

Multiscale modeling of materials: (3) Discrete \rightarrow continuum

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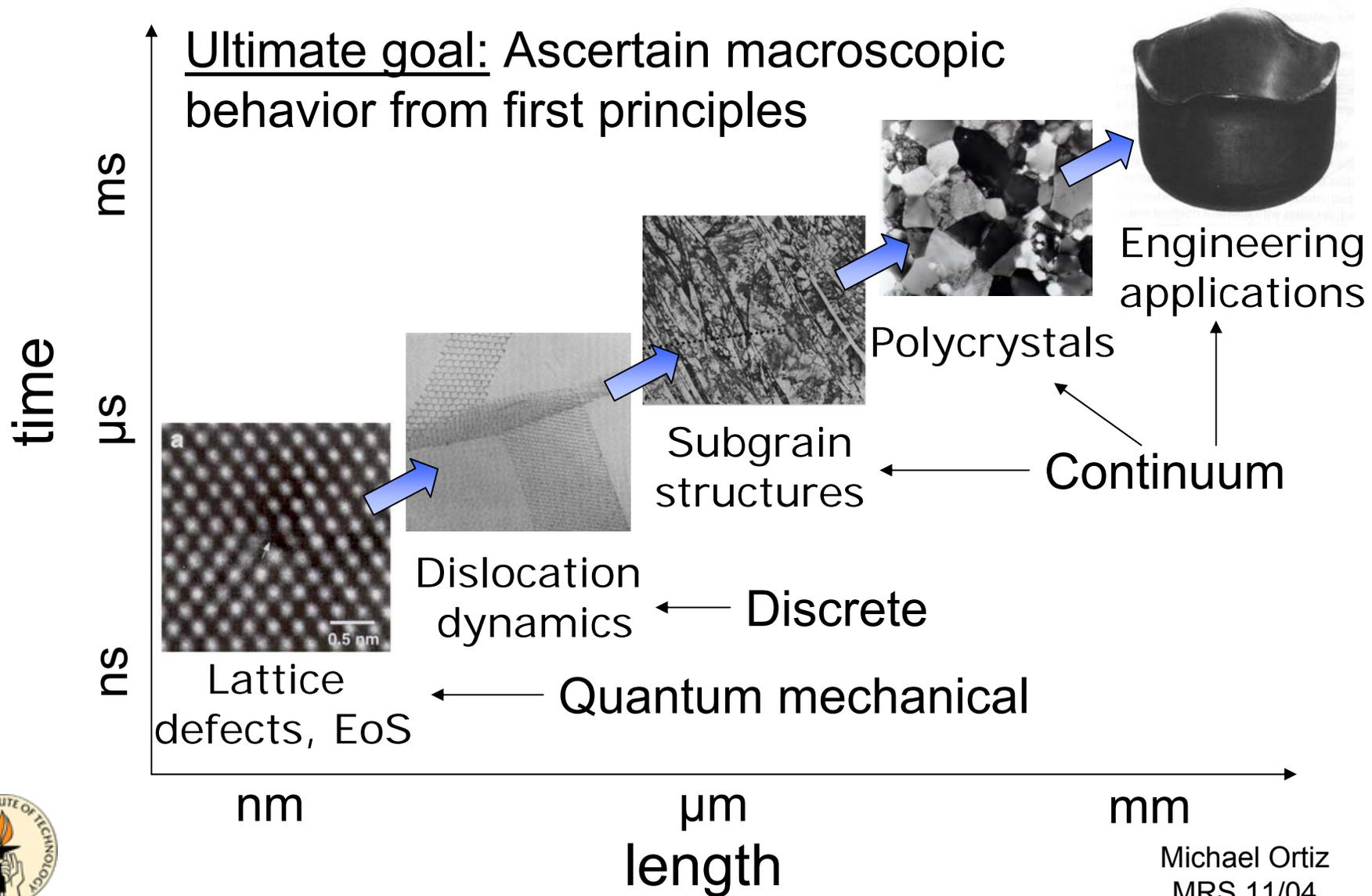
Scuola Normale di Pisa

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Metal plasticity – Multiscale hierarchy



The standard continuum model

- Standard model: $E(u, \gamma) =$

$$\int_{\Omega} \left(\underbrace{\frac{1}{2} |\epsilon(u) - \bar{\epsilon}^p(\gamma)|^2}_{\text{strain energy}} + \underbrace{W^p(\gamma)}_{\text{plastic work}} + \underbrace{(T/b) |\text{curl} \bar{\beta}^p(\gamma)|}_{\text{core energy}} \right) dx$$

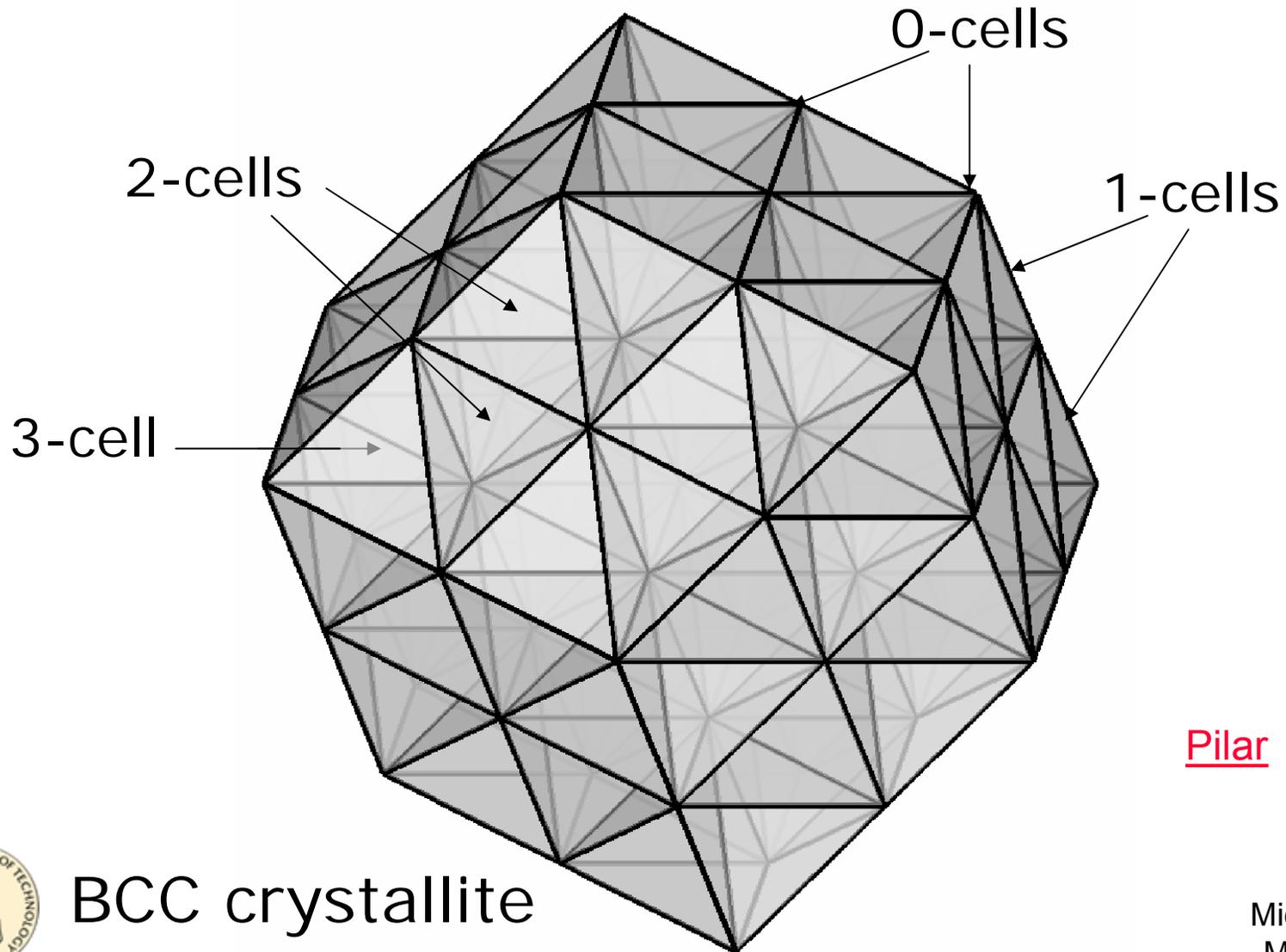
- Plastic work (infinite latent hardening):

$$W^p(\gamma) = \begin{cases} \tau_i |\gamma_i| & \text{if } \gamma_j = 0, \quad \forall j \neq i \\ \infty & \text{otherwise,} \end{cases}$$

- Core energy: $T/b \sim Gb \sim O(\epsilon)$
- **Question:** Is (elastic + core) energy a Γ -limit of a lattice model?



