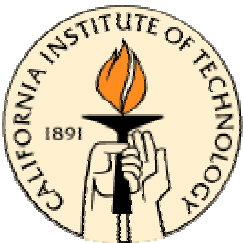


# An exactly solvable phase-field model of dislocation dynamics

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# Introduction

- **Objective:** Develop **analytically tractable** models of the cooperative behavior of large dislocation ensembles (energetics and kinetics):
  - *Yield phenomena*
  - *Hardening, hysteresis*
  - *Dislocation densities*
- **Phase field:** **Representational tool** for describing discrete crystallographic slip, dislocation-loop topology.
- Model is **exactly solvable**, implementaton is **gridless**, complexity governed by number of obstacles.
- **Dislocation dynamics** without all the dislocations



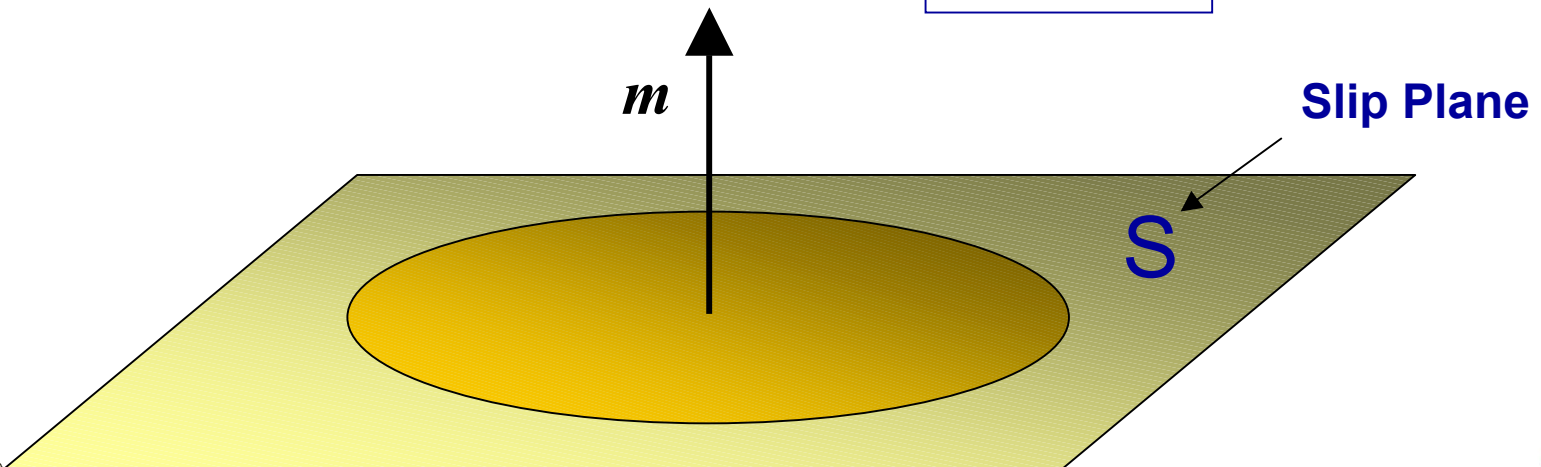
# Energetics

$$E = \int_S \phi(\delta) dS + \int \frac{1}{2} c_{ijkl} \beta_{ij}^e \beta_{kl}^e d^3x - \int_S t_i \delta_i dS$$

$$\equiv E^{\text{core}} + E^{\text{int}} + E^{\text{ext}}$$

where:  $u_{i,j} = \beta_{ij}^e + \beta_{ij}^p$ ,  $\beta_{ij}^p = \delta_i m_j \delta_S$

