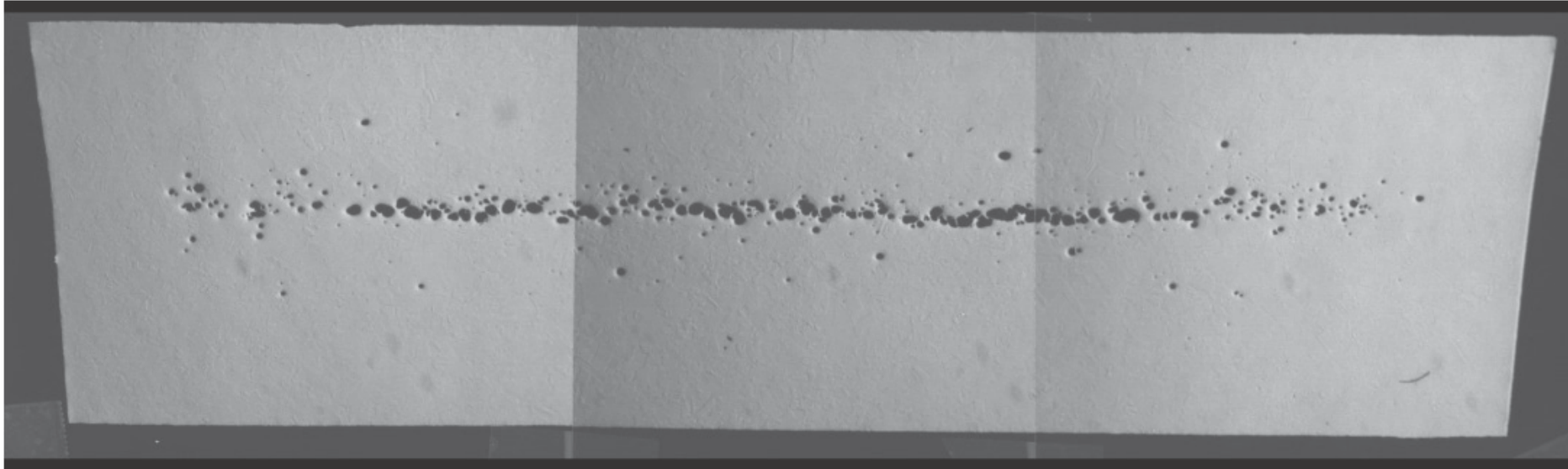
(Courtesy NSW HSC online)



- Ductile fracture in metals occurs by *void nucleation, growth and coalescence*
- Fractography of ductile-fracture surfaces exhibits profuse *dimpling*, vestige of microvoids
- Ductile fracture entails large amounts of *plastic deformation* (vs. surface energy) and dissipation.

Fracture surface in SA333 steel, room temp., $d\epsilon/dt=3\times10^{-3}s^{-1}$
(S.V. Kamata, M. Srinivasa and P.R. Rao, Mater. Sci. Engr. A, **528** (2011) 4141–4146)

Background on ductile fracture



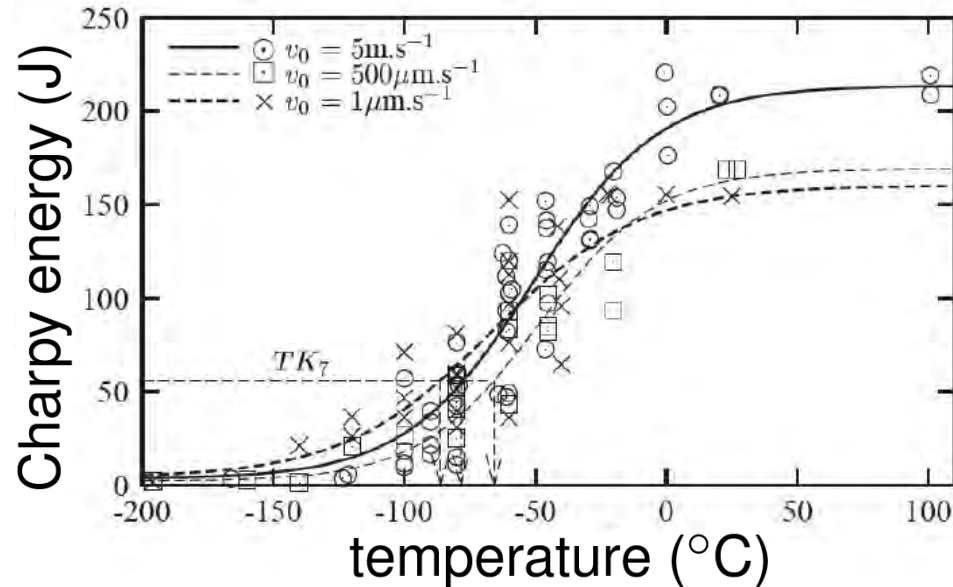
Photomicrograph of a copper disk tested in a gas-gun experiment showing the formation of voids and their coalescence into a fracture plane

Heller, A., How Metals Fail,
Science & Technology Review Magazine,
Lawrence Livermore National Laboratory,
pp. 13-20, July/August, 2002

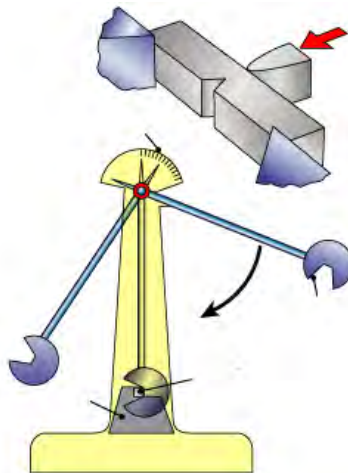


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Background on ductile fracture



Charpy energy of
A508 steel
(Tanguy *et al.*, *Eng.
Frac. Mechanics*, 2005)



- A number of ASTM engineering standards are in place to characterize ductile fracture properties (J-testing, Charpy test)
- The Charpy test data reveals a brittle-to-ductile transition temperature
- In general, the specific fracture energy for ductile fracture is greatly in excess of that required for brittle fracture...

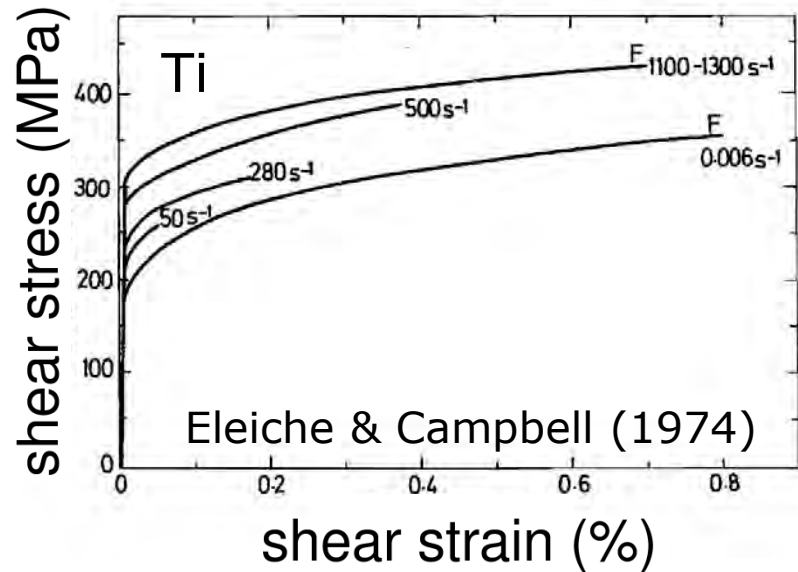


Outline/work plan

- Background/phenomenology of ductile fracture
- **Metals: mathematical formulation**
- Optimal scaling laws
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Naïve model: Local plasticity



- Deformation theory: Minimize

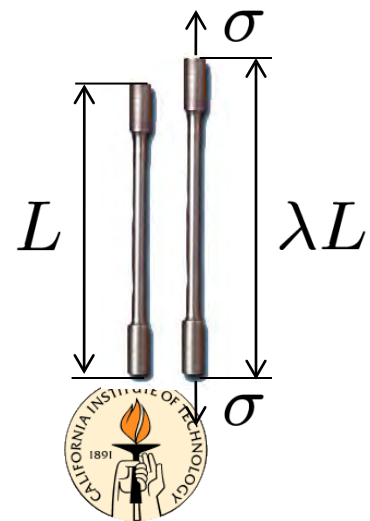
$$E(y) = \int_{\Omega} W(Dy(x)) dx$$

- Growth of $W(F)$?
- Assume power-law hardening:

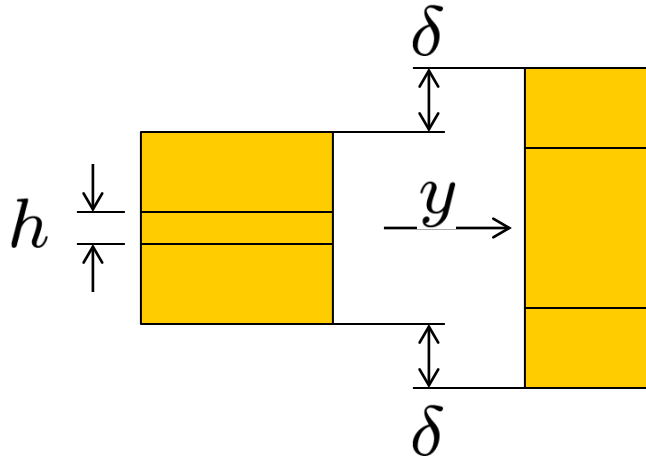
$$\sigma \sim K\epsilon^n = K(\lambda - 1)^n$$

- Nominal stress: $\partial_{\lambda} W = \sigma/\lambda = K(\lambda - 1)^n/\lambda$
- For large λ : $\partial_{\lambda} W \sim K\lambda^{n-1} \Rightarrow W \sim K\lambda^n$
- In general: $W(F) \sim |F|^p$, $p = n \in (0, 1)$

\Rightarrow Sublinear growth!



Naïve model: Local plasticity

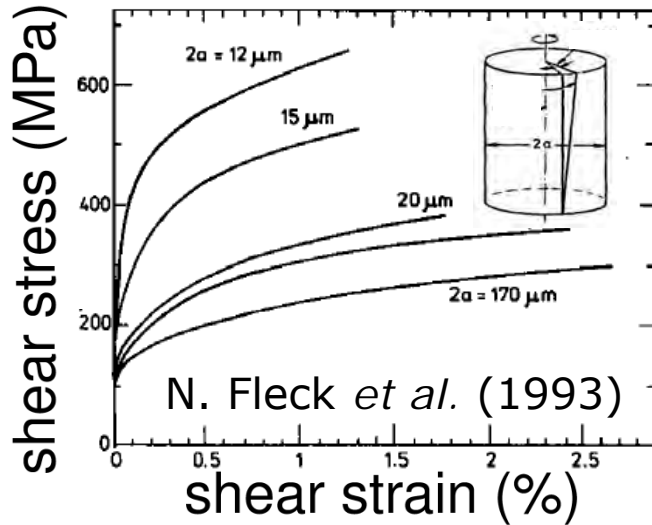


- Example: Uniaxial extension
- Energy: $E_h \sim h \left(\frac{2\delta}{h} \right)^p$
- For $p < 1$: $\lim_{h \rightarrow 0} E_h = 0$

- Energies with sublinear growth relax to 0.
- *For hardening exponents in the range of experimental observation, local plasticity yields no useful information regarding ductile fracture properties of materials!*
- Need additional physics, structure...



