



universitätbonn

Diffusive Molecular Dynamics for Mass Transport Applications

Michael Ortiz

California Institute of Technology and
Rheinische Friedrich-Wilhelms Universität Bonn

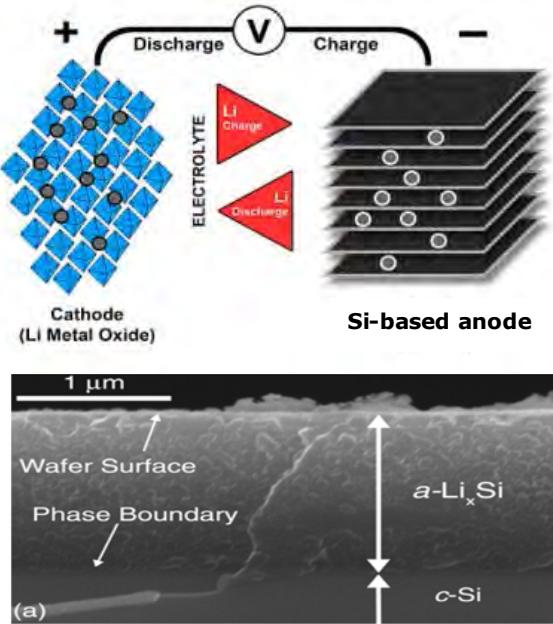
Collaborators: M.P. Ariza (Seville), J.P. Mendez (Caltech),
X. Sun (Caltech), M. Ponga (UBC), K.G. Wang (VPI&SU),
I.G. Ringdalen (SINTEF), Z. Zhang (NTNU)

Max-Planck-Institut für Eisenforschung GmbH
40237 Düsseldorf, Germany
December 14, 2018



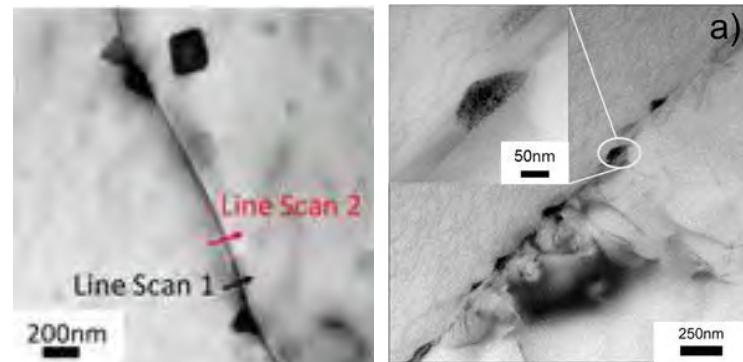
Michael Ortiz
MPIE 2018

Motivation – Diffusive time scale

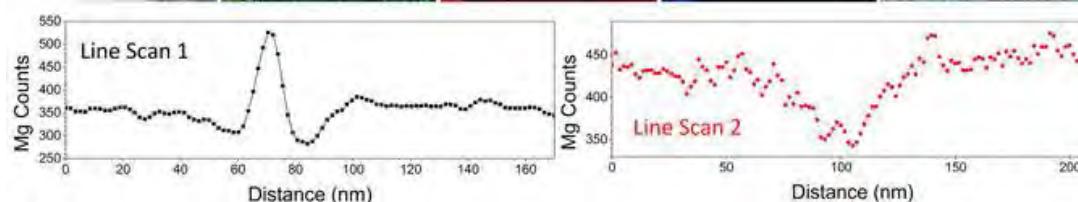


M. J. Chon *et al.*, *Phys. Rev. Lett.*, **107** (2011) 045503.

- Si-based anodes in Li-ion batteries
- Silicon loses crystalline structure upon lithiation and amorphizes
- Volume increase of 300%
- Loss of structure integrity and function after a few charge cycles



R. Zhang *et al.*, *Scientific Reports*, **7** (2017) 2961.



The spatial and temporal gaps

- The essential difficulty: *Multiple scales*
 - *Atomic level rate-limiting processes: Thermal activation, transport, defects, grain boundaries...*
 - *But macroscopic processes of interest:*
 - *Microstructure evolution in alloys*
 - *Long-term transport phenomena: Heat, mass...*
 - *Full chemistry: Corrosion, combustion...*
- *Time-scale gap*: From molecular dynamics (femtosecond) to macroscopic (seconds-years)
- *Spatial-scale gap*: From lattice defects (Angstroms) to macroscopic (mm-m)
- Problem intractable by brute force (even with exascale computing ☺), ergo must think...



Diffusive Molecular Dynamics (DMD)

- *Objectives:* Thermodynamics without all the thermal vibrations; mass transport without all the hops; atomistics without all the atoms...
- Our approach^{1,2} (max-ent+kinetics+QC):
 - *Treat atomic-level fluctuations statistically (away from equilibrium) through maximum-entropy principle*
 - *Append Onsager-like empirical atomic-level kinetic laws (heat and mass transport)*
 - *Quasicontinuum spatial coarse-graining*
- Implementation:
 - *Meanfield approximation of phase integrals*
 - *Quasistatic, forward integration of transport equations*

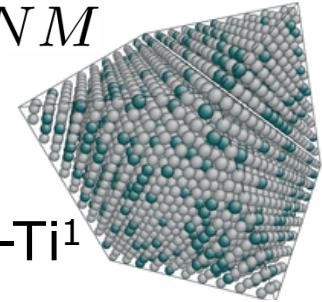
¹Y. Kulkarni, J. Knap & MO, *J. Mech. Phys. Solids*, **56** (2008) 1417.

²G. Venturini, K. Wang, I. Romero, M.P. Ariza & MO,
J. Mech. Phys. Solids, **73** (2014) 242-268.



Max-Ent Non-Equilibrium SM

- Grand-canonical ensemble, N atoms, M species:
 - State: $(\{\mathbf{q}\}, \{\mathbf{p}\}, \{\mathbf{n}\}) \in \mathbb{R}^{3N} \times \mathbb{R}^{3N} \times \mathcal{O}_{NM}$
 - Atomic positions: $\{\mathbf{q}\} = \{\mathbf{q}_1, \dots, \mathbf{q}_N\}$
 - Atomic momenta: $\{\mathbf{p}\} = \{\mathbf{p}_1, \dots, \mathbf{p}_N\}$
 - Occupancy: $n_{ik} = \begin{cases} 1, & \text{site } i \text{ occupied by species } k, \\ 0, & \text{otherwise.} \end{cases}$



- Ensemble average of observable: $\langle A \rangle =$

$$\sum_{\{\mathbf{n}\} \in \mathcal{O}_{NM}} \int A(\{\mathbf{q}\}, \{\mathbf{p}\}, \{\mathbf{n}\}) \rho(\{\mathbf{q}\}, \{\mathbf{p}\}, \{\mathbf{n}\}) dq dp$$

↑
grand-canonical pdf



Max-Ent Non-Equilibrium SM

- Assume $H = \sum_{i=1}^N h_i$, (e. g., EAM, TB...)
 - Principle of max-ent¹: $S[p] = -k_B \langle \log \rho \rangle \rightarrow \max!$
subject to: $\langle \mathbf{q}_i \rangle = \bar{\mathbf{q}}_i$, $\langle \mathbf{p}_i \rangle = \bar{\mathbf{p}}_i$,
 $\langle h_i \rangle = e_i$, $\langle n_{ik} \rangle = x_{ik}$ } local constraints!
 - Lagrangian: reciprocal temperatures chemical potentials
$$\mathcal{L}[p, \{\beta\}, \{\gamma\}] = S[p] - k_B \{\beta\}^T \{\langle h \rangle\} - k_B \{\gamma\}^T \{\langle \mathbf{n} \rangle\}$$
 - Gran-canonical pdf:
$$\rho = \frac{1}{Z} e^{-\{\beta\}^T \{h\} - \{\gamma\}^T \{\mathbf{n}\}},$$

- on affine subspace $\{\langle \{\mathbf{q}\} \rangle = \{\bar{\mathbf{q}}\}, \langle \{\mathbf{p}\} \rangle = \{\bar{\mathbf{p}}\}\}$



¹E.T. Jaynes, *Physical Review Series II*, **106**(4) (1957) 620–630; **108**(2) (1957) 171–190.

Max-Ent Non-Equilibrium SM

- Gran-canonical free entropy:

$$\Phi(\{\bar{q}\}, \{\bar{p}\}, \{\beta\}, \{\gamma\}) = k_B \log \underline{\Xi}$$

- Local equilibrium relations:

$$e_i = -\frac{1}{k_B} \frac{\partial \Phi}{\partial \beta_i}, \quad x_{ik} = \frac{1}{k_B} \frac{\partial \Phi}{\partial \gamma_{ik}}$$

- Mesoscopic dynamics: quasistatic!

$$\beta_i \frac{d\bar{q}_i}{dt} = \frac{1}{k_B} \frac{\partial \Phi}{\partial \bar{p}_i}, \quad \beta_i \frac{d\bar{p}_i}{dt} + \boxed{\frac{1}{k_B} \frac{\partial \Phi}{\partial \bar{q}_i}} = 0$$

- Equilibrium SM recovered when $\beta_i = \beta$, $\gamma_{ik} = \gamma_k$
- $\Phi \rightarrow \Phi_{\text{MF}}$ meanfield approximation!



Non-equilibrium SM – Meanfield theory

- Space of trial *local Hamiltonians*: \mathcal{H}_0
- Free-entropy inequality: For all $\{h_0\} \in \mathcal{H}_0$,
 - i) $\Phi \geq \Phi_0 - k_B \{\beta\}^T \{\langle h - h_0 \rangle_0\} \equiv \mathcal{S}[\{h_0\}]$
 - ii) $\Phi = \mathcal{S}[\{h_0\}] \Leftrightarrow \{h_0\} = \{h\}$
- Best approximation: $\Phi_{\text{MF}} = \max_{\{h_0\} \in \mathcal{H}_0} \mathcal{S}[\{h_0\}]$
- Meanfield local equilibrium relations:

$$e_i = -\frac{1}{k_B} \frac{\partial \Phi_{\text{MF}}}{\partial \beta_i}, \quad x_{ik} = \frac{1}{k_B} \frac{\partial \Phi_{\text{MF}}}{\partial \gamma_{ik}}$$

- Meanfield mesoscopic dynamics:

$$\beta_i \frac{d\bar{q}_i}{dt} = \frac{1}{k_B} \frac{\partial \Phi_{\text{MF}}}{\partial \bar{p}_i}, \quad \beta_i \frac{d\bar{p}_i}{dt} = -\frac{1}{k_B} \frac{\partial \Phi_{\text{MF}}}{\partial \bar{q}_i}$$



Non-equilibrium SM – Meanfield theory

- Example: $\mathcal{H}_0 \equiv$ local harmonic oscillators,

$$h_{0i} = \frac{1}{2m(\mathbf{n}_i)} |\mathbf{p}_i - \bar{\mathbf{p}}_i|^2 + \frac{m(\mathbf{n}_i)\omega_i^2}{2} |\mathbf{q}_i - \bar{\mathbf{q}}_i|^2$$

- Entropy function (parameterized by $\{\omega\}$):

$$\Phi_{\text{MF}} = \sum_{i=1}^N k_B \left(\frac{\beta_i}{2m_i} |\bar{\mathbf{p}}_i|^2 + \beta_i \langle V_i \rangle_0 + 3 \log(\hbar\beta_i\omega_i) - 3 \right)$$

← Gaussian integrals!

- Meanfield mesoscopic dynamics: Hermite quadrature!

$$\dot{\bar{\mathbf{q}}}_i = \frac{\bar{\mathbf{p}}_i}{m_i}, \quad \dot{\bar{\mathbf{p}}}_i = -\frac{\partial}{\partial \bar{\mathbf{q}}_i} \sum_{j=1}^N \langle V_j \rangle_0,$$



- Meanfield optimality: $\frac{\partial}{\partial \omega_i} \sum_{j=1}^N \beta_j \langle V_j \rangle_0 + \frac{3}{\omega_i} = 0$

Non-equilibrium SM – Kinetics

- Need equations of evolution for $\{\beta\}$ and $\{\gamma\}$
- Local conservation equations:

$$\dot{e}_i = \dot{w}_i + \mu_i^T \dot{x}_i + \sum_{j \neq i} R_{ij}, \quad \dot{x}_i = \sum_{j \neq i} J_{ij}$$

energy mass

- Local dissipation inequality:

$$\sum_{ij} = k_B(\beta_i - \beta_j)R_{ij} + k_B(\gamma_i - \gamma_j) \cdot J_{ij} \geq 0$$

- General kinetic relations: calibrate from exp. data!

$$R_{ij} = f(\beta_i - \beta_j), \quad J_{ij} = g(\gamma_i - \gamma_j)$$



Discrete Fourier law

Discrete Fick's law

Michael Ortiz
MPIE 2018

Non-equilibrium SM – Sample potentials

- Structure of typical multispecies EAM potential:

$$V = \sum_{i=1}^N \left[n_i F_i(\rho_i) + \frac{1}{2} \sum_{j \neq i} n_i n_j S_{ij}(n_i, n_j) \phi_{ij}(r_{ij}) \right]$$

- Meanfield equilibrium: $\mu_i = \frac{k_B T}{2} \log \frac{x_i}{1 - x_i}$

- Master equation: $\frac{\partial x_i}{\partial t} = \sum_{\langle i,j \rangle} (\psi_{j \rightarrow i} - \psi_{i \rightarrow j})$

- Transition probabilities:

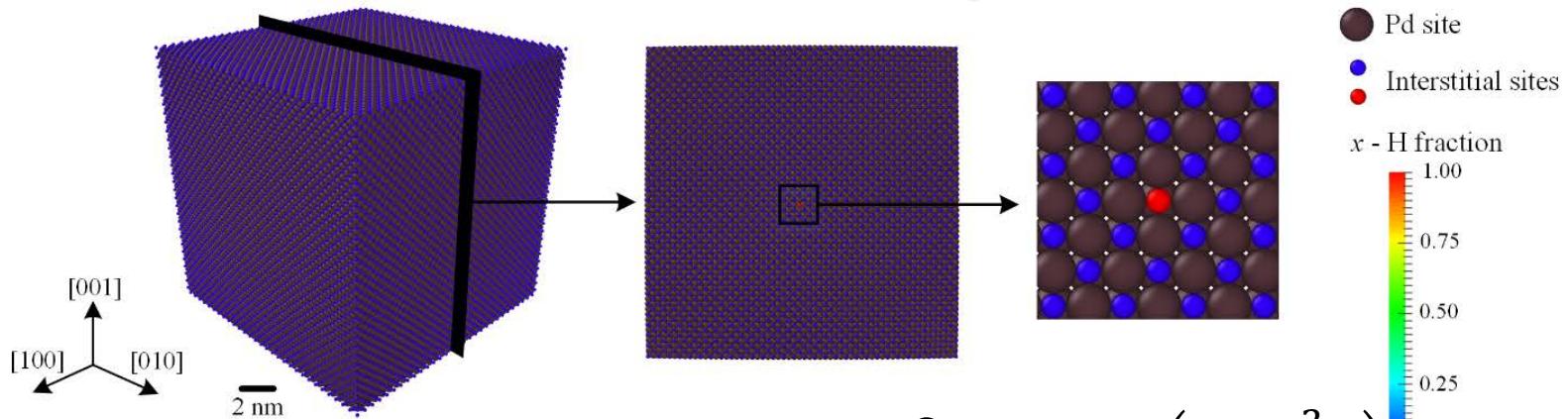
$$\psi_{i \rightarrow j} = \nu_i x_j (1 - x_i) e^{-\beta(E_{i \rightarrow j} + (\mu_i - \mu_j)/2)}$$

attempt
frequency

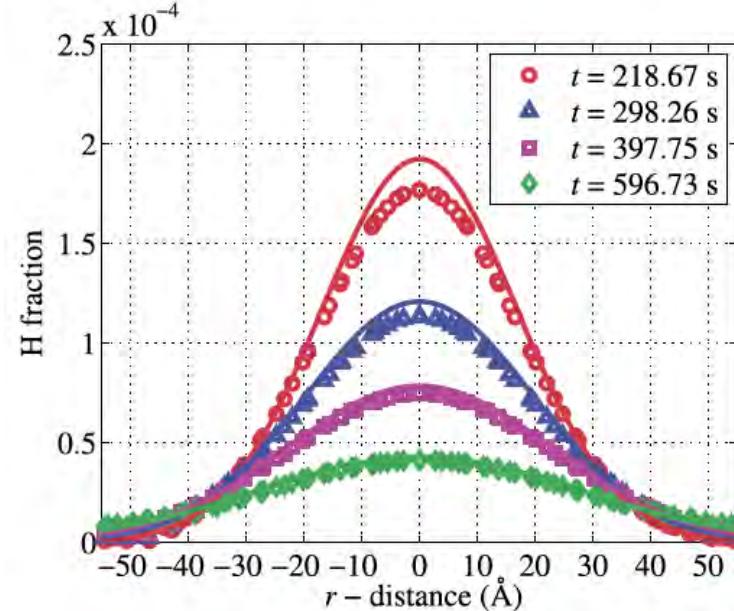
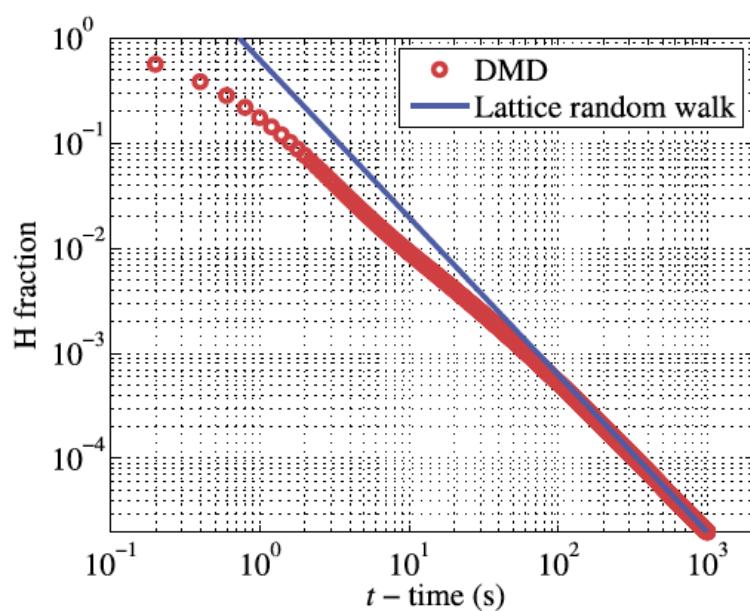
energy
barrier



