

Fermionic spinon theory of square lattice spin liquids near the Néel state

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Harvard University

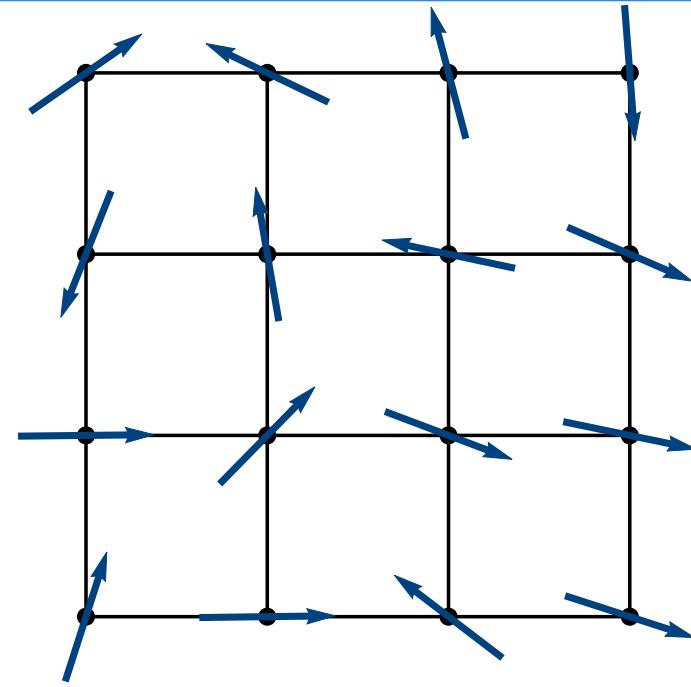
AT, Subir Sachdev, Phys. Rev. X 8, 011012 (2018)
arXiv:1708.04626

Aspen, March 2018

Square lattice antiferromagnet

$$H = J \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j + \dots$$

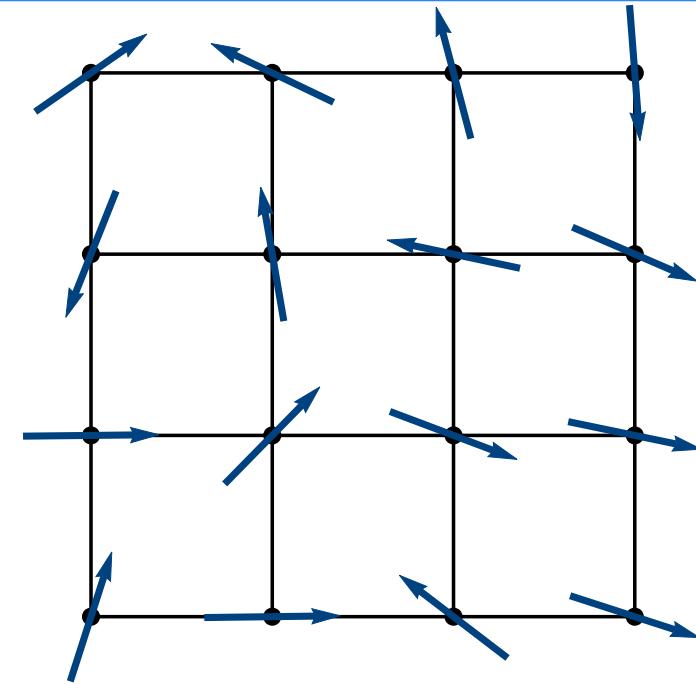
- ◆ Diverse phenomenology, such as:
 - ✧ symmetry broken states, *e.g.* Néel, VBS
 - ✧ topological phases, *e.g.* \mathbb{Z}_2 spin liquids
 - ✧ exotic (deconfined) quantum phase transitions



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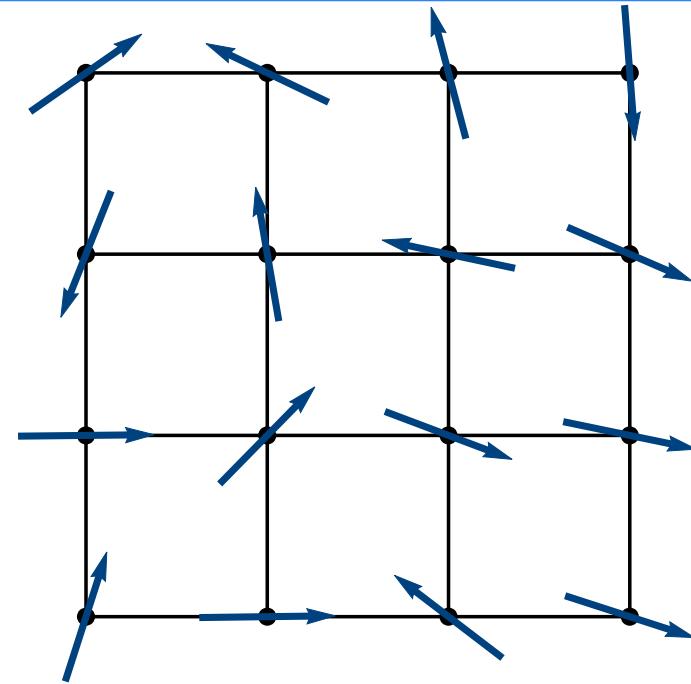
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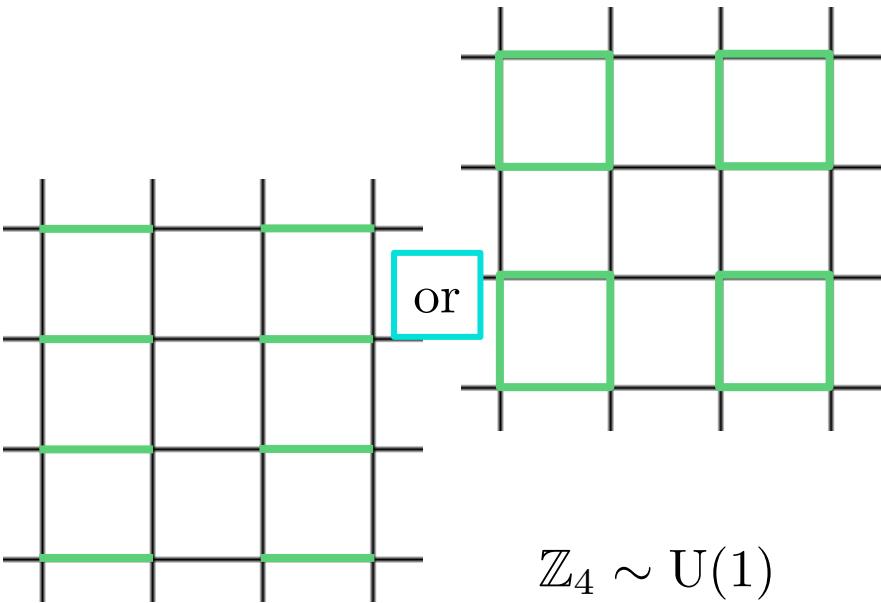
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- I will be using both of these ideas to obtain a better understanding of the Heisenberg model

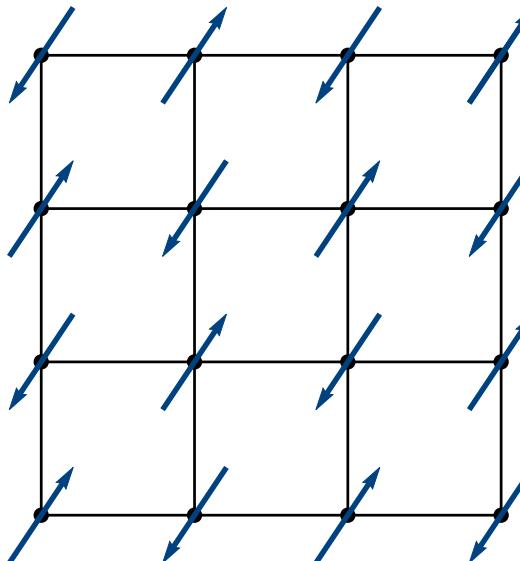


Review: deconfined criticality

VALENCE BOND SOLID



NÉEL



Senthil et al. (2004)

