GRAPH RECONSTRUCTION AND QUANTUM STATISTICAL MECHANICS

GUNTHER CORNELISSEN AND MATILDE MARCOLLI

Abstract. We study in how far it is possible to reconstruct a graph from various Banach algebras associated to its universal covering, and extensions thereof to quantum statistical mechanical systems. It turns out that most the boundary operator algebras reconstruct only topological information, but the statistical mechanical point of view allows for complete reconstruction of multigraphs with minimal degree three.

1. Introduction

In this paper, we treat reconstruction problems for graphs from operator algebras. This fits into a broader area of research into reconstruction questions, such as the isospectrality problem in differential geometry [2], and the arithmetic equivalence problem in number theory [15] [10]. In recent years, a new philosophy has emerged, stating roughly that mathematical objects that obey some form of measure-theoretic rigidity can be reconstructed up to suitable isomorphism from an associated *quantum statistical mechanical system*, in the sense of noncommutative geometry. A prime example is given by the case of number fields under the dynamics of abelian class field theory [10], as in the Bost-Connes system [4] [13]. In this paper, we study a "baby case", namely, that of graphs. Ultimately, we hope our work provides a measure-theoretic or functional analytic handle on reconstruction problems for graph invariants from their decks (compare [3]).

- 1.1. We first set up basic notations and definitions that allow us to formulate the main theorem. By a graph X we mean a finite, unoriented multigraph, allowing loops and multiple edges. We assume throughout that all vertices of X have degree (= valency, i.e., twice the number of loops plus the number of non-loops emanating from the vertex) at least three. In particular, the graph has no sinks. Let T_X denote the universal covering tree, and Γ_X the fundamental group. Choose generators $\gamma_1, \ldots, \gamma_{g_X}$ for Γ_X . Let Λ_X denote the boundary of T_X (equivalence classes of rays, as a Cantor set).
- **1.2.** We will use dynamical systems in two slightly different forms, one involving groups, and one involving specific generators. We now outline these concepts.

We call a pair (X,G) consisting of a topological space X and a (topological) group G acting on the space by homeomorphisms a *dynamical system*, and say that two such systems (X,G) and (Y,H) are *isomorphic* if there is a homeomorphism

$$\Phi \colon X \overset{\sim}{\to} Y$$

Date: September 25, 2012 (version 1.0).

2010 Mathematics Subject Classification. 05C99, 37B10, 46L55, 53C24, 81T75, 82B10.

Key words and phrases. graph, graph reconstruction, quantum statistical mechanics, graph C^* -algebra.

equivariant w.r.t. a group isomorphism $\alpha \colon G \xrightarrow{\sim} H$, i.e., such that

$$\Phi(gx) = \alpha(g)\Phi(x)$$

for all $x \in X$ and $g \in G$.

We say two such systems are *locally isomorphic* if there is a homeomorphism $\Phi \colon X \xrightarrow{\sim} Y$ and group isomorphisms $\alpha_x \colon G \xrightarrow{\sim} H$ for any $x \in X$, such that

$$\Phi(gx) = \alpha_x(g)\Phi(x)$$

for all $x \in X$ and $q \in G$, and such that

$$\alpha_x \colon X \times G \to H$$

is locally constant in x. Of course, isomorphism implies local isomorphism, but the converse need not be true.

1.3. The second concept depends on a choice of generators for the group. If the group G is finitely generated, we can instead consider a *multivariable dynamical system* $(X, \{g_i\})$, consisting of the space X and *given* generators g_i of G. We say two such systems $(X, \{g_i\})$ and $(Y, \{h_j\})$ are *conjugate* if there is a homeomorphism $\Phi \colon X \xrightarrow{\sim} Y$, $\{g_i\}$ and $\{h_j\}$ have the same number n of elements, and there is a permutation $\sigma \in S_n$ such that

$$\Phi(g_i x) = h_{\sigma(i)} \Phi(x)$$

for all $x \in X$ and $i = 1, \dots, n$.