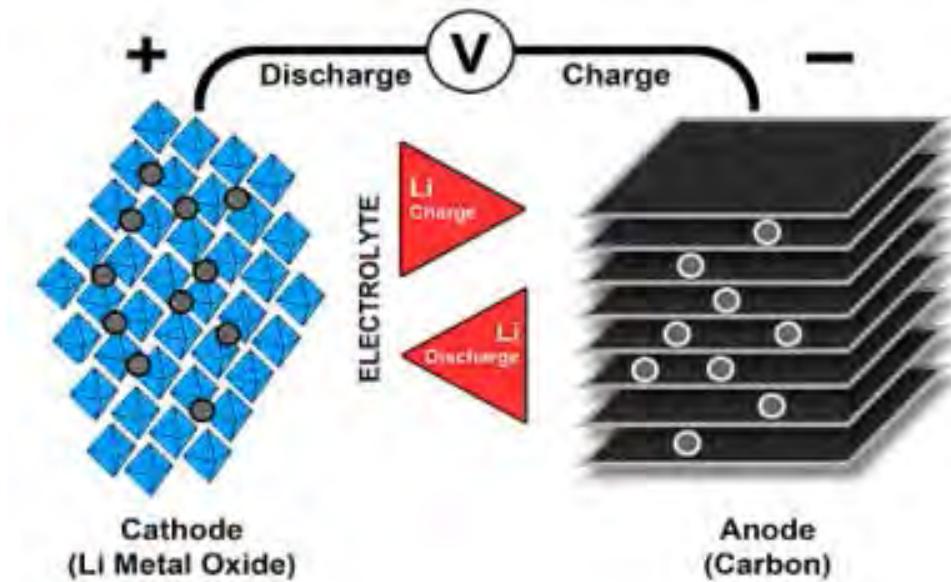
MD vs. DMD in pictures



Random walk model: $x(r, t) = \frac{\Omega}{(4\pi D_H t)^{3/2}} \exp\left(-\frac{r^2}{4\pi D_H}\right)$



Application: Lithium-ion batteries



Nano-Micro Lett. (2014) 6(4):347–358

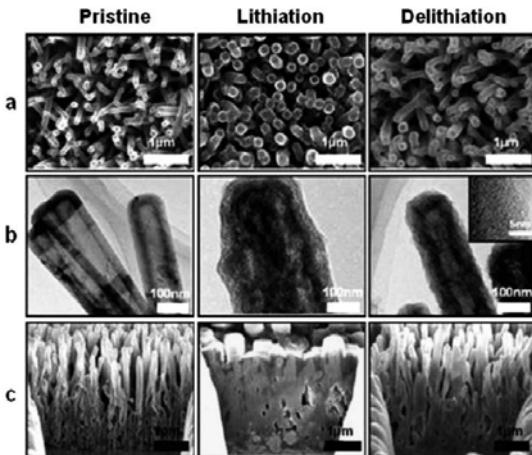
Element	Gravimetric capacity (mAh g ⁻¹)	Volumetric capacity (mAh cm ⁻³)	Cost	Toxicity	Safety
Si	4,200	2,400	Low	No	High
C	372	890	Low	No	Low
Ge	1,568	2,300	High	High	High
Sn	990	2,020	Low	No	High
P	2,600	2,250	Low	High	Low
Sb	660	1,890	Low	High	Low
Pb	549	1,790	Low	High	Low

http://batteryuniversity.com/learn/article/lithium_based_batteries

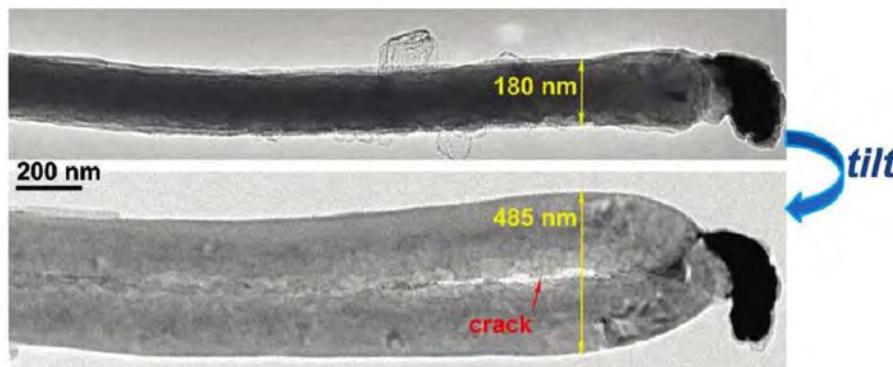
- Si-based anodes offer the highest specific capacity (ten times the specific capacity of graphite)
- But: 300% expansion during charging (lithiation)
- Si undergoes amorphization upon lithiation
- Mechanical failure (pulverization) after a few cycles...



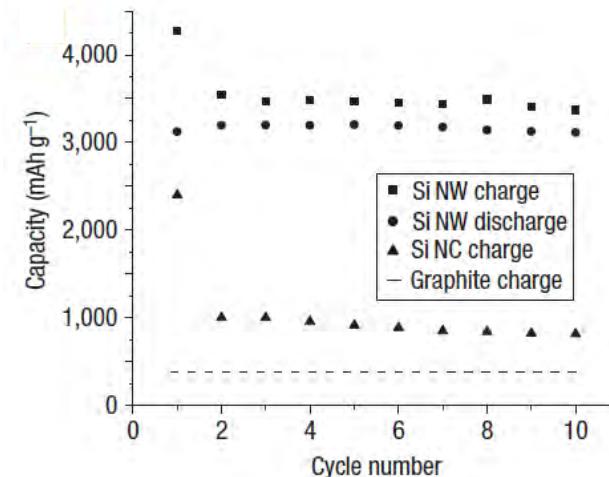
Nano-engineered Si anodes



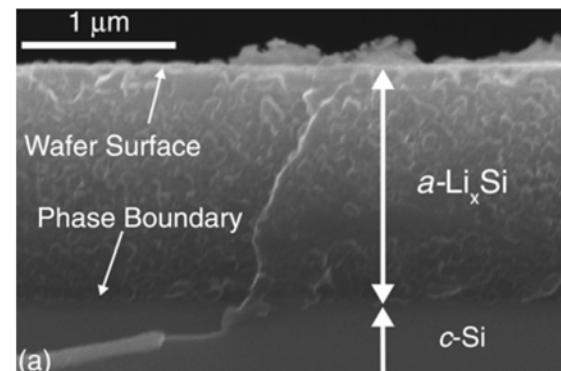
Si-nanowire anodes,
lithiation-delithiation¹



Lithiation of Si nanowire³



Si-nanowire anodes,
capacity vs. #cycles²



Lithiation of Si nanowire⁴

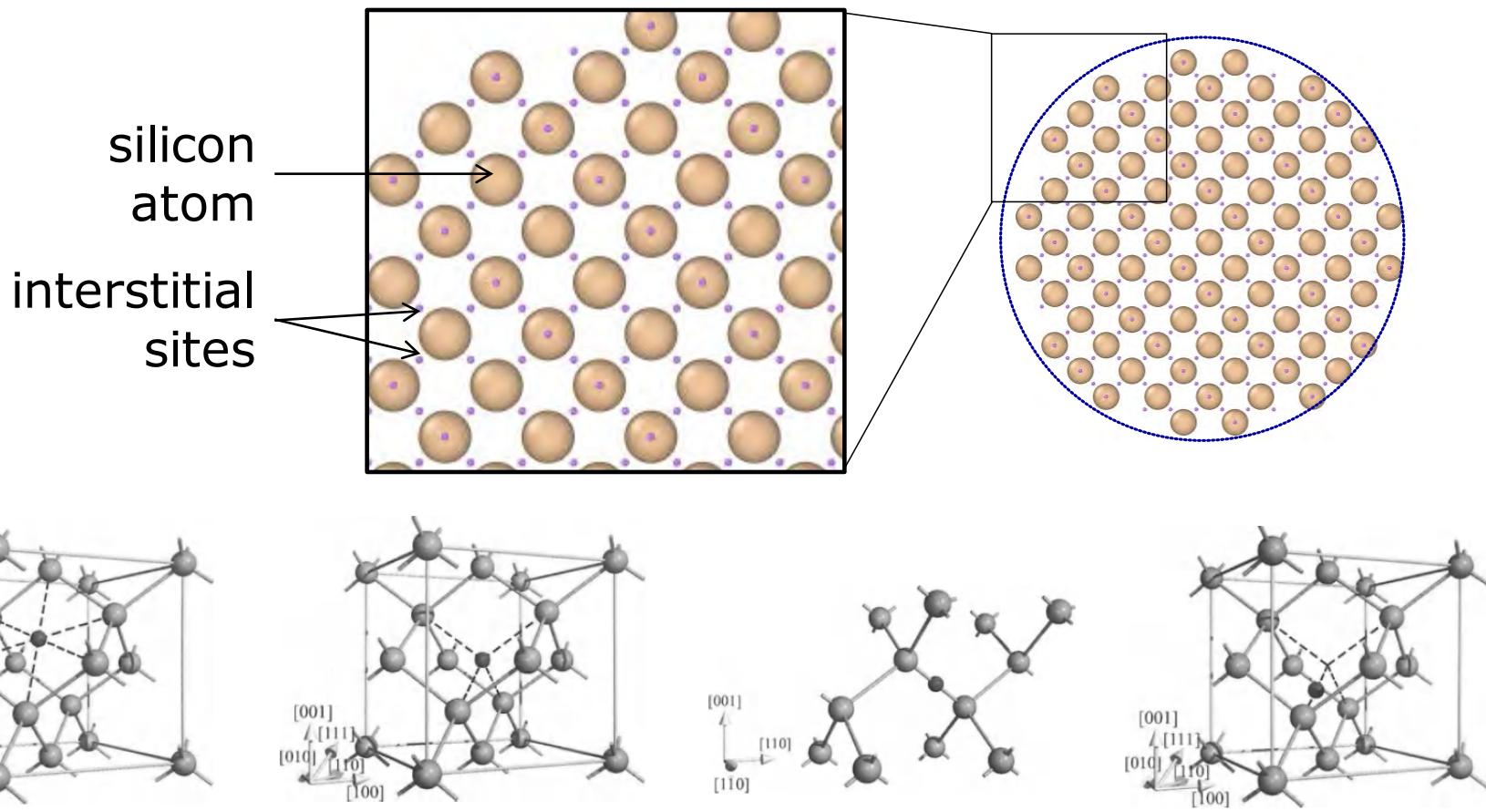
¹T. Song *et. al.*, *Nano Letters*, **10**(5) (2010) 1710–1716.

²C.K. Chan *et al.*, *Nature Nanotech.*, **3** (2008) 31-35.

³X.H. Liu and H. Zheng, *Nano Letters*, **11**(8) (2011) 3312-3318.

⁴M.J. Chon *et al.*, *Phys. Rev. Lett.*, **107**(4) (2011) 045503.

Si lithiation model



Hexagonal interstitial:
2 interst./Si atom

Tetrahedral interstitial:
1 interst./Si atom

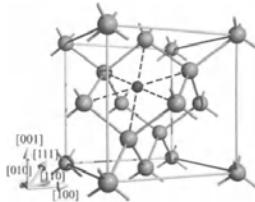
Bond-centered interstitial:
2 interst./Si atom

Anti-bond interstitial:
4 interst./Si atom

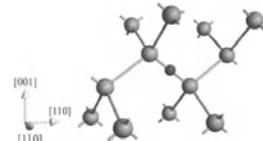
Interstitial sites of diamond Si

Si nanowire lithiation simulations

- Si nanowire @ Li saturation on outer boundary
 - 2NN MEAM for Si-Li¹
 - $T = 300K$
 - Master transport equation²
 - Diffusion parameters:
 - $v = 1013 \text{ 1/s}$
 - $\Delta E = 0.55 \text{ eV}$
 - 5 interstitials/atom

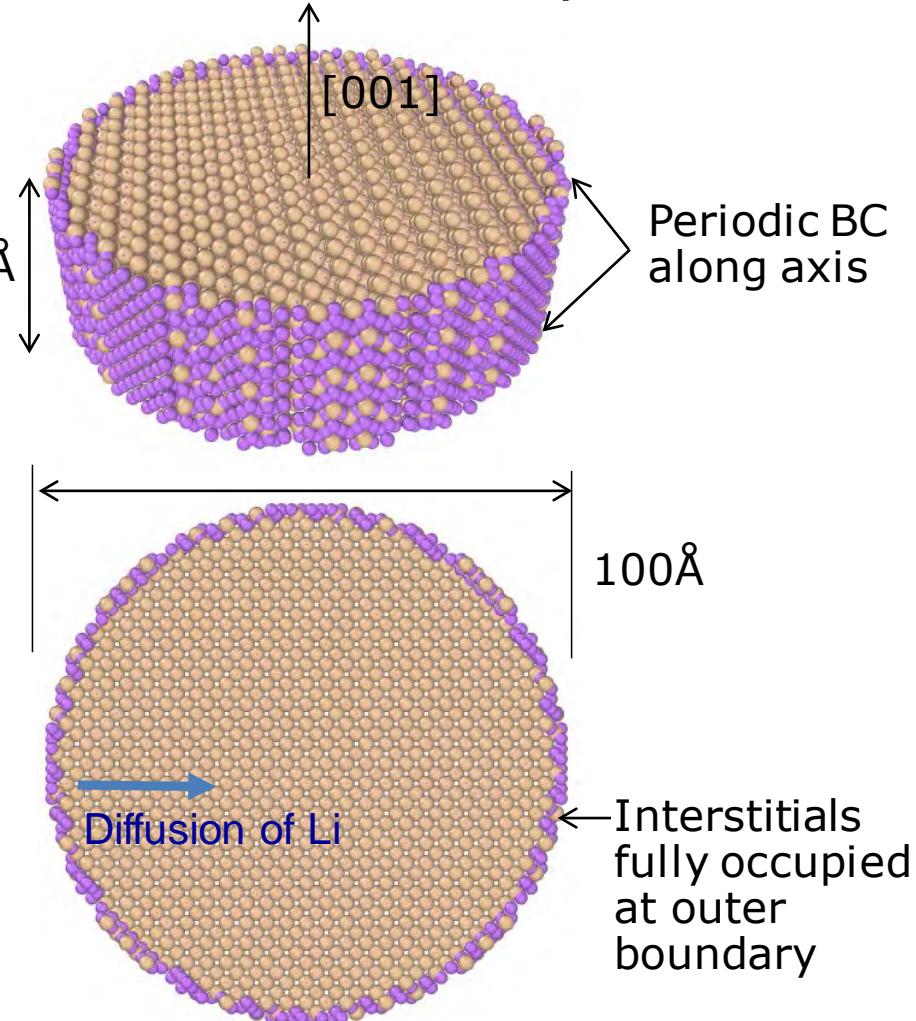
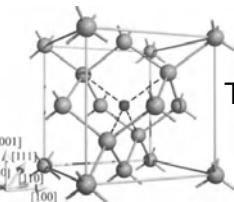