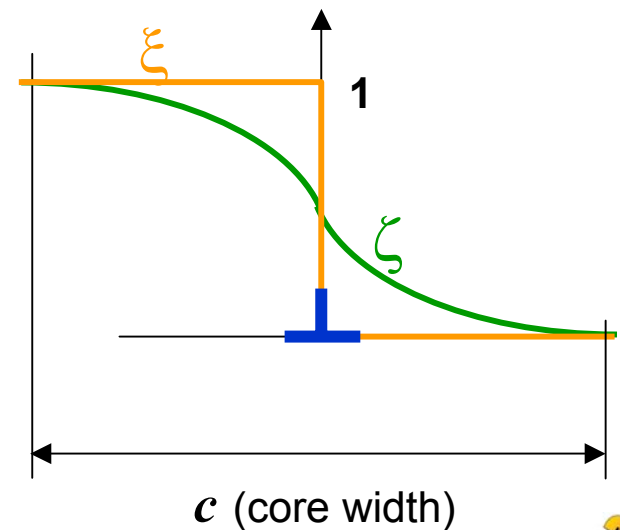
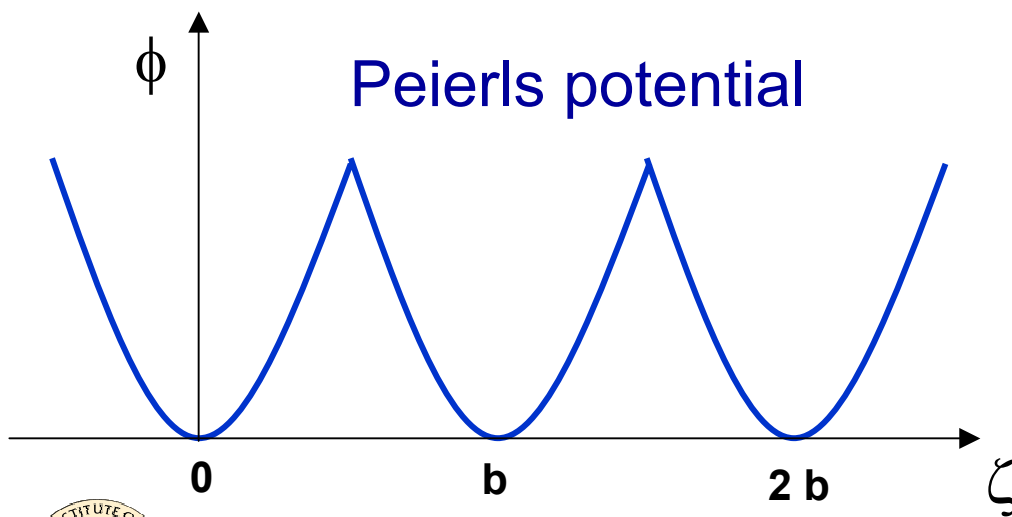
displacement jump across S:  $\delta_i = \llbracket u_i \rrbracket$



# Piecewise-quadratic Peierls potential

$$\phi(\delta) = \min_{\xi \in Z} \frac{1}{2} \frac{\mu b^2}{d} |\zeta - \xi|^2$$

Constraint slip assumption:  $\delta_i = \zeta b_i$ ,  $s = t_i b_i / b$   
 $\zeta$  = normalized slip field



# Variational problem

$$E[\zeta|\xi] = \underbrace{\int \frac{\mu b^2}{2d} |\zeta - \xi|^2 d^2x}_{\text{Core energy}} + \underbrace{\frac{1}{(2\pi)^2} \int \frac{\mu b^2}{4} K |\hat{\zeta}|^2 d^2k}_{\text{Elastic interaction}} - \underbrace{\int b s \zeta d^2x}_{\text{External field}}$$

Where:  $K = \frac{k_2^2}{\sqrt{k_1^2 + k_2^2}} + \frac{1}{1 - \nu} \frac{k_1^2}{\sqrt{k_1^2 + k_2^2}}$

$\xi$  = integer-valued phase field (slip in *quanta* of Burgers vector)