Crystals as discrete differential complexes



BCC crystallite

BCC lattice complex: 0-cells

- Indexing of 0-cell set:

$$E_0 = \{e_0(l), l \in \mathbb{Z}^3\}$$

$$\epsilon_1 = (1, 0, 0)$$

$$\epsilon_2 = (0, 1, 0)$$

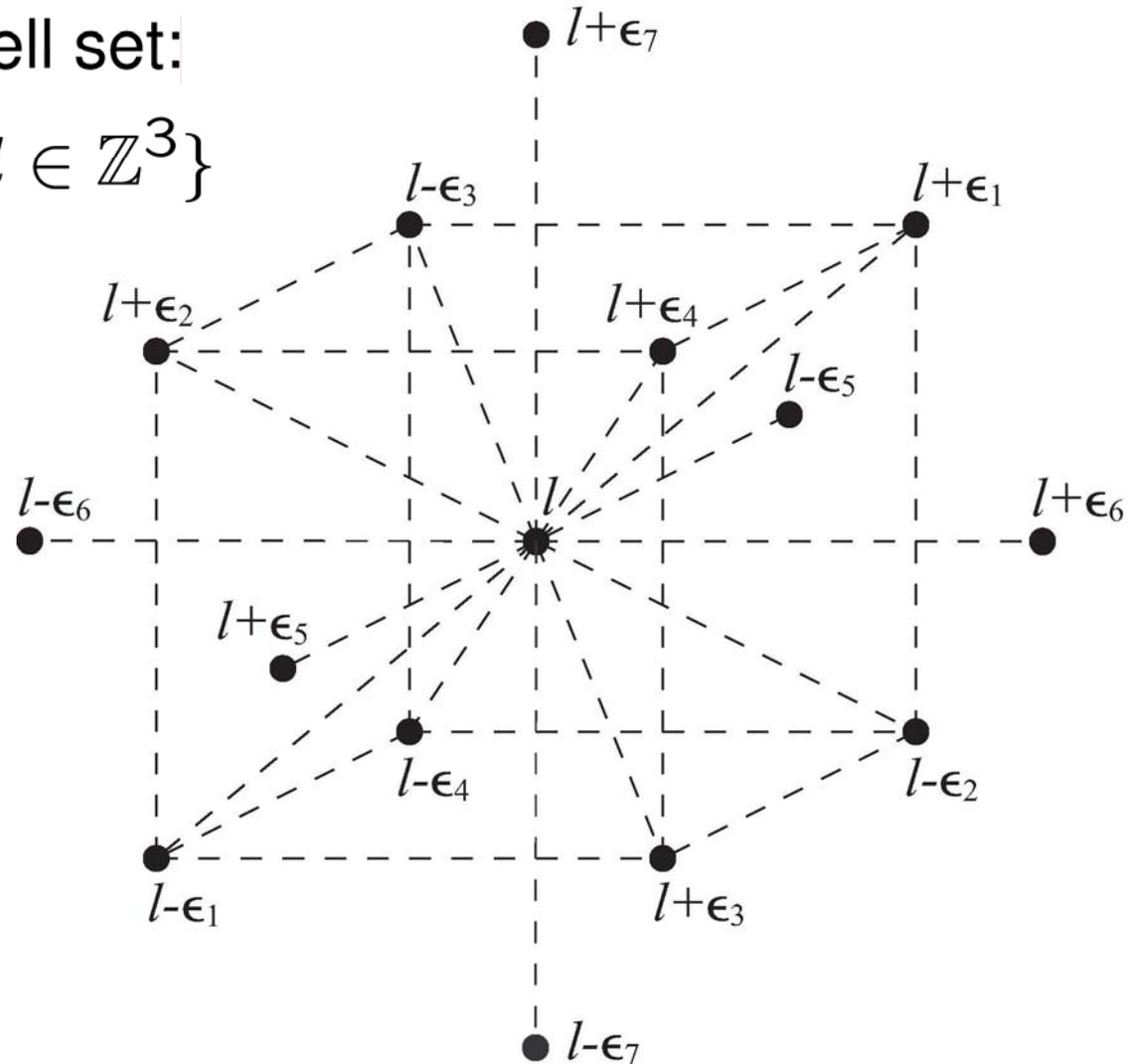
$$\epsilon_3 = (0, 0, 1)$$

$$\epsilon_4 = (1, 1, 1)$$

$$\epsilon_5 = (0, 1, 1)$$

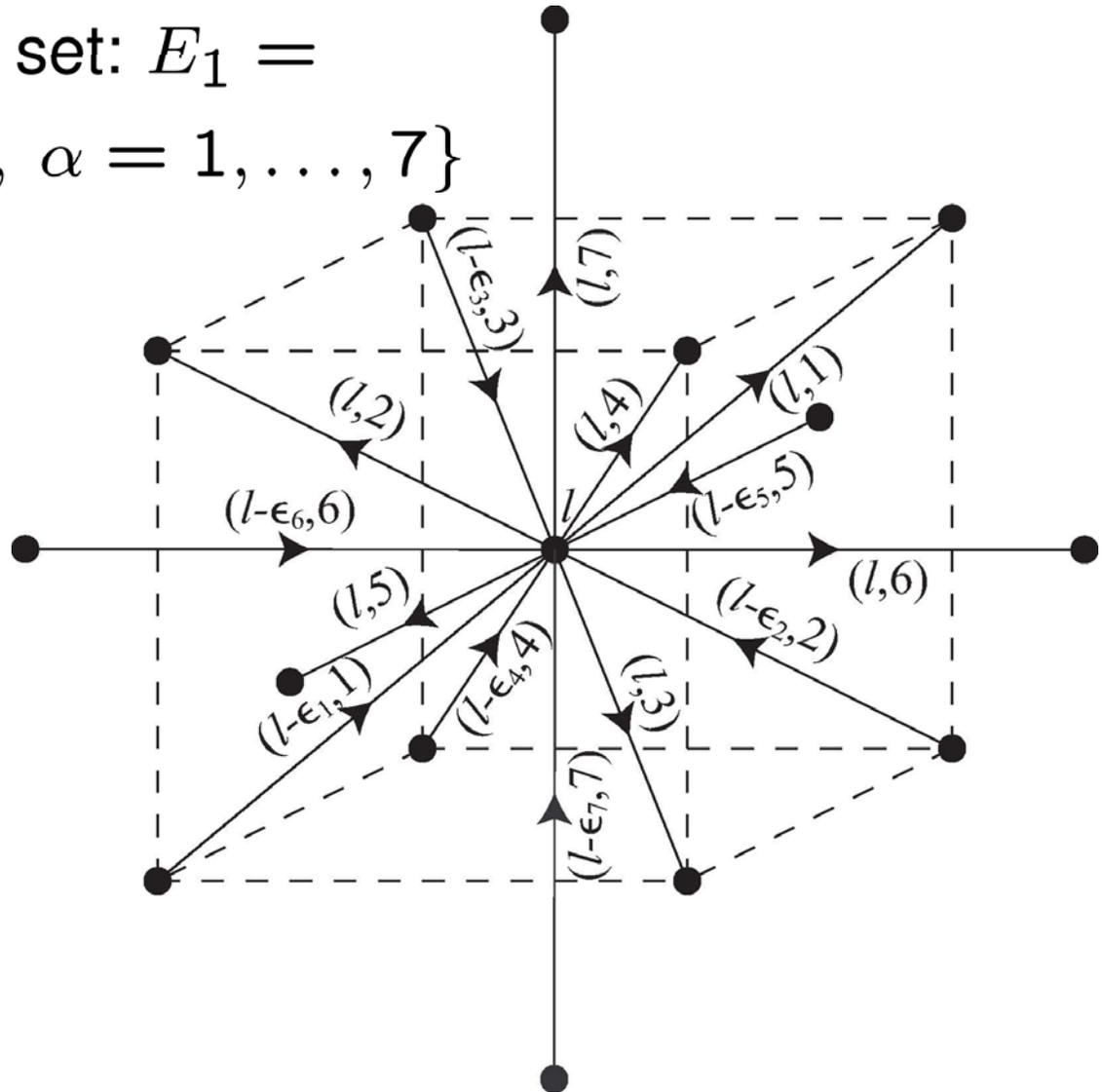
$$\epsilon_6 = (1, 0, 1)$$

$$\epsilon_7 = (1, 1, 0)$$



BCC lattice complex: 1-cells

- Indexing of 1-cell set: $E_1 = \{e_1(l, \alpha), l \in \mathbb{Z}^n, \alpha = 1, \dots, 7\}$
- 1-cell orientation