Strain-gradient plasticity

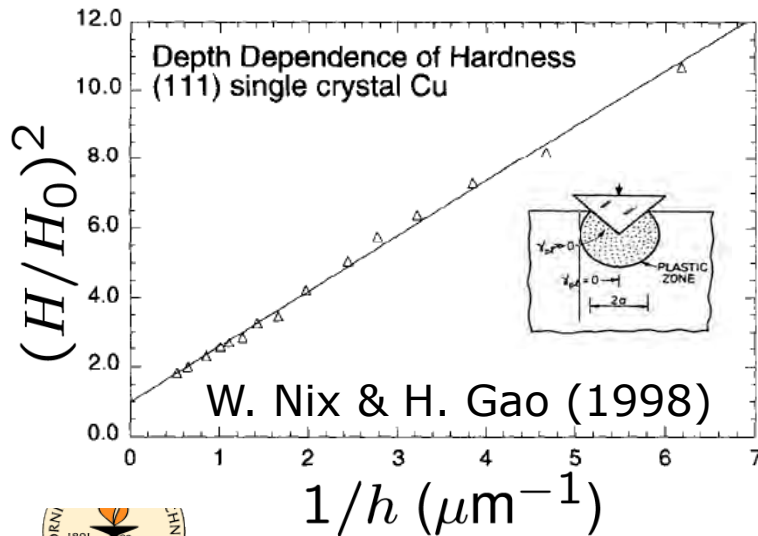


- The yield stress of metals is observed to increase in the presence of strain gradients
- Deformation theory of strain-gradient plasticity:

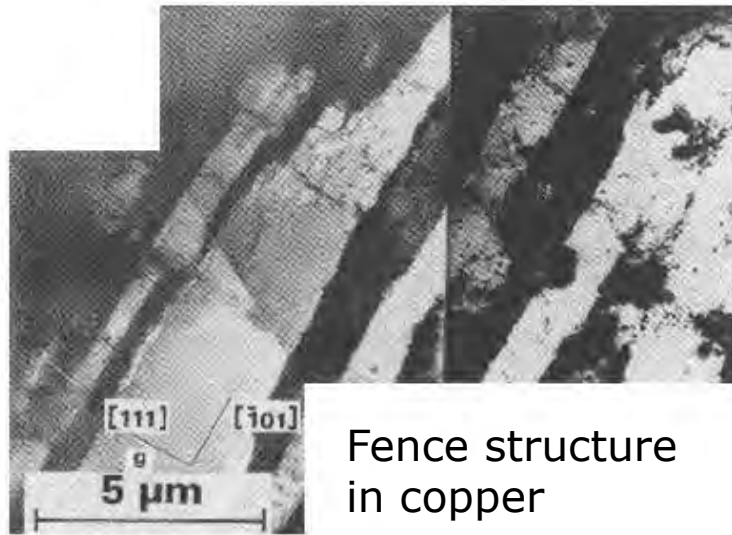
$$E(y) = \int_{\Omega} W(Dy(x), D^2y(x)) dx$$

$y : \Omega \rightarrow \mathbb{R}^n$, volume preserving

- Strain-gradient effects may be expected to oppose localization
- Growth of W with respect to the second deformation gradient?

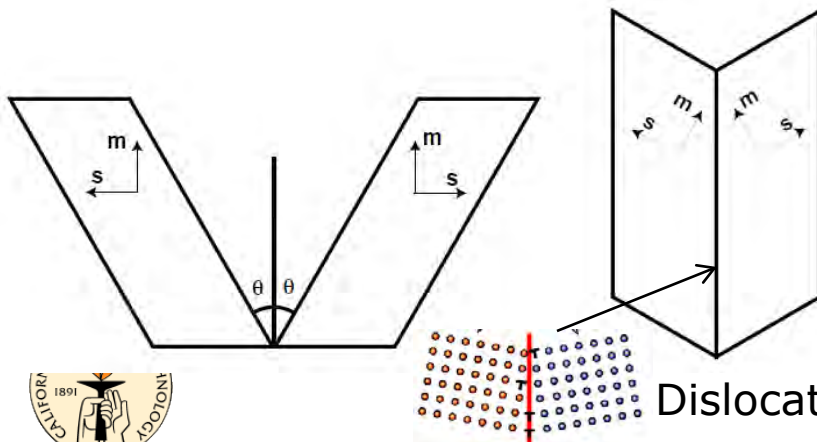


Strain-gradient plasticity



Fence structure
in copper

(J.W. Steeds, *Proc. Roy. Soc. London*,
A292, 1966, p. 343)



- Growth of $W(F, \cdot)$?

- For fence structure:

$$F^\pm = R^\pm (I \pm \tan \theta s \otimes m)$$

- Across jump planes:

$$|\llbracket F \rrbracket| = 2 \sin \theta$$

- Dislocation-wall energy:

$$E = \frac{T}{b} 2 \sin \theta = \frac{T}{b} |\llbracket F \rrbracket|$$

$\Rightarrow W(F, \cdot)$ has linear growth!



Strain-gradient plasticity

- Mathematical model: Minimize

$$E(y) = \int_{\Omega} W(Dy(x), D^2y(x)) dx$$

$y : \Omega \rightarrow \mathbb{R}^n$, volume preserving

- For metals, local plasticity exhibits sub-linear growth, which favors localization of deformations
- Strain-gradient plasticity may be expected to exhibit linear growth, which opposes localization
- *Question: Can ductile fracture be understood as the result of a competition between sublinear growth and strain-gradient plasticity?*



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Scaling laws in science

- A broad variety of physical phenomena obey power laws over wide ranges of parameters
- Scale invariance: If $y = C x^a$, then (x, y) iff $(\lambda x, \lambda^a y)$, law of corresponding states
- Universality: Systems displaying identical scaling behavior are likely to obey the same fundamental dynamics
- Experimental master curves, data collapse
- Examples:
 - *Critical phenomena (second-order transitions)*
 - *Materials science (Taylor, Hall-Petch, creep laws...)*
 - *Continuum mechanics (hydrodynamic, fracture...)*



Optimal scaling

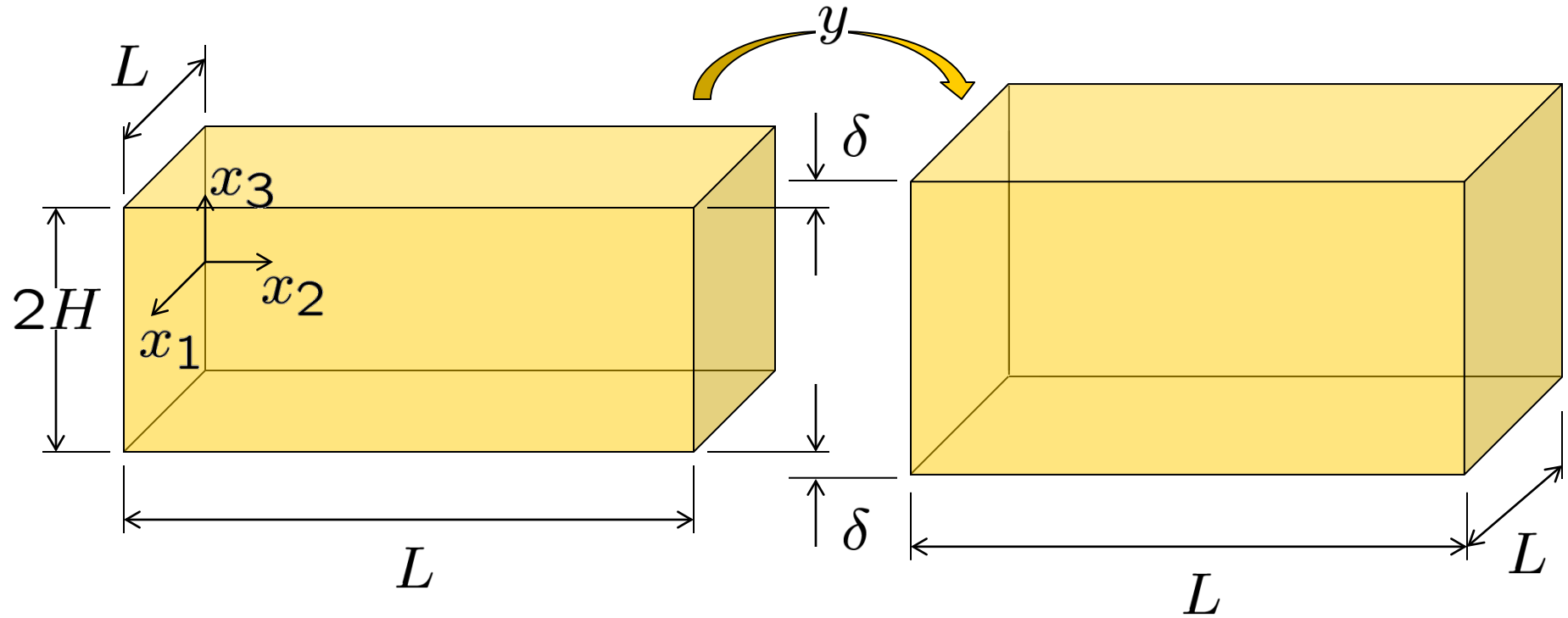
- Suppose: Energy = $E(u, \epsilon_1, \dots, \epsilon_N)$
- Optimal (matching) upper and lower bounds:

$$C_L \epsilon_1^{\alpha_1} \dots \epsilon_N^{\alpha_N} \leq \inf E(\cdot, \epsilon_1, \dots, \epsilon_N) \leq C_U \epsilon_1^{\alpha_1} \dots \epsilon_N^{\alpha_N}$$

- The exponents $\alpha_1, \dots, \alpha_N$ are *sharp, universal*
- The constants C_L and C_U are often lax, imprecise...
- Upper bound by construction, *ansatz*-free lower bound
- Originally applied to branched microstructures in martensite (Kohn-Müller 92, 94; Conti 00)
- Applications to micromagnetics (Choksi-Kohn-Otto 99), thin films (Belgacem *et al* 00)...



Optimal scaling – Ductile fracture



- Approach: Optimal scaling
- Slab: $\Omega = [0, L]^2 \times [-H, H]$, periodic
- Uniaxial extension: $y_3(x_1, x_2, \pm H) = x_3 \pm \delta$



Optimal scaling – Ductile fracture

- $y : \Omega \rightarrow \mathbb{R}^3$, $[0, L]^2$ -periodic, volume preserving
- $y \in W^{1,1}(\Omega; \mathbb{R}^3)$, $Dy \in BV(\Omega; \mathbb{R}^{3 \times 3})$
- Growth: For $0 < K_L < K_U$, *intrinsic length* $\ell > 0$,
$$E(y) \geq K_L \left(\int_{\Omega} (|Dy|^p - 3^{p/2}) dx + \ell \int_{\Omega} |D^2 y| dx \right)$$
$$E(y) \leq K_U \left(\int_{\Omega} (|Dy|^p - 3^{p/2}) dx + \ell \int_{\Omega} |D^2 y| dx \right)$$

Theorem [Fokoua, Conti & MO, ARMA, 2013]. For ℓ sufficiently small, $p \in (0, 1)$, $0 < C_L(p) < C_U(p)$,

$$C_L(p) L^2 \ell^{\frac{1-p}{2-p}} \delta^{\frac{1}{2-p}} \leq \inf E \leq C_U(p) L^2 \ell^{\frac{1-p}{2-p}} \delta^{\frac{1}{2-p}}$$



Optimal scaling – Ductile fracture

- Optimal (matching) upper and lower bounds:

$$C_L(p)L^2\ell^{\frac{1-p}{2-p}}\delta^{\frac{1}{2-p}} \leq \inf E \leq C_U(p)L^2\ell^{\frac{1-p}{2-p}}\delta^{\frac{1}{2-p}}$$