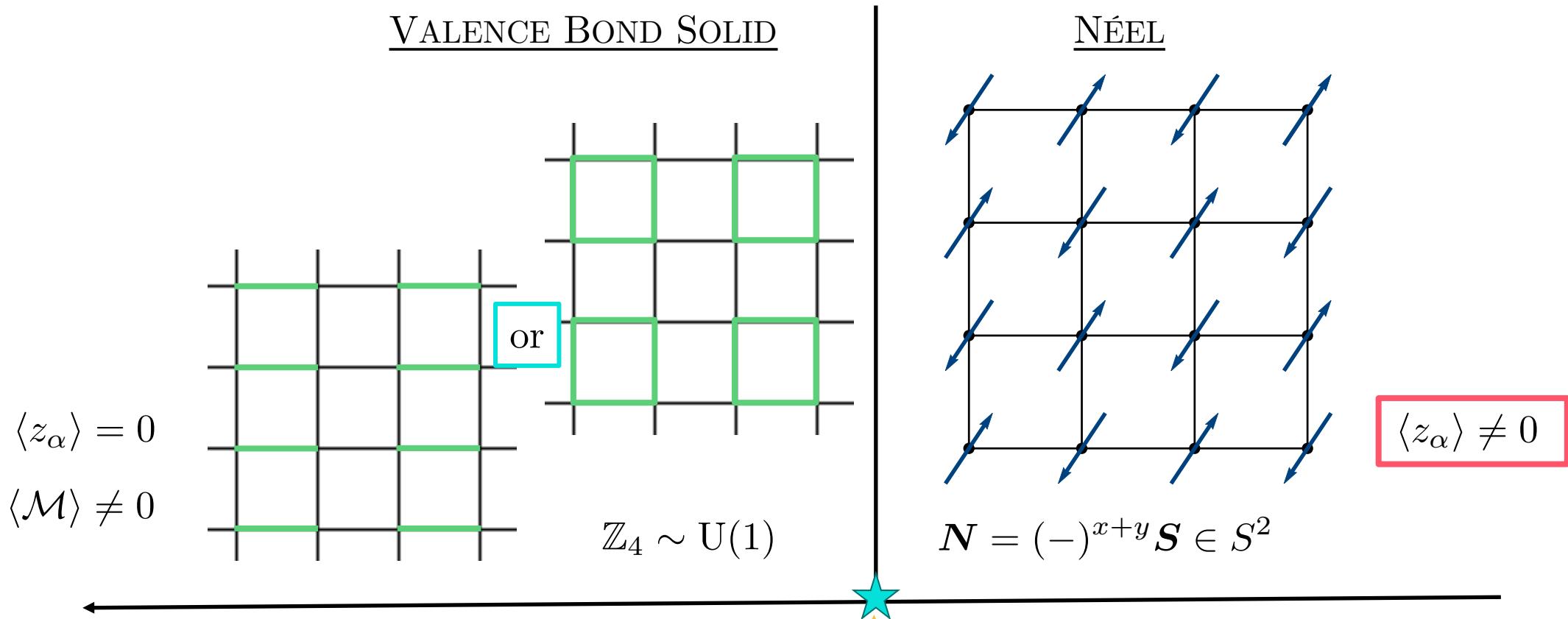
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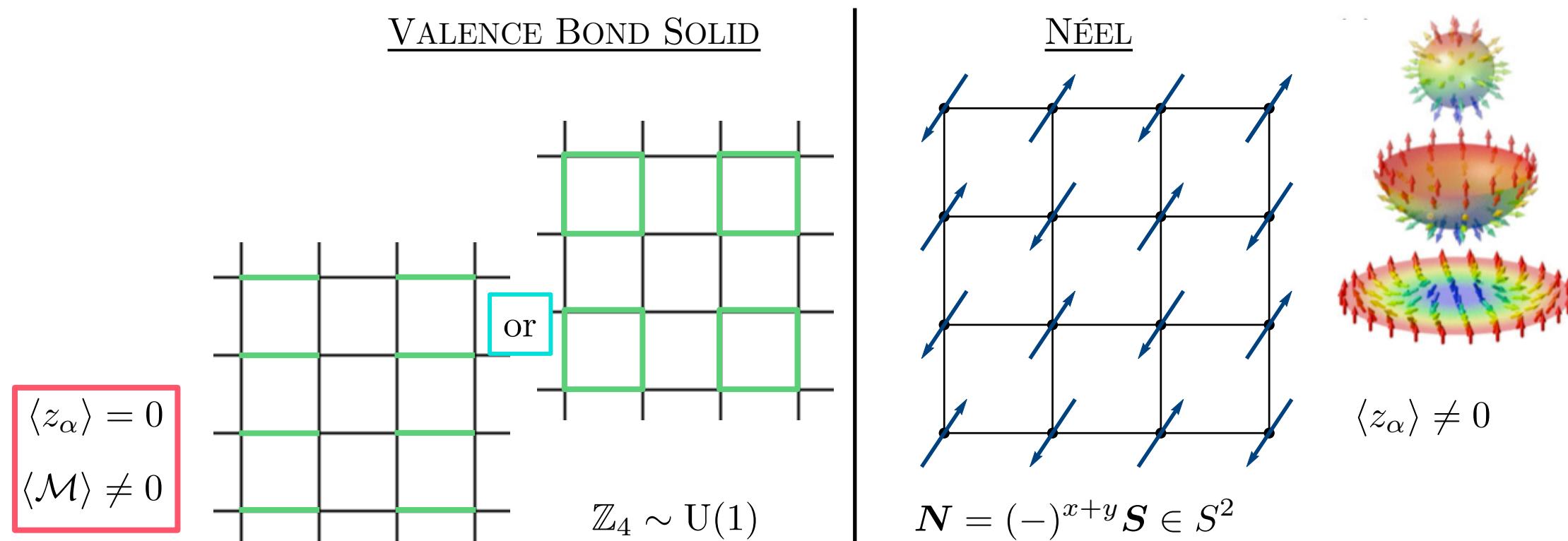
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Direct, continuous transition

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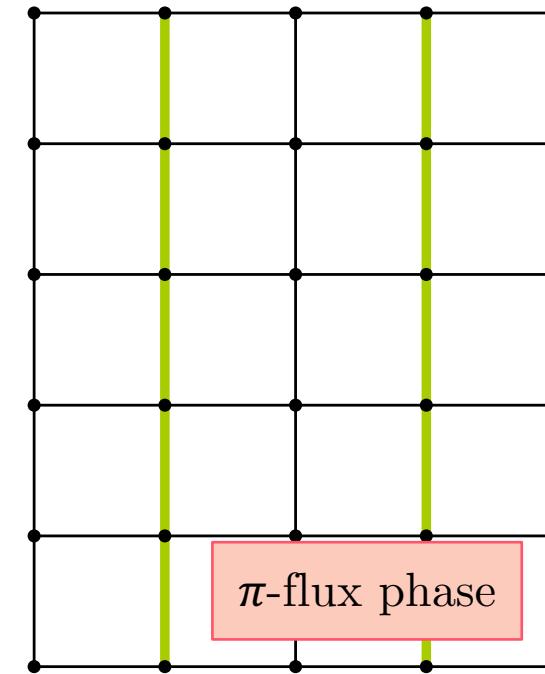
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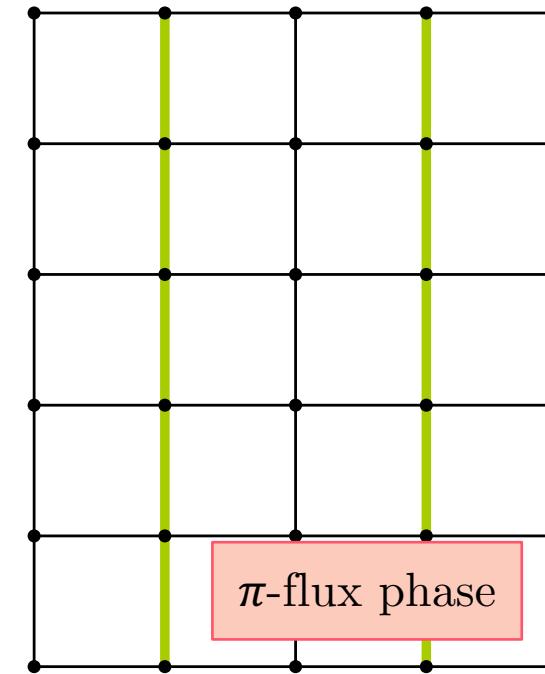