**Problem** (no friction, no obstacles):  $\inf_{\xi} \inf_{\zeta} E[\zeta|\xi]$

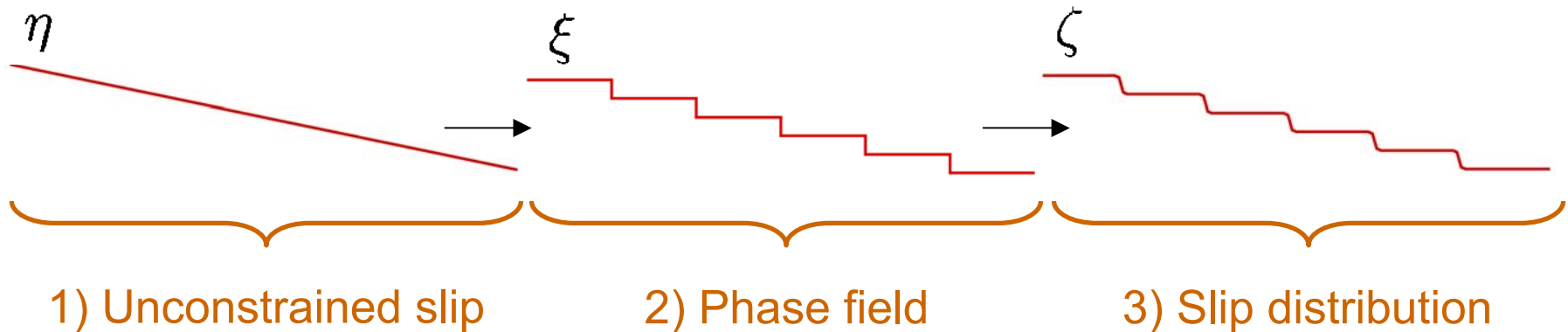
Problem is:

- Nonlocal (due to long-range elastic interactions)
- Nonconvex (due to multi-well Peierls potential)
- Nonlinear!



# General (exact) analytical solution

- Solution proceeds in three steps:



1. Unconstrained slip distribution:  $\hat{\eta} = \frac{2}{Kb} \frac{\hat{s}}{\mu} + 2\pi C \delta_D$

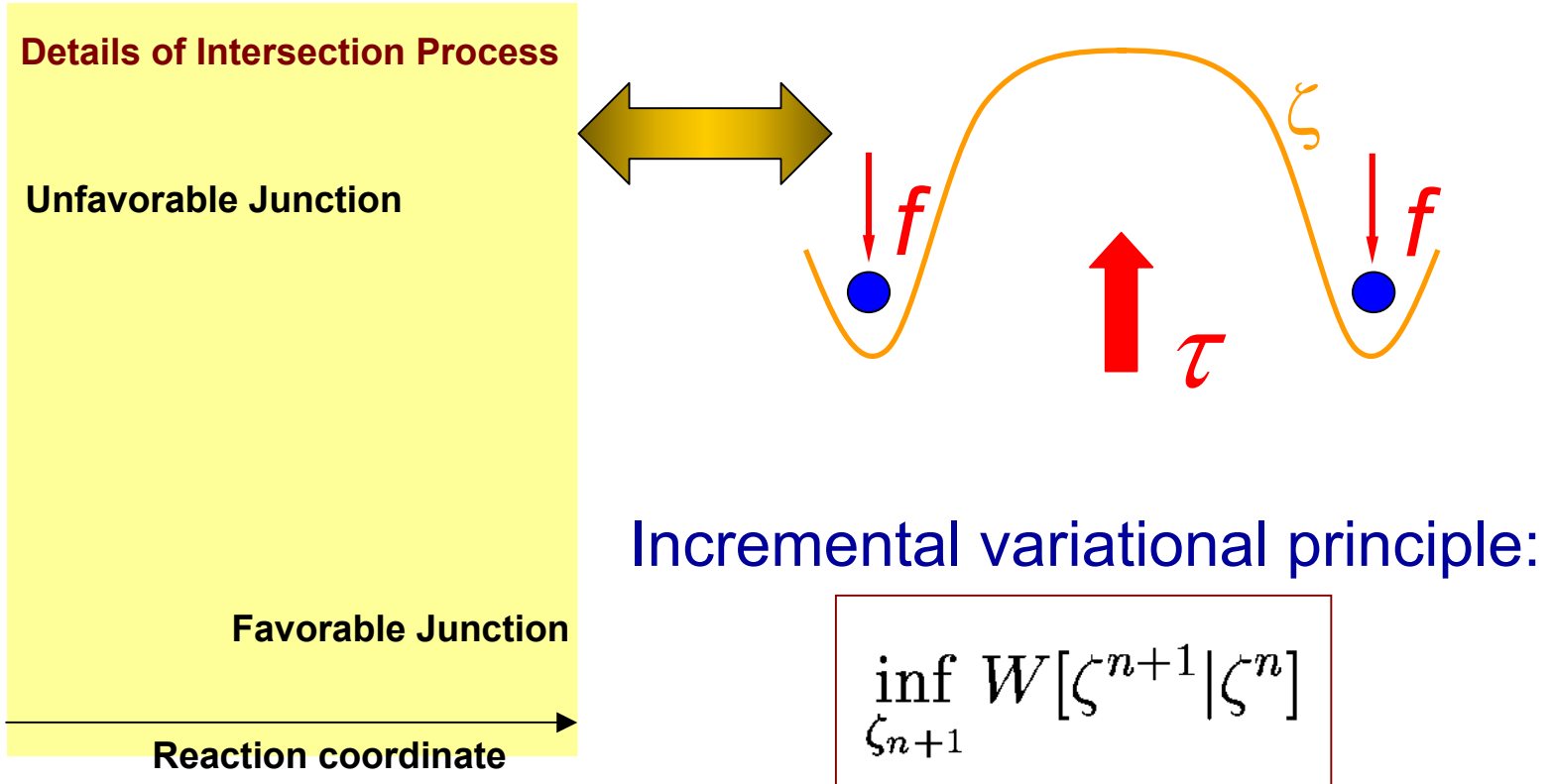
2. Phase field (Volterra dislocations):  $\xi(\mathbf{x}) = P_{\mathbb{Z}}\eta(\mathbf{x})$