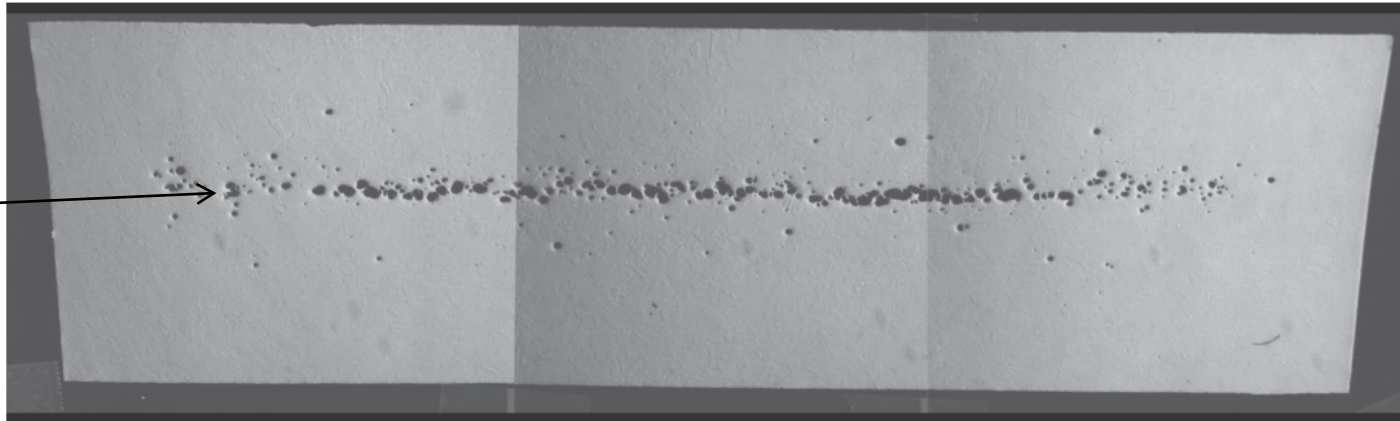
- Bounds apply to *classes of materials* having the same growth, specific model details immaterial
- Energy scales with *area* (L^2): Fracture scaling!
- Energy scales with power of *opening displacement* (δ): Cohesive behavior!
- Lower bound degenerates to 0 when the intrinsic length (ℓ) decreases to zero...
- Bounds on specific fracture energy:

$$C_L(p)\ell^{\frac{1-p}{2-p}}\delta_c^{\frac{1}{2-p}} \leq J_c \leq C_U(p)\ell^{\frac{1-p}{2-p}}\delta_c^{\frac{1}{2-p}}$$

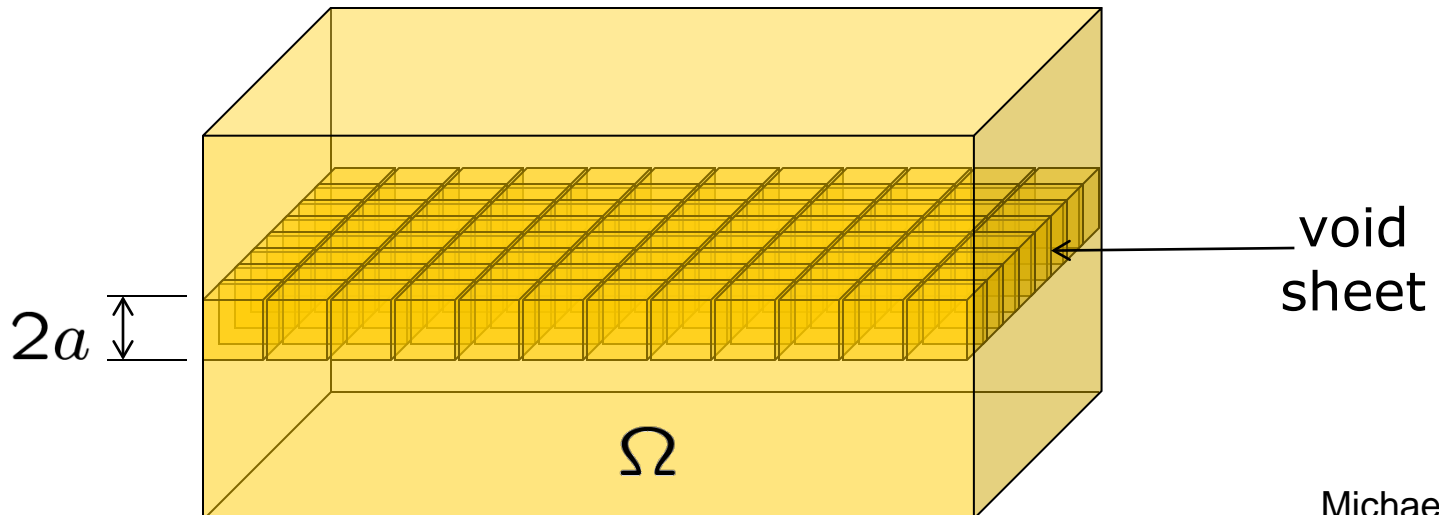


Sketch of proof – Upper bound

void
sheet

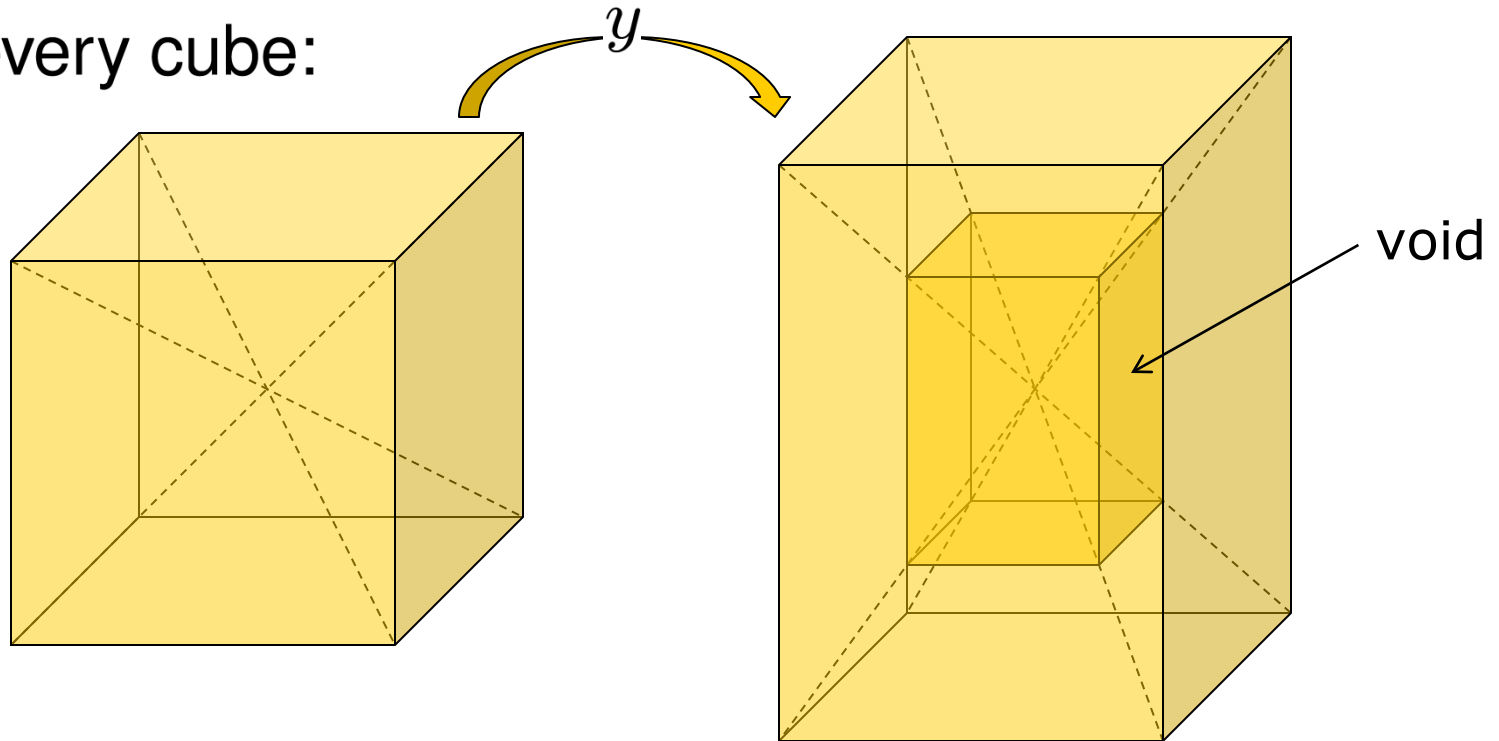


Heller, A., Science & Technology Review Magazine,
LLNL, pp. 13-20, July/August, 2002




Sketch of proof – Upper bound

- In every cube:



- Calculate, estimate: $E \leq CL^2 (a^{1-p}\delta^p + \ell\delta/a)$

- Optimize: $a = (\ell\delta^{1-p})^{1/(2-p)} \Rightarrow E \leq C_U L^2 \ell^{\frac{1-p}{2-p}} \delta^{\frac{1}{2-p}}$



\uparrow
 void growth!

Sketch of proof – Lower bound

- Lower bound: 1D arguments in x_3 -direction
- For fixed (x_1, x_2) : $f(x_3) \equiv |D_3 y(x_1, x_2, x_3)|$
- Then: $|D^2 y| \geq |D_3^2 y| \geq |D_3 |D_3 y|| = |Df|$

$$\bar{f} \equiv \frac{1}{2H} \int_{-H}^H f(x_3) dx_3 \geq \frac{1}{2H} \int_{-H}^H \frac{\partial u_3}{\partial x_3} dx_3 = 1 + \frac{\delta}{H}$$

- Define reduced energy density:

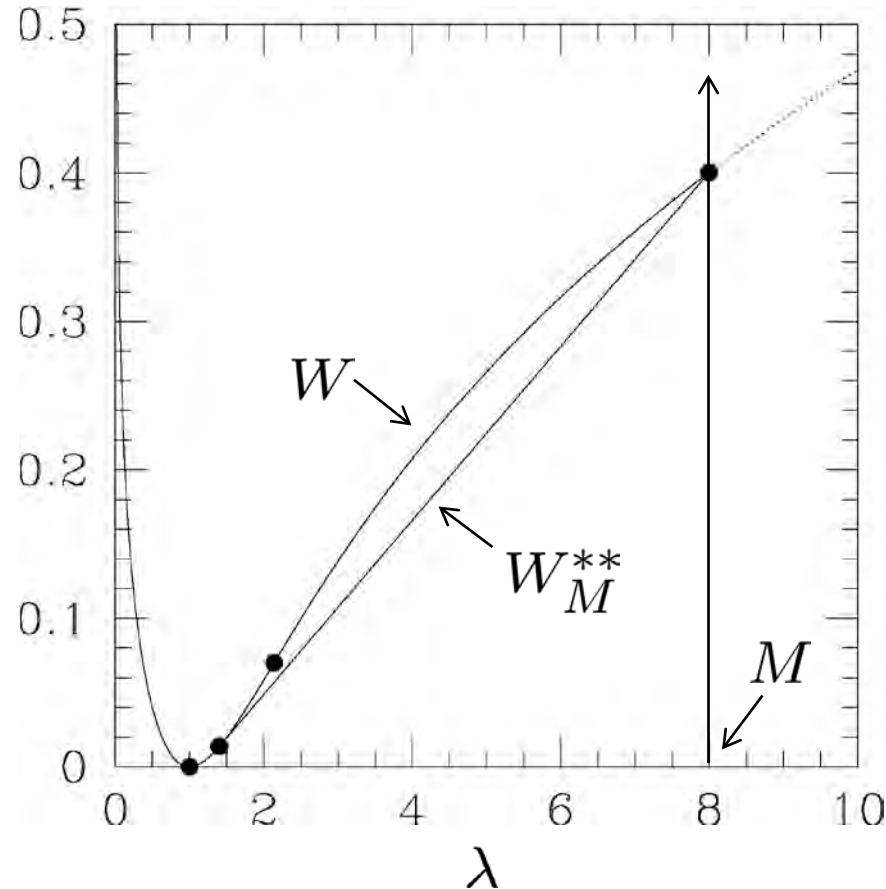
$$W(\lambda) = \min\{|F|^p - 3^{p/2}, \det F = 1, |Fe_3| = \lambda\}$$

- Then: $\int_{-H}^H (|Dy|^p - 3^{p/2} + \ell |D^2 y|) dx_3 \geq$
 $\int_{-H}^H (W(f(x_3)) + \ell |Df(x_3)|) dx_3$