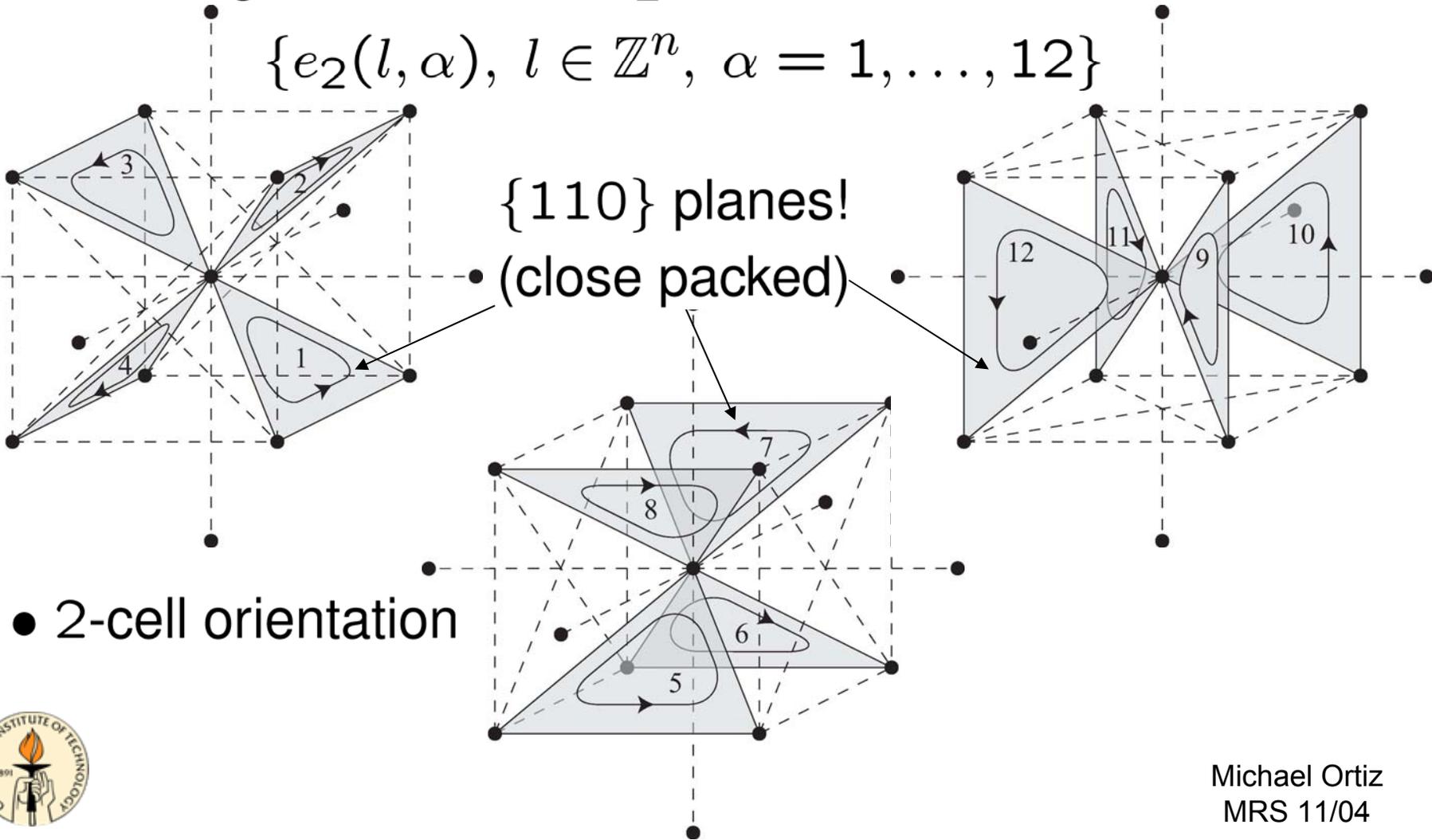
BCC lattice complex: 2-cells

- Indexing of 2-cell set: $E_2 =$

$$\{e_2(l, \alpha), l \in \mathbb{Z}^n, \alpha = 1, \dots, 12\}$$

{110} planes!
(close packed)

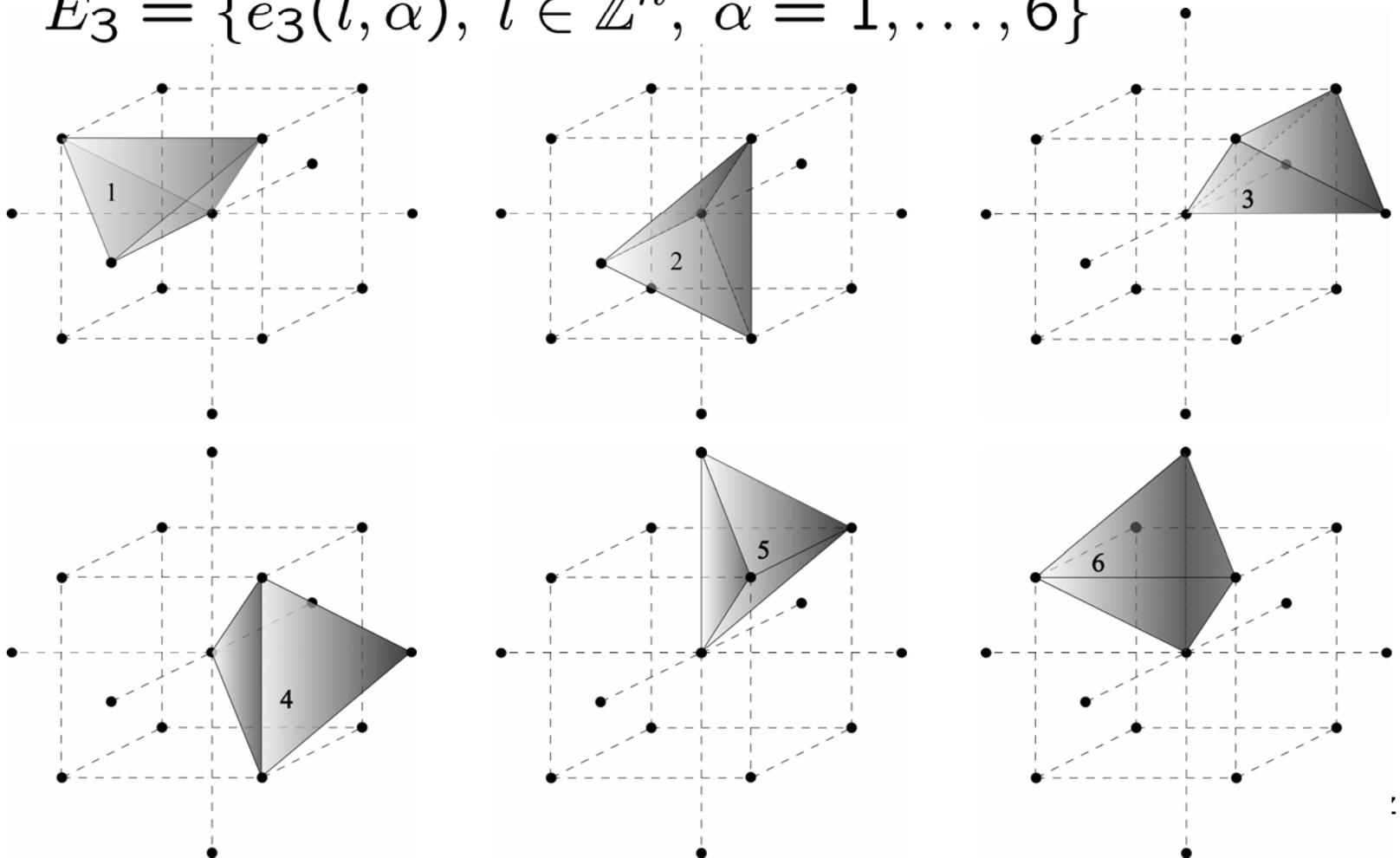
- 2-cell orientation



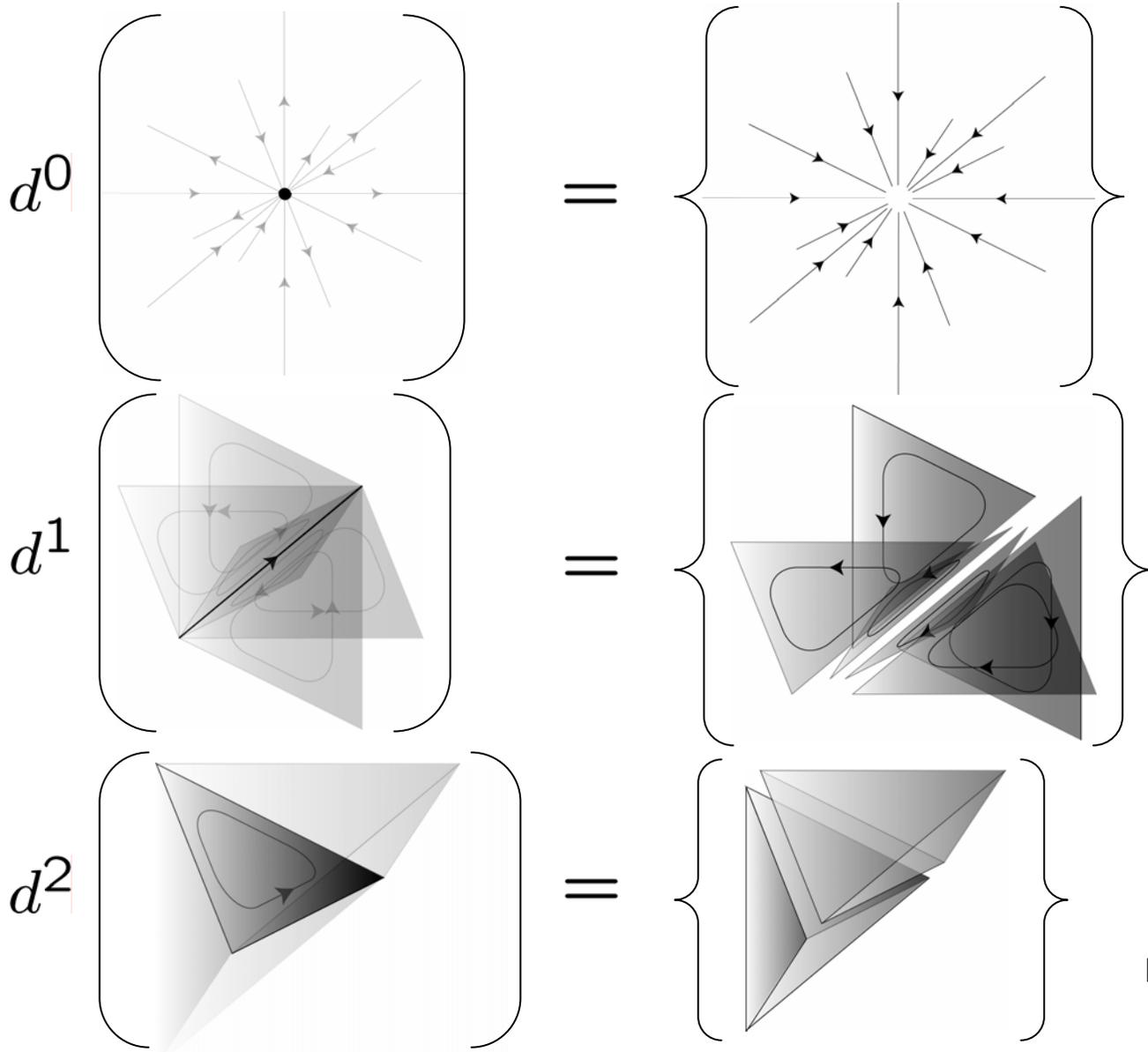
BCC lattice: Lattice complex

- Indexing of 3-cell set (+ outward orientation):