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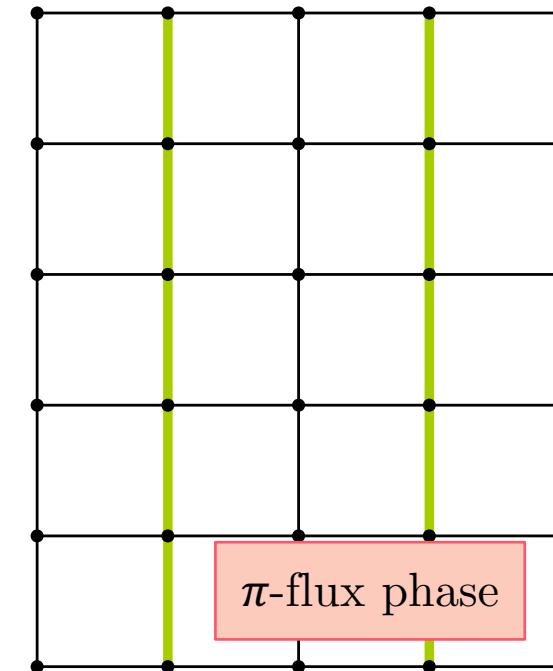
- ◆ \mathcal{L}_{QCD} can be ‘derived’ from a fermionic parton construction with a π -flux through all plaquettes and with spinons hopping only between nearest-neighbours.
- ◆ The symmetries are most manifest when \mathcal{L}_{QCD} is expressed in terms of Majorana fermions:

$$\mathcal{L}_{QCD} = i \text{tr} (\bar{X} \gamma^\mu D_\mu X)$$

$$D_\mu X = \partial_\mu X - ia_\mu^a X \sigma^a$$

$$X_v = \frac{1}{\sqrt{2}} (\chi_{0,v} \mathbb{1} + i \chi_{a,v} \sigma^a)$$

valley index, $v=1,2$



Symmetries

$$\mathcal{L}_{\text{QCD}} = i\text{tr} \left(\bar{X} \gamma^\mu \left[\partial_\mu X - \frac{i}{2} a_\mu^a X \sigma^a \right] \right) \quad X_{v,\alpha;\beta} = \frac{1}{\sqrt{2}} (\chi_{0,v} \delta_{\alpha\beta} + i \chi_{a,v} \sigma_{\alpha\beta}^a) : 4 \times 2 \text{ matrix}$$

- ◆ Global spin rotations act on the **left** while gauge transformations act on the **right**:

SPIN

$$\text{SU}(2)_s : X \rightarrow \exp \left(\frac{i}{2} \theta \mathbf{n} \cdot \boldsymbol{\sigma} \right) X$$

GAUGE

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- ◆ The action of the lattice symmetries can also be deduced from the parton construction:

$$e.g. \quad T_x : \begin{pmatrix} X_1 \\ X_2 \end{pmatrix} \rightarrow \mu^x \begin{pmatrix} X_1 \\ X_2 \end{pmatrix} = \begin{pmatrix} X_2 \\ X_1 \end{pmatrix}$$

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- ◆ \mathcal{L}_{QCD} has a manifest $\text{SO}(5)$ symmetry, $X \rightarrow LX$, where L is a 4×4 unitary matrix

◆ $\text{SO}(5)$ order parameter: $n^a = \text{tr} (\bar{X} \Gamma^a X)$, $\Gamma^a = \underbrace{\{\mu^x, \mu^z, \mu^y \sigma^x, \mu^y \sigma^y, \mu^y \sigma^z\}}$

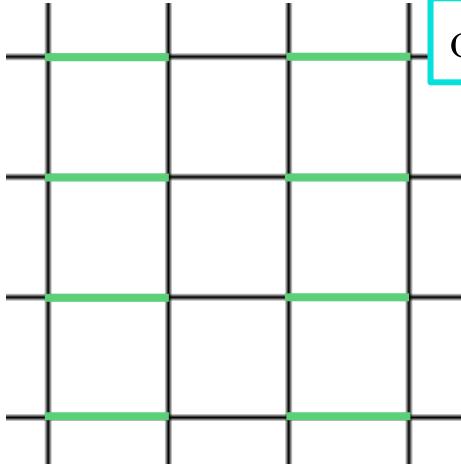
VBS order parameter

Néel order parameter

Phase diagram

VALENCE BOND SOLID

$$V = \left(\text{tr} (\bar{X} \mu^x X), \text{tr} (\bar{X} \mu^z X) \right) \neq 0$$

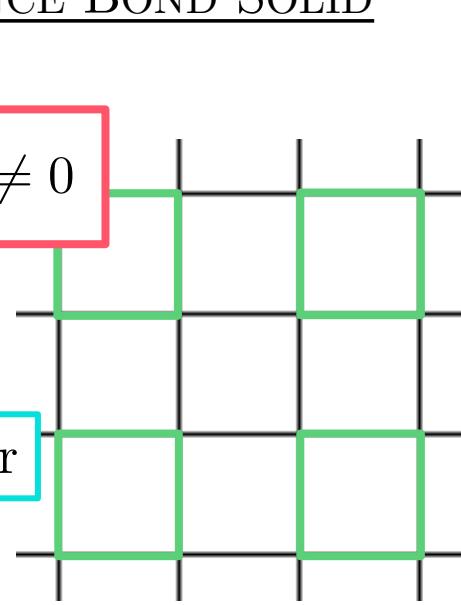


$$\langle z_\alpha \rangle = 0$$

$$\langle \mathcal{M} \rangle \neq 0$$

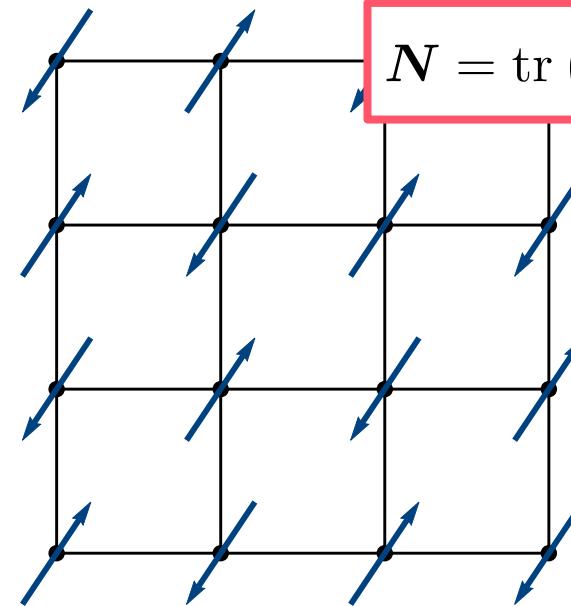
$$\mathbb{Z}_4 \sim \text{U}(1)$$

or



NÉEL

$$N = \text{tr} (\bar{X} \mu^y \sigma X) \neq 0$$



$$\langle z_\alpha \rangle \neq 0$$

$$N = (-)^{x+y} S \in S^2$$

SO(5) order parameter: $\mathbf{n} = (V_1, V_2, N_1, N_2, N_3)$

Proximate phase via the Higgs mechanism

$$\mathcal{L}_{\text{QCD}} = i \text{tr} \left(\bar{X} \gamma^\mu \left[\partial_\mu X - \frac{i}{2} a_\mu^a X \sigma^a \right] \right)$$

- ◆ Proximate topological phases can be accessed by Higgsing the $SU(2)$ gauge symmetry:

$$\mathcal{L} = \mathcal{L}_{\text{QCD}} + \Phi^a \underbrace{\text{tr} (\sigma^a \bar{X} M X)}_{\text{fermion bilinear}} + \underbrace{|\partial_\mu \Phi^a - i \epsilon^{abc} a_\mu^b \Phi^c|^2}_{\Phi^a \text{ transforms under the } \textit{vector} \text{ representation}} - s (\Phi^a)^2 + \dots$$

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- ❖ Two Higgs fields, Φ and Φ_1 , are needed to obtain a \mathbb{Z}_2 spin liquid:

$$\mathcal{L}_f = \mathcal{L}_{\text{QCD}} + \mathcal{L}_0 + \mathcal{L}_1$$

$$\mathcal{L}_0 = \lambda \Phi \cdot \mathcal{O}_0 + |D_\mu \Phi|^2 - s \Phi^2 + \dots \quad \mathcal{L}_1 = \lambda' \Phi_1 \cdot \mathcal{O}_1 + |D_\mu \Phi_1|^2 - \bar{s}_1 \Phi_1^2 + \dots$$

Projective symmetry group

- ◆ The symmetries act in a non-trivial way in the Higgs phase. For instance,

$$\mathcal{L} = i \text{tr} \left(\bar{X} \gamma^\mu \left[\partial_\mu X - \frac{i}{2} a_\mu^x X \sigma^x \right] \right) + \underbrace{\lambda \langle \Phi^x \rangle \text{tr} (\sigma^x \bar{X} \mu^y X)}_{\text{Gives the fermions a mass}} + \dots$$

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- ✧ \mathcal{L} does not appear to be invariant under the lattice symmetries:

$$T_x : \text{tr} (\sigma^x \bar{X} \mu^y X) \rightarrow \text{tr} (\sigma^x \bar{X} \mu^x \mu^y \mu^x X) = -\text{tr} (\sigma^x \bar{X} \mu^y X)$$

- ✧ This can be undone through a gauge transformation:

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The transformations $V_g G$, $V_g \in \text{SU}(2)_g$ defines the *projective symmetry group*, which can be used to classify the spin liquid.