We say two such systems are *piecewise conjugate* if there is an open cover

$$\{X_{\sigma}\}_{{\sigma}\in S_n}$$
 of X

such that

$$\Phi(g_i x) = h_{\sigma(i)} \Phi(x)$$

for all $x \in X_{\sigma}$. If two systems are conjugate for chosen sets of generators, then they are isomorphic, but the converse need not be true. The relation between local isomorphism and piecewise conjugacy is less clear. (However, in the case we study below, it turns out all these concepts are equivalent.)

1.4. We now come to the definitions of the operator algebras that we will consider. Let

$$A_X := C(\Lambda_X) \rtimes \Gamma_X$$

denote the full crossed product boundary operator algebra generated as C^* -algebra by $C(\Lambda_X)$ and group-like elements μ_1, \ldots, μ_{g_X} corresponding to the generators γ_i . Let A_X^{\dagger} denote the (non-involutive) subalgebra generated by $C(\Lambda_X)$ and the group elements, but not their *-companions μ_i^* .

We assume throughout that X has first Betti number $g_X > 1$ (they other cases are not interesting since if $g_X = 0$, then $A_X = \mathbf{C}$ and if $g_X = 1$, $A_X = \mathbf{C}^2 \times \mathbf{Z}$, since the fundamental group is abelian and the limit set consists of the two fixed points of the fundamental group). Our first main theorem says that for graphs, all these concepts essentially store the same amount of (limited) topological information, namely, the number of independent loops:

1.5. Theorem. Let X and Y denote two graphs with $g_X, g_Y > 1$, and all of whose vertices have degree at least three. Then the following are equivalent:

- (a) $A_X \cong A_Y$ are strictly isomorphic as C^* -algebras;
- (b) $A_X \cong A_Y$ are stably isomorphic as C^* -algebras;
- (c) $A_X \cong A_Y$ are strongly Morita equivalent;
- (d) $A_X^{\dagger} \cong A_Y^{\dagger}$ are strictly isomorphic as Banach algebras (for any choice of generators of Γ_X and Γ_Y);
- (e) The multivariable dynamical systems $(\Lambda_X, \{\gamma_{X,i}\})$ and $(\Lambda_Y, \{\gamma_{Y,i}\})$ are piecewise conjugate (for any choice of generators of Γ_X and Γ_Y);
- (f) The multivariable dynamical systems $(\Lambda_X, \{\gamma_{X,i}\})$ and $(\Lambda_Y, \{\gamma_{Y,i}\})$ are conjugate (for any choice of generators of Γ_X and Γ_Y);
- (g) The dynamical systems (Λ_X, Γ_X) and (Λ_Y, Γ_Y) are locally isomorphic;
- (h) The dynamical systems (Λ_X, Γ_X) and (Λ_Y, Γ_Y) are isomorphic;
- (i) $g_X = g_Y$.

The proof, given in the next section, combines work of Robertson on boundary operator algebra K-theory [18] with a result of Davidson and Katsoulis on the relations between piecewise conjugacy of dynamical systems and operator algebras [11], and the theory of hyperbolic groups.

By stark contrast, if we allow for further noncommutative dynamics, implemented as a *quantum* statistical mechanical system by a one-parameter group of automorphisms of the dagger algebra, the graph is uniquely determined up to isomorphism.