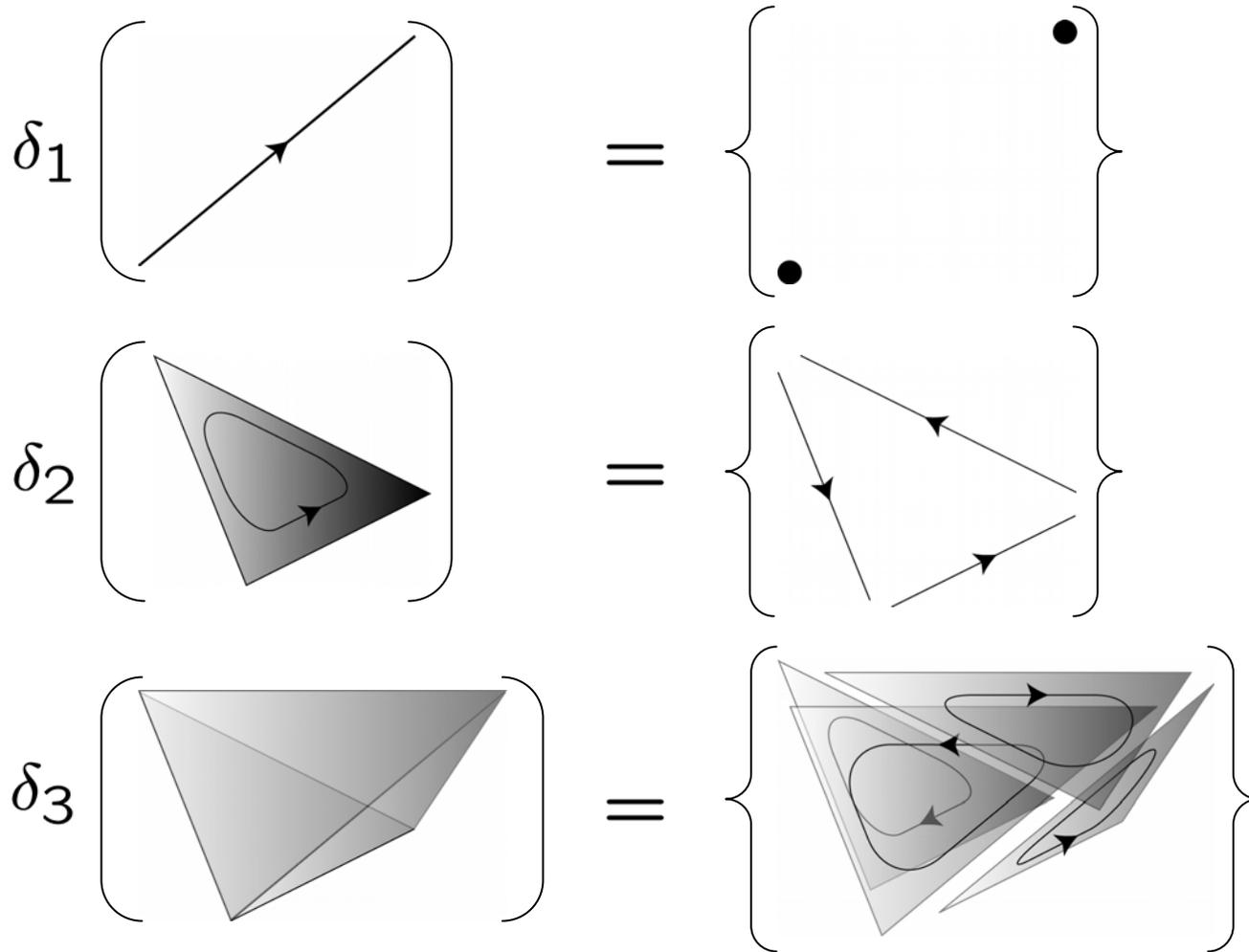
$$E_3 = \{e_3(l, \alpha), l \in \mathbb{Z}^n, \alpha = 1, \dots, 6\}$$



BCC lattice – Differential operators



BCC lattice – Codifferential operators



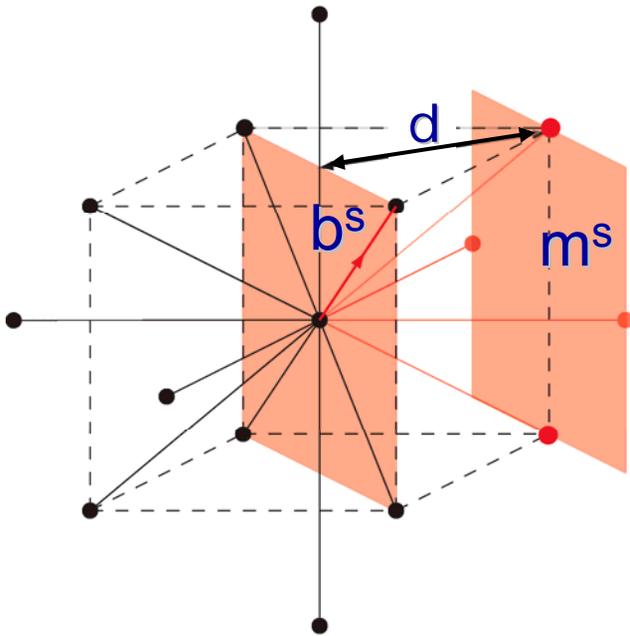
Differential calculus and integration

- Forms: $\Omega^p \ni \omega^p : E_p \rightarrow \mathbb{R}^m$
- $d^2 = 0, \delta^2 = 0 \Rightarrow \{\Omega^p, d^p\}, \{\Omega_p, \delta_p\} \equiv$
lattice differential complexes.
- $H^p \equiv \underbrace{\ker \delta^p}_{Z^p} / \underbrace{\text{im } \delta^{p-1}}_{B^p} \equiv p\text{th cohomology group}$
 $B^p \equiv$ group of p -coboundaries
 $Z^p \equiv$ group of p -cocycles
- BCC lattice: $H^p = 0 \Rightarrow$ discrete Poincare lemma
- Integral of a form: $\int_A \alpha = \langle \alpha, \chi_A \rangle$
- Discrete Stoke's theorem:

$$\langle d\omega, \chi_A \rangle = \langle \omega, \partial\chi_A \rangle \iff \int_A d\omega = \int_{\partial A} \omega$$



Discrete crystal plasticity



- Displacements: $u : E_0 \rightarrow \mathbb{R}^3$

- Slip fields: $\xi : E_1 \rightarrow \mathbb{Z}^N$

integer-valued!

- Eigendeformations:

$$\beta(e_1) = \sum_{s=1}^N \xi^s(e_1) b^s \underbrace{\frac{dx(e_1) \cdot m^s}{d}}_{\text{lattice-preserving shears}}$$

lattice-preserving shears

- Elastic energy:

$$E(u, \xi) = \frac{1}{2} \langle \underbrace{\Psi}_{\text{force constants}} * (du - \beta), (du - \beta) \rangle$$

force constants



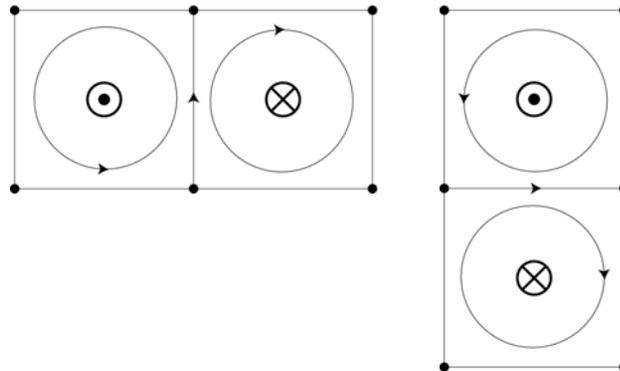
Discrete dislocations

- Discrete dislocation density:

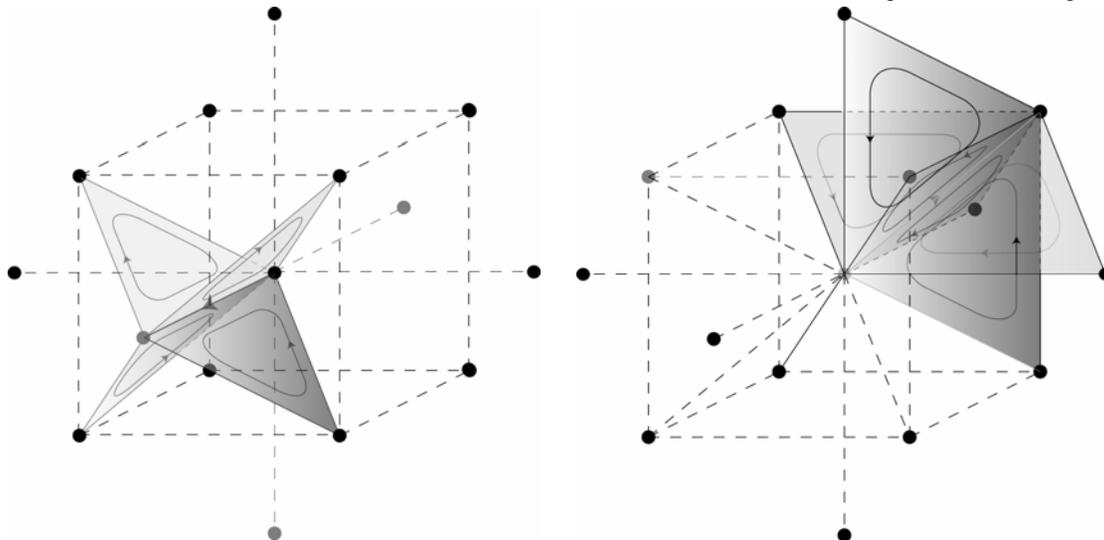
$$Z^2 = B^2 \ni \alpha = d\beta$$

- Conservation of Burgers vector: $d\alpha = 0$

- Square lattice:



- BCC lattice:



Elementary
(generating)
dislocation
loops and
Burgers
circuits



Continuum limit of harmonic lattices

- Discrete Fourier transform:

$$\hat{u}(k) = \Omega \sum_{l \in \mathbb{Z}^n} u(l) e^{-ik \cdot x(l)}$$

- $\text{supp}(\hat{u}) = B \equiv$ Brillouin zone of dual lattice.
- Define the functionals over $H^1(\mathbb{R}^n)$:

$$F_\epsilon(u) = \begin{cases} \frac{\epsilon^{n-2}}{2} \langle \Psi * du, du \rangle & \text{if } \text{supp}(\hat{u}) \subset B/\epsilon \\ +\infty & \text{otherwise} \end{cases}$$

$$F_0(u) = \int_{\mathbb{R}^n} \frac{1}{2} C_{ijkl} u_{i,j} u_{k,l} dx \equiv \text{linear elasticity}$$

Theorem. $\Gamma\text{-}\lim_{\epsilon \rightarrow 0} F_\epsilon = F_0$ weakly in $H^1(\mathbb{R}^n)$.



Continuum limit of stored energy – 2D

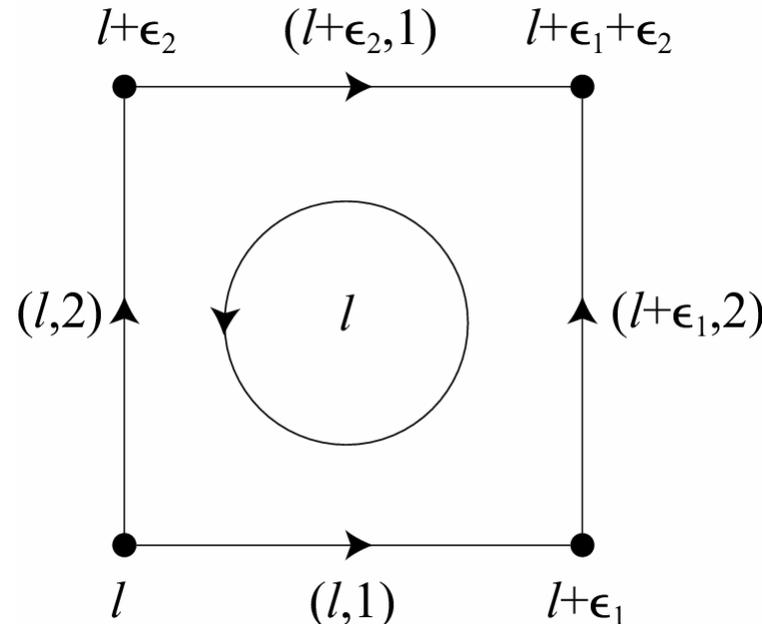
- Nearest-neighbor interactions:

$$E(u) = \int_{E_1} \frac{\mu a}{2} |du(e_1)|^2$$

- Stored energy:

$$E(\alpha) = \frac{\mu b^2}{2} \langle \Delta^{-1} \alpha, \alpha \rangle =$$

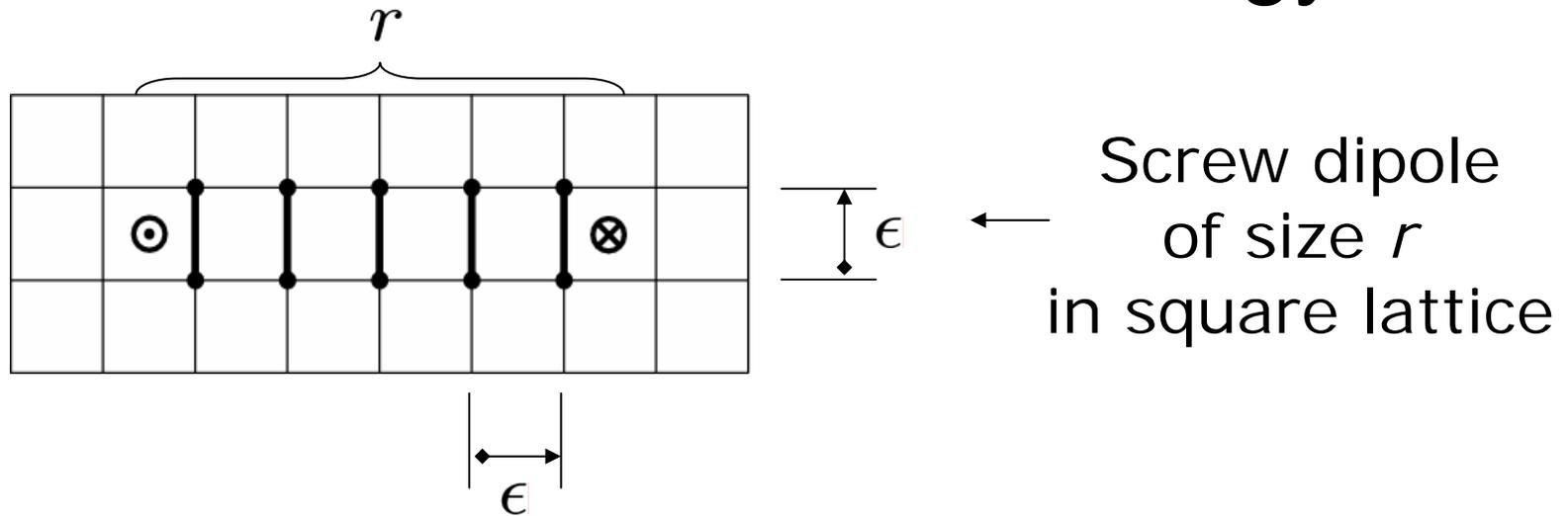
$$\int_{-\pi}^{\pi} \int_{-\pi}^{\pi} \frac{\mu b^2}{2} \frac{|\hat{\alpha}(\theta)|^2}{\sin^2 \frac{\theta_1}{2} + \sin^2 \frac{\theta_2}{2}} \frac{d\theta_1 d\theta_2}{(2\pi)^2}$$



Square lattice
complex



Continuum limit of stored energy – 2D



- Dipole energy, $\epsilon \rightarrow 0$, r fixed:

$$E_\epsilon \sim \frac{G\epsilon^2}{2\pi} \left(\log \frac{r}{\epsilon} + C \right) = \frac{G\epsilon^2}{2\pi} \left(\log r + \log \frac{1}{\epsilon} + C \right)$$

- Limiting scaled energy: $\lim_{\epsilon \rightarrow 0} \frac{E_\epsilon}{\epsilon^2 \log(1/\epsilon)} = \frac{G}{2\pi}$

independent of $r!$ \Rightarrow scales with total dislocation mass



Continuum limit of stored energy – 2D

- Analysis of Marcello Ponsiglione:

- Dislocation measure: $\hat{\mu}(\alpha) := \sum_{Q \in \Omega_\varepsilon^2} \alpha(Q) \delta_{x(Q)}$,

- Space: $X \equiv \left\{ \mu \in \mathcal{M}(\Omega) : \mu = \sum_{i=1}^M z_i \delta_{x_i}, M \in \mathbb{N}, x_i \in \Omega, z_i \in \mathbb{Z} \right\}$.