Gapped, symmetric \mathbb{Z}_2 spin liquids

$$\mathcal{L}_0 = \lambda \Phi \cdot \mathcal{O}_0 + |D_\mu \Phi|^2 - s \Phi^2 + \dots$$

$$\mathcal{L}_1 = \lambda' \Phi_1 \cdot \mathcal{O}_1 + |D_\mu \Phi_1|^2 - \bar{s}_1 \Phi_1^2 + \dots$$

- ◆ When only bilinears containing up to a *single* derivative are considered, 5 distinct gapped, **symmetric** \mathbb{Z}_2 spin liquids are possible.

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| | M |
|---|---|
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| 2 | $\mu^y \gamma^\mu i\partial_\mu$ |
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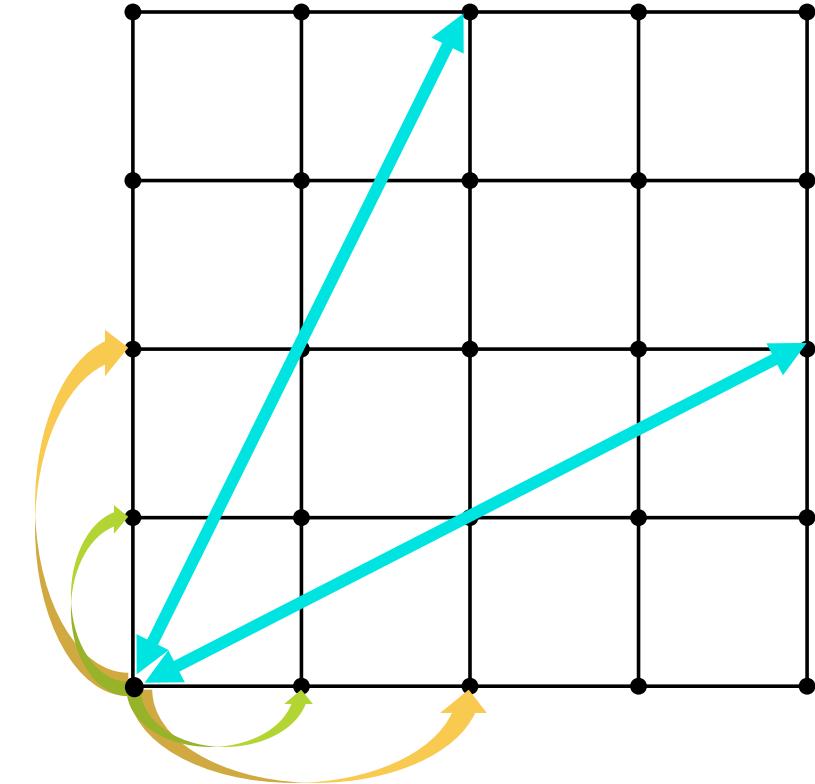
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PSG 1

$$\mathcal{L}_f = \boxed{\mathcal{L}_{\text{QCD}}} + \boxed{\lambda \boldsymbol{\Phi} \cdot \text{tr} (\boldsymbol{\sigma} \bar{X} \mu^y X)} - \bar{s} |\boldsymbol{\Phi}|^2 + \boxed{\lambda' \boldsymbol{\Phi}_1 \cdot \text{tr} (\boldsymbol{\sigma} \bar{X} i \partial_0 X)} - \bar{s}_1 |\boldsymbol{\Phi}_1|^2 + \dots \text{ with } \bar{s}, \bar{s}_1 \leq 0$$

- ◆ The mean field version of this spin liquid requires a 6th nearest-neighbour terms. In terms of QCD, it corresponds to the perturbation:

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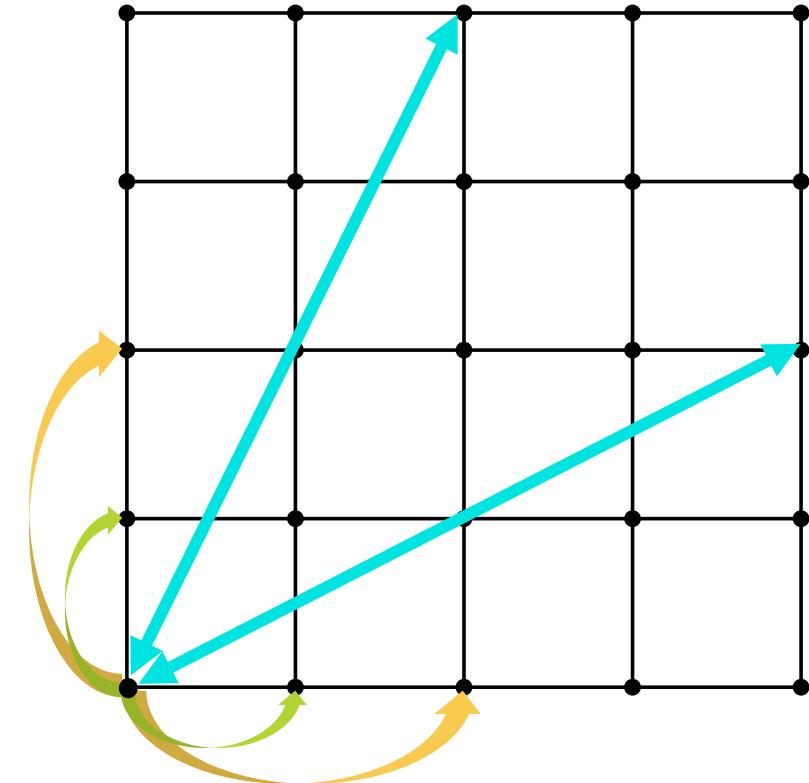
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- ◆ $\mathcal{O}_1 = \text{tr} (\boldsymbol{\sigma} \bar{X} i \partial_0 X)$ has no lattice analogue
 - ➡ this phase is more energetically favourable than mean field theory seems to imply



Comparison with bosonic formulation

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$$\mathcal{L}_P = \lambda_1 P^* \epsilon_{\alpha\beta} z_\alpha \partial_0 z_\beta + h.c. + |(\partial_\mu - i2b_\mu) P|^2 - s_1 |P|^2 + \dots$$

$$\mathcal{L}_b = \mathcal{L}_{dcp} + \mathcal{L}_P$$

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- ◆ #1 is the only spin liquid with a corresponding bosonic formulation
- ◆ Further, this spin liquid can be obtained by perturbing directly about \mathbb{CP}^1 :