1.6. Theorem. Let X and Y denote two graphs with $g_X, g_Y > 1$, and all of whose vertices have degree at least three. Then one can define a one-parameter subgroup of automorphisms ("time evolution")

$$\sigma_X \colon \mathbf{R} \to \operatorname{Aut}(A_X^{\dagger})$$

as follows: choose a base point x_0 in the universal covering tree T_X of X, and set

(1)
$$\sigma_t \left(\sum_{\gamma} f_{\gamma}(\xi) \mu_{\gamma} \right) = \sum_{\gamma} \exp \left(it \lim_{\substack{x_3 \in T_X \\ x_3 \to \xi}} d(x_0, x_3) - d(\gamma^{-1} x_0, x_3) \right) f_{\gamma}(\xi) \mu_{\gamma}$$

on elements $\sum f_{\gamma}\mu_{\gamma}$ of the algebraic crossed product algebra (with $f_{\gamma}\in C(\Lambda_X)$). Then the quantum statistical mechanical systems

$$(A_X^{\dagger}, \sigma_X) \cong (A_Y^{\dagger}, \sigma_Y)$$

are isomorphic if and only if X and Y are isomorphic as graphs.

The time evolution is defined in terms of Busemann functions as in the work of Coornaert and Lott [17], and the proof is based on a classification of KMS-states for such algebras by Kumjian and Renault [16], combined with measure-theoretic rigidity for trees from Paulin and Hersonsky [14]. The choice of a base point is irrelevant and relates to the fact that Patterson-Sullivan measures naturally form a conformal density, rather than a measure.

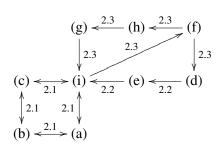
1.7. Remark. This paper studies reconstruction of graphs from various structures related to the boundary operator algebra A_X . Similar questions can be asked for various Cuntz-Krieger algebras that are naturally associated to a graph, such as the one associated to the vertex adjancency matrix of the graph (also known as the graph C^* -algebra), or the Cuntz-Krieger algebra associated to the

Bass-Hashimoto edge adjancency operator. We will comment on this problem at the appropriate place.

1.8. Remark. The results of this paper carry over one-to-one to the case of Riemann surfaces uniformized by Schottky groups; we refrain from presenting details.

2. Proof of Theorem 1.5

In this first section, we consider the various natural "isomorphism" conditions on the boundary operator algebras associated to a graph, and discuss in how far they (do not) determine the graph. We keep the notations from the introduction. A schematic representation of the structure of the proof is given below:



2.1 (C^* -algebra isomorphism). The boundary Λ_X of the tree T_X is the set of equivalence classes of half-rays in T_X , with equivalent rays being equal up to finitely many edges. The full crossed product algebra $C(\Lambda_X) \rtimes \Gamma_X$ is the universal C^* -algebra generated by the commutative C^* -algebra $C(\Lambda_X)$ and the image of a unitary representation π of Γ , satisfying the covariance relation

$$\pi(\gamma)f\pi(\gamma)^{-1} = f \circ \gamma^{-1}$$

for $f \in C(\Lambda_X)$, $\gamma \in \Gamma$ and $x \in \Lambda_X$. It is also strictly isomorphic as C^* -algebra to the Cuntz-Krieger algebra of the edge adjacency matrix (=Bass-Hashimoto operator, cf. [9]) of a graph with one vertex and g_X loops, i.e., the Cuntz-Krieger algebra corresponding to the matrix

$$\left(\begin{smallmatrix}1&1&-1\\1&-1&1\end{smallmatrix}\right)$$

where \mathbb{I} is a square g_X -size matrix all of whose entries are one, and $\mathbf{1}$ is the $g_X \times g_X$ -unit matrix cf. [18]. Since this algebra fits into the Kirchberg-Phillips classification, it is determined by its K_0 -group and the position of the unit (cf. Rørdam [19]), which are easily computed for this matrix to be

$$K_0 = \mathbf{Z}^{g_X} \times \mathbf{Z} / (g_X - 1),$$

and the class of the unit being a generator for the finite cyclic part. Thus, both strict isomorphism and Morita equivalence of such algebras is equivalent to equality of the Betti numbers of the graphs: $g_X = g_Y$. Also, since the algebras are separable, strong Morita equivalence is the same as stable isomorphism (i.e., isomorphism after tensoring with the algebra of compact operators). This proves the equivalence of (a), (b), (c) and (i) of Theorem 1.5.