Hexagonal interstitial:
2 interst./Si atom



Bond-centered interstitial:
2 interst./Si atom

Tetrahedral interstitial:
1 interst./Si atom



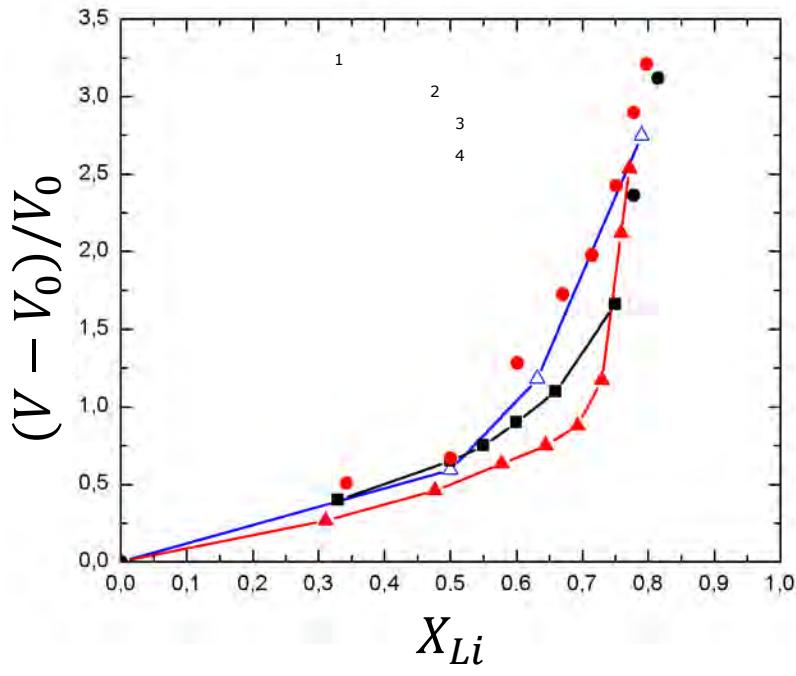
10,126 Si atoms,
50,565 interstitials



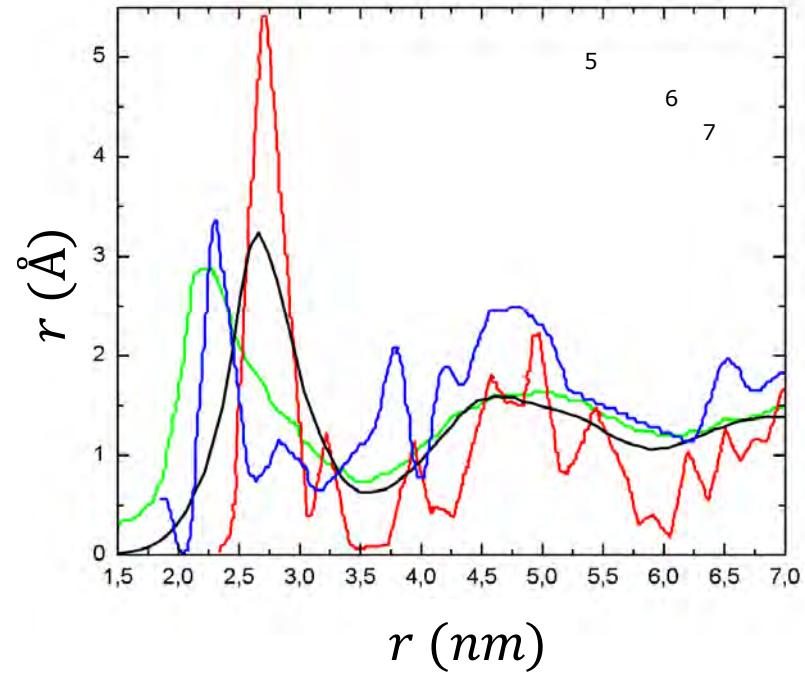
¹Z. Cui et al., *J. Power Sources*, **207** (2012) 150–159.

²F. Zhang and W. A. Curtin, *MSMSE* **16**, 055006 (2008).

Si lithiation model - Validation



Volume expansion
vs. Li molar fraction



Radial distribution function
at full lithiation

¹P. Johari *et al.*, *Nano Lett.*, **11**(12) (2011) 5494–5500.

²H.S. Lee and B.J. Lee, *Met. Mater. Int.*, **20**(6) (2014), 1003-1009.

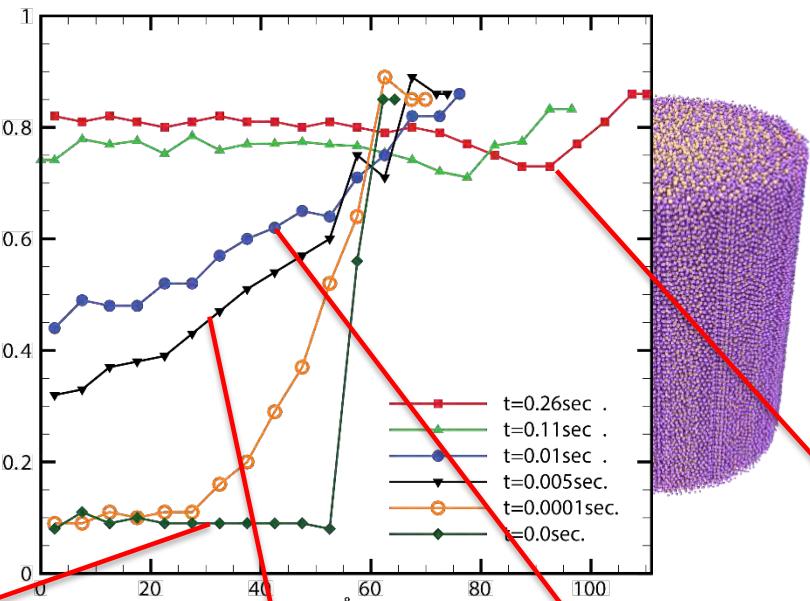
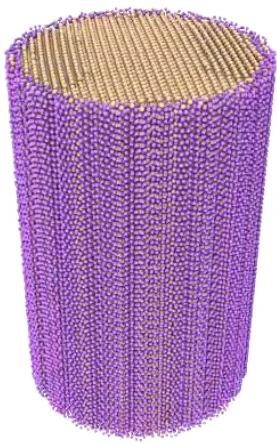
³L.Y. Beaulieu *et al.*, *Electrochem. Solid-State Lett.*, **4**(9) (2001) A137-A140.

⁴L.Y. Beaulieu *et al.*, *J. Electrochem. Soc.*, **150**(11) (2003) A1457-64.
F. Fan *et al.*, *Modelling Simul. Mater. Sci. Eng.*, **21** (2013) 074002.

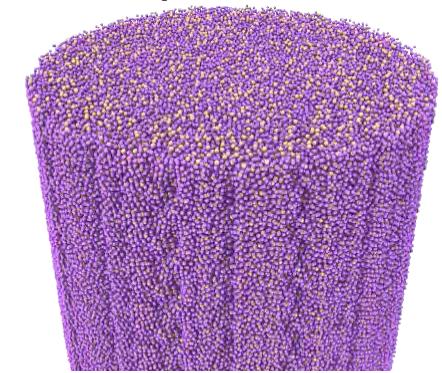
V.L. Chevrier and J.R. Dahn, *J. Electrochem. Soc.*, **156**(6) (2009) A454-A458.
B. Key *et al.*, *J. Am. Chem. Soc.*, **133** (2010) 503-512.



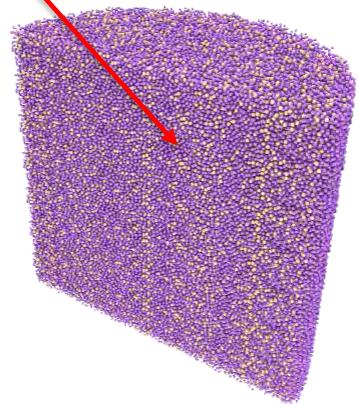
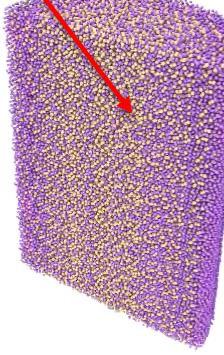
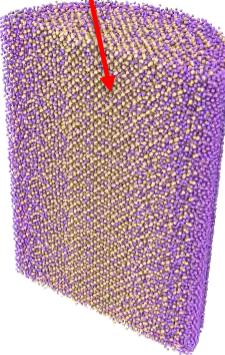
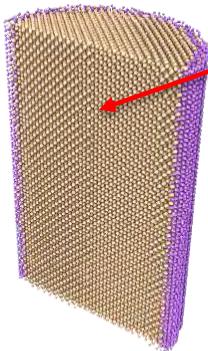
Si nanopillar lithiation – Atomic fractions



Fully lithiated



Average Li atomic molar fraction ~ 0.79



0.0 ms

0.8 ms

5 ms

10 ms

260 ms

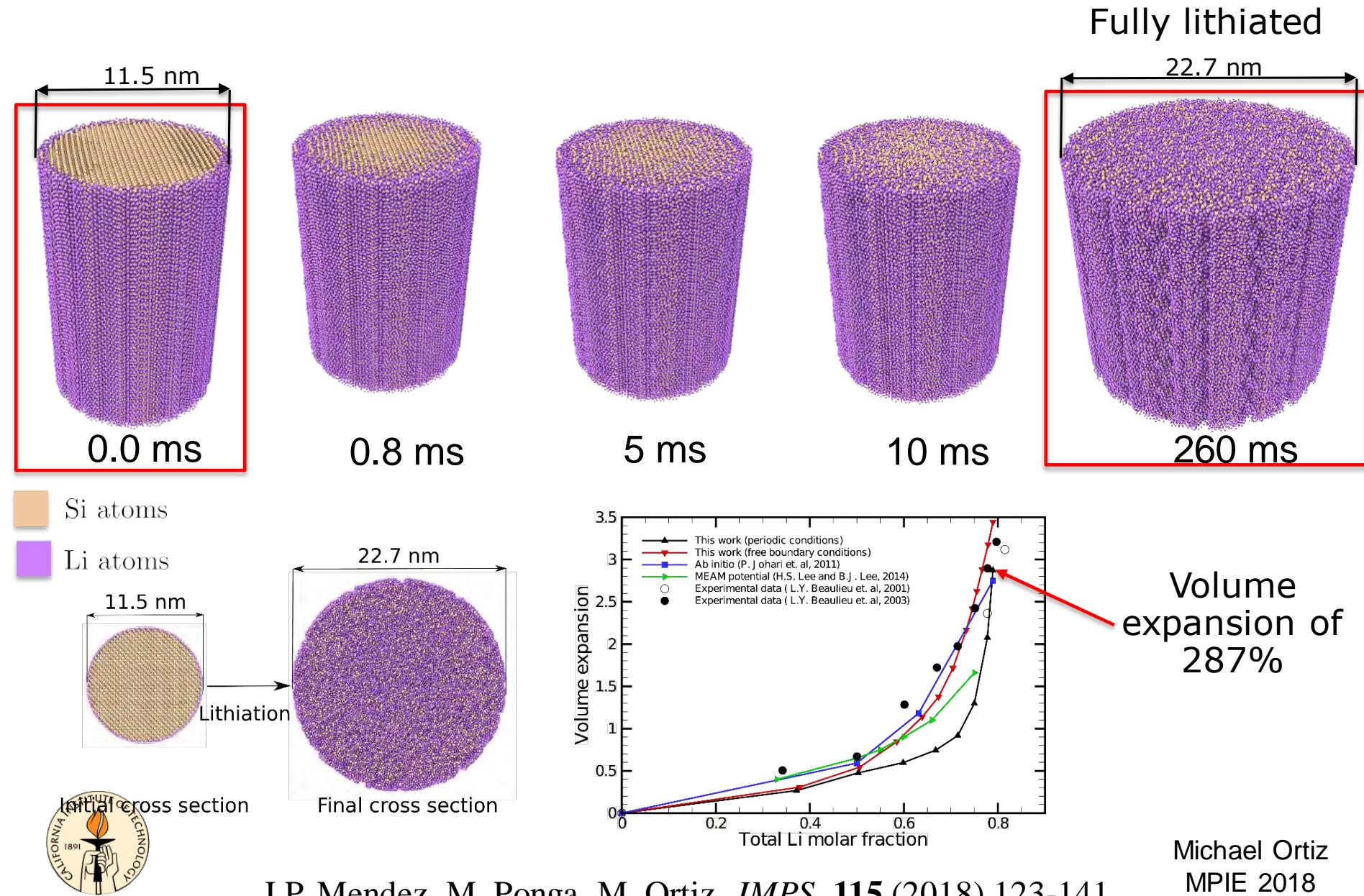


Si atoms
Li atoms

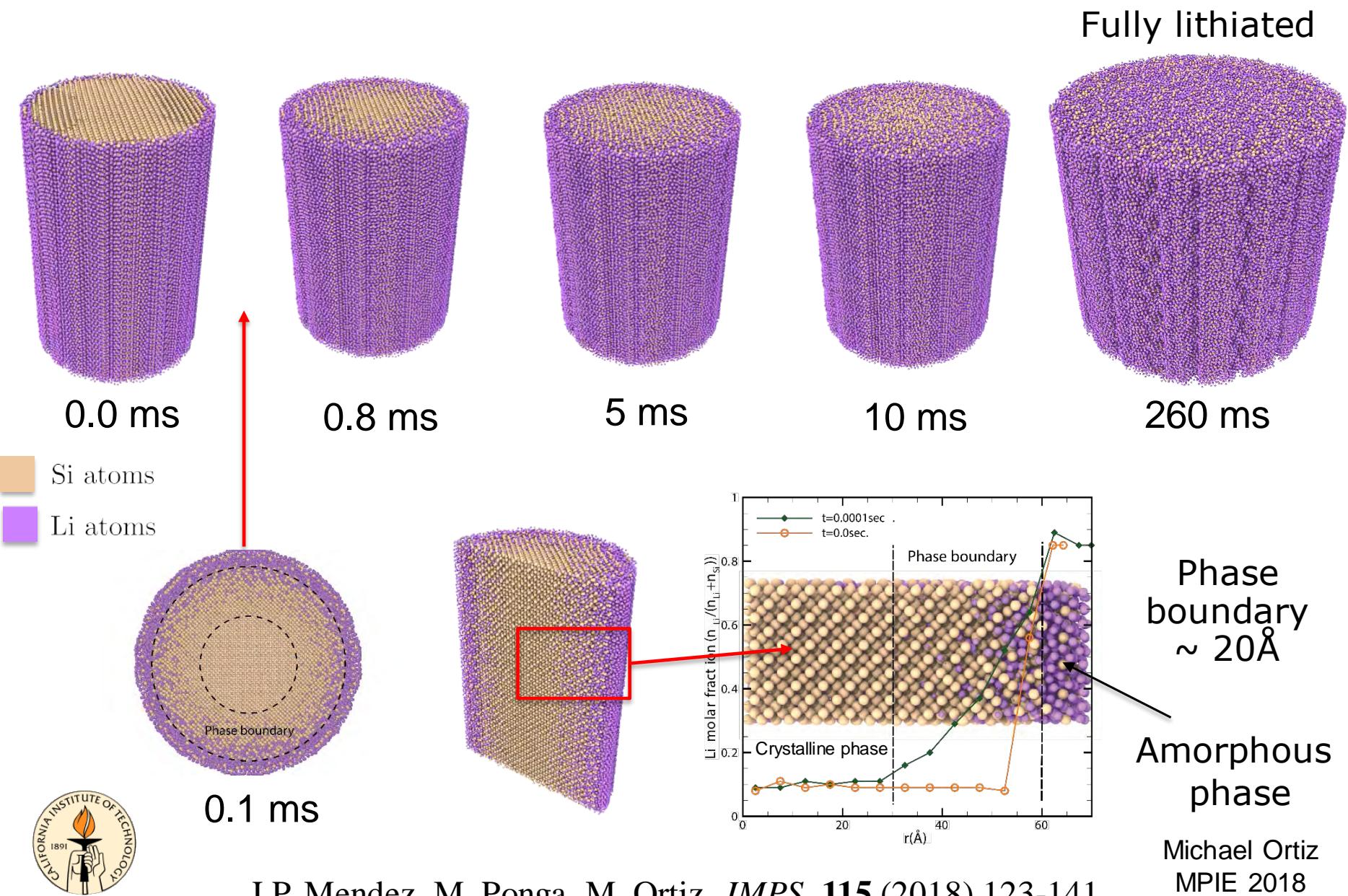
J.P. Mendez, M. Ponga, M. Ortiz,
JMPS, **115** (2018) 123-141.