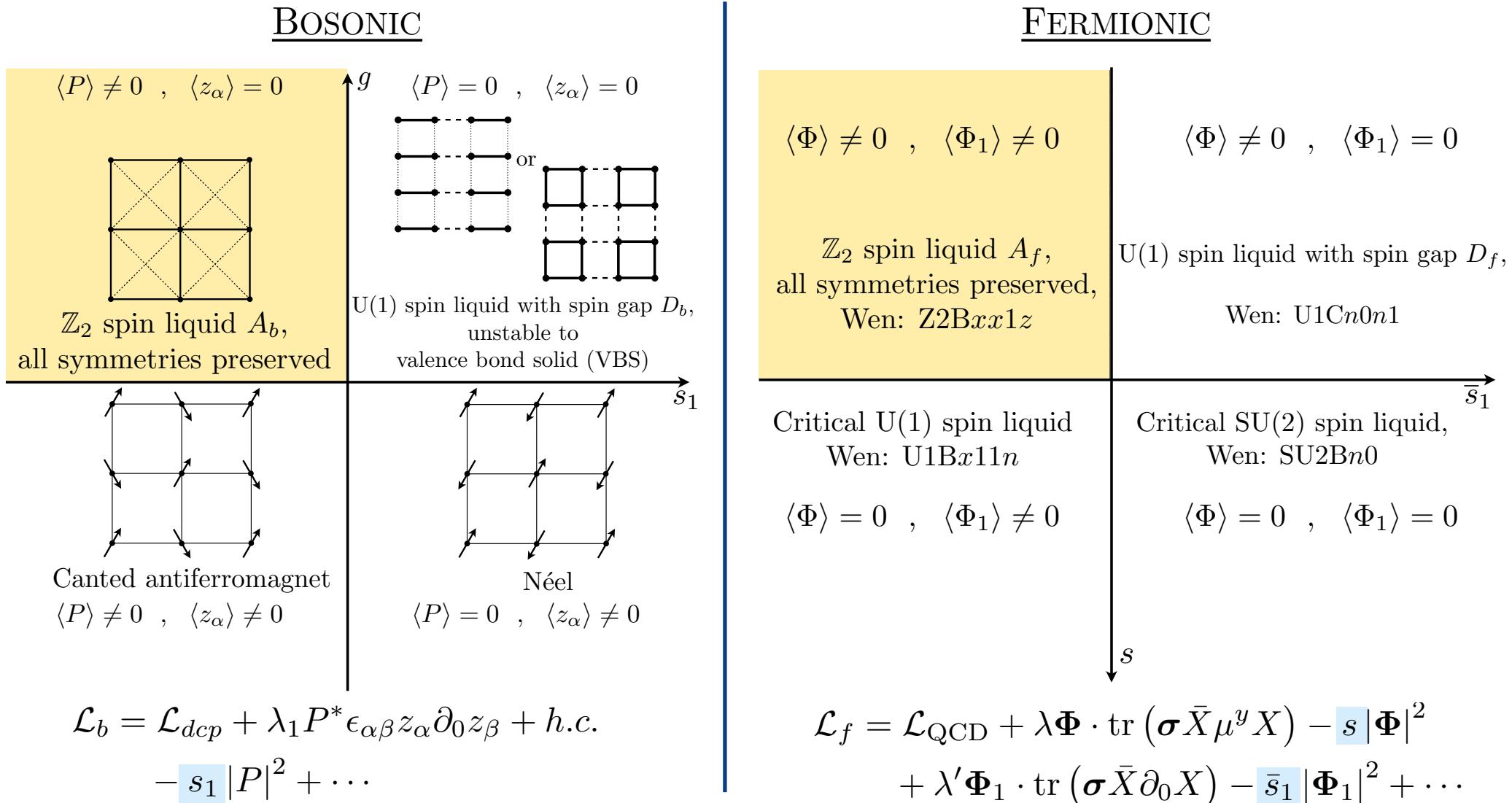
| | | M |
|---|---|-----|
| 1 | $i\partial_0$ | |
| 2 | $\mu^y \gamma^\mu i\partial_\mu$ | |
| 3 | $\mu^y (\gamma^x i\partial_x - \gamma^y i\partial_y)$ | |
| 4 | $\mu^y (\gamma^x i\partial_y + \gamma^y i\partial_x)$ | |
| 5 | $\mu^y (\gamma^x i\partial_y - \gamma^y i\partial_x)$ | |

$$\mathcal{L}_P = \lambda_1 P^* \epsilon_{\alpha\beta} z_\alpha \partial_0 z_\beta + h.c. + |(\partial_\mu - i2b_\mu) P|^2 - s_1 |P|^2 + \dots$$

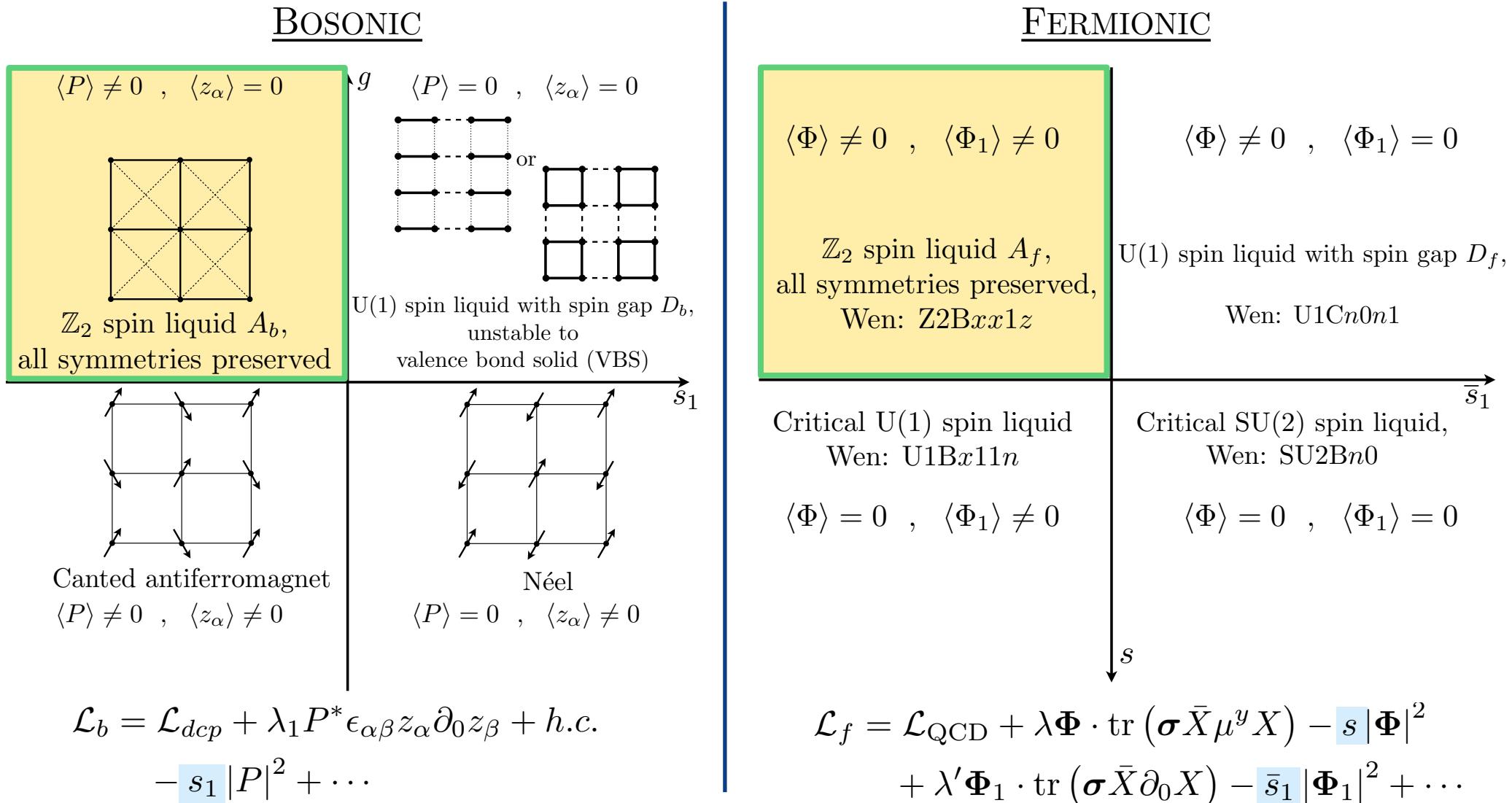
$$\mathcal{L}_b = \mathcal{L}_{dcp} + \mathcal{L}_P$$

→ Obtain the *same* \mathbb{Z}_2 spin liquid when $\langle z \rangle = 0$, $\langle P \rangle \neq 0$