3. Core-reguralized slip distribution:  $\hat{\zeta} = \frac{\xi + d\hat{s}/\mu b}{1 + Kd/2}$

Global equilibrium:  $\langle s \rangle = 0$



# Lattice friction, obstacle interaction



where: 
$$W[\zeta^{n+1}|\zeta^n] = E[\zeta^{n+1}] - E[\zeta^n] + \sum_{i=1}^N \frac{f_i |\zeta_i^{n+1} - \zeta_i^n|}{\zeta_i^{n+1} - \zeta_i^n}$$

Irreversibility, path dependency, hysteresis



# Solution procedure

1. Stick predictor. Set  $\tilde{\eta}_i^{n+1} = \eta_i^n$ , and compute the predictor reactions:

$$\tilde{g}_j^{n+1} = \sum_{i=1}^N G_{ji}^{-1} (C_{n+1} - \tilde{\eta}_i^{n+1})$$

2. Reaction projection. Project  $\tilde{g}_i^{n+1}$  onto admissible set:  $|g_i| \leq f_i$ .
3. Phase-field evaluation:  $\eta_i^{n+1} = \sum_{j=1}^N G_{ij} g_j^{n+1} + C_{n+1}$
4. Post-processing. Compute (analytically):

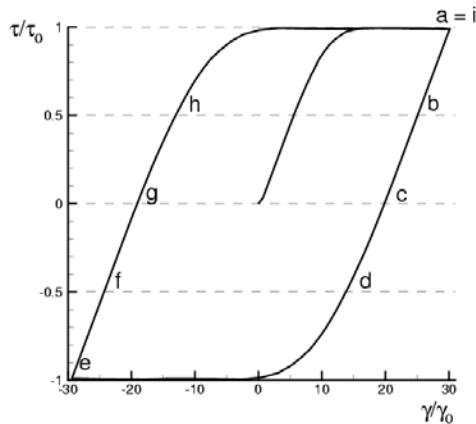
$$\gamma_{n+1} = \frac{b}{l} \langle \xi^{n+1} \rangle, \quad \tau_{n+1} = \sum_{i=1}^N g_i^{n+1}, \quad \rho_{n+1} = \frac{1}{l} \langle |\nabla \xi^{n+1}| \rangle$$