Michael Ortiz
MPIE 2018

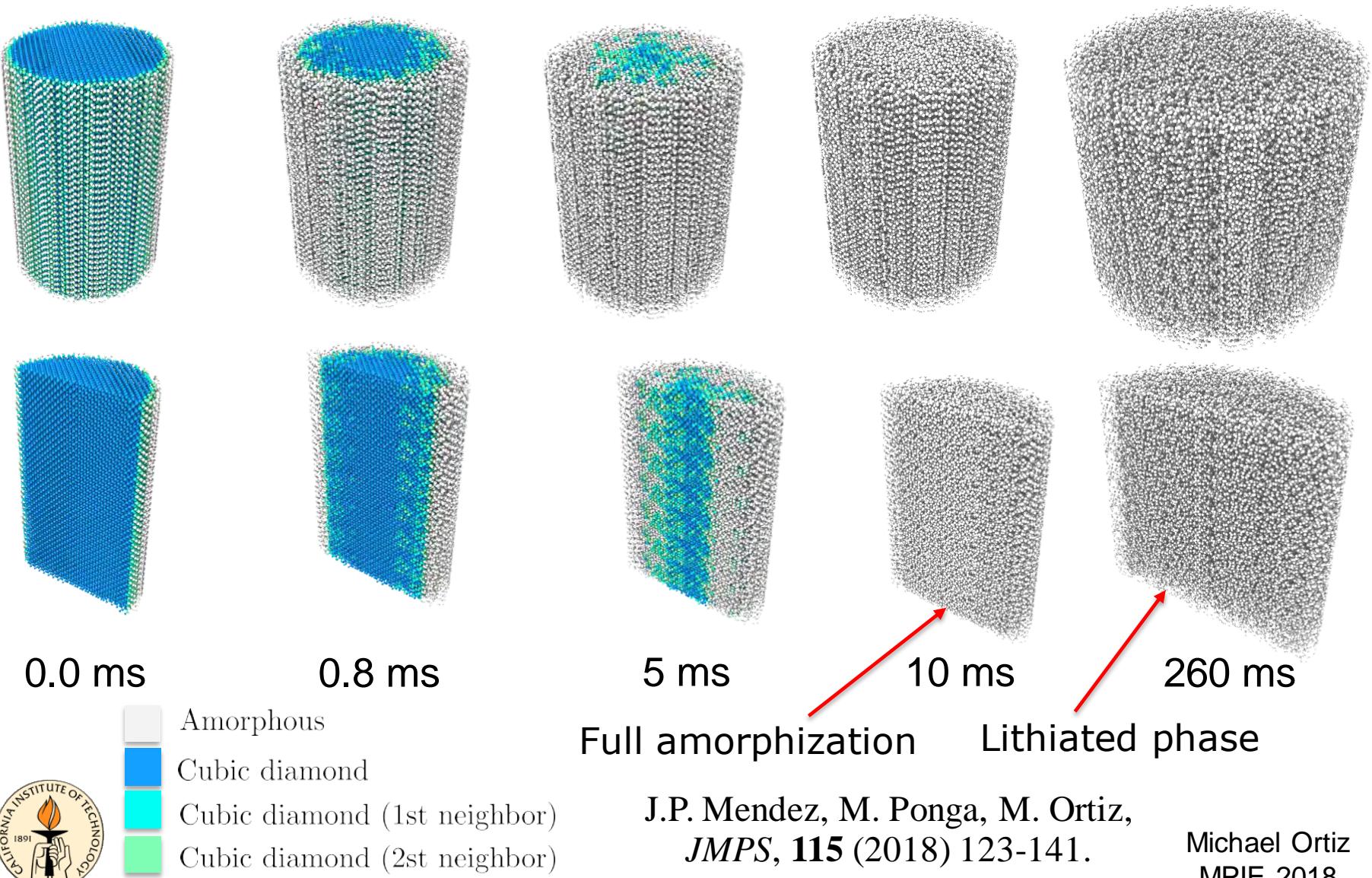
Si nanopillar lithiation – Volume



Si nanopillar lithiation – Interface



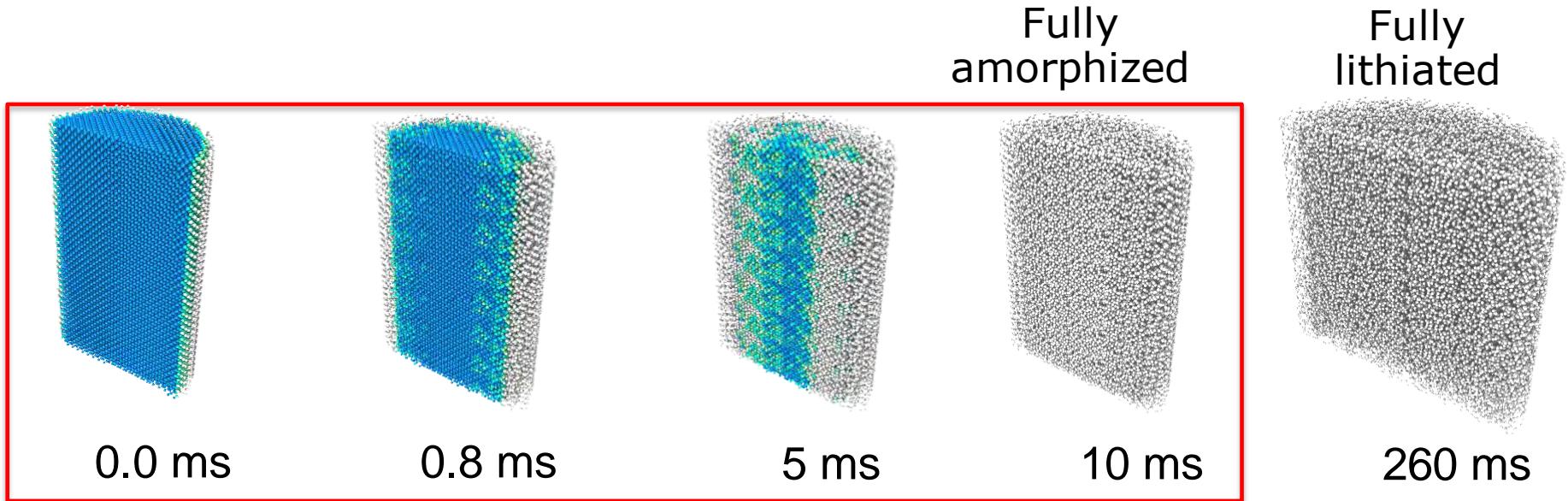
Si nanopillar lithiation – Amorphization



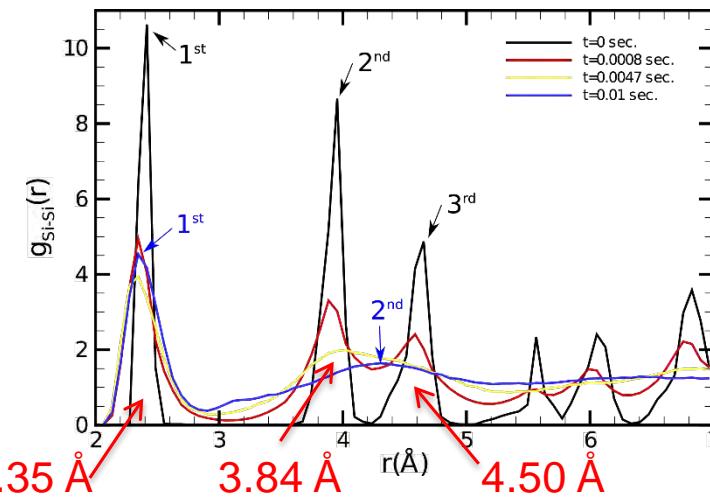
J.P. Mendez, M. Ponga, M. Ortiz,
JMPS, **115** (2018) 123-141.

Michael Ortiz
MPIE 2018

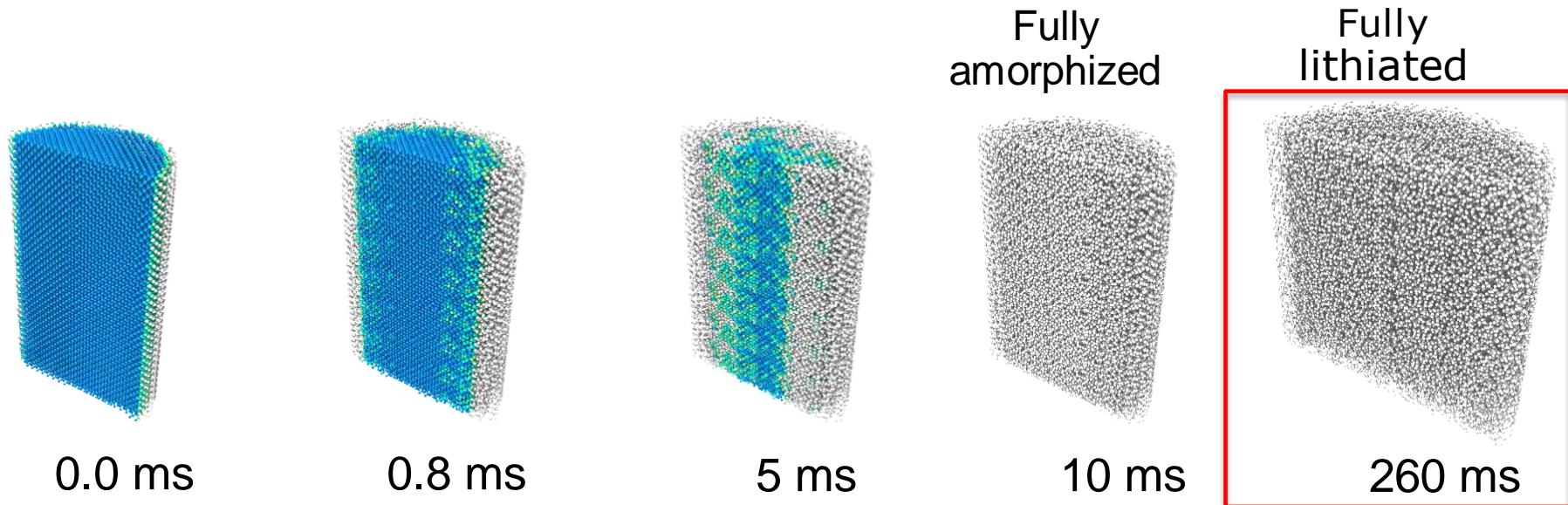
Si nanopillar lithiation – Amorphization



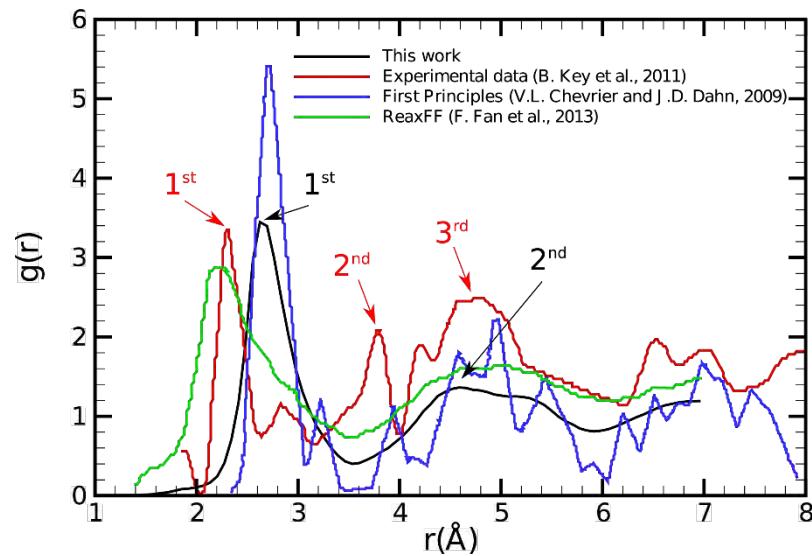
- Amorphous
- Cubic diamond
- Cubic diamond (1st neighbor)
- Cubic diamond (2st neighbor)



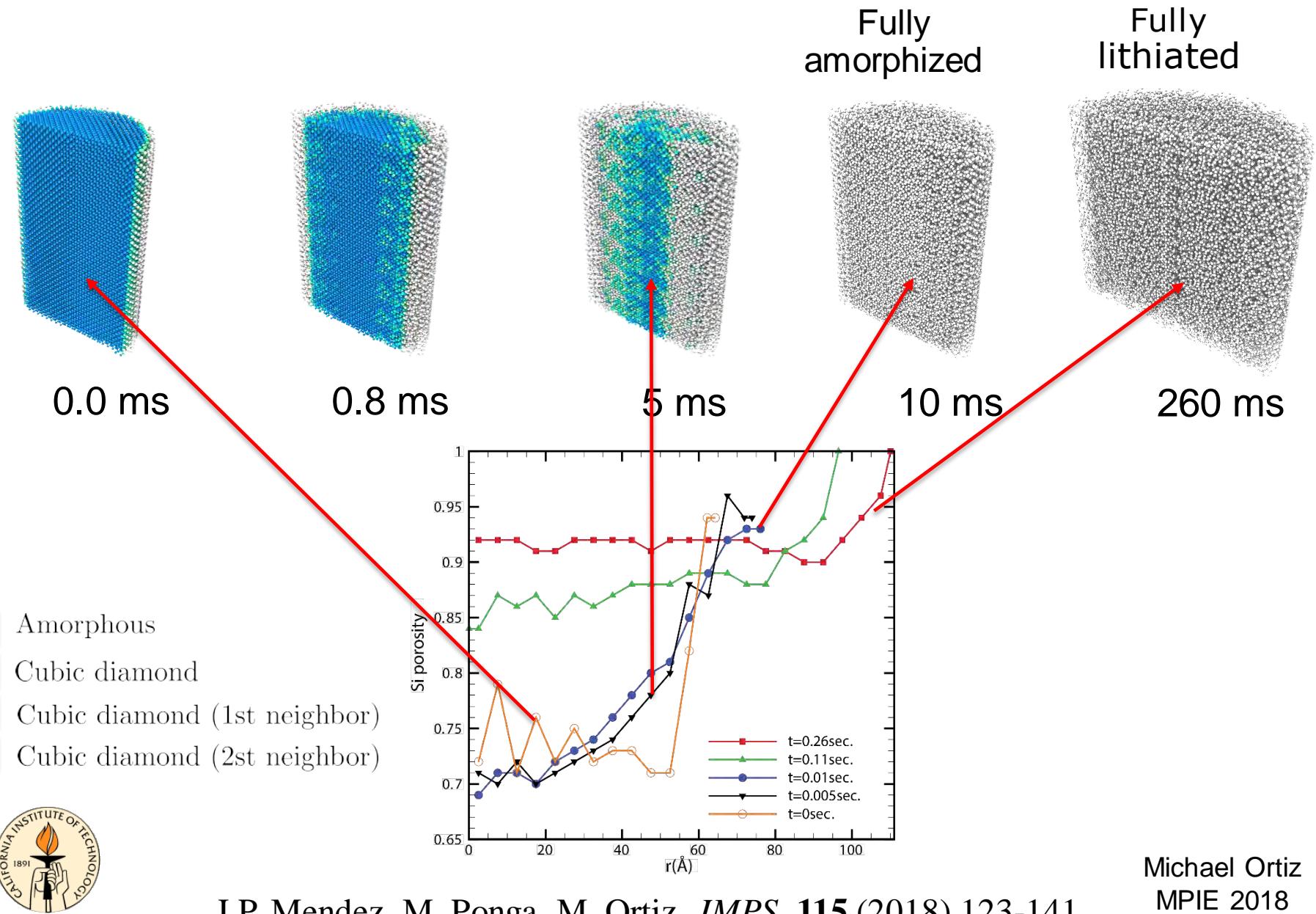
Si nanopillar lithiation – Amorphization



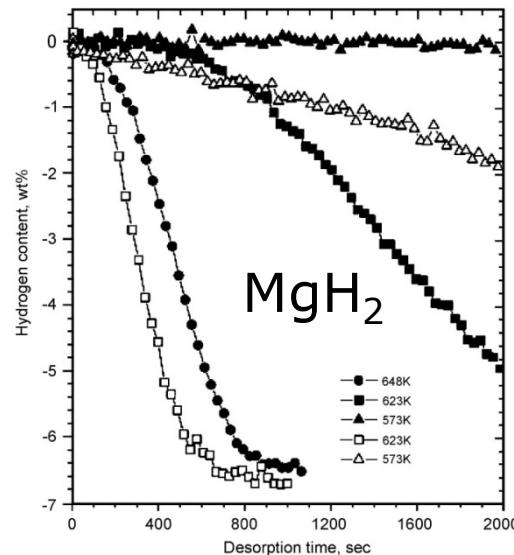
Amorphous
Cubic diamond
Cubic diamond (1st neighbor)
Cubic diamond (2st neighbor)



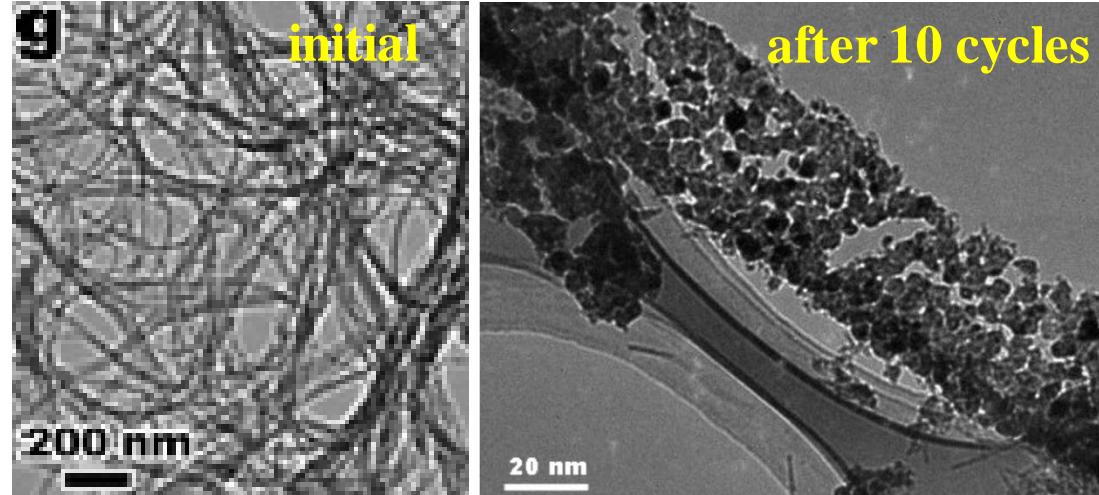
Si nanopillar lithiation – Porosity



Application: Hydrogen storage in Pd



- But: Structural effects,
 - *Volume expansion*
 - *Pulverization*
- Mg disintegrates after 10 hydration cycles²
- Predictive capability:
 - *Atomistic realism*
 - *Long-time behavior...*