Phase diagram



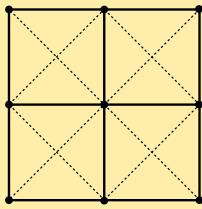
Phase diagram



Phase diagram

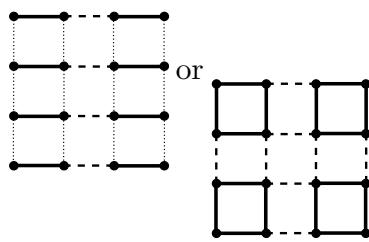
BOSONIC

$$\langle P \rangle \neq 0, \langle z_\alpha \rangle = 0$$

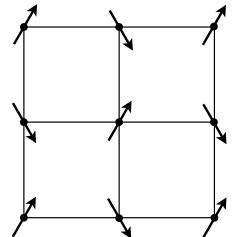


\mathbb{Z}_2 spin liquid A_b ,
all symmetries preserved

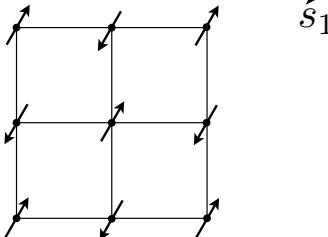
$$\langle P \rangle = 0, \langle z_\alpha \rangle = 0$$



U(1) spin liquid with spin gap D_b ,
unstable to valence bond solid (VBS)



Canted antiferromagnet
 $\langle P \rangle \neq 0, \langle z_\alpha \rangle \neq 0$



$\langle P \rangle = 0, \langle z_\alpha \rangle \neq 0$

$$\begin{aligned} \mathcal{L}_b = & \mathcal{L}_{dcp} + \lambda_1 P^* \epsilon_{\alpha\beta} z_\alpha \partial_0 z_\beta + h.c. \\ & - s_1 |P|^2 + \dots \end{aligned}$$

FERMIONIC

$$\langle \Phi \rangle \neq 0, \langle \Phi_1 \rangle \neq 0$$

\mathbb{Z}_2 spin liquid A_f ,
all symmetries preserved,
Wen: Z2Bxx1z

$$\langle \Phi \rangle \neq 0, \langle \Phi_1 \rangle = 0$$

U(1) spin liquid with spin gap D_f

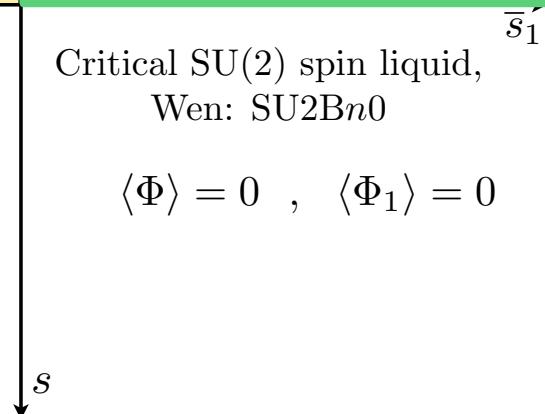
Wen: U1Cn0n1

Critical U(1) spin liquid
Wen: U1Bx11n

$$\langle \Phi \rangle = 0, \langle \Phi_1 \rangle \neq 0$$

Critical SU(2) spin liquid,
Wen: SU2Bn0

$$\langle \Phi \rangle = 0, \langle \Phi_1 \rangle = 0$$



$$\begin{aligned} \mathcal{L}_f = & \mathcal{L}_{QCD} + \lambda \Phi \cdot \text{tr} (\boldsymbol{\sigma} \bar{X} \mu^y X) - s |\Phi|^2 \\ & + \lambda' \Phi_1 \cdot \text{tr} (\boldsymbol{\sigma} \bar{X} \partial_0 X) - \bar{s}_1 |\Phi_1|^2 + \dots \end{aligned}$$

Flux response

$$\mathcal{L}_{U(1)} = i \text{tr} \left(\bar{X} \gamma^\mu \left[\partial_\mu X - \frac{i}{2} a_\mu X \sigma^x \right] \right) + m \text{tr} (\sigma^x \bar{X} \mu^y X) + \dots$$

- ◆ Response to insertion of flux: $\langle \mathcal{O}(r) \rangle = \int d^3 r \langle \mathcal{O}(r) \text{tr} (\sigma^x \bar{X}(r') \gamma^\mu X(r')) \rangle a_\mu^{cl}(r')$

→ $\mathcal{O} = \text{tr} (\bar{X} \gamma^\mu \mu^y X)$ $\langle \text{tr} (\bar{X} \gamma^\mu \mu^y X) \rangle \sim \frac{1}{\pi} \epsilon^{\mu\nu\lambda} \partial_\nu a_\lambda^{cl}$

Flux response

$$\mathcal{L}_{U(1)} = i\text{tr} \left(\bar{X} \gamma^\mu \left[\partial_\mu X - \frac{i}{2} a_\mu X \sigma^x \right] \right) + m\text{tr} (\sigma^x \bar{X} \mu^y X) + \dots$$

- ◆ Response to insertion of flux: $\langle \mathcal{O}(r) \rangle = \int d^3r \langle \mathcal{O}(r) \text{tr} (\sigma^x \bar{X}(r') \gamma^\mu X(r')) \rangle a_\mu^{cl}(r')$

$\rightarrow \mathcal{O} = \text{tr} (\bar{X} \gamma^\mu \mu^y X)$ $\boxed{\langle \text{tr} (\bar{X} \gamma^\mu \mu^y X) \rangle \sim \frac{1}{\pi} \epsilon^{\mu\nu\lambda} \partial_\nu a_\lambda^{cl}}$

- ◆ This is exactly the current associated with the $U(1)_v$ VBS

$$n^a = \text{tr} (\bar{X} \Gamma^a X), \quad \Gamma^a = \underbrace{\{\mu^x, \mu^z, \mu^y \sigma^x, \mu^y \sigma^y, \mu^y \sigma^z\}}_{\text{VBS order parameters}} \quad \rightarrow \quad J_{vbs}^\mu = \underbrace{\frac{1}{2} \text{tr} (\bar{X} \gamma^\mu \mu^y X)}_{\text{VBS conserved current}}$$

Flux response

$$\mathcal{L}_{U(1)} = i\text{tr} \left(\bar{X} \gamma^\mu \left[\partial_\mu X - \frac{i}{2} a_\mu X \sigma^x \right] \right) + m\text{tr} (\sigma^x \bar{X} \mu^y X) + \dots$$

- ◆ Response to insertion of flux: $\langle \mathcal{O}(r) \rangle = \int d^3r \langle \mathcal{O}(r) \text{tr} (\sigma^x \bar{X}(r') \gamma^\mu X(r')) \rangle a_\mu^{cl}(r')$

$\rightarrow \mathcal{O} = \text{tr} (\bar{X} \gamma^\mu \mu^y X)$ $\boxed{\langle \text{tr} (\bar{X} \gamma^\mu \mu^y X) \rangle \sim \frac{1}{\pi} \epsilon^{\mu\nu\lambda} \partial_\nu a_\lambda^{cl}}$