Calculations are gridless  
and scale with the number of obstacles

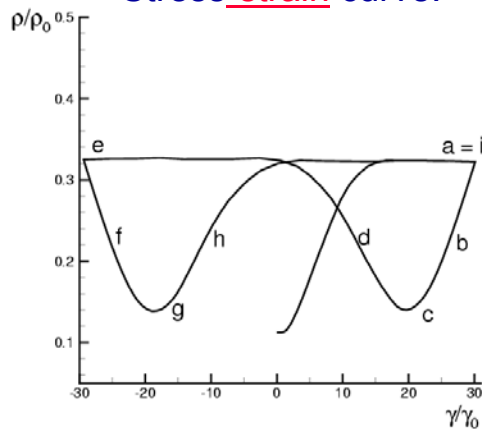




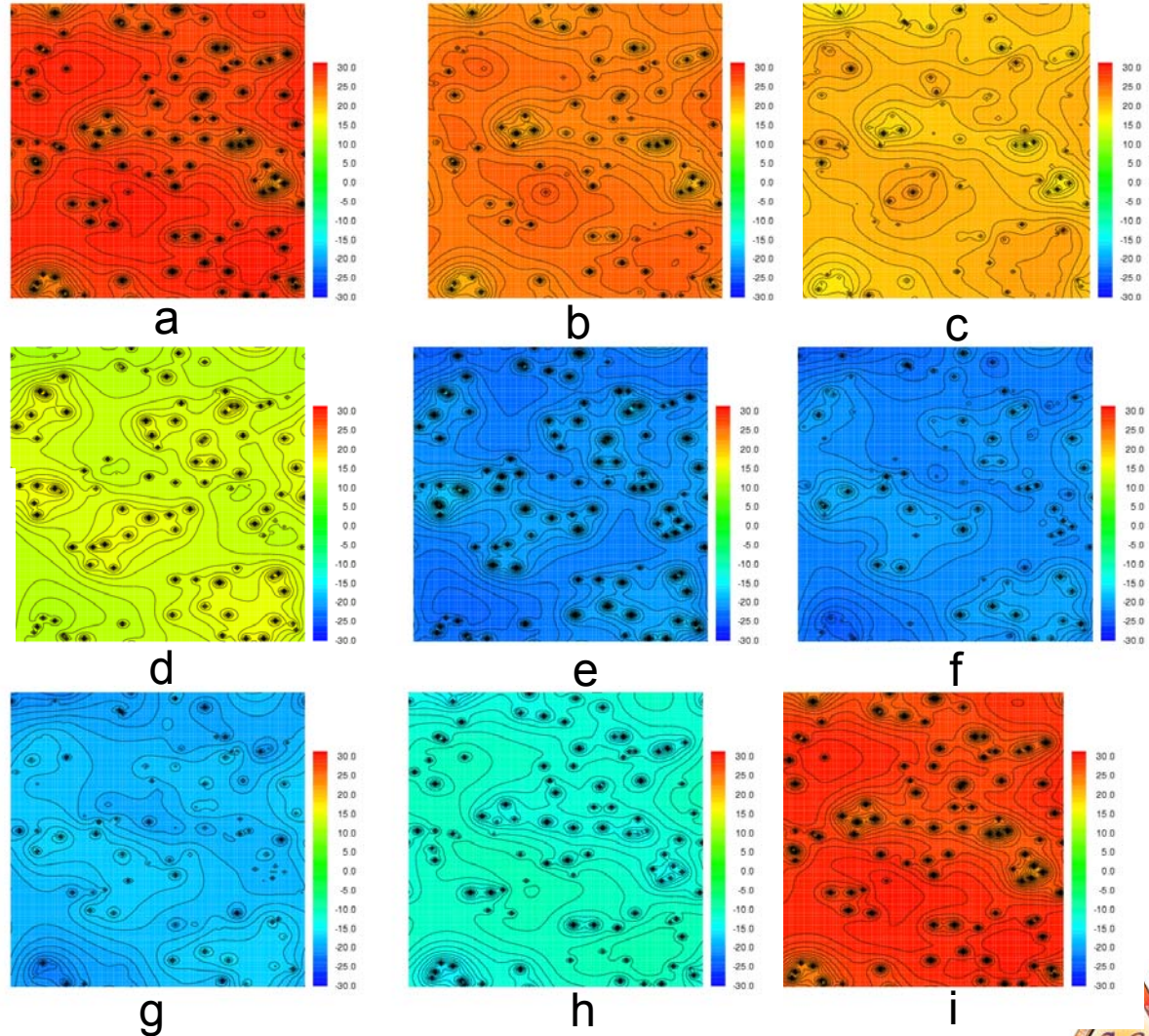