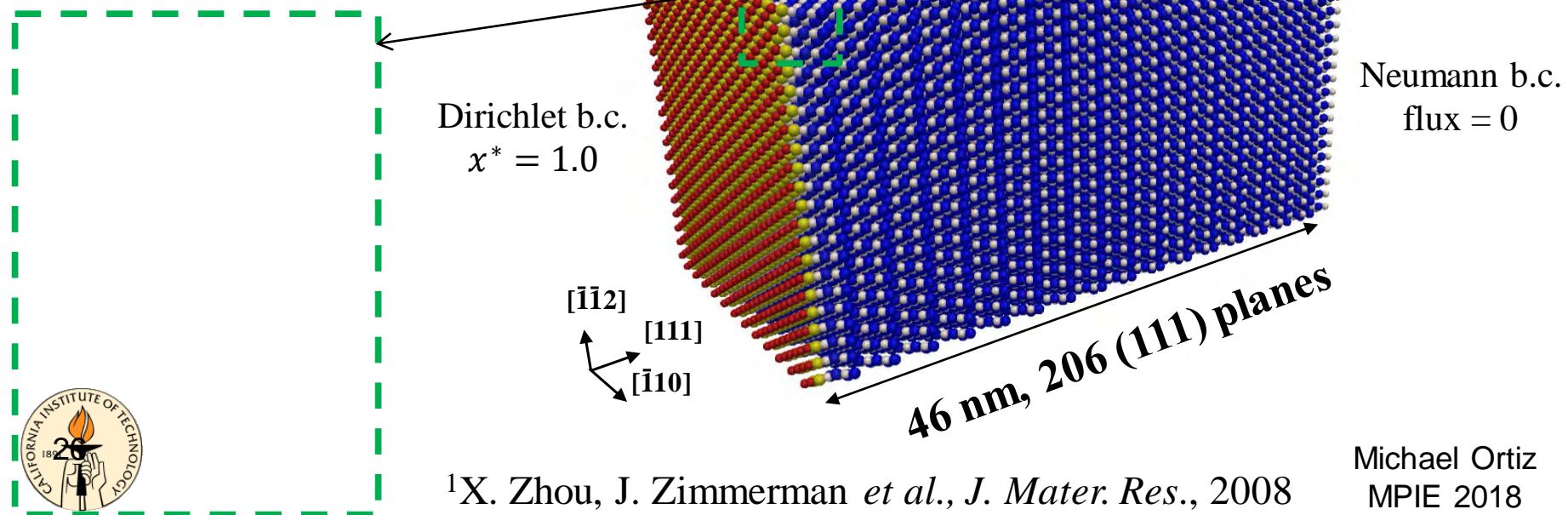
¹B. Sakintuna, F. Darkrim and M. Hirscher, *Int.J. Hydrogen Energy*, **32** (2007) 1121– 1140.

²W. Li, C. Li et al., *J. Am. Chem. Soc.*, 2007

H storage in Pd NW – α – β interface

- PdH_x exists in two phases at room temperature:
 - α phase: $0 < x \leq 0.03$
 - β phase: $0.608 \leq x \leq 1$
- In both phases: H occupies octahedral sites of FCC Pd lattice
- Phase transition ($\alpha \rightarrow \beta$): **10.4%** volume expansion
- Computational setup: EAM potential¹, NN transport kinetics

● Pd sites
○ interstitial sites



H storage in Pd NW – α – β interface

phase boundary

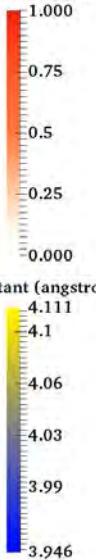
β phase

α phase

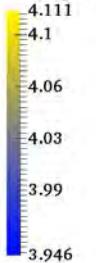
[$\bar{1}\bar{1}2$]
[111]
[110]

Time: 0.00000 s

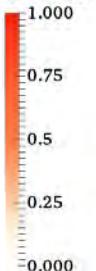
Hydrogen atomic fraction



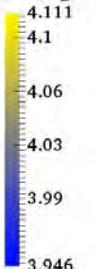
Lattice constant (angstrom)



Hydrogen atomic fraction



Lattice constant (angstrom)



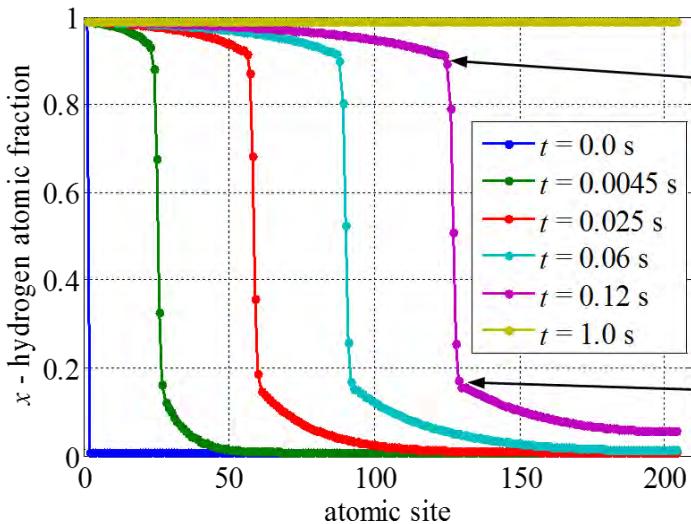
[$\bar{1}\bar{1}0$]
[111]

Time: 0.00000 s

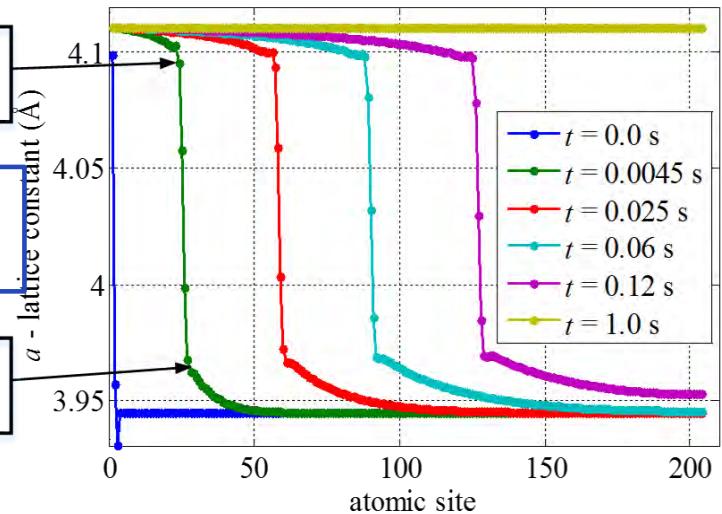


H storage in Pd NW – α – β interface

hydrogen atomic fraction



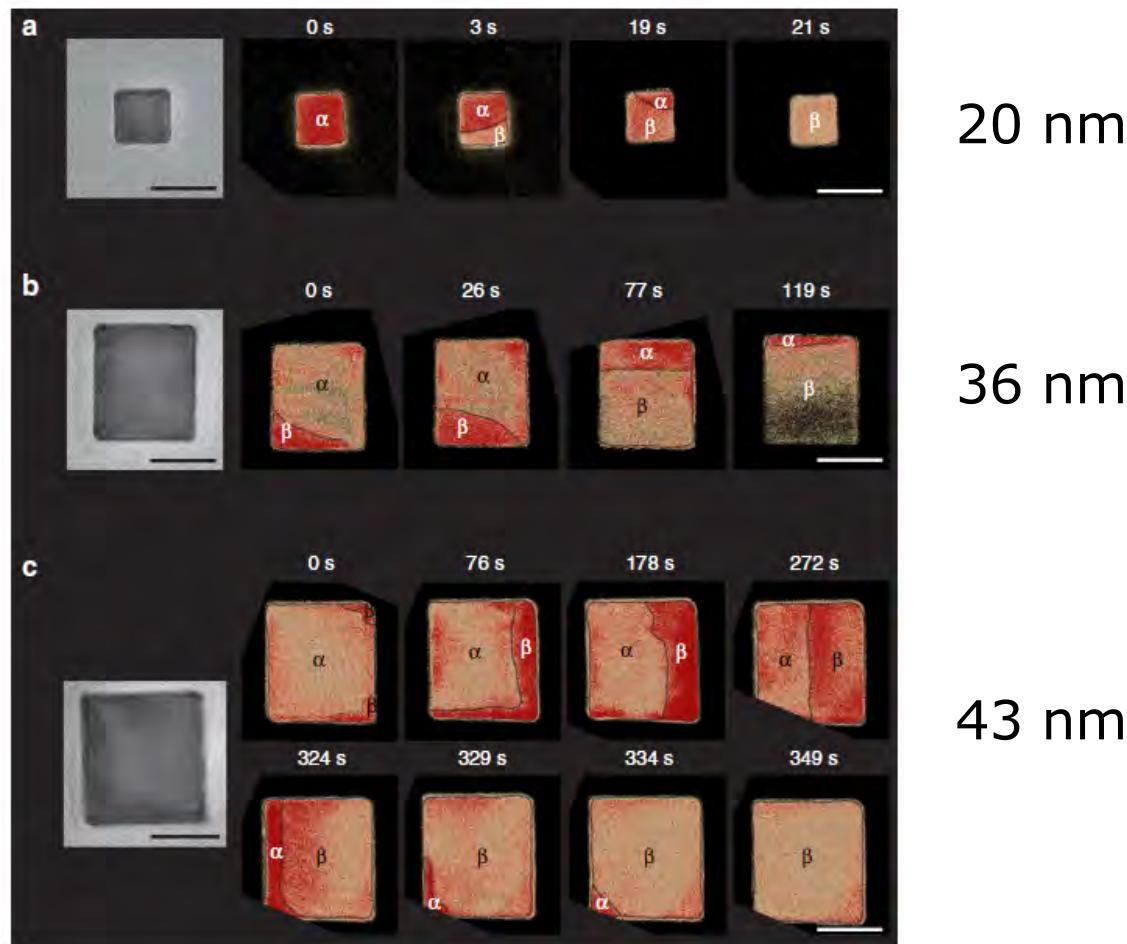
lattice constant



- Phase transition predicted (3.27% vs. 3.35%)
- Phase boundary motion, velocity, predicted (~ 100 nm/s)
- In progress: MgH_2 (hcp α -phase, rutile β -phase)



Application: H storage in Pd nanoparticles



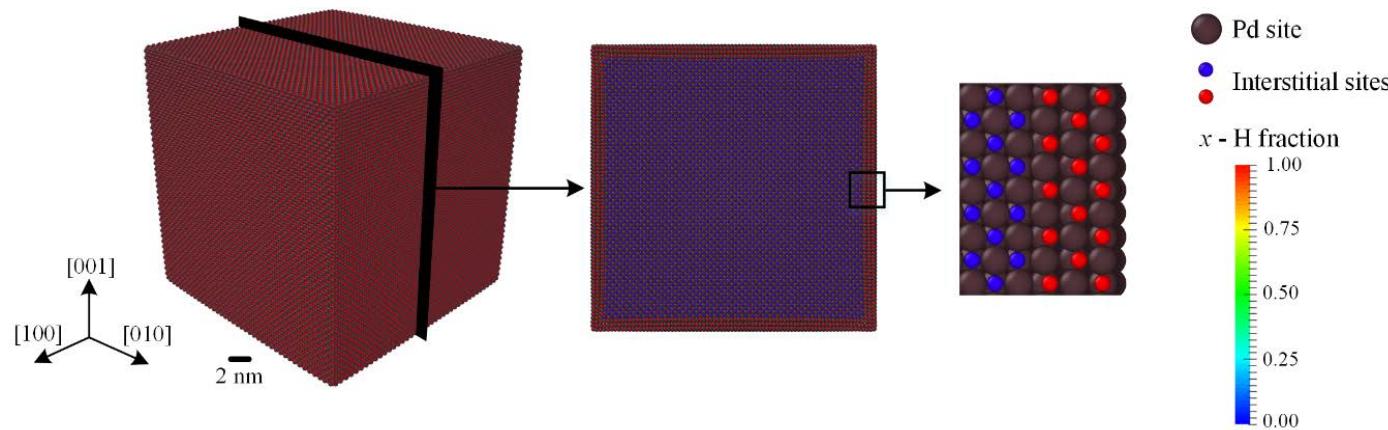
STEM frames showing α to β phase evolution



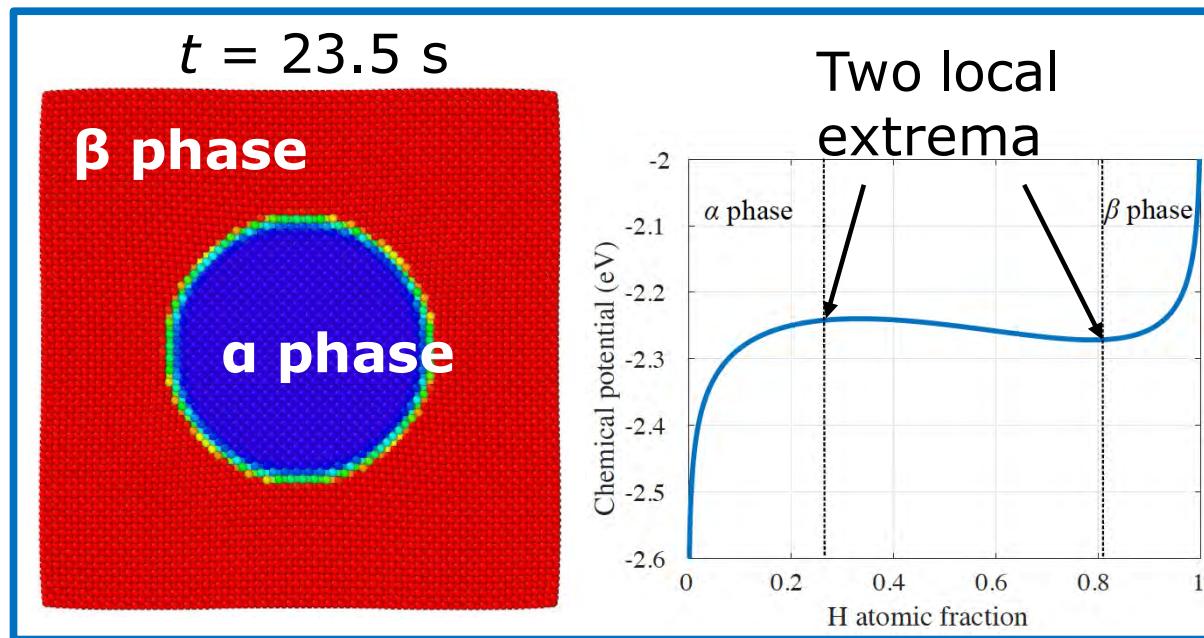
Narayan *et al.*, *Nature Comm.* (2017) | DOI: 10.1038/ncomms14020)

Michael Ortiz
MPIE 2018

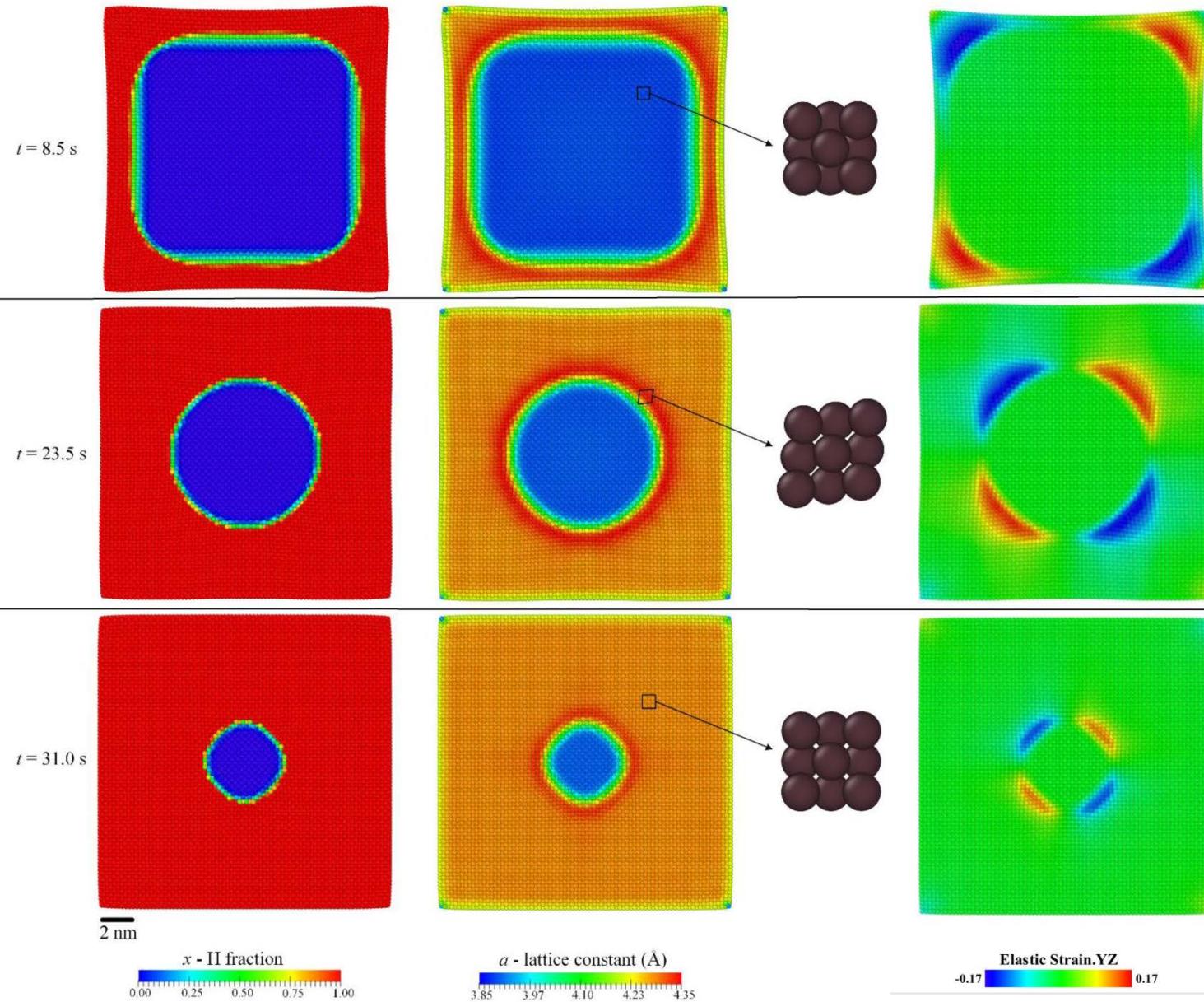
H storage in Pd NP – Problem setup



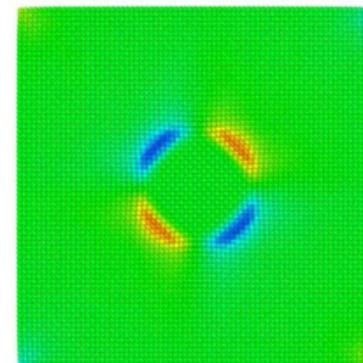
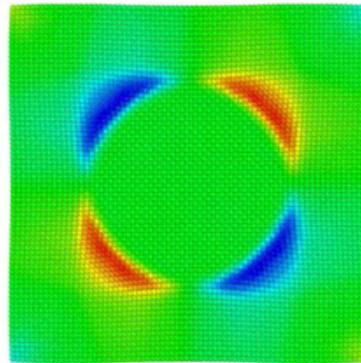
Nanocube (edge length: 16 nm), with faces on $\{100\}$ planes.