Sketch of proof – Lower bound

Graph of reduced energy density W and dual W^{**}

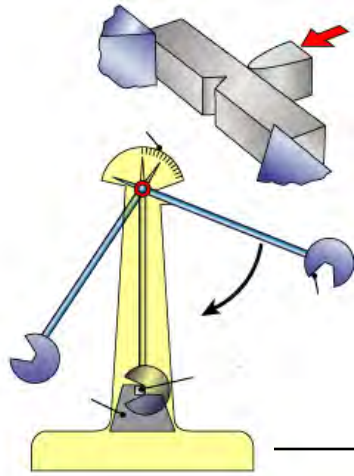


- Duality + Jensen + estimates: $E \geq C_L L^2 \ell^{\frac{1-p}{2-p}} \delta^{\frac{1}{2-p}}$

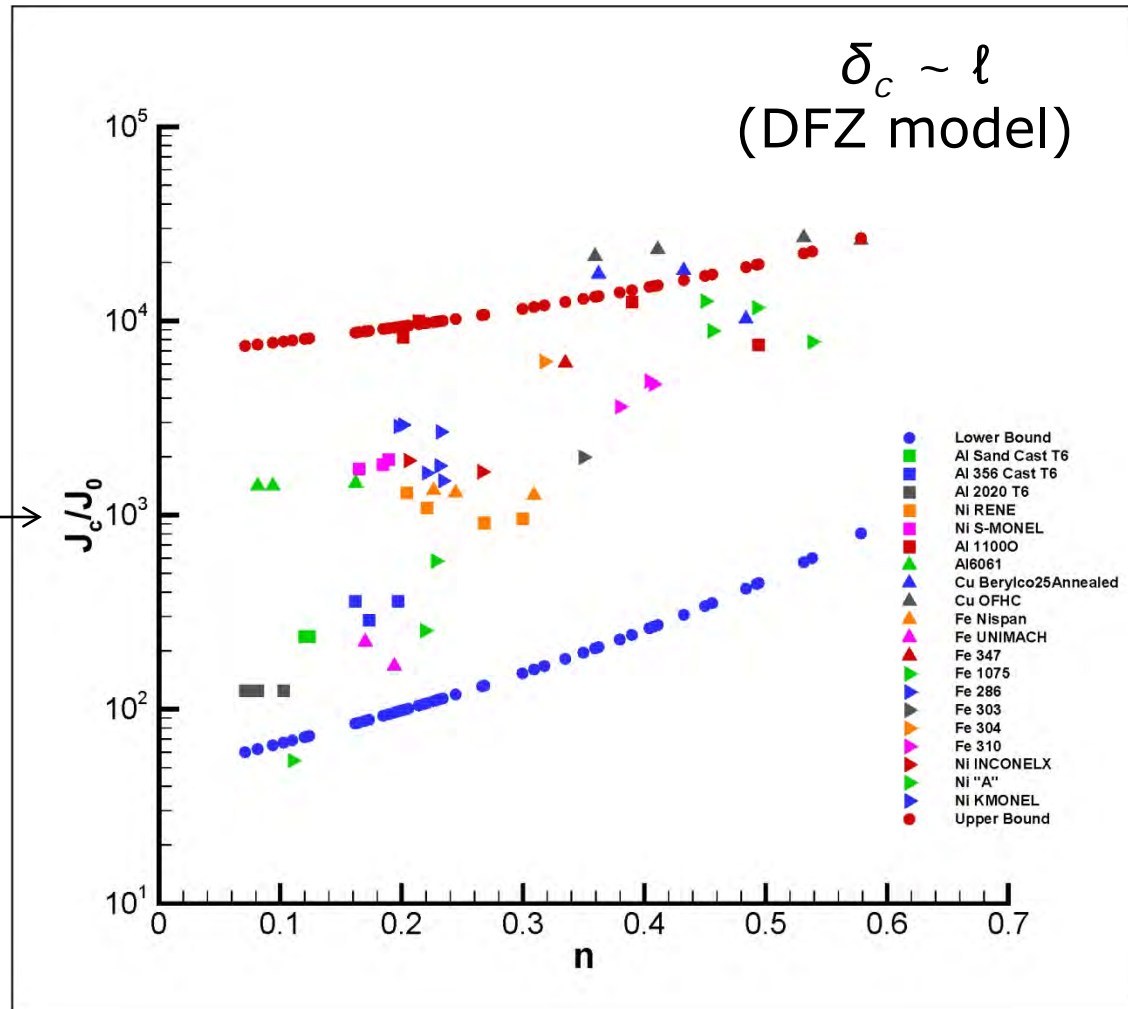
q. e. d.



Comparison with experiment



J_c from
Charpy test
data



L. Fokoua, S. Conti & MO,
J. Mech. Phys. Solids, **62** (2014) 295–311

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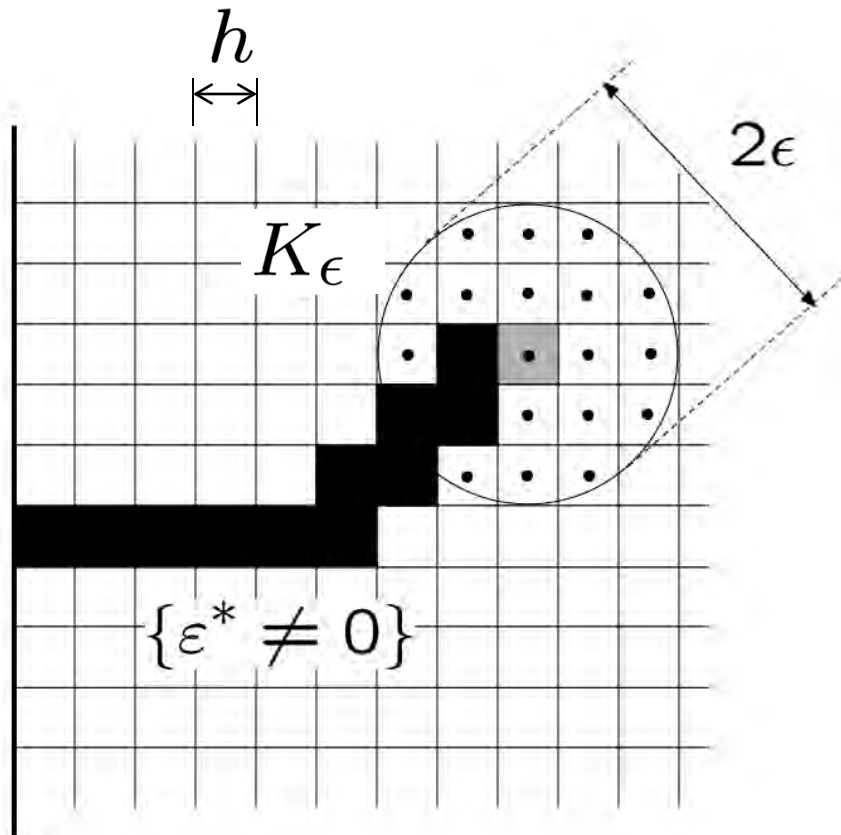
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Numerical implementation

Material-point erosion



schematic of
 ϵ -neighborhood
construction

- ϵ -neighborhood construction:
Choose $h \ll \epsilon \ll L$
- Erode material point if

$$\frac{h^2}{|K_\epsilon|} \int_{K_\epsilon} W(\nabla u) dx \geq J_c$$

- For linear elasticity, proof of Γ -convergence to Griffith fracture:
 - Schmidt, B., Fraternali, F. & MO, *SIAM J. Multiscale Model. Simul.*, **7**(3):1237-1366, 2009.

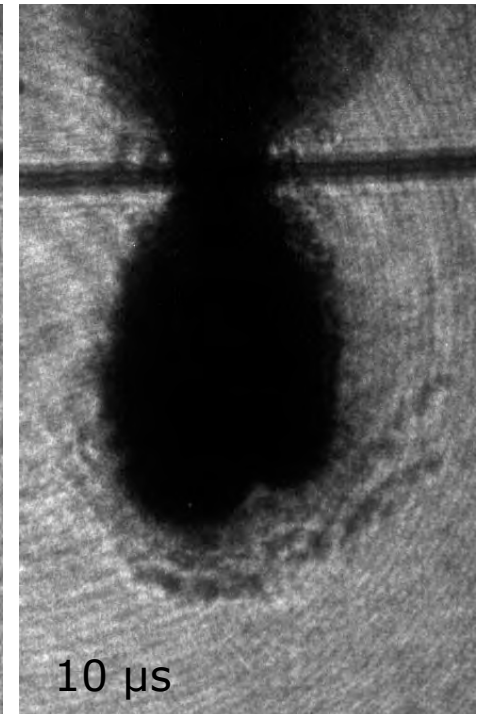
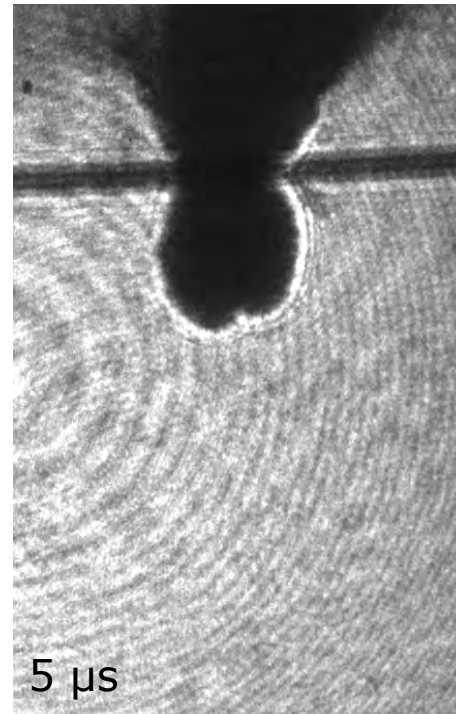
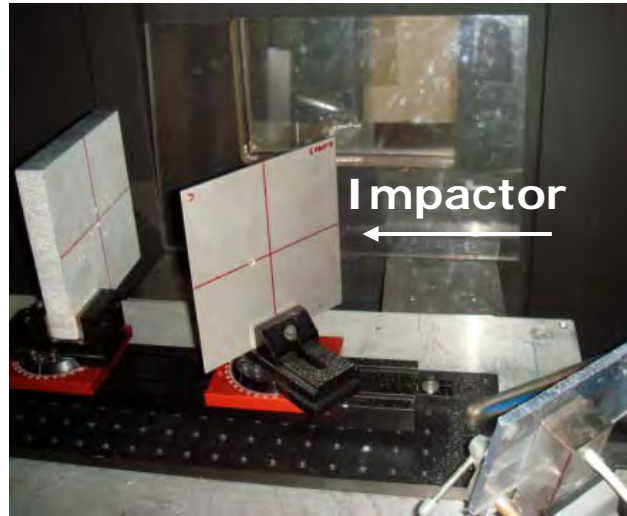


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Application to hypervelocity impact



Hypervelocity impact (5.7 Km/s) of
0.96 mm thick aluminum plates by 5.5
mg nylon 6/6 cylinders (Caltech)