# Cyclic loading



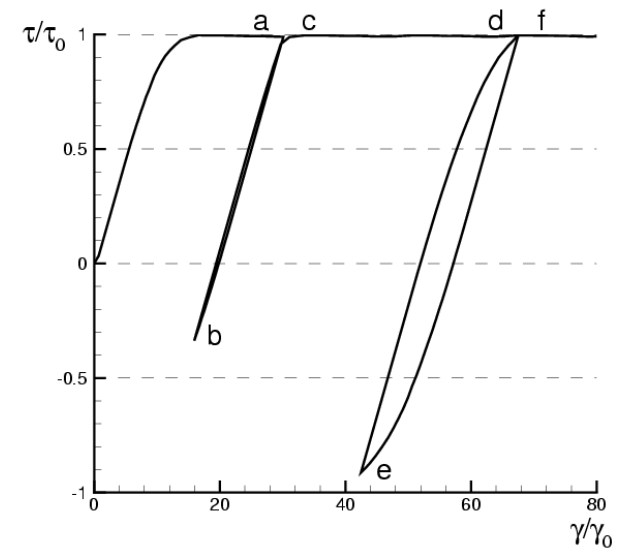
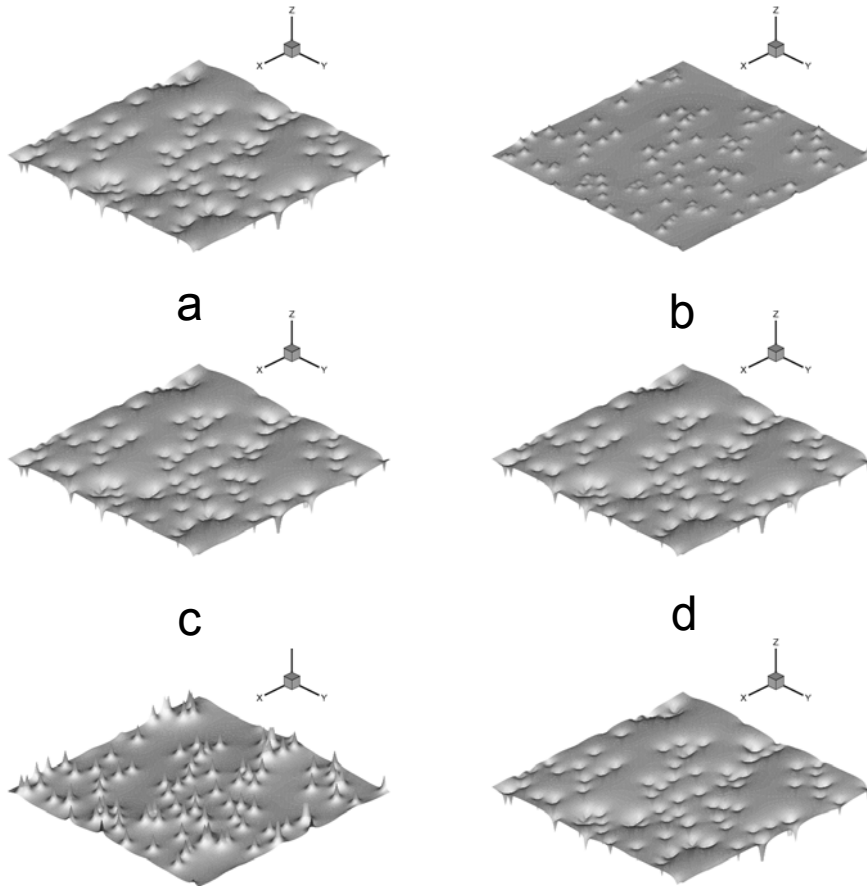
Stress-strain curve.