2.2 (Non-involutive boundary algebra isomorphism). We now switch to the non-involutive subalgebra A_X^{\dagger} of A_X . As a subalgebra of the universal crossed product C^* -algebra A_X , the algebra A_X^{\dagger} can be described as the *semicrossed product* algebra of the chosen generators of the free group

 Γ_X acting on the (totally discontinous space) Λ_X . This algebra is universal for the equivariance relation given by the group action with the group generator elements of the free group being contractions or isometries (which is the same, by a suitable dilation theorem, cf. [11]). Then one of the main results (Thm. 9.2) in [11] states that $A_X^{\dagger} \cong A_Y^{\dagger}$ implies a *piecewise topological conjugacy* of the dynamical systems $(\Lambda_X, \{\gamma_{X,i}\})$ and $(\Lambda_Y, \{\gamma_{Y,j}\})$ (hence in our situation, it says that (d) implies (e)).

Piecewise conjugacy implies that the ranks of the group Γ_X and Γ_Y are equal, whence $g_X = g_Y$, so that (e) implies (i).

2.3 (Betti number condition). Now we prove that two such dynamical systems are conjugate (for any choice of generators of Γ_X and Γ_Y) precisely if $g_X = g_Y$. We use the fact that the group Γ_X is hyperbolic (since we assume $g_X > 1$), and the action of Γ_X on T_X is properly discontinuous with compact quotient. These conditions imply that there is a canonical Γ_X -equivariant homeomorphism

$$\varphi_X \colon \partial \operatorname{Cay}(\Gamma_X, \{\gamma_{X,i}\}) \stackrel{\sim}{\to} \Lambda_X,$$

cf. [8], Thm. 4.1, where $\partial \text{Cay}(\Gamma_X, \{\gamma_{X,i}\})$ is the boundary of a Cayley graph

$$Cay(\Gamma_X, \{\gamma_{X,i}\})$$

for the free group Γ_X of rank g_X for the symmetrization of the chosen set of generators $\{\gamma_{X,i}\}$. Namely, the map

$$\varphi_X \colon \operatorname{Cay}(\Gamma_X, \{\gamma_{X,i}\}) \to T_X \colon \gamma \mapsto \gamma x_0$$

is a quasi-isometry for the usual tree distances, so it will induce a homeomorphism of boundaries. Observe that a different choice of generators also changes the metric to a Lipschitz-equivalent one, inducing the same homeomorphism in the boundary.

Since φ_X is equivariant w.r.t. the action by left multiplication of Γ_X , the resulting boundary map is indeed equivariant. Now suppose that $g_X = g_Y$. Then we can choose a group isomorphism

$$\alpha \colon \Gamma_X \cong \Gamma_Y$$
,

with $\alpha(\gamma_{X,i}) = \gamma_{Y,i}$ (so extending a bijection between the given sets of generators). then α induces a corresponding equivariant boundary map, and this implies that we have a composed homeomorphism

$$\Phi \colon \Lambda_X \xrightarrow{\varphi_X^{-1}} \partial \mathrm{Cay}(\Gamma_X, \{\gamma_{X,i}\}) \xrightarrow{\alpha^*} \partial \mathrm{Cay}(\Gamma_Y, \{\gamma_{Y,i}\}) \xrightarrow{\varphi_Y} \Lambda_Y,$$