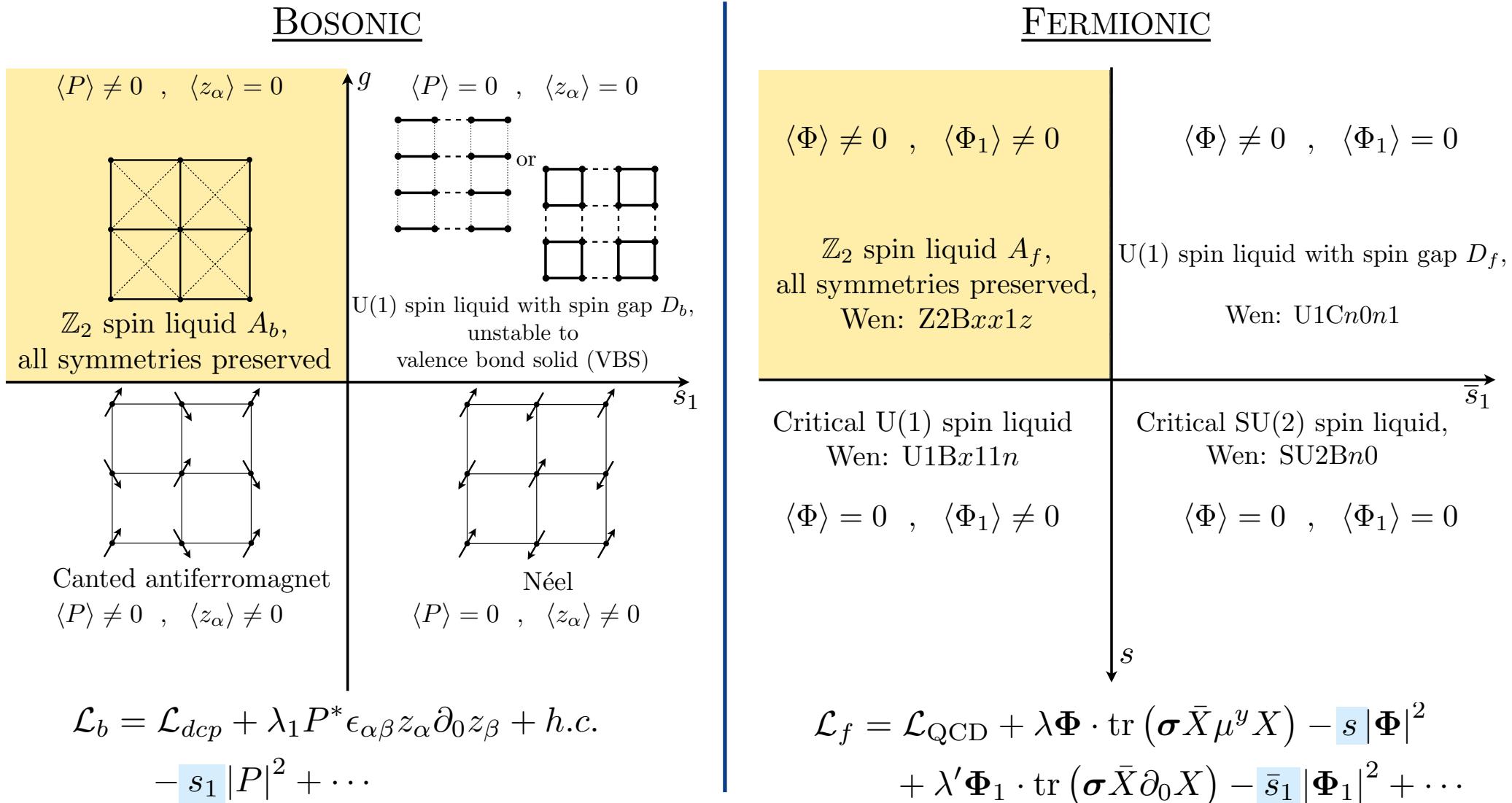
- ◆ This is exactly the current associated with the $U(1)_v$ VBS

$$n^a = \text{tr} (\bar{X} \Gamma^a X), \quad \Gamma^a = \underbrace{\{\mu^x, \mu^z, \mu^y \sigma^x, \mu^y \sigma^y, \mu^y \sigma^z\}}_{\text{VBS order parameters}} \quad \rightarrow \quad J_{vbs}^\mu = \underbrace{\frac{1}{2} \text{tr} (\bar{X} \gamma^\mu \mu^y X)}_{\text{VBS conserved current}}$$

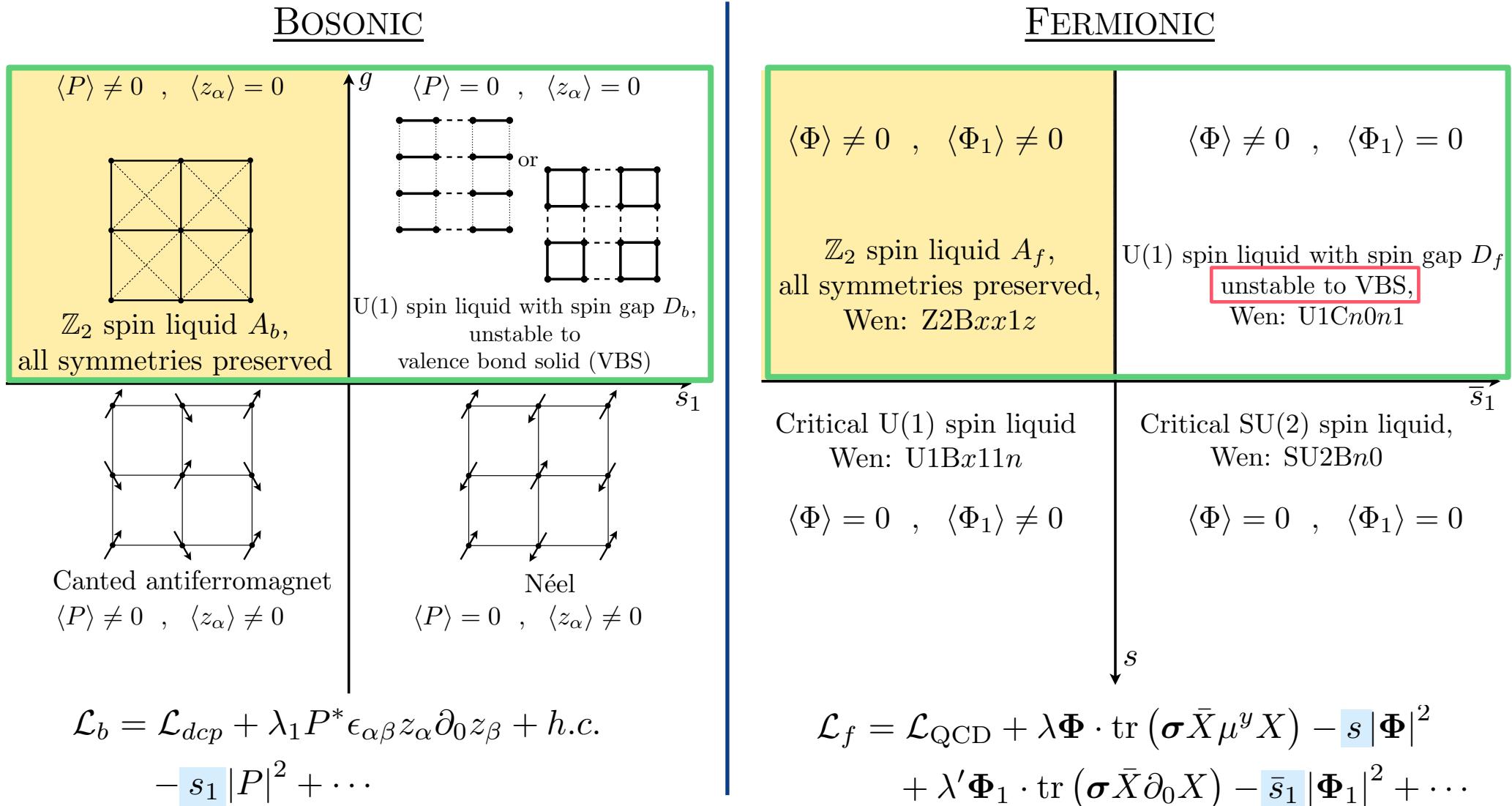
- ◆ Monopole proliferation results in *large fluctuations* of the charge Q associated to J_{vbs}^μ which will *suppress* fluctuations of operators *conjugate* to Q , *i.e.* the order parameters

$\rightarrow \boxed{\langle \text{tr} (\bar{X} \mu^z X) \rangle \neq 0 \quad \text{or} \quad \langle \text{tr} (\bar{X} \mu^x X) \rangle \neq 0.}$