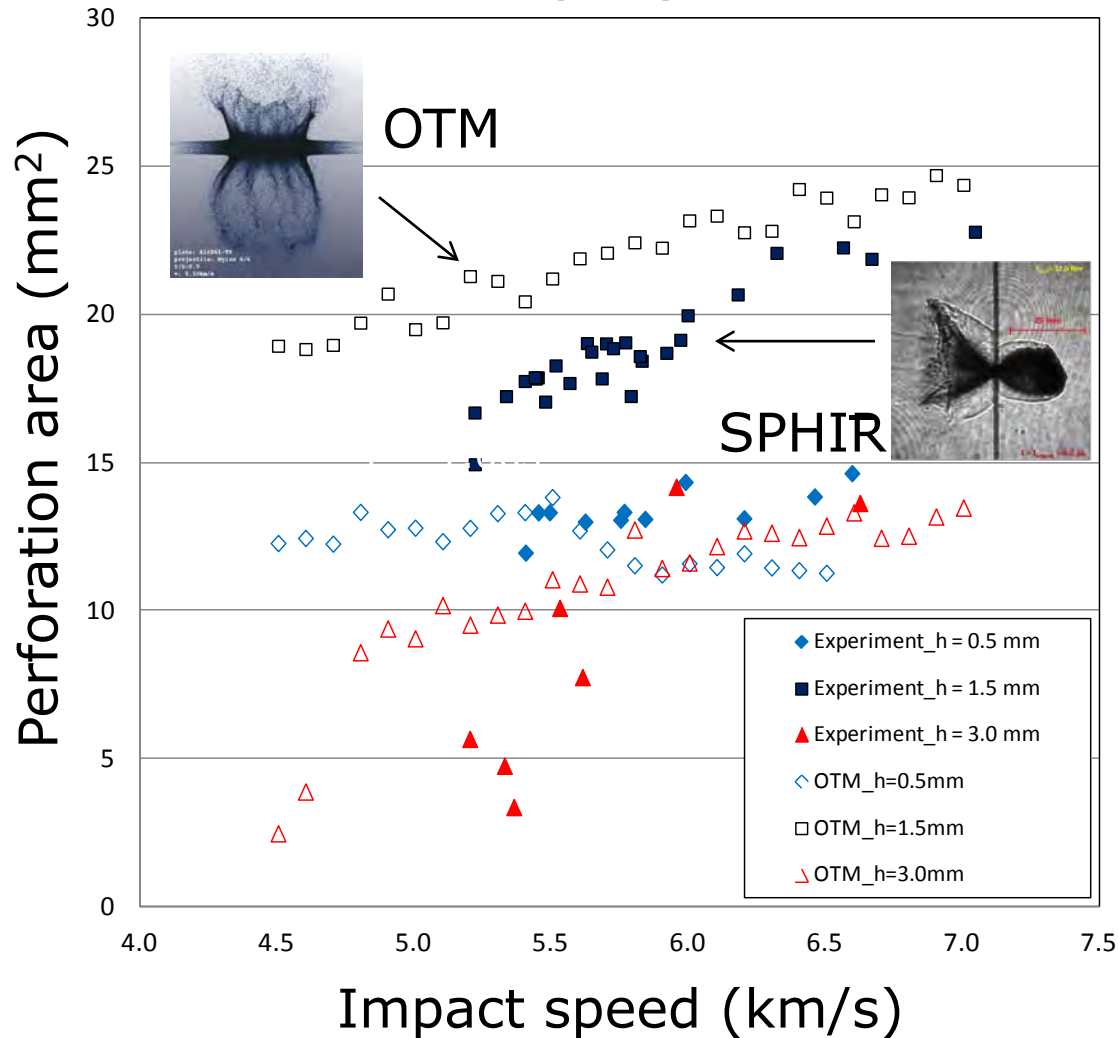


Caltech's hypervelocity
Impact facility

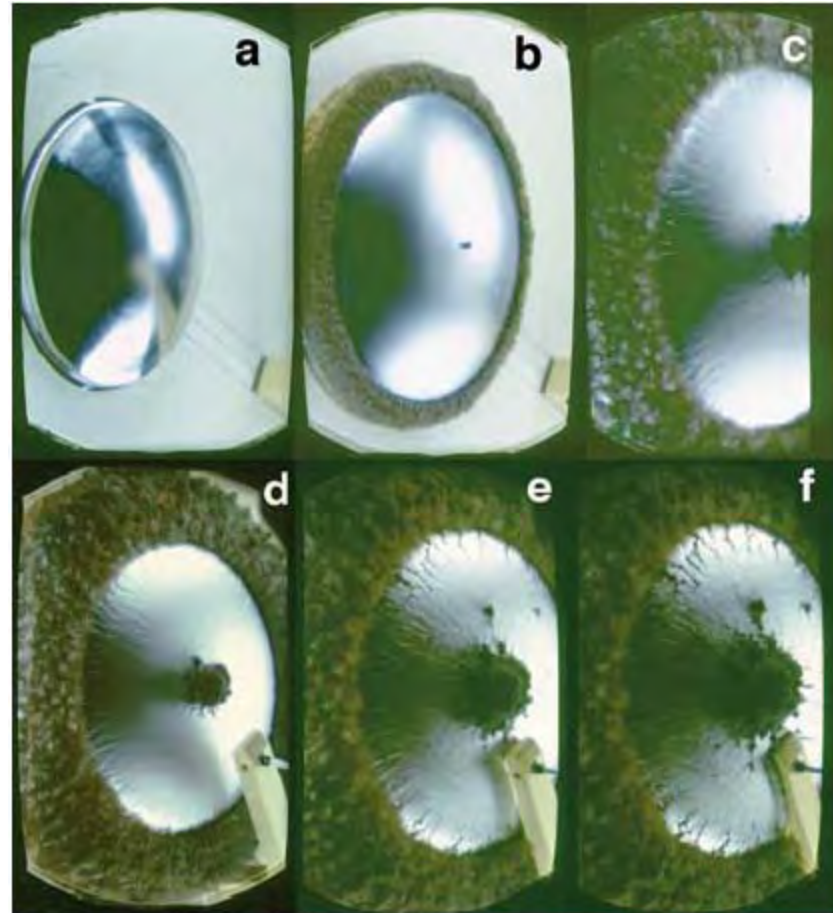
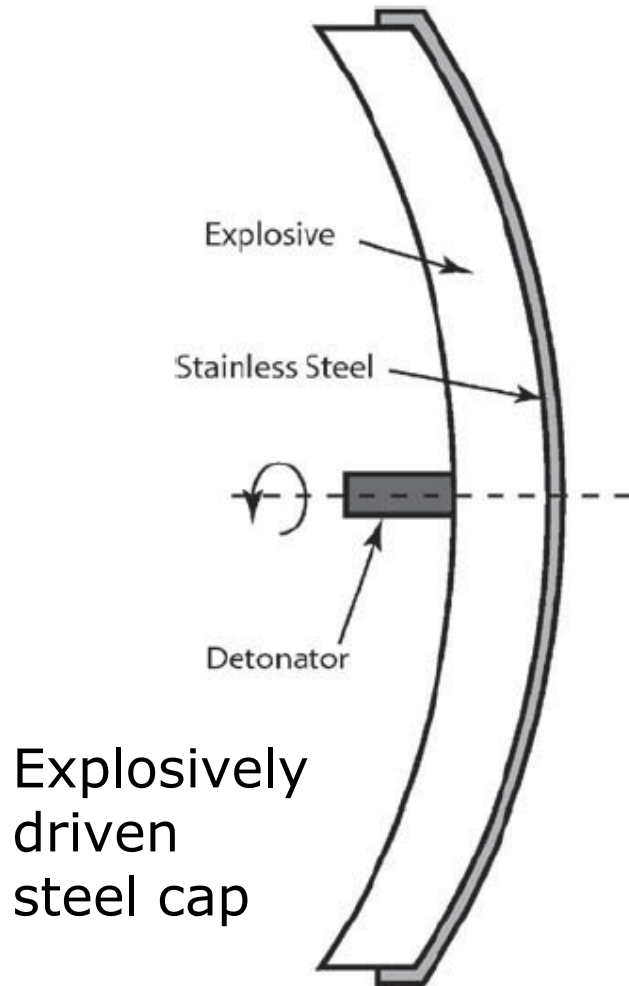
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Application to hypervelocity impact

Obliquity = 0°



Application to explosively driven cap



Optical framing camera records

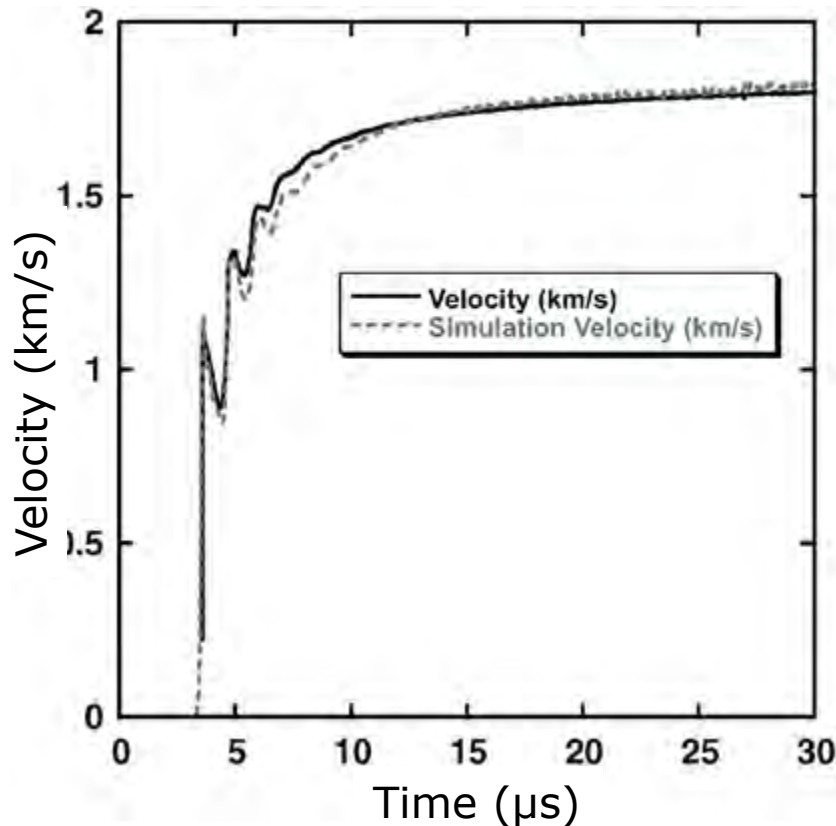


G.H. Campbell, G. C. Archbold, O. A. Hurricane and
P. L. Miller, *JAP*, **101**:033540, 2007

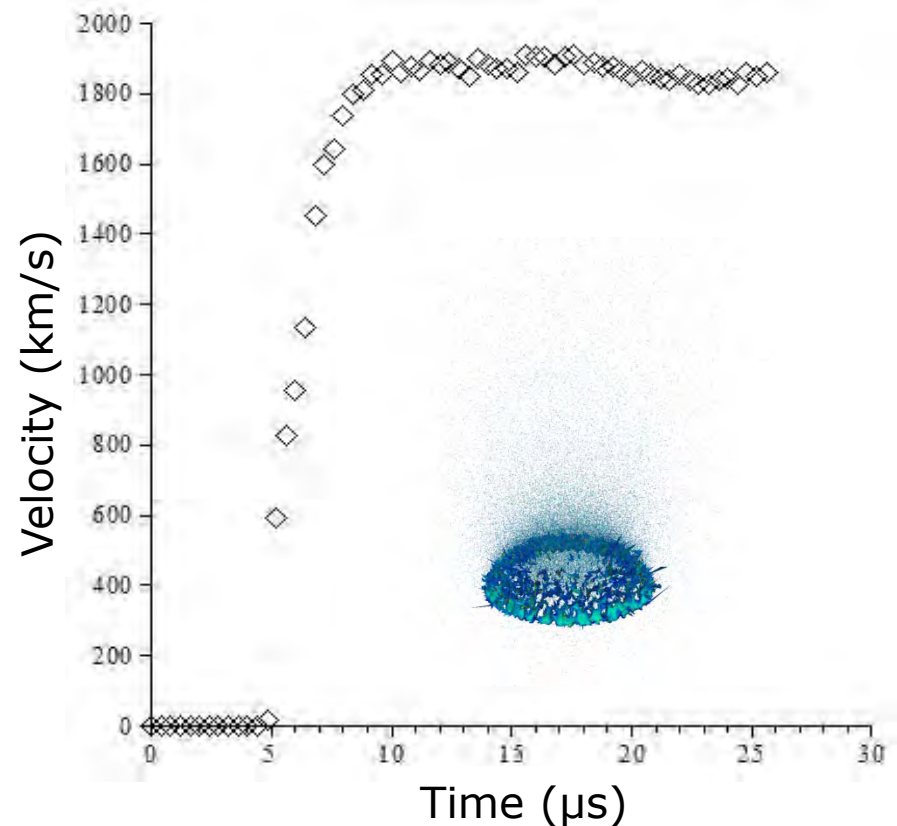
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Application to explosively driven cap