H storage in Pd NP – Interfacial strain

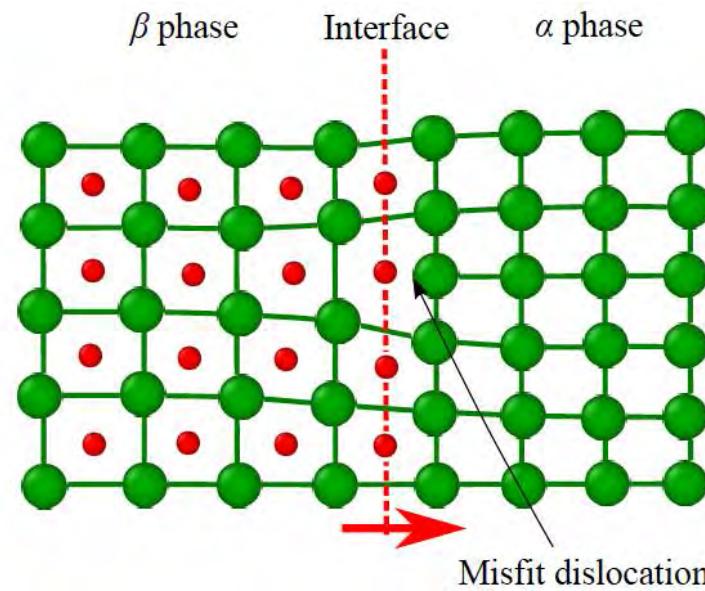
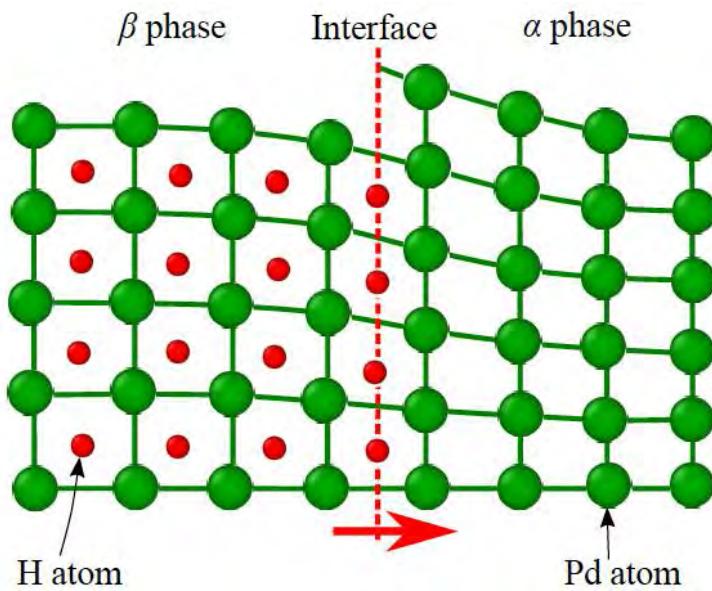


Interfacial dislocations



misfit
strain
evolution

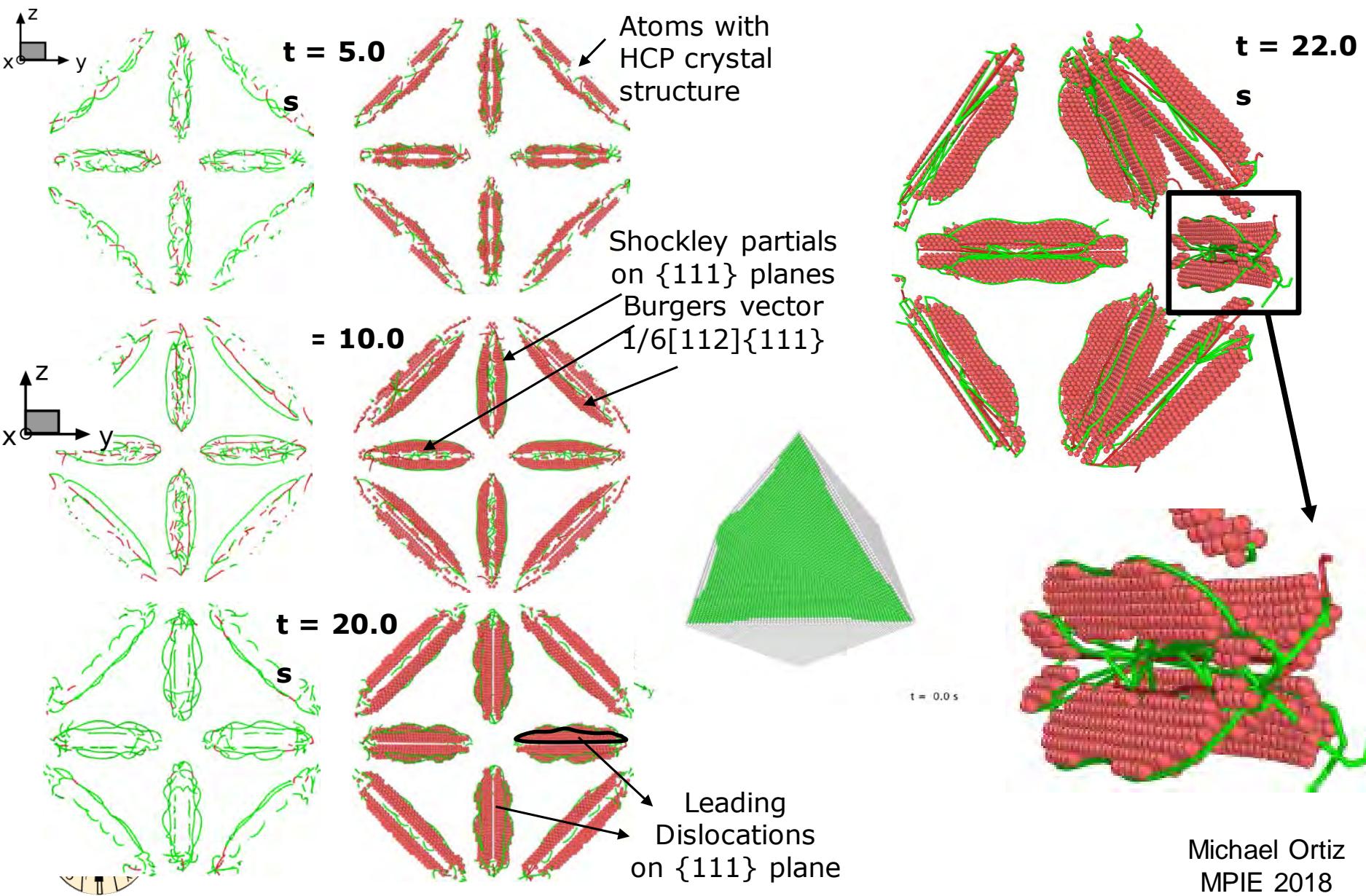
Elastic Strain,YZ
-0.17 0.17



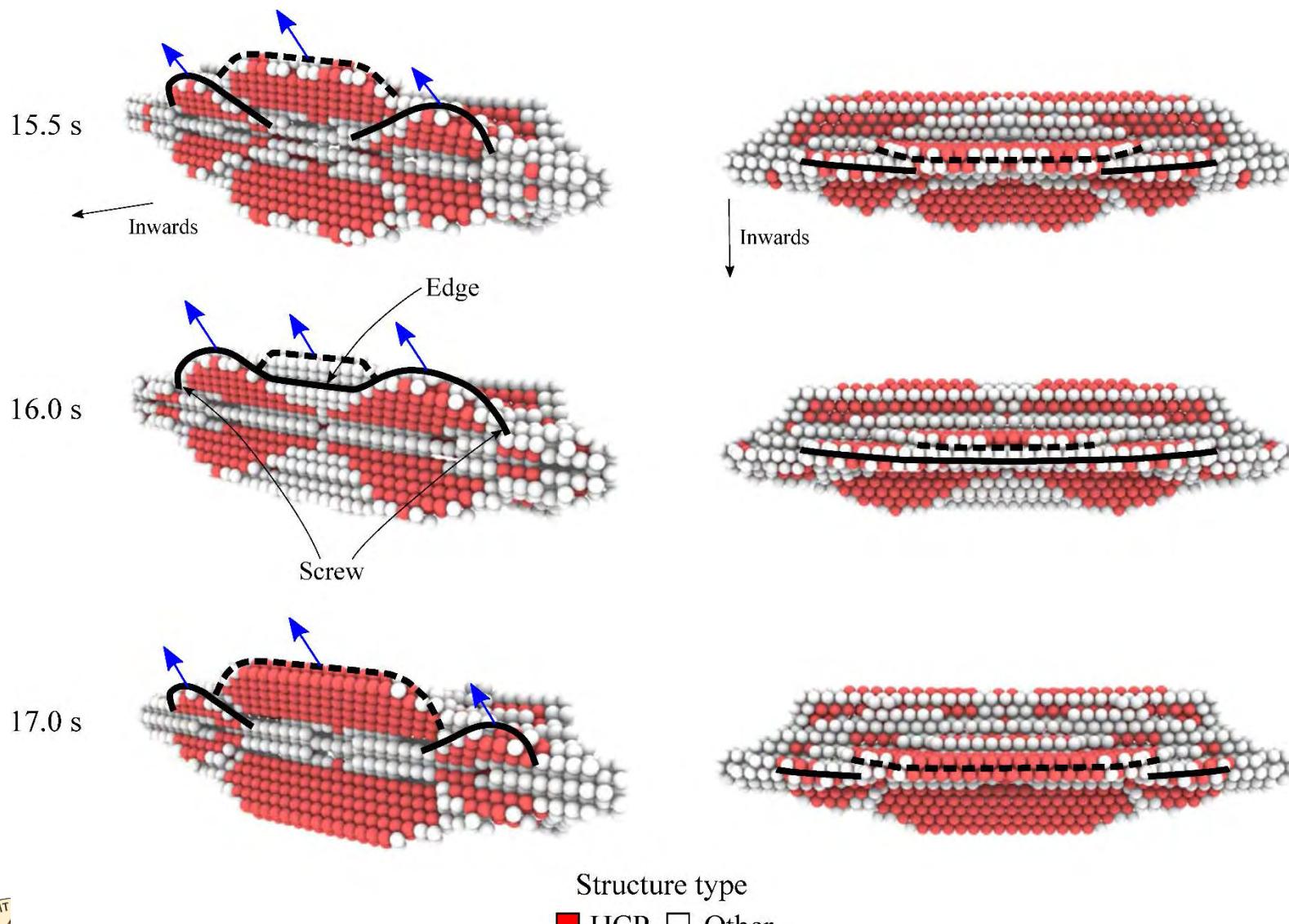
Misfit strain is relieved by misfit dislocations



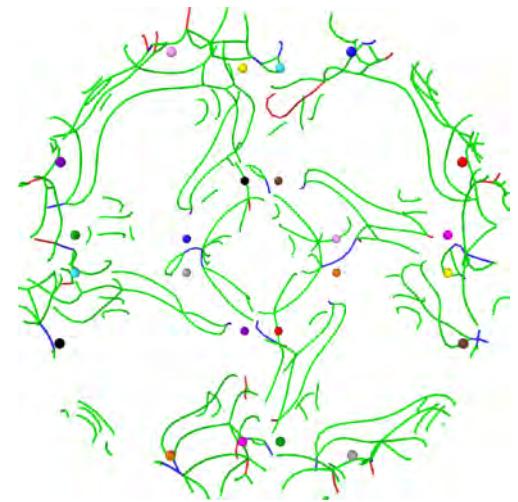
PdH: Interfacial misfit dislocations



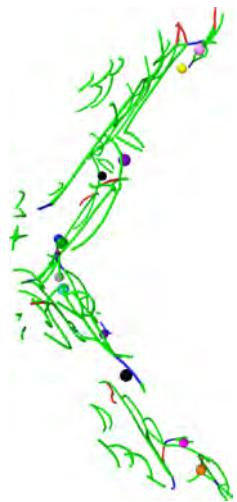
PdH: Interfacial misfit dislocations



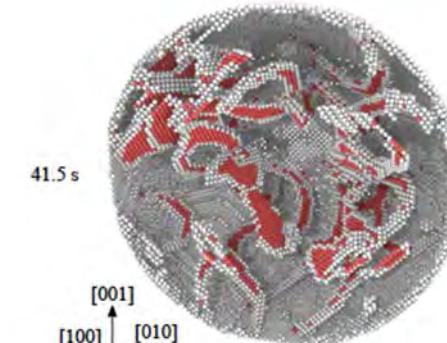
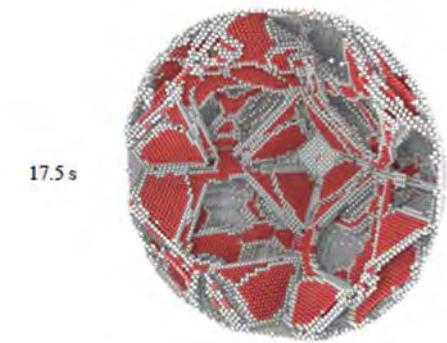
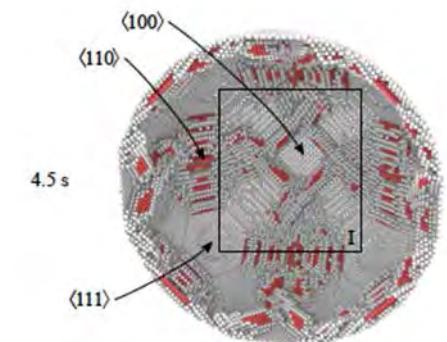
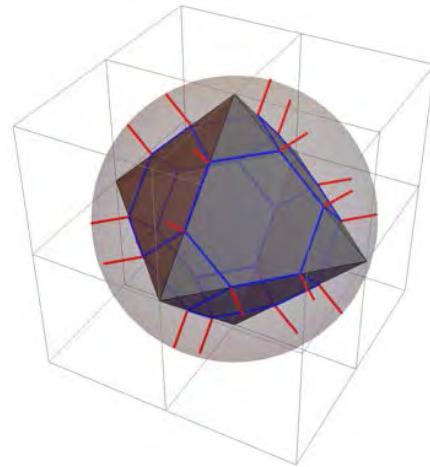
PdH: Interfacial misfit dislocations