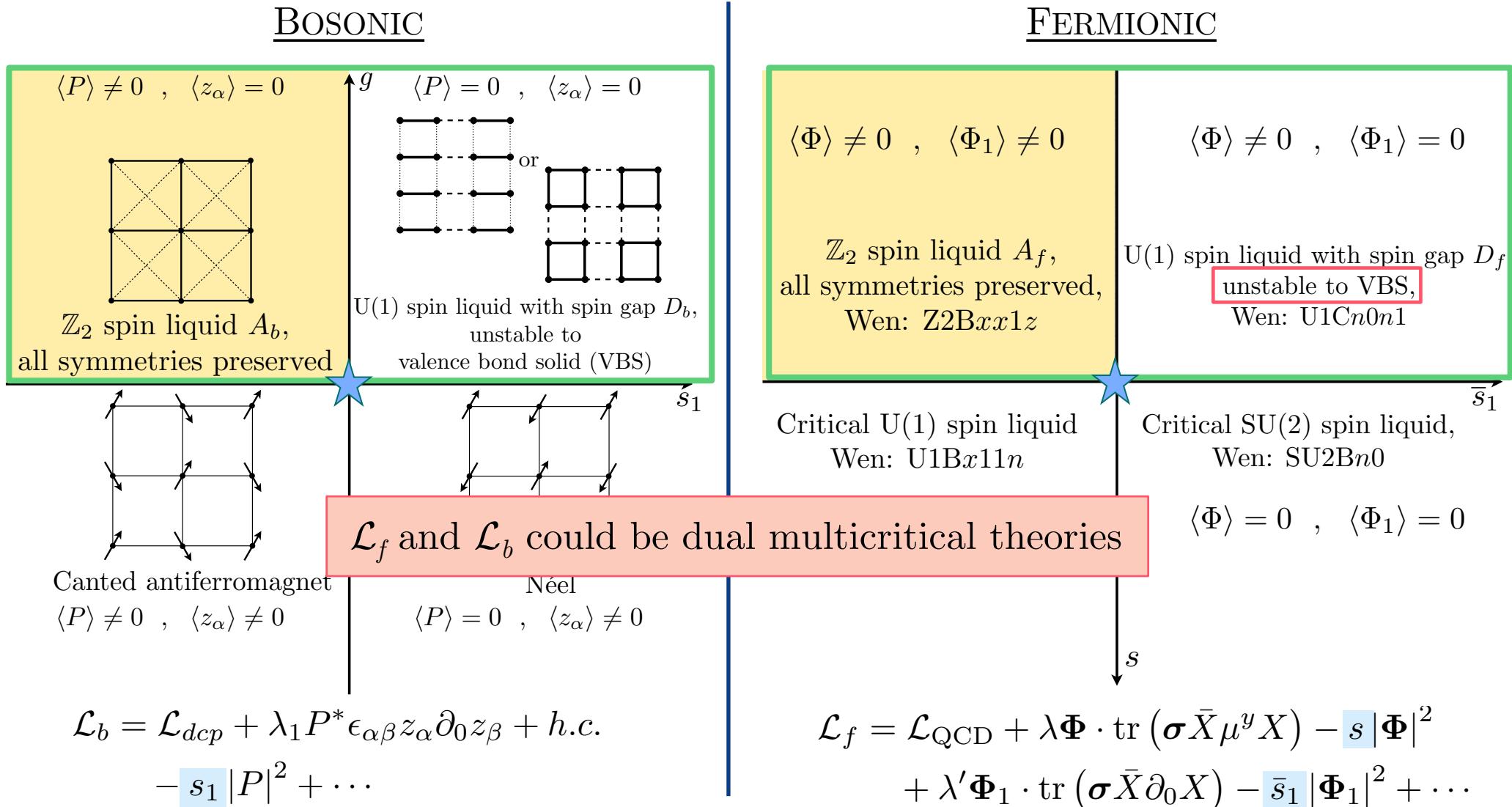
Phase diagram



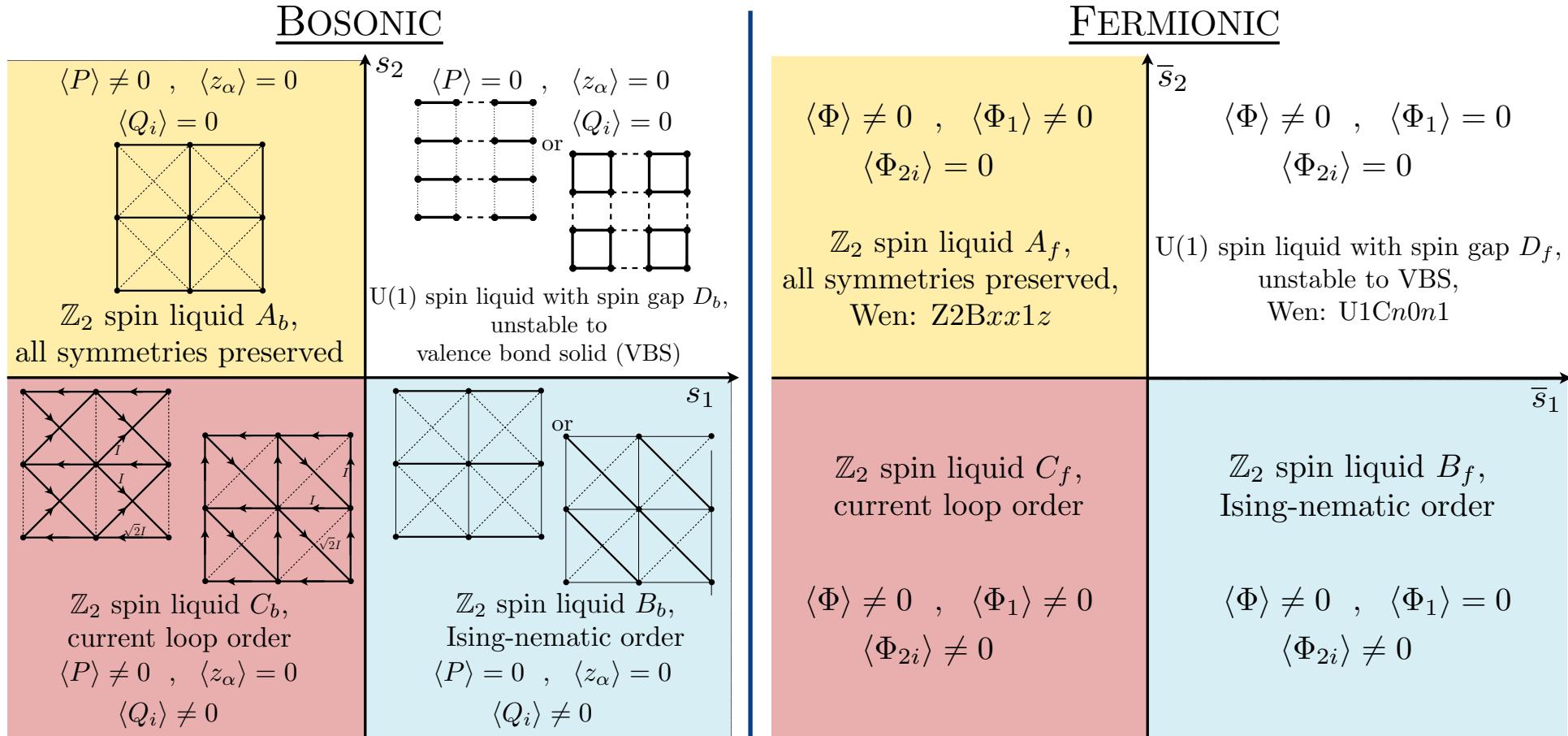
Phase diagram



Phase diagram



Symmetry broken \mathbb{Z}_2 phases



$$\begin{aligned} \mathcal{L}'_b = & \mathcal{L}_{dcp} + \lambda_1 P^* \epsilon_{\alpha\beta} z_\alpha \partial_0 z_\beta + h.c. - s_1 |P|^2 \\ & + \lambda_2 Q_i^* \epsilon_{\alpha\beta} z_\alpha \partial_i z_\beta + h.c. - s_2 |Q_i|^2 + \dots \end{aligned}$$

$$\begin{aligned} \mathcal{L}'_f = & \mathcal{L}_{QCD} + \lambda \boldsymbol{\Phi} \cdot \text{tr} (\boldsymbol{\sigma} \bar{X} \mu^y X) - s |\boldsymbol{\Phi}|^2 \\ & + \lambda' \boldsymbol{\Phi}_1 \cdot \text{tr} (\boldsymbol{\sigma} \bar{X} \partial_0 X) - \bar{s}_1 |\boldsymbol{\Phi}_1|^2 \\ & + \lambda'' \boldsymbol{\Phi}_{2i} \cdot \text{tr} (\boldsymbol{\sigma} \bar{X} \partial_i X) - \bar{s}_2 |\boldsymbol{\Phi}_{2i}|^2 + \dots \end{aligned}$$

Summary

- ◆ Determined spin liquid states proximate to $N_f=2$ QCD (π -flux phase)
- ◆ Established a nontrivial correspondence between \mathbb{Z}_2 phases surrounding both QCD and \mathbb{CP}^1
- ◆ Determined a fermionic counterpart to the U(1) spin liquid with gapped matter which is unstable to a VBS
- ◆ Proposed additional dualities for multicritical points

Thank you!

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arXiv:1708.04626