Experiment



OTM simulation



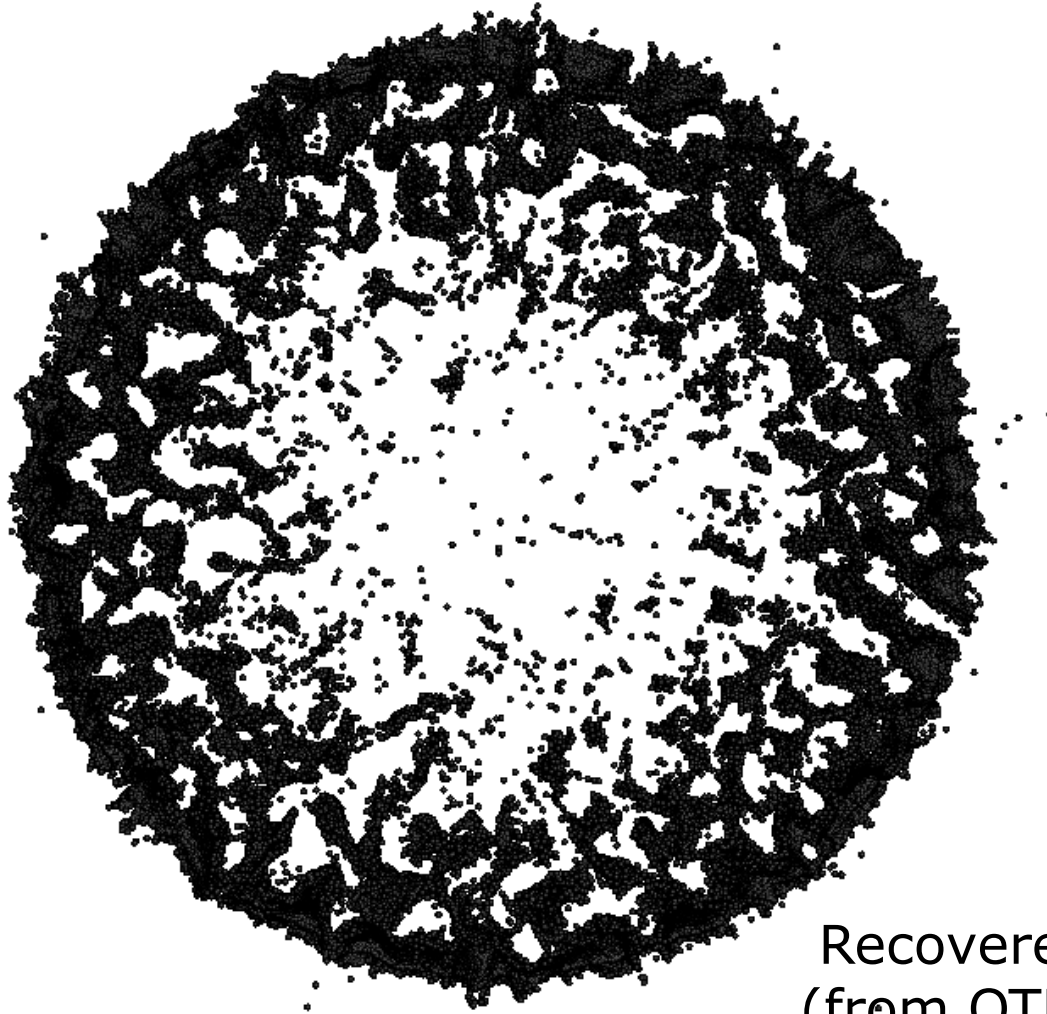
Surface velocity for spot midway between pole and edge



G.H. Campbell, G. C. Archbold, O. A. Hurricane and
P. L. Miller, *JAP*, **101**:033540, 2007

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Application to explosively driven cap



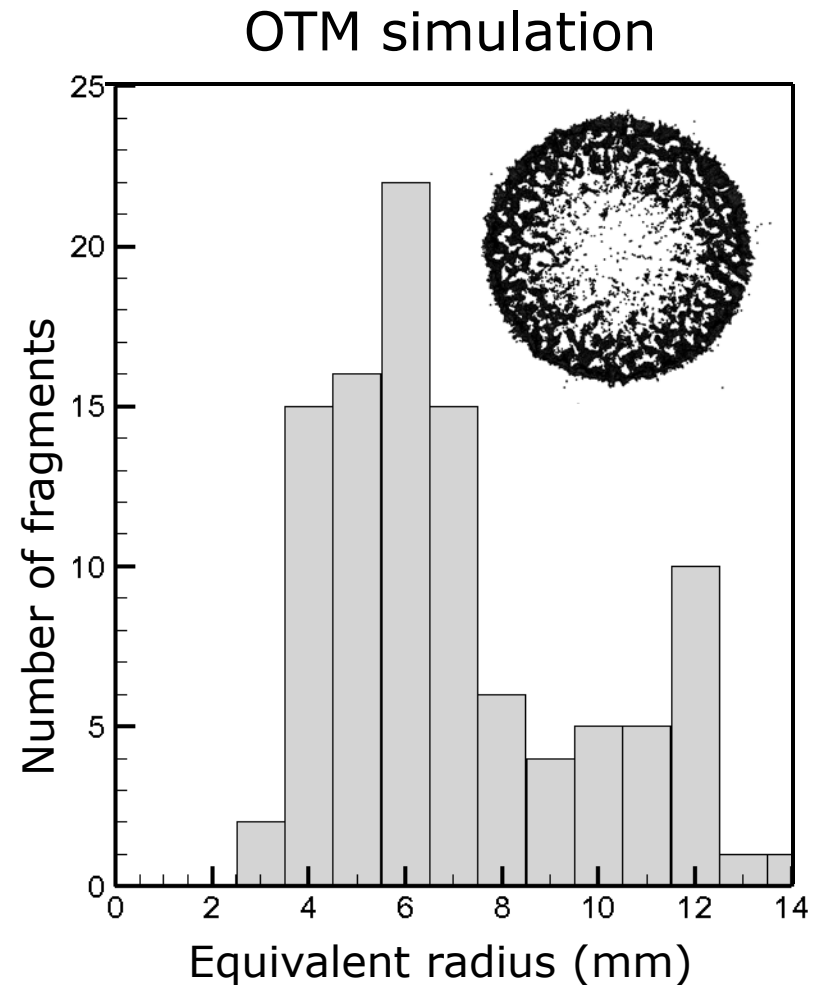
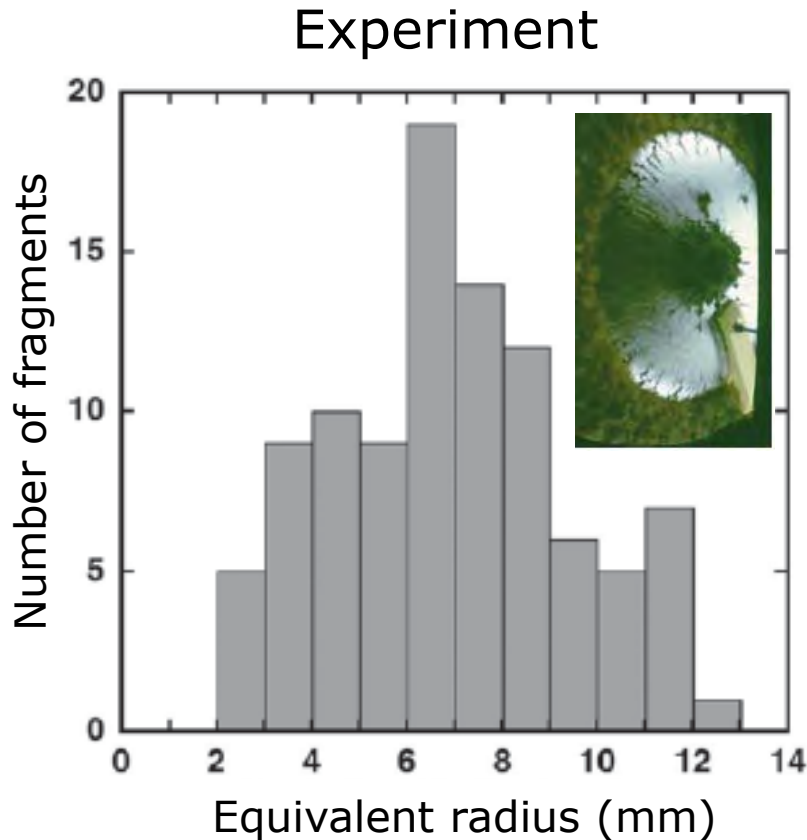
Recovered fragments
(from OTM simulation)



G.H. Campbell, G. C. Archbold, O. A. Hurricane and
P. L. Miller, *JAP*, **101**:033540, 2007

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Application to explosively driven cap



Histograms of equivalent fragment radii

G.H. Campbell, G. C. Archbold, O. A. Hurricane and
P. L. Miller, *JAP*, **101**:033540, 2007

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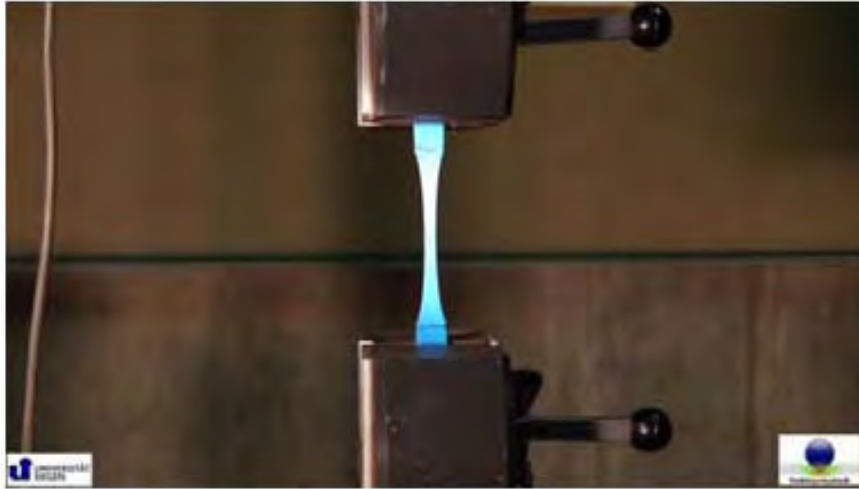


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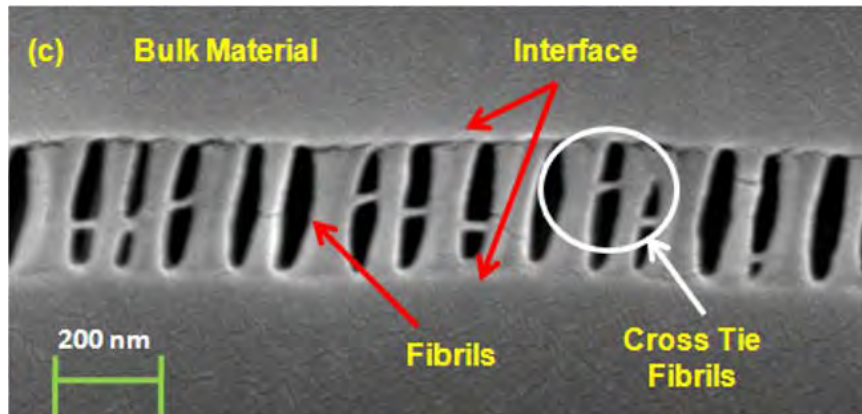
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Fracture of polymers



T. Reppel, T. Dally, T. and K. Weinberg,
Technische Mechanik, 33 (2012) 19-33.



Crazing in 800 nm polystyrene
thin film (C. K. Desai *et al.*, 2011)

- Polymers undergo entropic elasticity and damage due to chain stretching and failure
- Polymers fracture by means of the crazing mechanism consisting of fibril nucleation, stretching and failure
- The free energy density of polymers saturates in tension once the majority of chains are failed: $p=0$!
- Crazing mechanism is incompatible with strain-gradient elasticity...

Fracture of polymers

- Suppose: For $K_U > 0$, *intrinsic length* $\ell > 0$,

$$E(y) \leq K_U \left(\int_{\Omega} (|Dy|^p - 3^{p/2}) dx + \ell \int_{\Omega} |D^2 y| dx \right)$$

- If $E(y) < +\infty \Rightarrow y$ continuous on a.e. plane!

- Crazeing is precluded by the continuity of y !