which intertwines the actions of Γ_X and Γ_Y with

$$\Phi(\gamma_{X,i}x) = \alpha(\gamma_{Y,i})\Phi(x).$$

This is precisely a conjugacy of the multivariable dynamical systems, and proves that (i) implies (f). Of course, (f) also implies (e). Since (f) implies (h), which implies (g), which it its turn implies (i). We now prove that (f) implies (d): if the conjugacy is implemented by a homeomorphism $\Phi \colon \Lambda_X \xrightarrow{\sim} \Lambda_Y$ with $\Phi(\gamma_{X,i}x) = \gamma_{Y,\sigma(i)}\Phi(x)$, for some permutation $\sigma \in S_{g_X}$, then we define the algebra isomorphism $\varphi \colon A_X^\dagger \xrightarrow{\sim} A_Y^\dagger$ on elements $\sum f_i \mu_{\gamma_{X,i}}$ of the algebraic semicrossed product subalgebra by

$$\varphi\left(\sum f_i\mu_{\gamma_{X,i}}\right) := \sum f_i \circ \Phi^{-1}\mu_{\gamma_{Y,\sigma(i)}}.$$

This finishes the proof of the theorem.

- **2.4. Remark.** We have proven that in our system, local isomorphism (g) implies isomorphism (h), but in a very indirect way. One can also prove this directly, using only topological properties of the system, namely, the fact that the complement of the fixed point set is dense in the space. Since this argument might be of independent interest, we present it here.
- **2.5. Lemma.** Suppose that (Λ_X, Γ_X) and (Λ_Y, Γ_Y) are two locally isomorphic dynamical systems. Denote the total fixed point set by

$$F_X := \bigcup_{\gamma \in \Gamma_X - \{1\}} \operatorname{Fix}(\gamma),$$

with $\operatorname{Fix}(\gamma)$ is the set of fixed points of γ . Then if the complement of the fixed point set $\Lambda_X \setminus F_X$ is dense in Λ_X , the systems (Λ_X, Γ_X) and (Λ_Y, Γ_Y) are conjugate.

Proof. Suppose given the locally constant group isomorphisms α_x that intertwine the actions. The equivariance implies on the one hand

$$\Phi(\gamma_1 \gamma_2 x) = \alpha_x(\gamma_1 \gamma_2) \Phi(x) = \alpha_x(\gamma_1) \alpha_x(\gamma_2) \Phi(x) = \alpha_x(\gamma_1) \Phi(\gamma_2 x),$$

and on the other hand

$$\Phi(\gamma_1 \gamma_2 x) = \Phi(\gamma_1(\gamma_2 x)) = \alpha_{\gamma_2 x}(\gamma_1) \Phi(\gamma_2 x).$$

We conclude that for all $x \in \Lambda_X$ and for all $\gamma_1, \gamma_2 \in \Gamma_X$, we have

$$[\alpha_{\gamma_2 x}(\gamma_1)^{-1}\alpha_x(\gamma_1)]\Phi(\gamma_2 x) = \Phi(\gamma_2 x).$$

Now choose x such that

$$(3) x \in \Lambda_X \setminus F_X,$$

where F_X is the fixed point set. This implies that $\gamma_2 x \in \Lambda_X \setminus F_X$, and then in turn we find $\Phi(\gamma_2 x) \in \Lambda_Y \setminus F_Y$, since if $\gamma x = x$ for some $\gamma \in \Gamma_X$, then $\Phi(\gamma x) = \Phi(x)$, and equivariance gives $\alpha_x(\gamma)\Phi(x) = \Phi(x)$, i.e., there is an element (namely, $\alpha_x(\gamma)$) in Γ_Y that fixes $\Phi(x)$. We conclude from (2) that for such x with (3), it holds true that

$$\alpha_{\gamma_2 x} = \alpha_x$$
 for all $\gamma_2 \in \Gamma_X$,

i.e., α_x is locally constant on orbits of the non-fixed point set. Since α_x is continuous in x, and constant on the dense subset $\Lambda_X \setminus F_X$ of its domain, we conclude that α_x is constant, independent of x.