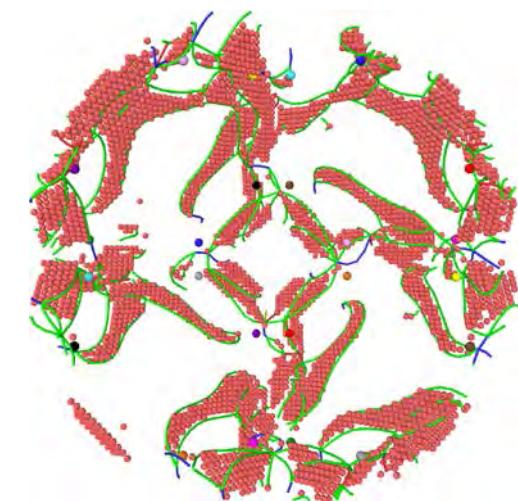
(100) plane



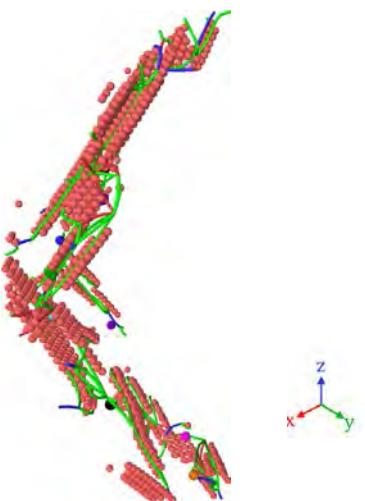
(111) direction



Michael Ortiz
MPIE 2018



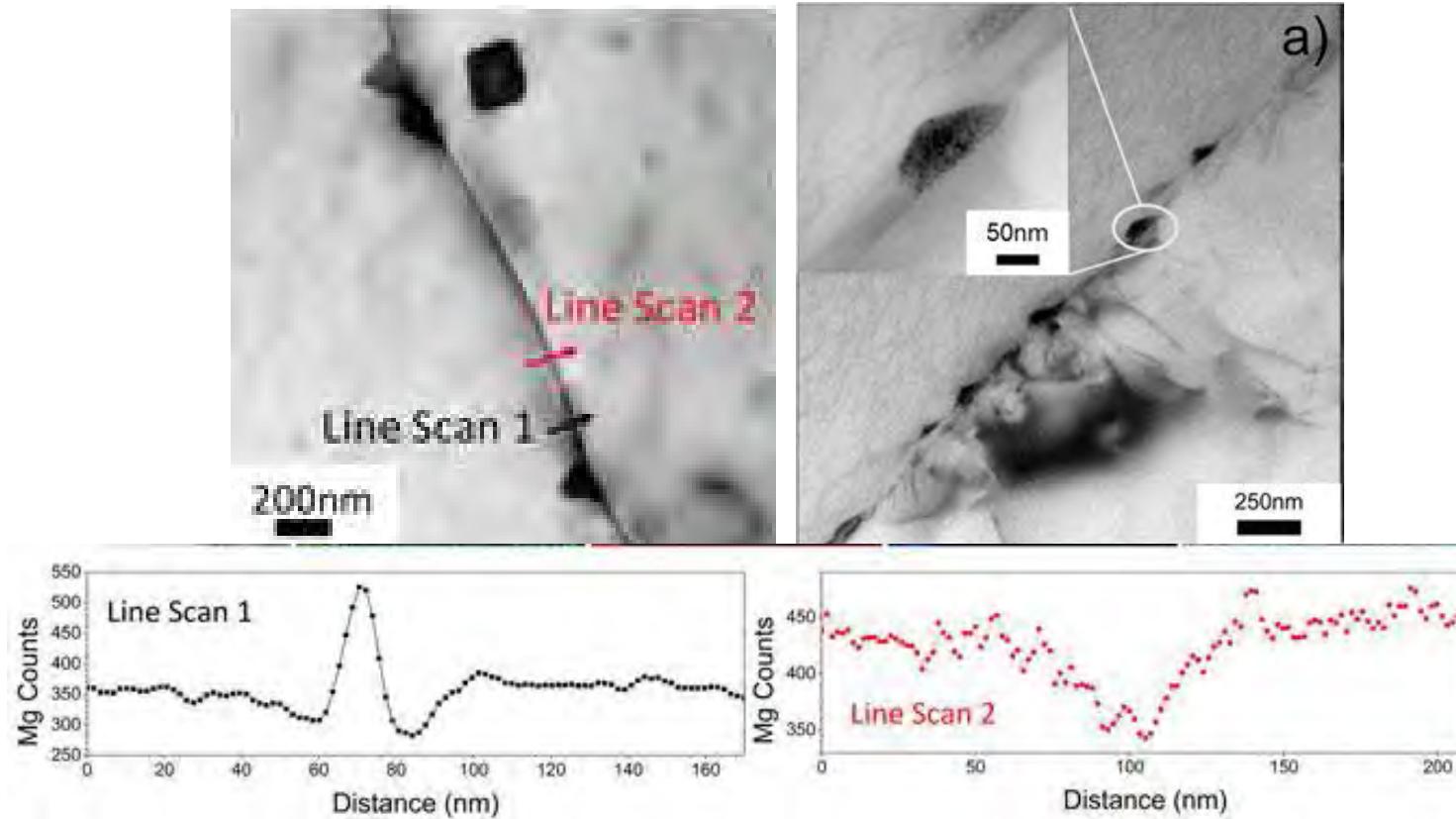
(100) plane



(111) direction



Work in progress: Mg–Al alloys



- Al-Mg 5xxxx series alloys
- Sensitization due to GBs
- Formation of β phase (Mg_2Al_3)
- Stress corrosion cracking

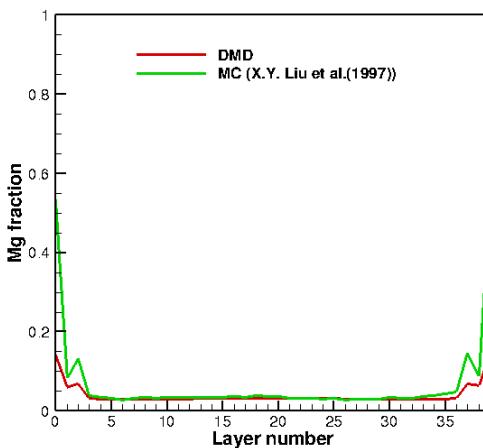
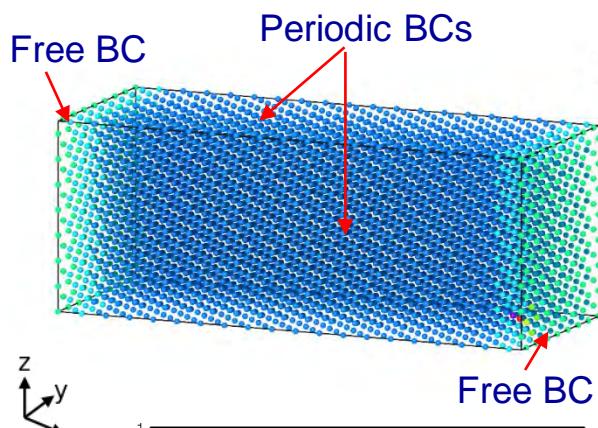
Time scale: ~ days!
Not accessible to MD



DMD validation – Surface segregation

Al-4%Mg alloy at 600K

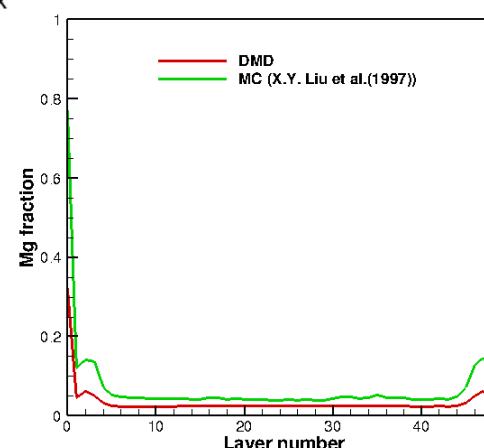
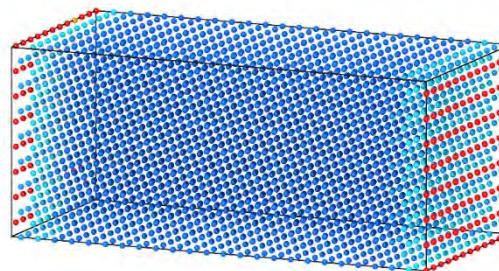
(100) surface



After 71 ms

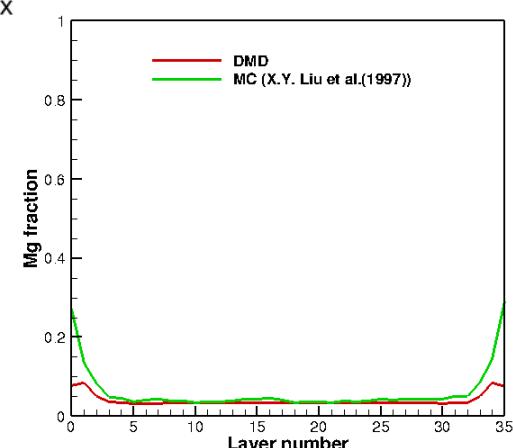
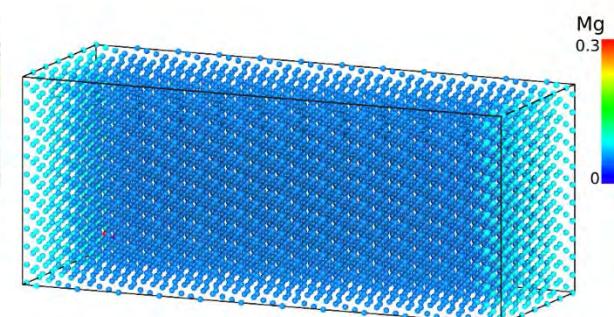
(110) surface