- Instead suppose: For $\sigma \in (0, 1)$,

$$E(y) \leq K_U \left(\int_{\Omega} (|Dy|^p - 3^{p/2}) dx + \ell^\sigma |y|_{W^{1+\sigma,1}(\Omega)} \right)$$

Theorem [Conti, Heyden & MO]. For ℓ sufficiently small,
 $p = 0$, $\sigma \in (0, 1)$, $0 < C_L < C_U$,

$$C_L L^2 \ell^{\frac{\sigma}{1+\sigma}} \delta^{\frac{1}{1+\sigma}} \leq \inf E \leq C_U L^2 \ell^{\frac{\sigma}{1+\sigma}} \delta^{\frac{1}{1+\sigma}}$$



Optimal scaling – Crazing

- Optimal (matching) upper and lower bounds:

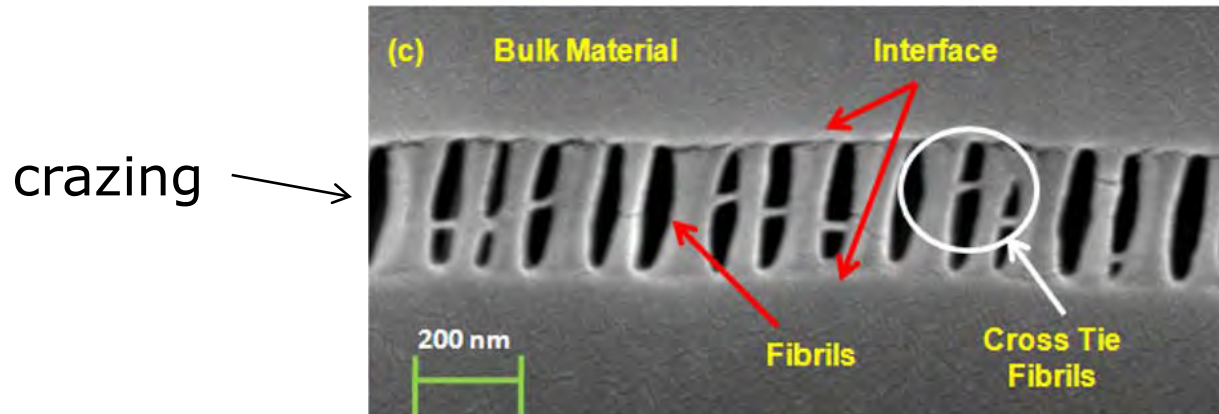
$$C_L L^2 \ell^{\frac{\sigma}{1+\sigma}} \delta^{\frac{1}{1+\sigma}} \leq \inf E \leq C_U L^2 \ell^{\frac{\sigma}{1+\sigma}} \delta^{\frac{1}{1+\sigma}}$$

- *Fractional* strain-gradient elasticity supplies bounded energies for crazing mechanism
- Energy scales with *area* (L^2): *Fracture scaling!*
- Energy scales with power of opening displacement (δ): *Cohesive behavior!*
- Lower bound degenerates to 0 when the intrinsic length (ℓ) decreases to zero...
- Bounds on specific fracture energy:

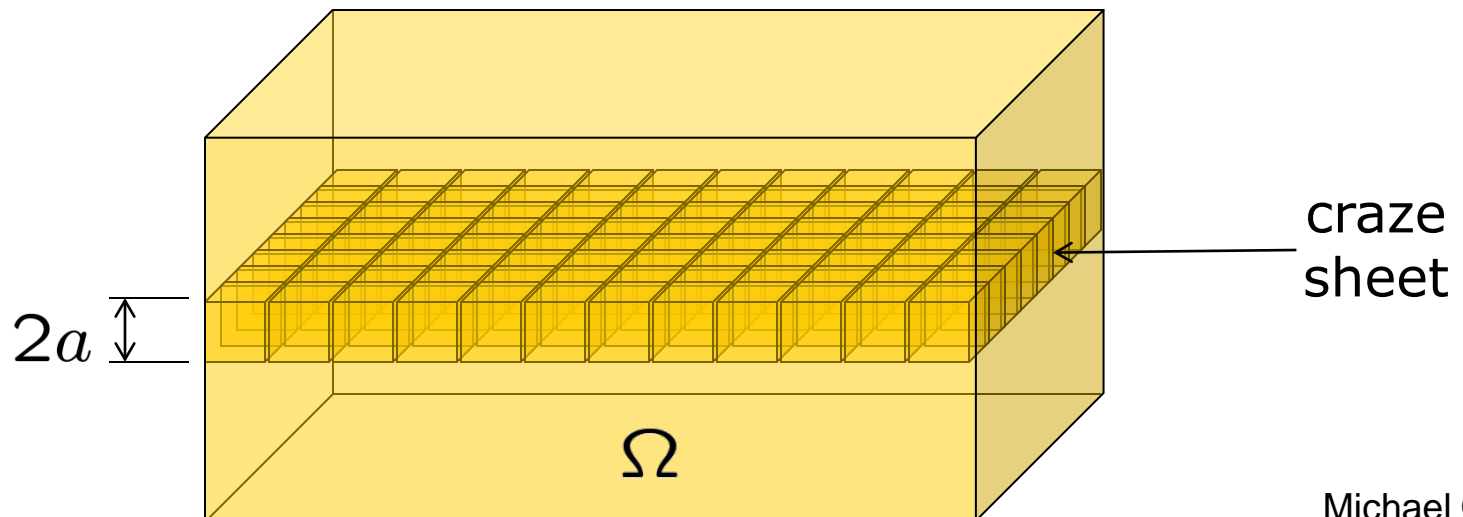
$$C_L \ell^{\frac{\sigma}{1+\sigma}} \delta_c^{\frac{1}{1+\sigma}} \leq G_c \leq C_U \ell^{\frac{\sigma}{1+\sigma}} \delta_c^{\frac{1}{1+\sigma}}$$



Sketch of proof – Upper bound

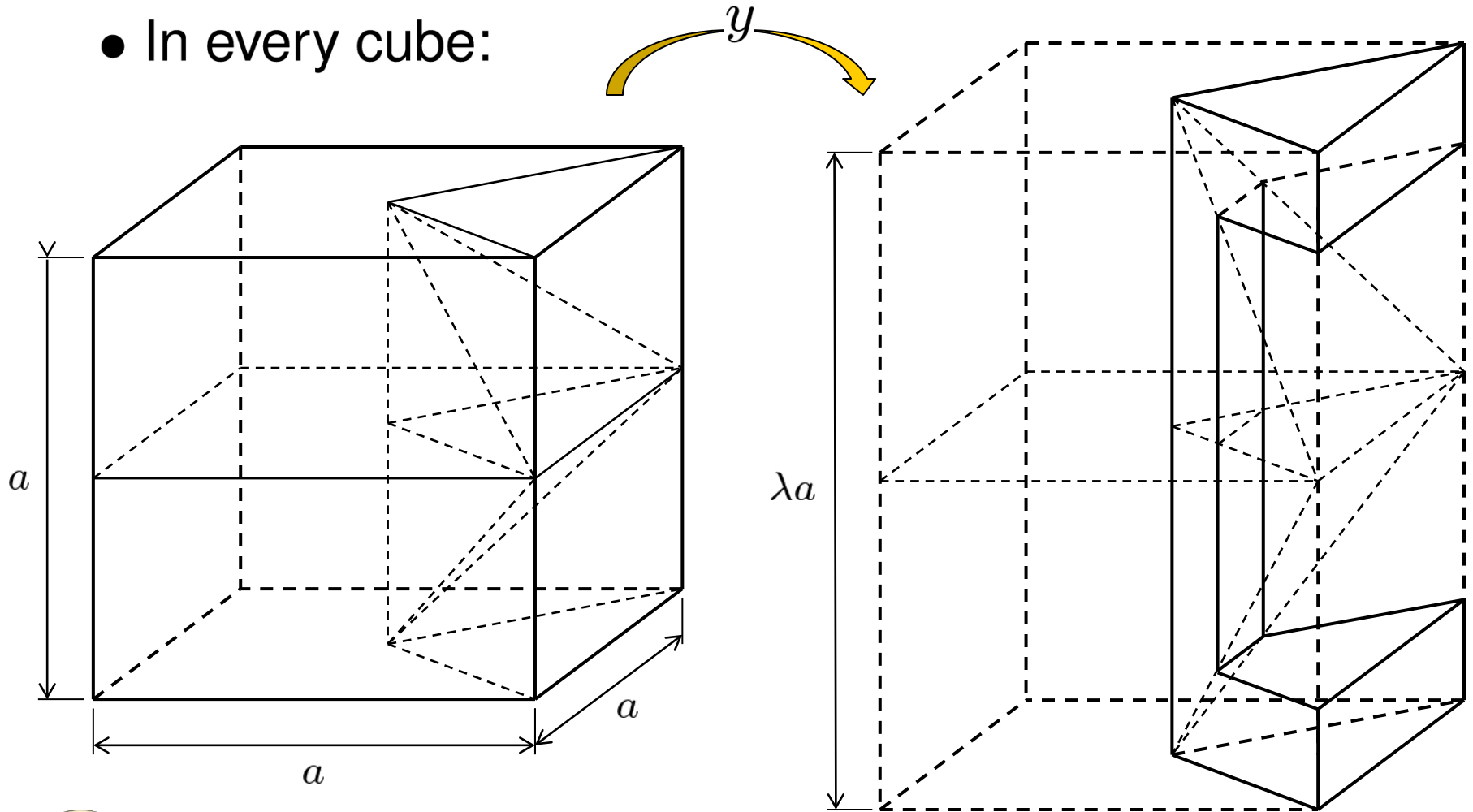


Crazing in 800 nm polystyrene thin film (C. K. Desai *et al.*, 2011)



Sketch of proof – Upper bound

- In every cube:

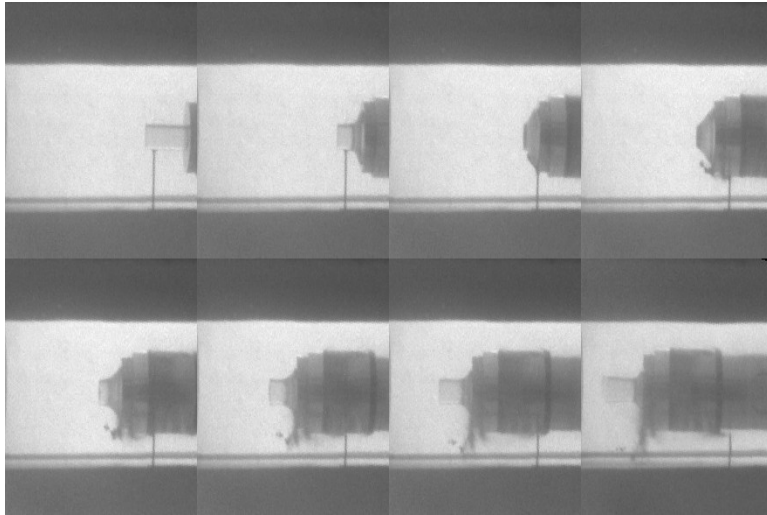


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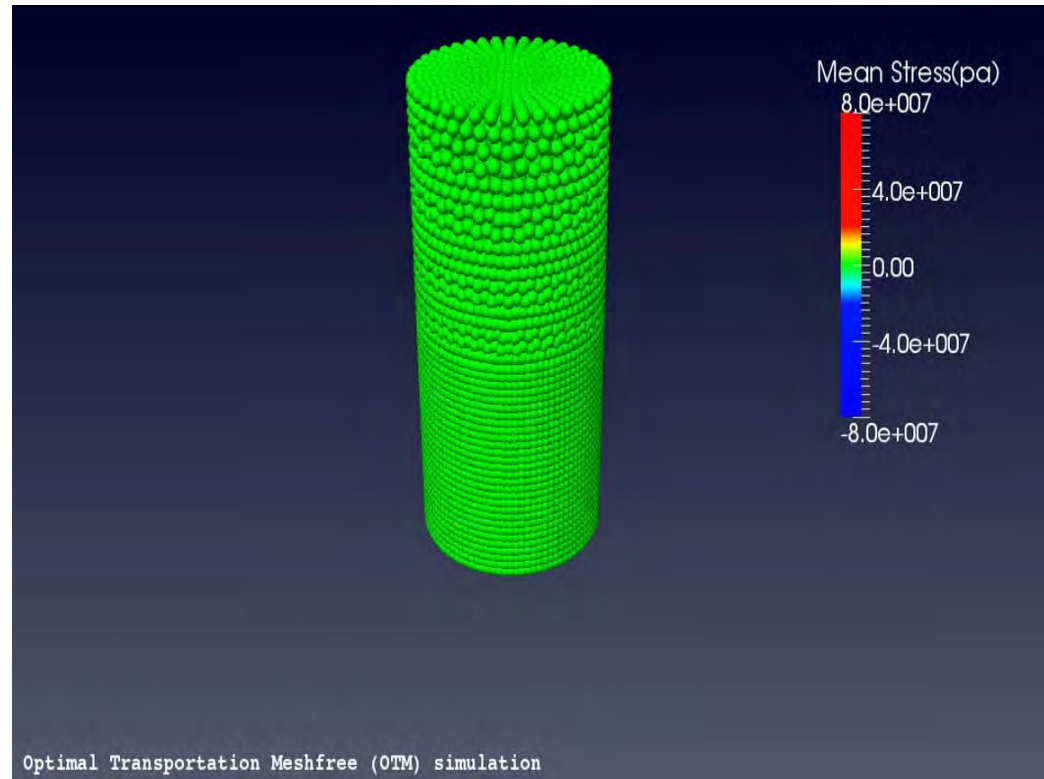
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Taylor-anvil tests on polyurea