The Lemma applies in our case, since the set $\Lambda_X \setminus F_X$ is dense in Λ_X : indeed, if $x \in F_X$ is fixed by some $\gamma \in \Gamma_X$, then there exists $y \in \Lambda_X \setminus F_X$, but the γ -orbit of y accumulates at x (being one of the fixed points of γ). Hence x belongs to the closure of $\Lambda_X \setminus F_X$. This proves that the closure of F_X belongs to the closure of F_X , and the proof is finished since the closure of F_X is all of F_X .

2.6. Remark (Other C^* -algebras of graphs). Alternatively, one might want to study directly the relation between (stable) isomorphism of the Cuntz-Krieger algebras associated to the vertex adjacency matrix of two (oriented) graphs. Again, the results of Rørdam et. al. imply that the K_0 -group of the algebra determine such isomorphism, and those are given by

$$\mathbf{Z}^{|VX|}/\mathrm{im}(1-A_X)$$

for a graph X with vertex set VX and adjacency operator A_X . Recently, Sørensen [20] characterized isomorphism of such algebras purely in terms of the existence of a sequence of elementary operations that transforms one graph into the other, so that one now has a graph theoretical characterization of stable isomorphism. It would be interesting to have such a description also for strict isomorphism.

2.7. Remark. The situation becomes much simpler if one instead studies (stable) isomorphism of the Cuntz-Krieger algebras associated to the edge adjancency matrix (Bass-Hashimoto operator) of the graph. This operator \mathbb{T}_X is defined as follows (compare with [1] or [9]). Choose a random orientation of the edged $\{e_1,\ldots,e_n\}$ of X and let $\{e_1,\ldots,e_n,\overline{e_1},\ldots,\overline{e_n}\}$ denote the set of all edges of X and their inverses. The operator \mathbb{T}_X is the $2n \times 2n$ -matrix given by T(e,f)=1 if and only if the terminal vertex of e is the initial vertex of e, but $f \neq \overline{e}$. Otherwise, T(e,f)=0. This operator is very natural for counting non-backtracking paths in the graph (relating to the Ihara zeta function).

In [9], one finds a geometric study of this classification problem, and the answer is that stable isomorphism of such algebras for graphs X and Y is the same as equality of Betti numbers $g_X = g_Y$, and strict isomorphism means that we also have and equality

$$(|EX|, g_X - 1) = (|EY|, g_Y - 1)$$

of greatest common divisors of the Euler characteristic of the graphs with their number of edges.

3. Proof of Theorem 1.6

3.1 (Quantum statistical mechanical system and Busemann function). The dynamics $\sigma_X = \sigma_{X,x_0}$ on A_X^{\dagger} is given in terms of the Busemann function (compare with Coornaert [7], or Lott [17] for the similar case of Kleinean groups), defined for $x_1, x_2 \in T_X$ and $x \in \Lambda_X$ by

$$B(x_1, x_2, x) = \lim_{\substack{x_3 \in T_X \\ x_3 \to x}} d(x_1, x_3) - d(x_2, x_3),$$

with d the tree distance. The automorphisms σ_t are defined on elements of the algebraic crossed product, i.e., finite sums of the form

$$f = \sum_{\gamma \in \Gamma_X} f_\gamma \mu_\gamma$$

with $f_{\gamma} \in C(\Lambda_X)$, and then extended to the full C^* -algebra (full = reduced in this case). Choose a "base point" $x_0 \in T_X$. Then one defines $\sigma \colon \mathbf{R} \to \operatorname{Aut}(A_X^{\dagger}) \colon t \mapsto \sigma_t$ by

(4)
$$\sigma_{X,x_0,t}(\sum_{\gamma} f_{\gamma} \mu_{\gamma}) = \sum_{\gamma} e^{itB(x_0,\gamma^{-1}x_0,-)} f_{\gamma} \mu_{\gamma}.$$

This indeed defines a strongly continuous one-parameter subgroup of automorphisms of A_X (respecting A_X^{\dagger}); the proof is the same as that of Proposition 4.2 in [17]. Actually, it defines a field of such automorphisms groups, by varying the base point x_0 , but when no confusion can arise, we will leave out the base point from the notation and simply write $\sigma_X = \sigma_{X,x_0}$.