- Space: $X_\varepsilon \equiv$ measures μ s. t. $\mu = \hat{\mu}(\alpha)$ for some discrete dislocation density on an ε -lattice

- Scaling: $\mathcal{F}_\varepsilon^d(\mu) := \begin{cases} \frac{1}{|\log \varepsilon|} \mathcal{E}_\varepsilon(\tilde{\alpha}(\mu)) & \text{if } \mu \in X_\varepsilon; \\ +\infty & \text{in } X \setminus X_\varepsilon. \end{cases}$

- Limiting energy: $\mathcal{F}(\mu) := \frac{1}{2\pi} |\mu|(\Omega)$



Continuum limit of stored energy – 2D

- Analysis of Marcello Ponsiglione:

Theorem 3.4. *The following Γ -convergence result holds.*

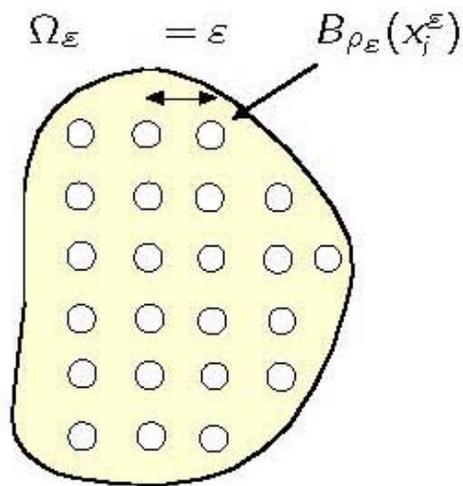
- Equi-coercivity. Let $\varepsilon_n \rightarrow 0$, and let $\{\mu_n\}$ be a sequence in X such that $\mathcal{F}_{\varepsilon_n}^d(\mu_n) \leq E$ for some positive constant E independent of n . Then (up to a subsequence) $\mu_n \xrightarrow{f} \mu$ for some $\mu \in X$.*
- Γ -convergence. The functionals $\mathcal{F}_{\varepsilon_n}^d$ Γ -converge to \mathcal{F} as $\varepsilon_n \rightarrow 0$ with respect to the flat norm, i.e., the following inequalities hold.*
 - Γ -liminf inequality: $\mathcal{F}(\mu) \leq \liminf \mathcal{F}_{\varepsilon_n}^d(\mu_n)$ for every $\mu \in X$, $\mu_n \xrightarrow{f} \mu$ in X .*
 - Γ -limsup inequality: given $\mu \in X$, there exists $\{\mu_n\} \subset X$ with $\mu_n \xrightarrow{f} \mu$ such that $\limsup \mathcal{F}_{\varepsilon_n}^d(\mu_n) \leq \mathcal{F}(\mu)$.*



Continuum limit of stored energy – 2D

- Approach of A. Garroni and G. Leone:

Fix $\varepsilon > 0$ and $\rho_\varepsilon \rightarrow 0$ as $\varepsilon \rightarrow 0$, and the points x_i such that



- $N_\varepsilon := \#\{x_i^\varepsilon\} \sim \frac{1}{\varepsilon^2}$
- $\text{dist}(x_i^\varepsilon, x_j^\varepsilon) \sim \varepsilon$ for $i \neq j$ (ε is the average distance)
- $\{x_i^\varepsilon\}$ are “uniformly distributed”

For simplicity we assume that the points x_i^ε are **periodically distributed** (on a lattice of period ε)



Continuum limit of stored energy – 2D

- Approach of A. Garroni and G. Leone:

- Energy: $F_\varepsilon(\mathbf{H}, \mathbf{b}) := \int_{\Omega_\varepsilon} \mathbf{C}(E(\mathbf{H}))E(\mathbf{H}) \, dx$

- Space: $(\mathbf{H}, \mathbf{b}) \in X_\varepsilon$

$$= \left\{ L^2(\Omega_\varepsilon, \mathbb{R}^{2 \times 2}) \times PC_\varepsilon(\Omega, S) : \text{“Curl } \mathbf{H} = \sum_i \mathbf{b}(x_i^\varepsilon) \delta_{x_i^\varepsilon} \text{”} \right\}$$

- $PC_\varepsilon(\Omega, S) \equiv$ functions piecewise constant on squares of size ε .



Continuum limit of stored energy – 2D

- Approach of A. Garroni and G. Leone:

- Regimes: $\lim_{\varepsilon \rightarrow 0} \varepsilon^2 |\log \rho_\varepsilon| = L$

- Subcritical: $L = +\infty$

Very diluted regimes \longrightarrow only self interaction

- Critical: $L \in (0, +\infty)$

Self interaction \sim Long range interaction

- Super critical: $L = 0$

Dense regime

- Scaling: $\mathcal{F}_\varepsilon(\mathbf{H}, \mathbf{b}) := \frac{\varepsilon^2}{|\log \rho_\varepsilon|} F_\varepsilon(\mathbf{H}, \mathbf{b})$



Continuum limit of stored energy – 2D

- Approach of A. Garroni and G. Leone:
- (“Compactness”) If $\mathcal{F}_\varepsilon(\mathbf{H}_\varepsilon, \mathbf{b}_\varepsilon) \leq C$ then, up to a subsequence, there exist $\mathbf{H} \in L^2(\Omega, \mathbb{R}^{2 \times 2})$ and $\mathbf{b} \in L^2(\Omega, \overline{\text{co}S})$ such that

$$\begin{cases} \varepsilon^2 \chi_{\Omega_\varepsilon} \mathbf{H}_\varepsilon \rightharpoonup \mathbf{H} \\ \mathbf{b}_\varepsilon \rightharpoonup \mathbf{b} \end{cases} \quad \text{in } L^2 \quad \text{and} \quad \text{Curl } \mathbf{H} = \mathbf{b}$$

- (Γ -convergence) \mathcal{F}_ε Γ -converges to

$$\mathcal{F}(\mathbf{H}) = \int_{\Omega} \varphi^{**}(\text{Curl } \mathbf{H}) \, dx + \frac{1}{L} \int_{\Omega} \mathbf{C}(E(\mathbf{H}))E(\mathbf{H}) \, dx$$

with the constraint $\text{Curl } \mathbf{H} \in L^2(\Omega, \overline{\text{co}S})$ where φ^{**} is the convex envelope of

$$\varphi(\mathbf{b}) = \begin{cases} \frac{\mu(\lambda+\mu)}{4\pi(\lambda+2\mu)} |\mathbf{b}|^2 & \text{if } \mathbf{b} \in S \\ +\infty & \text{otherwise} \end{cases}$$

Continuum limit of stored energy – 2D

- Approach of A. Garroni and G. Leone:

THE CASE $L = 0$

$$\mathcal{F}_L(\mathbf{H}) := L\mathcal{F}(L\mathbf{H}) = \int_{\Omega} \frac{1}{L} \varphi^{**}(L \operatorname{Curl} \mathbf{H}) \, dx + \int_{\Omega} \mathbf{C}(\mathbf{E}(\mathbf{H})) \mathbf{E}(\mathbf{H}) \, dx$$

Theorem 3. $\mathcal{F}_L(\mathbf{H})$ Γ -converges to

$$\mathcal{F}_0(\mathbf{H}) = \int_{\Omega} \varphi_0 \left(\frac{\operatorname{Curl} \mathbf{H}}{|\operatorname{Curl} \mathbf{H}|} \right) |\operatorname{Curl} \mathbf{H}| + \int_{\Omega} \mathbf{C}(\mathbf{E}(\mathbf{H})) \mathbf{E}(\mathbf{H}) \, dx$$

with $\mathbf{H} \in \{\mathbf{K} \in L^2 : \operatorname{Curl} \mathbf{K} \text{ is a measure with bounded variation}\}$ where φ_0 is the **1-homogeneous** function defined by

$$\varphi_0(\mathbf{b}) = \lim_{t \rightarrow 0} \frac{1}{t} \varphi(t\mathbf{b})$$

Note: φ_0 always exists when $\mathbf{0}$ is isolated in S .

Continuum limit of stored energy – 2D

- Approach of A. Garroni and G. Leone, examples:

1) If $S = S^1 \cup \{\mathbf{0}\}$, then

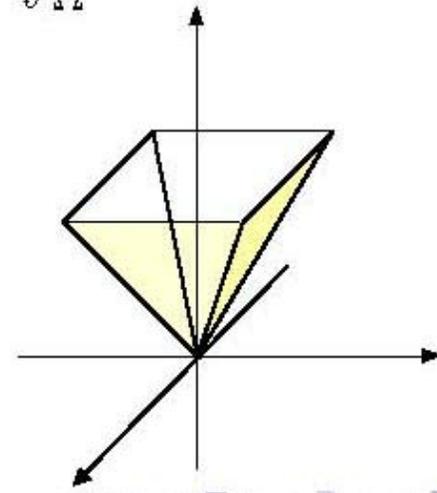
$$\mathcal{F}_0(\mathbf{H}) = \|\text{Curl } \mathbf{H}\| + \int_{\Omega} \mathbf{C}(\mathbf{E}(\mathbf{H}))\mathbf{E}(\mathbf{H}) \, dx$$

2) In the **cubic case**; i.e., $S = \{\mathbf{e}_1, \mathbf{e}_2, -\mathbf{e}_1, -\mathbf{e}_2, \mathbf{0}\}$ we get

$$\mathcal{F}_0(\mathbf{H}) = \int_{\Omega} \varphi_0 \left(\frac{\text{Curl } \mathbf{H}}{|\text{Curl } \mathbf{H}|} \right) |\text{Curl } \mathbf{H}| + \int_{\Omega} \mathbf{C}(\mathbf{E}(\mathbf{H}))\mathbf{E}(\mathbf{H}) \, dx$$