$$D(T=600K) = 5.4914 \cdot 10^{-16} \text{ m}^2/\text{s}$$



After 82 ms

(111) surface

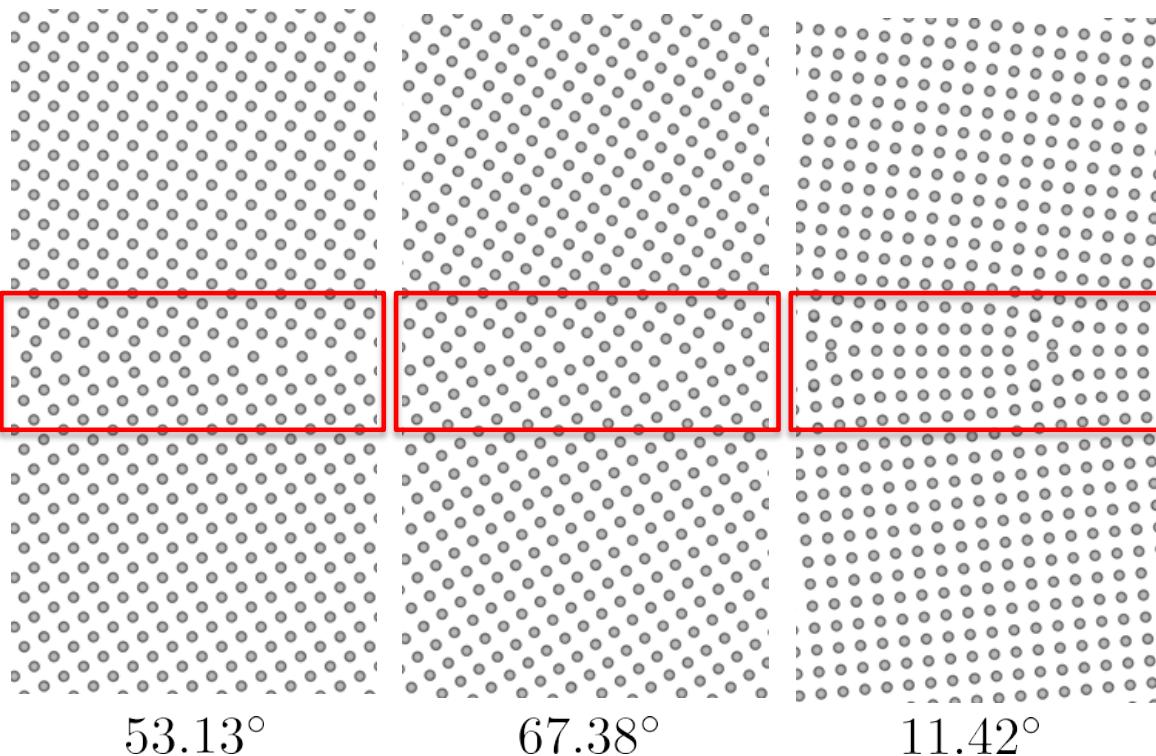


After 142 ms

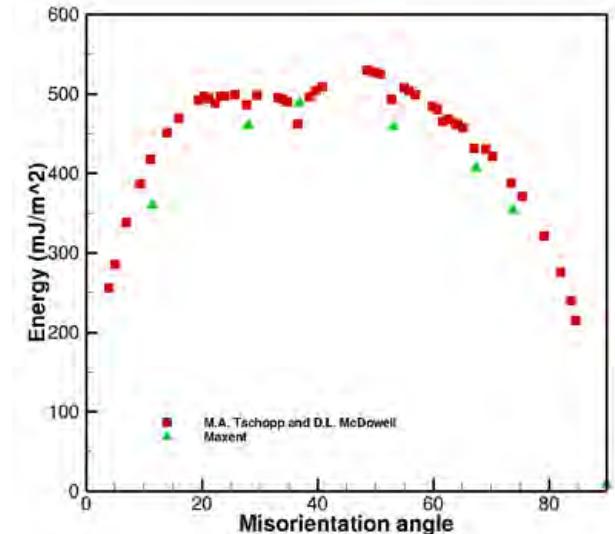


DMD validation – GB segregation

Al-4%Mg alloy at 600K

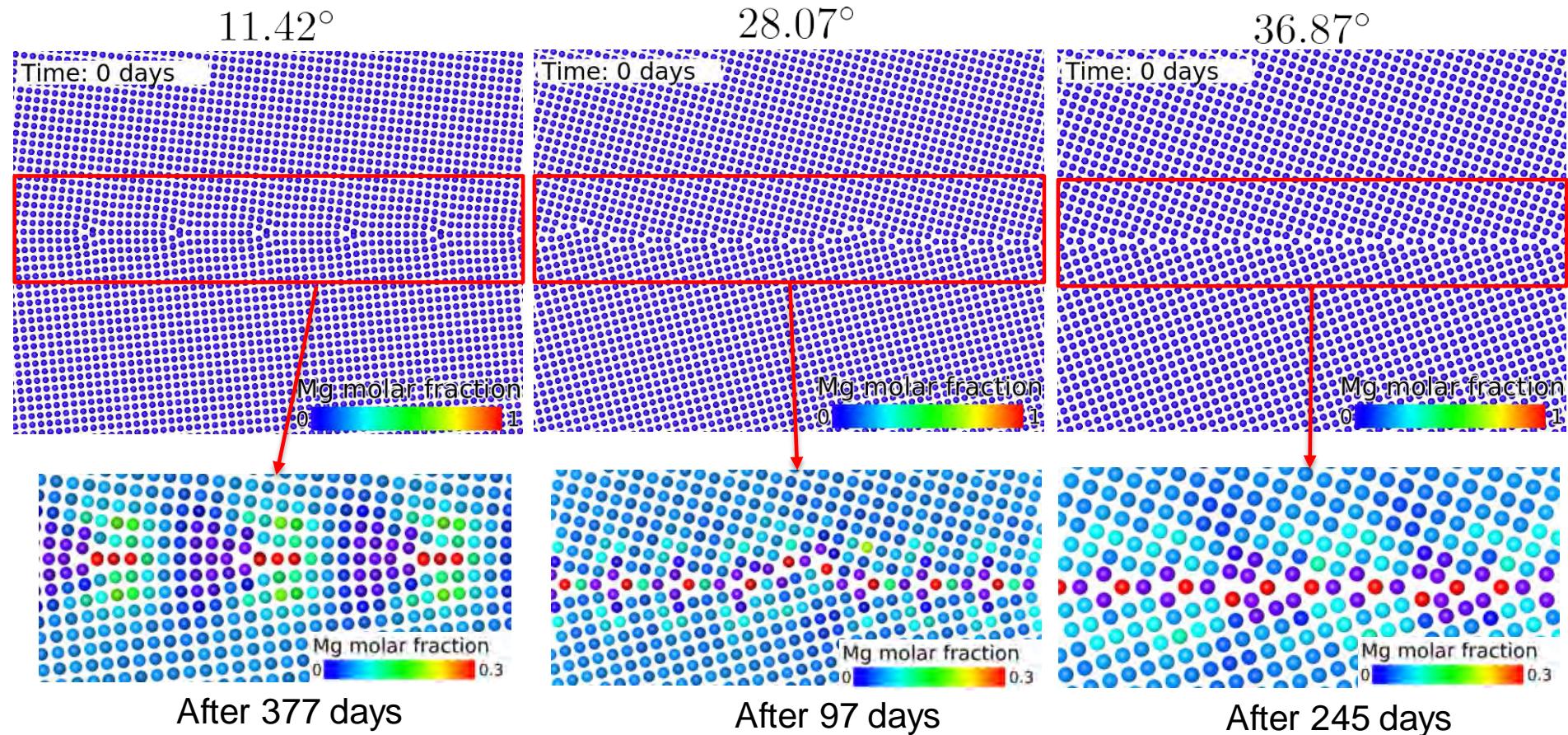


Symmetric grain boundary energies



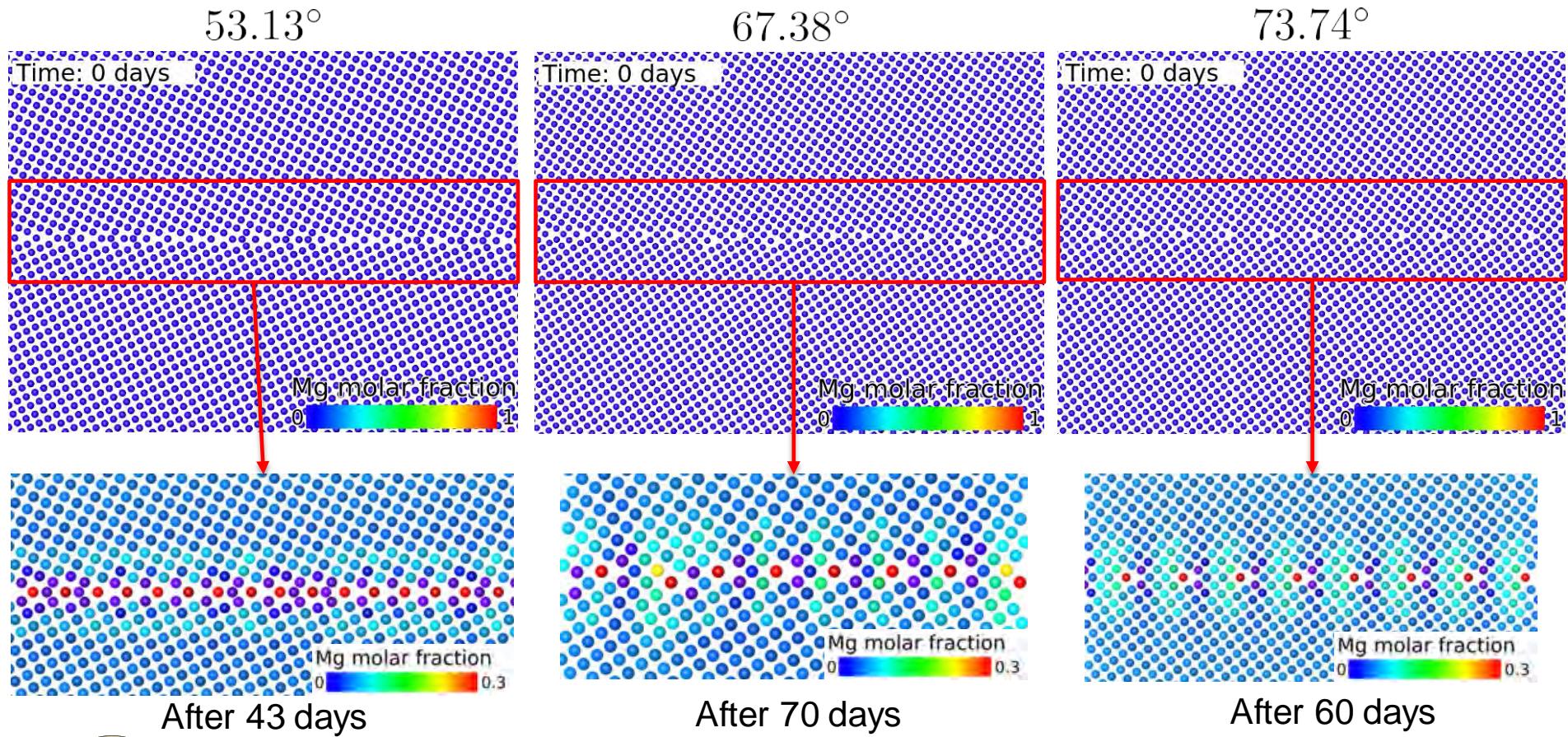
DMD validation – GB segregation

Al-4%Mg alloy at 300K

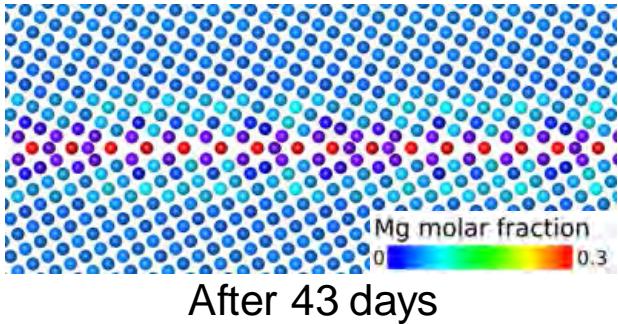


DMD validation – GB segregation

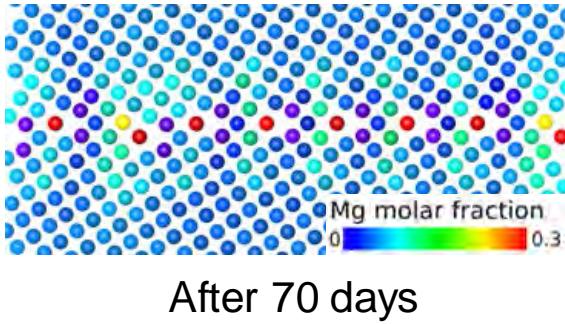
Al-4%Mg alloy at 300K



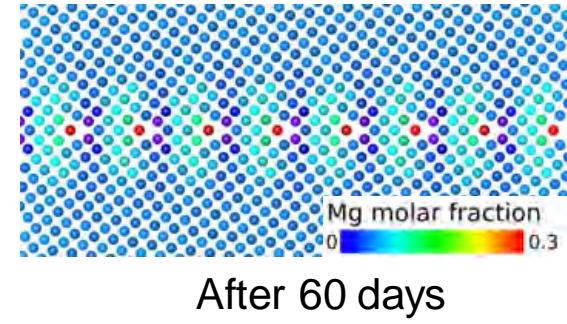
DMD validation – GB segregation



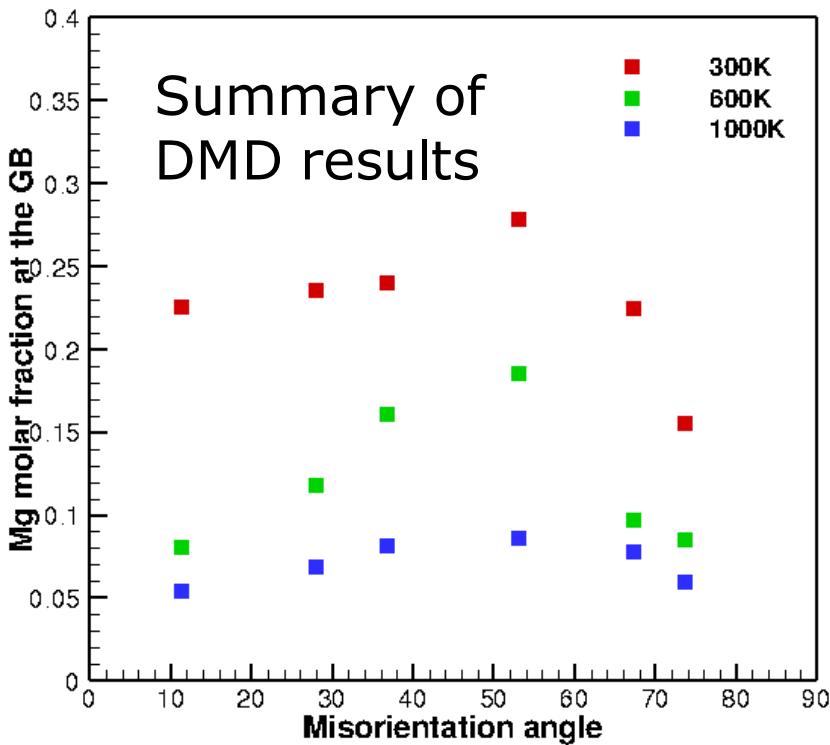
After 43 days



After 70 days



After 60 days

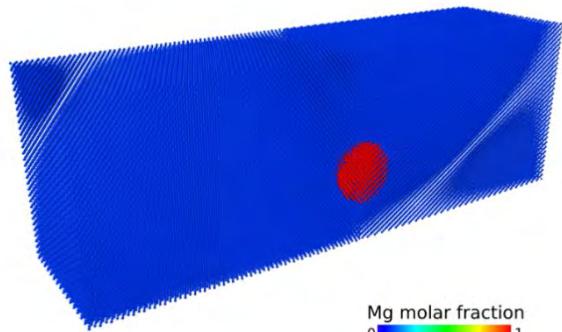


- Mg segregation to Al GBs takes place on time scale of days or months.
- Mg segregation to Al GBs depends on misorientation angle
- Mg segregation to Al GBs depends on temperature.
- An increase in temperature reduces segregation

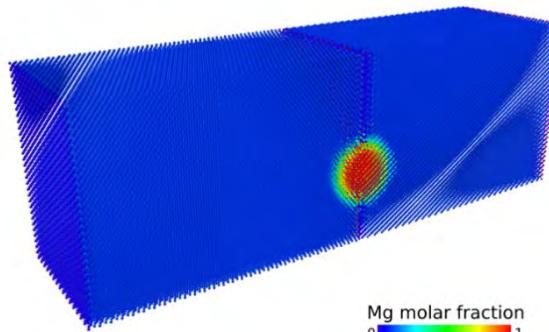


DMD validation – GB segregation

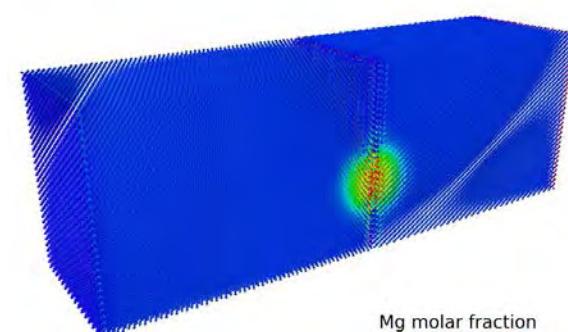
Al-4%Mg alloy at 300K
Dissolution of Mg precipitate



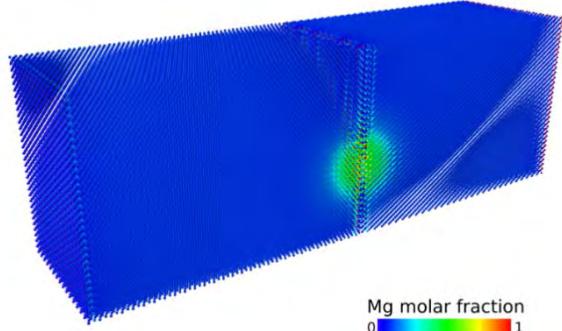
After 0 days



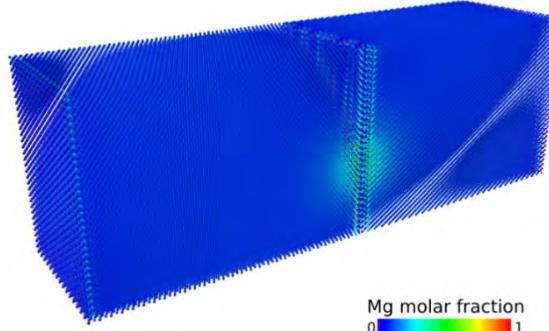
After 1 days



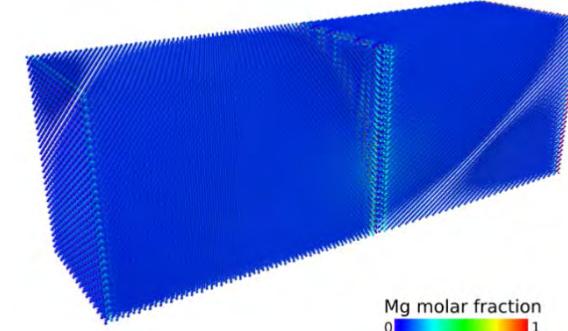
After 2 days



After 12 days



After 20 days

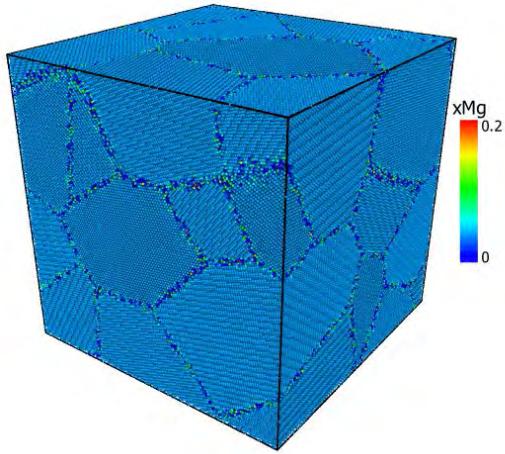


After 69 days



Al-4%Mg Polyxals – Mechanical testing

After 84 hours

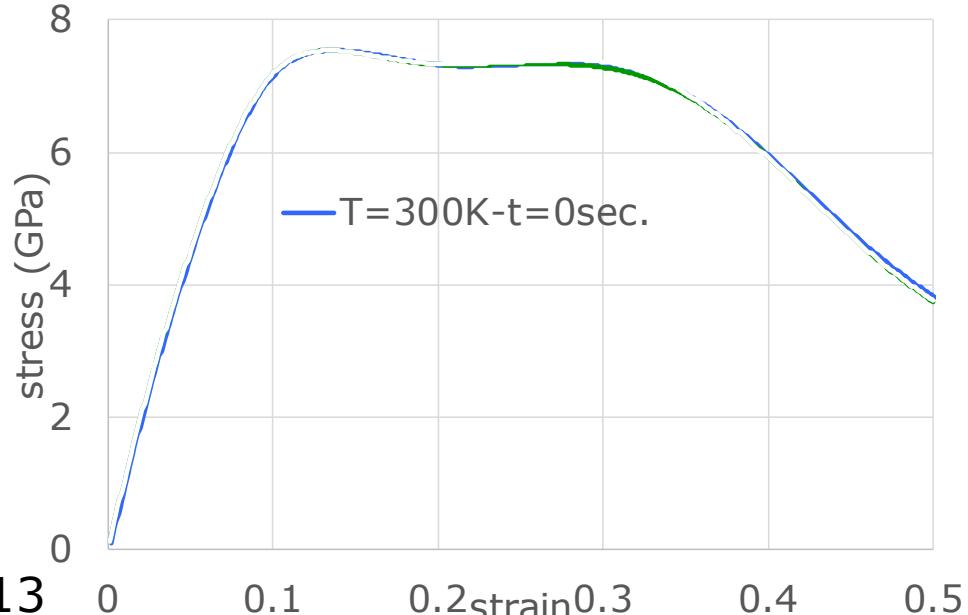


$$D(T=300K) = 3.3364 \cdot 10^{-26} \text{ m}^2/\text{s}$$

$$\text{Hopping frequency (1/s)} = 1.0 \cdot 10^{13}$$

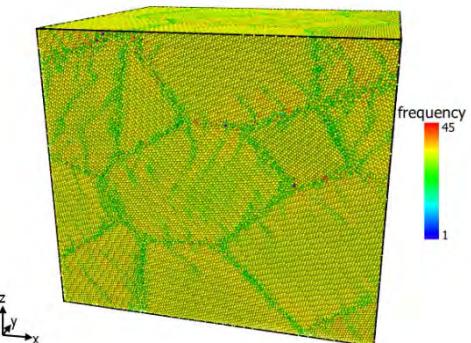
$$\text{Barrier energy (eV)} = 1.1542$$

Uniaxial test - strain rate: $1 \cdot 10^{10} \text{ 1/sec}$

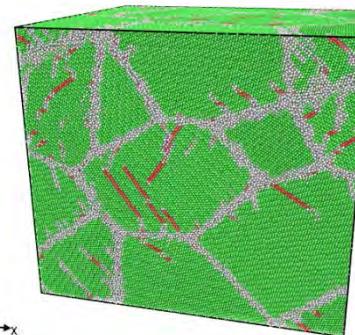


Frequency

$$\epsilon = 0.15$$



Dislocation analysis



Color	Structure	Count	Fraction
Grey	Other	426934	19.7%
Green	FCC	1675814	77.4%
Red	HCP	61698	2.9%
Blue	BCC	0	0.0%
Cyan	Cubic diamond	0	0.0%
Orange	Hexagonal diamond	0	0.0%



Concluding remarks

- *Diffusive Molecular Dynamics* (DMD) provides a useful paradigm for describing slow/long term diffusion/transport phenomena with atomistic realism
- Non-equilibrium thermodynamics without all the thermal vibrations; mass transport without all the hops; atomistics without all the atoms...
- Work in progress: Microstructure evolution in alloys (work in collaboration with SINTEF/ Norway, NTNU, HZG...)



thank you

A word cloud visualization of the phrase "thank you" in various languages, centered around the English word "thank you". The size of each word indicates its frequency or importance across the dataset. The words are color-coded by language family or origin.

The central word is "thank you". Other prominent words include "gracias" (Spanish), "merci" (French), "danke" (German), "teşekkür ederim" (Turkish), and "dank je" (Dutch). Smaller words include "спасибо" (Russian), "hvala" (Croatian), "dziekuje" (Polish), "obrigado" (Portuguese), "merci" (French), and "merci" (French).