Evolution of dislocation density with strain.



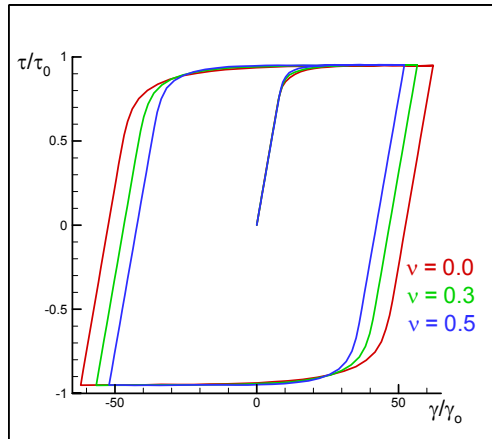
# Return-point and fading memory



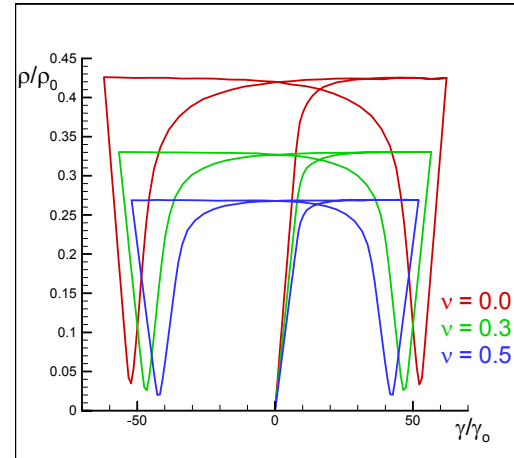
Stress-strain curve.

<sup>e</sup>  
Three dimensional view of the evolution of the  
slip-field, showing the the switching of the  
cusps.

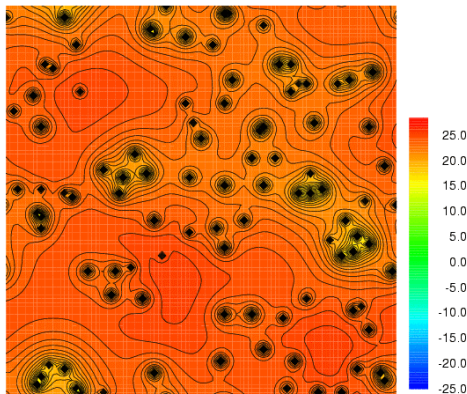
# Line-tension anisotropy



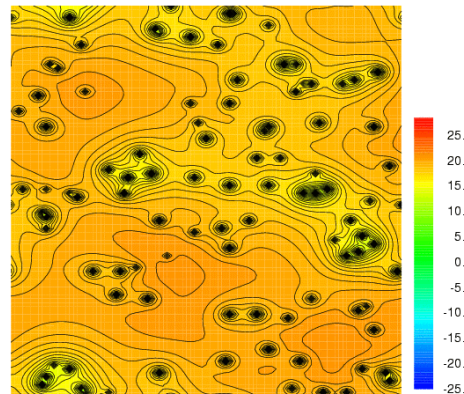
Stress-strain curve.