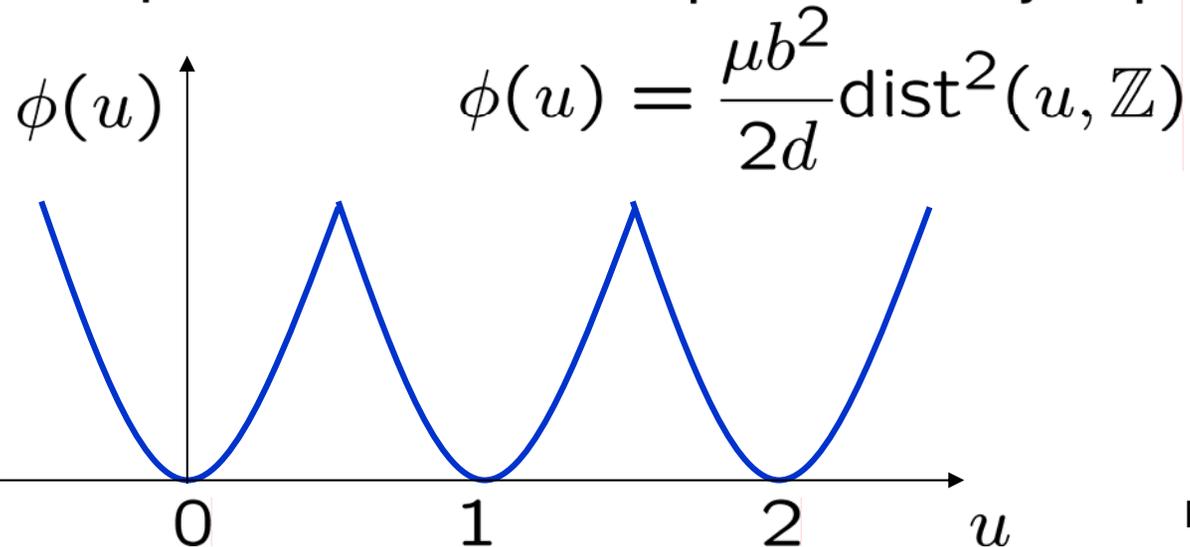
where

$$\varphi_0(\mathbf{b}) = \frac{\mu(\lambda + \mu)}{4\pi(\lambda + 2\mu)} (|b_1| + |b_2|)$$



Continuum limit of stored energy – 2.5D

- Consider the special case (Koslowski et al '02):
 - i) Activity on single slip system, single slip plane.
 - iii) Approximate lattice elasticity by continuum elasticity outside the slip plane.
 - iv) Peierls potential: $u \equiv$ displacement jump,



Continuum limit of stored energy – 2.5D

- Total energy: $E(u) =$

$$\underbrace{\int_{\mathbb{R}^2} \frac{\mu b^2}{2d} \text{dist}^2(u, \mathbb{Z}) dx}_{\text{Core energy}} + \underbrace{\frac{1}{(2\pi)^2} \int_{\mathbb{R}^2} \frac{\mu b^2}{4} K |\hat{u}|^2 dk}_{\text{Elastic energy}} - \underbrace{\int_{\mathbb{R}^2} b\tau u dx}_{\text{External}}$$

where
$$K = \frac{k_2^2}{\sqrt{k_1^2 + k_2^2}} + \frac{1}{1 - \nu} \frac{k_1^2}{\sqrt{k_1^2 + k_2^2}}$$

- Structure of the energy:

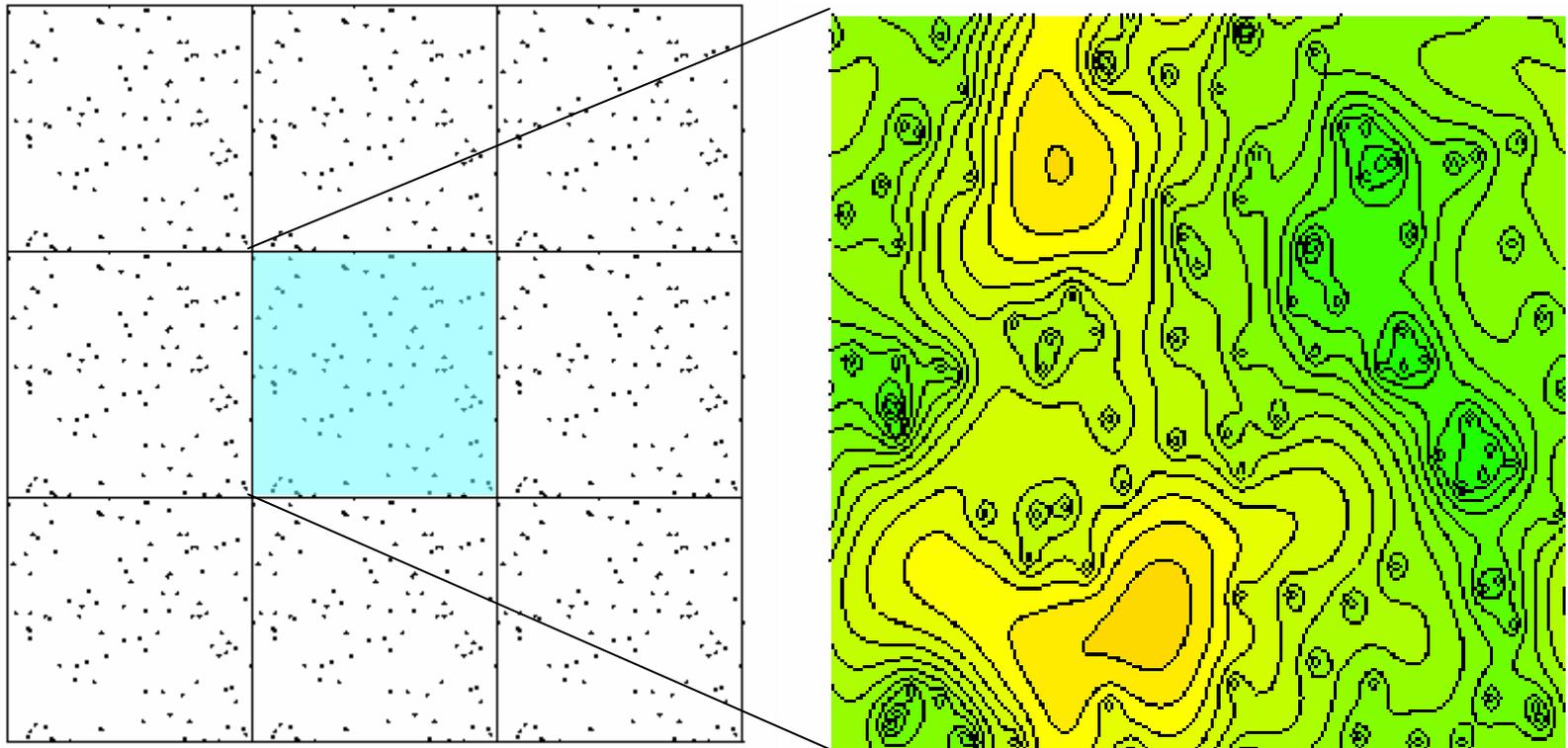
$$E_\epsilon(u) = \frac{1}{2\epsilon} \int_{\mathbb{R}^2} \text{dist}^2(u, \mathbb{Z}) dx + |u|_{H^{1/2}}^2 + \text{linear term}$$

(cf Alberti, Bouchitte and Seppecher '98)

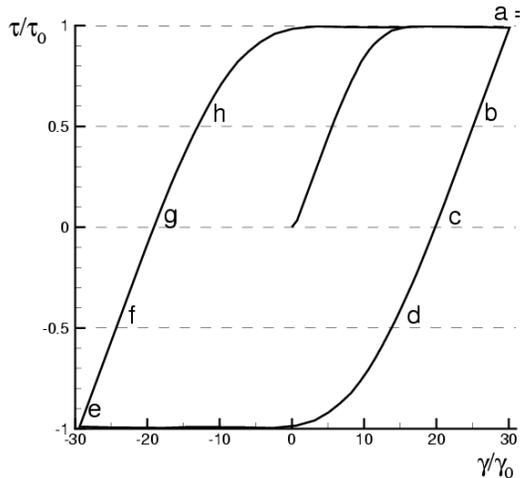


Dislocation-obstacle interaction

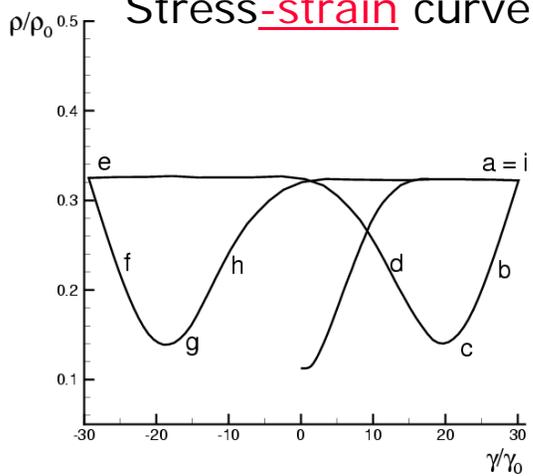
- Problem geometry: i) Periodic square cell.
ii) Random array of obstacles.