Shot #854:
 $R_0 = 6.3075 \text{ mm}$,
 $L_0 = 27.6897 \text{ mm}$,
 $v = 332 \text{ m/s}$



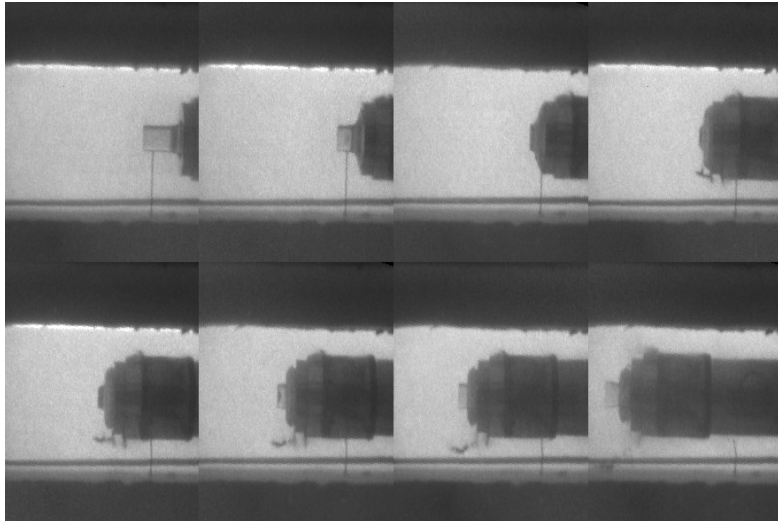
Experiments conducted by W. Mock, Jr. and J. Drotar,
at the Naval Surface Warfare Center (Dahlgren Division)
Research Gas Gun Facility, Dahlgren, VA 22448-5100, USA

S. Heyden *et al.*, *JMPS* (submitted)

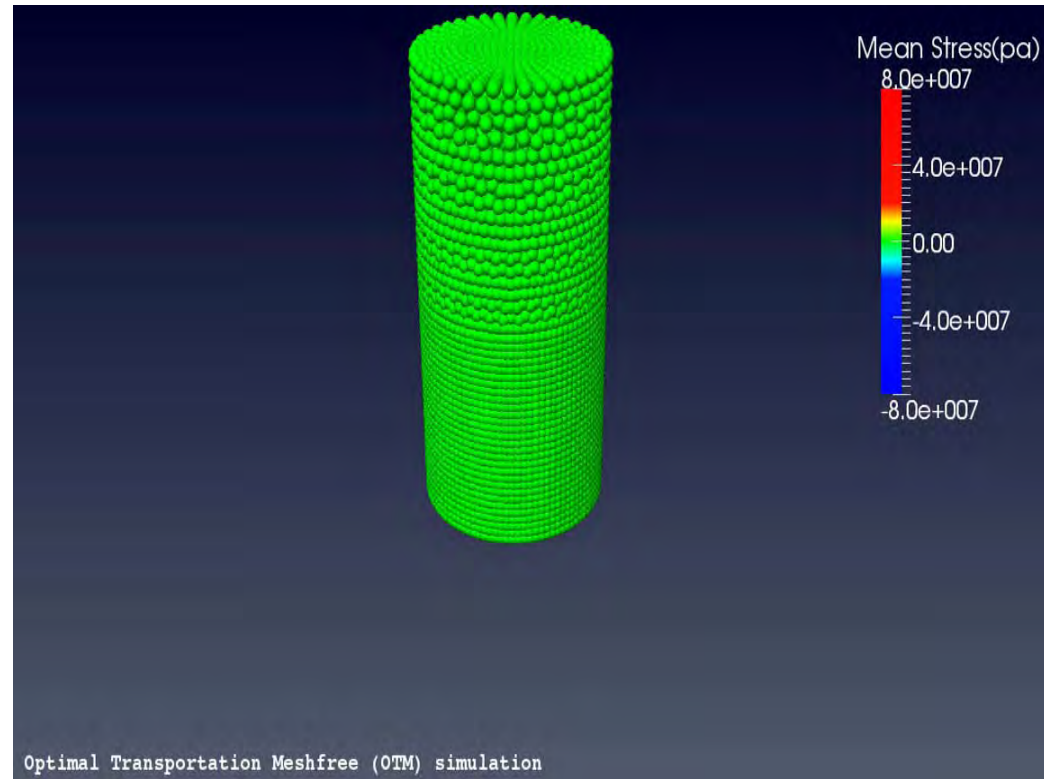
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Experiments and simulations



Shot #861:
 $R0 = 6.3039 \text{ mm}$,
 $L0 = 27.1698 \text{ mm}$,
 $v = 424 \text{ m/s}$



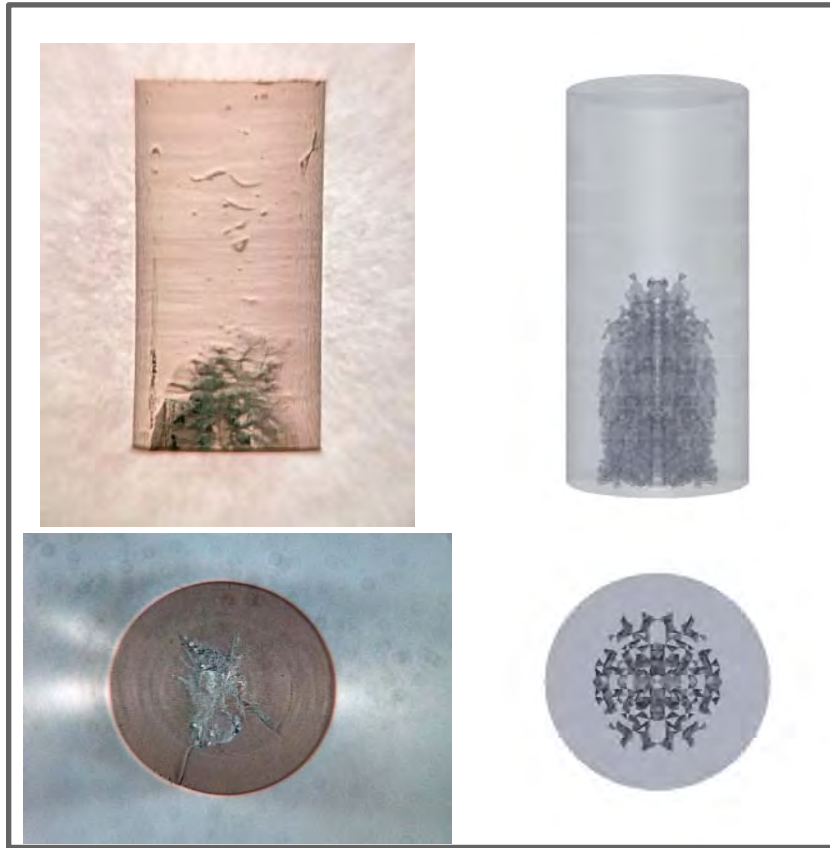
Experiments conducted by W. Mock, Jr. and J. Drotar,
at the Naval Surface Warfare Center (Dahlgren Division)
Research Gas Gun Facility, Dahlgren, VA 22448-5100, USA

S. Heyden *et al.*, *JMPS* (submitted)

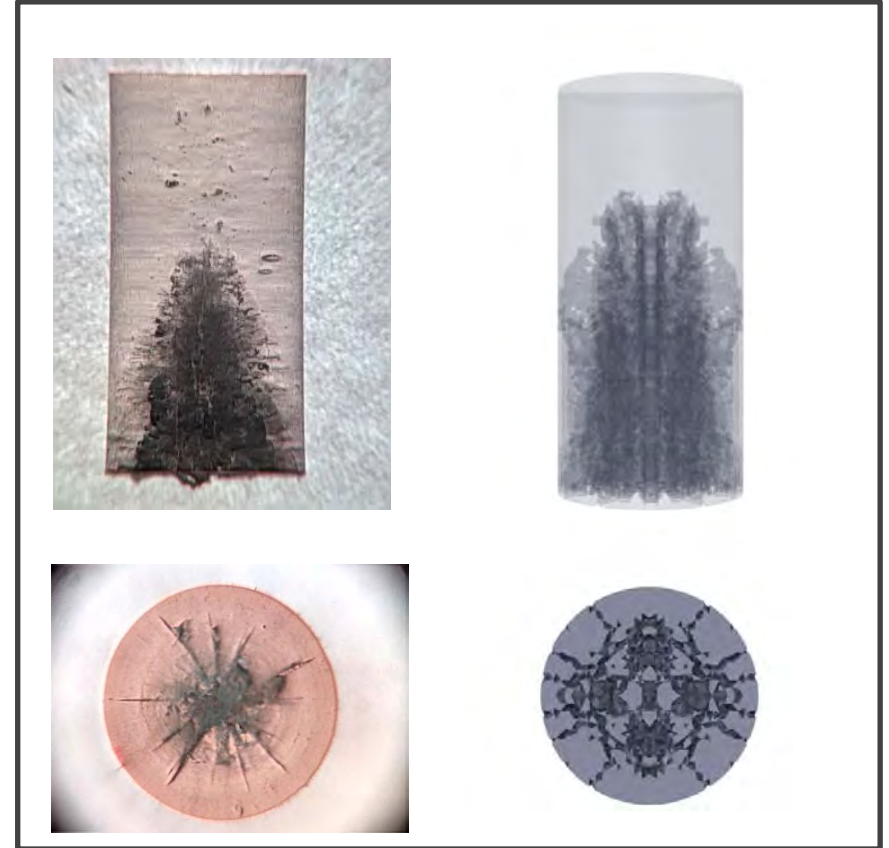
Michael Ortiz
UCSD 01/14



Taylor-anvil tests on polyurea



Shot #854



Shot #861

Comparison of damage and fracture patterns
in recovered specimens and simulations

S. Heyden *et al.*, *JMPS* (submitted)

Michael Ortiz
UCSD 01/14



Concluding remarks

- Ductile fracture can indeed be understood as the result of the competition between sublinear growth and (possibly fractional) strain-gradient effects
- Optimal scaling laws are indicative of a well-defined specific fracture energy, cohesive behavior, and provide a (multiscale) link between macroscopic fracture properties and micromechanics (intrinsic micromechanical length scale, void-sheet and crazing mechanisms...)
- Ductile fracture can be efficiently implemented through material-point erosion schemes



Concluding remarks

Thanks!

(This program has been made possible by generous contributions from family, mentors, alumni, institutions, funding agencies and viewers like you!)