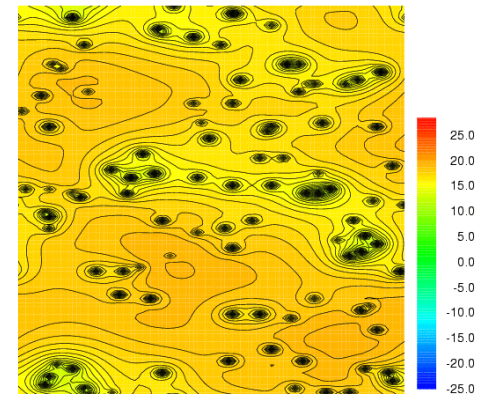
Dislocation density



$\nu = 0.0$



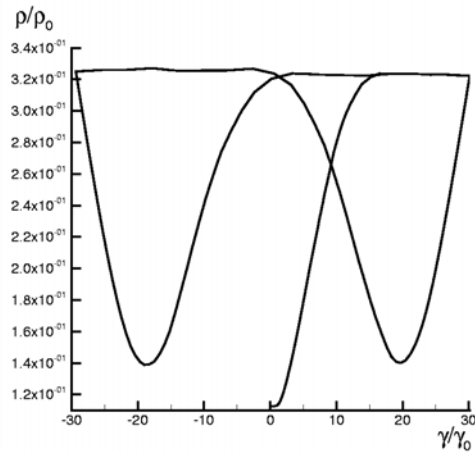
$\nu = 0.3$



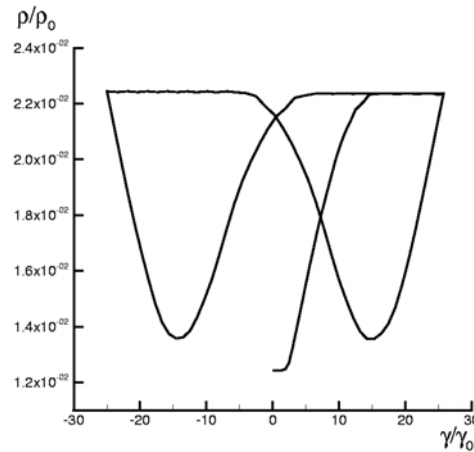
$\nu = 0.5$



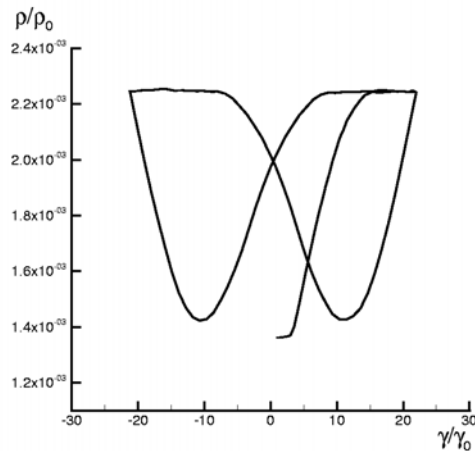
# Obstacle density, sample size



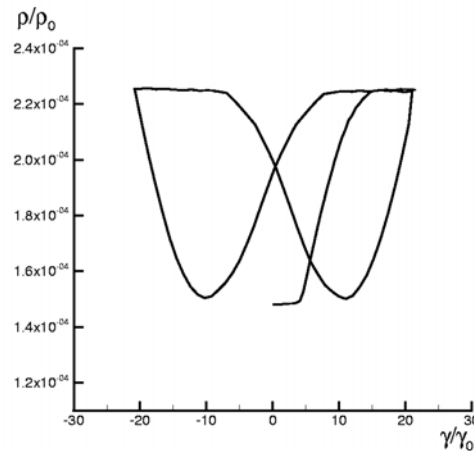
(a)  $cb^2 = 10^{-2}$



(b)  $cb^2 = 10^{-4}$



(c)  $cb^2 = 10^{-6}$



(d)  $cb^2 = 10^{-8}$



# Summary

- Phase-field representation provides an effective analytical tool for describing the behavior of large dislocation ensembles
- Present model accounts for:
  - *Core structure, anisotropic line tension*
  - *Long-range elastic interactions*
  - *Interaction with applied field*
  - *Lattice friction and irreversible interactions with obstacles*
- Theory predicts:
  - *Dislocation pattern evolution, Orowan loops*
  - *Cyclic stress-strain curve, Bauschinger effect*
  - *Evolution of dislocation density*
- Theory is **exactly solvable**, implementation is **gridless**, complexity governed by number of obstacles





# Future directions, challenges

- Full 3D implementation:
  - *Parallel array of slip planes per slip system*
  - *Multiple slip, coupling between slip systems*
  - *Cross slip*
- General (e.g., nonlocal) Peierls potentials
- Anisotropic Peierls stresses
- General dislocation mobility laws, finite temperature
- Statistics of obstacle distribution, strength
- Implementation as constitutive model in FE code
- Preprint: [www.solids.caltech.edu/~ortiz](http://www.solids.caltech.edu/~ortiz)