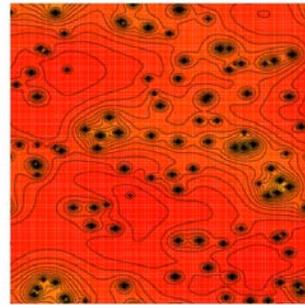
Dislocation-obstacle interaction



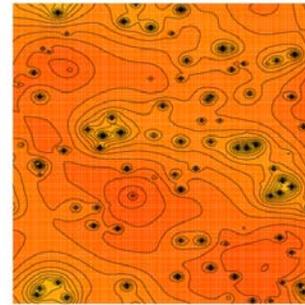
Stress-strain curve



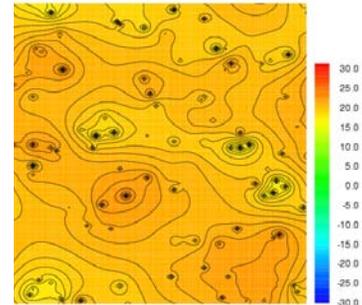
Dislocation density



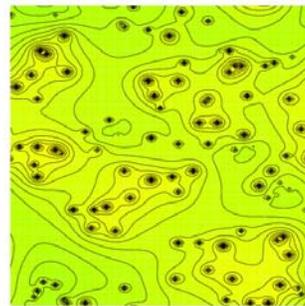
a



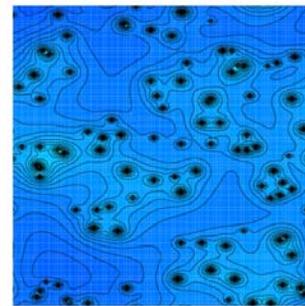
b



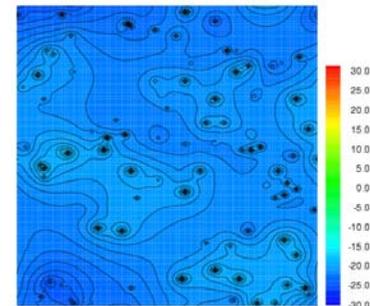
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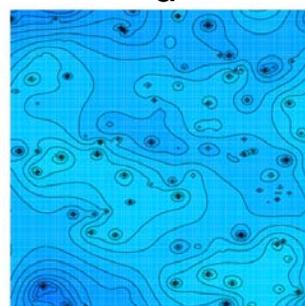
d



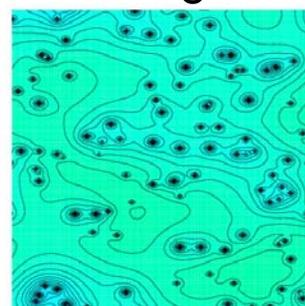
e



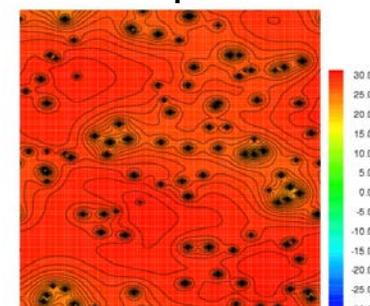
f



g



h



i

(Movie)

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MRS 11/04



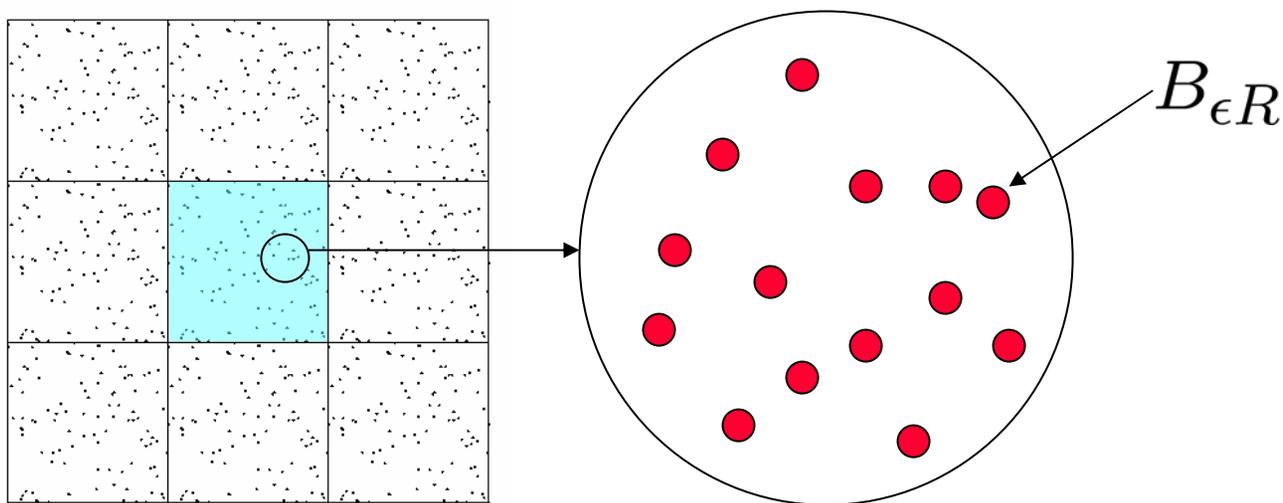
Γ -limit analysis – Impenetrable obstacles

- Energy (Garroni and Müller '03): $E_\epsilon(u) =$

$$\frac{1}{2\epsilon} \int_{T^2} \text{dist}^2(u, \mathbb{Z}) dx + \int_{T^2 \times T^2} K_\nu(x-y) |u(x) - u(y)|^2 dx dy$$

if $u \in H^{1/2}(T^2)$ and $u = 0$ on N_ϵ obstacles.

- Two regimes: i) $\epsilon N_\epsilon \rightarrow 1$; ii) $\epsilon N_\epsilon / \log(1/\epsilon) \rightarrow 1$.

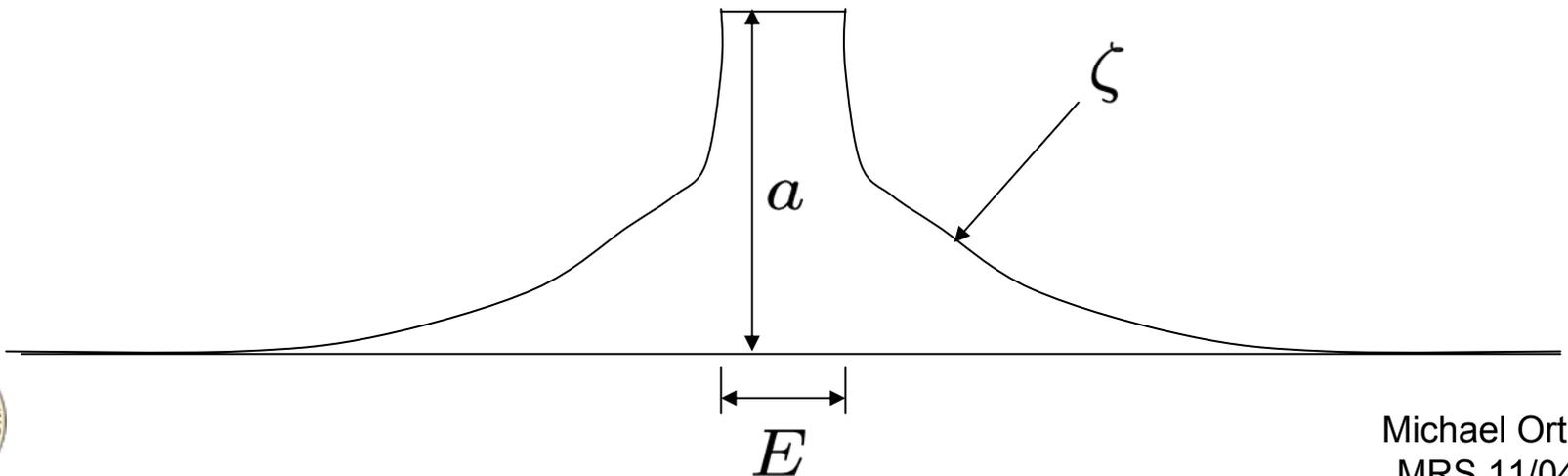


Γ -limit analysis – Impenetrable obstacles

- Dislocation capacity of an open set:

$$D_{\frac{1}{2}}^{\nu}(a, E) = \inf \left\{ \int_{\mathbb{R}^2} \text{dist}^2(\zeta, \mathbb{Z}) dx + \int_{\mathbb{R}^2 \times \mathbb{R}^2} \Gamma_{\nu}(x - y) |\zeta(x) - \zeta(y)|^2 dx dy \right\}$$

subject to $\zeta = a$ on E , $\zeta \in L^4(\mathbb{R}^2)$.



Γ -limit analysis – Impenetrable obstacles

Theorem (Garroni and Müller '03) *The scaled energy $F_\epsilon(u) = E_\epsilon(u)/N_\epsilon \in \Gamma$ -converges with respect to the strong L^2 topology to the functional:*

$$F(u) = \begin{cases} D_{\frac{1}{2}}^\nu(u, B_R), & \text{if } u = \text{constant} \in \mathbb{Z} \\ +\infty & \text{otherwise} \end{cases}$$

Theorem (Garroni and Müller '03) *The scaled energy $F_\epsilon(u) = E_\epsilon(u)/N_\epsilon \epsilon / \log(1/\epsilon)$ Γ -converges with respect to the strong L^2 topology to the functional:*

$$F(u) = \int \gamma(\nabla u / |\nabla u|) |\nabla u| dx + \int D_{\frac{1}{2}}^\nu(u, B_R) dx$$

if $u \in L^2(T^2, \mathbb{Z})$, $F(u) = +\infty$ otherwise.