One calls (A_X^\dagger, σ_X) the *quantum statistical mechanical system* associated to the graph X and σ_X the *time evolution* of the system (cf. [5] for background on this terminology). An *isomorphism* of two such systems (A_X^\dagger, σ_X) and (A_Y^\dagger, σ_Y) is a Banach algebra isomorphism $\varphi \colon A_X^\dagger \xrightarrow{\sim} A_Y^\dagger$ that intertwines the time evolutions: $\varphi \circ \sigma_X = \sigma_Y \circ \varphi$.

3.2. Remark. We have defined the system in terms of the non-involutive algebra A_X^{\dagger} . The above time evolution extends easily to the full C^* -algebra A_X and is seen to respect the subalgebra A_X^{\dagger} , and traditionally, a quantum statistical mechanical systems consists of such a C^* -algebra A_X with time evolution σ_X . One may also describe our notion of isomorphism as an isomorphism of these "involutive" systems $(A_X, \sigma_X) \xrightarrow{\sim} (A_Y, \sigma_Y)$ that furthermore maps A_X^{\dagger} to A_Y^{\dagger} .

Continuing with the proof of Theorem 1.6, suppose given an isomorphism

(5)
$$\varphi \colon (A_X^{\dagger}, \sigma_X) \xrightarrow{\simeq} (A_Y^{\dagger}, \sigma_Y)$$

of quantum statistical mechanical systems. The first thing to observe is that such an isomorphism induces (by pull-back) a homeomorphism between the Choquet-complexes of KMS $_{\beta}$ -states of the systems (A_X, σ_X) and (A_Y, σ_Y) (for any "temperature" β), cf. [10], Lemma 1.7.

The second observation is the fact that the system (A_X, σ_X) has a unique β for which the space of KMS_{β} -states is non-empty. The reason is that there is a correspondence between KMS_{β} -states and conformal measures (compare with [17] 5.11 for the case of Kleinean groups). Namely, since the dynamical system (Λ_X, Γ_X) is the same as the full shift space on chosen generators for the free group, and left shift is an expansive map on this space, we can use the work of Kumjian and Renault [16]: the C^* -algebra A_X is the reduced C^* -algebra of the transformation groupoid $\Lambda_X \times \Gamma_X$. To the base point, we associate a one-cocyle $c_{x_0} \in Z^1(\Lambda_X \times \Gamma_X, \mathbf{R})$ by

$$c_{x_0}(\xi, \gamma) := B(x_0, x_0 \gamma^{-1}, \xi).$$

Now if $\gamma \xi = \xi$ and $c_{x_0}(\xi, \gamma) = 0$, then $c_{x_0}^{-1}(0)$ is a principal subgroupoid of the transformation groupoid. Indeed, since γ is a hyperbolic isometry of the tree, the classification of Tits [21] implies that γ acts by a translation with amplitude $\operatorname{am}(\gamma)$ along the double sided infinite path in the tree T_X that connects the two fixed points of γ on the boundary, called the *axis* of γ . One of these fixed points is ξ , and if we let $x_3 \in T_X$ approach this point along the axis of γ , it is obvious from the definition of the Busemann function that

$$B(x_0, x_0 \gamma^{-1}, \xi) = \pm \operatorname{am}(\gamma).$$

Hence if this cocycle is zero, we find $\operatorname{am}(\gamma)=0$, and hence $\gamma=1$. This means we can indeed apply the result of Kumjian and Renault, and conclude that the $\operatorname{KMS}_{\beta}$ -states correspond to *conformal measures* of dimension β on Λ_X , i.e., probability measures μ on Λ_X that satisfy the scaling condition

$$\mu(\gamma \xi) = e^{\beta B(x_0, x_0 \gamma^{-1}, \xi)} \mu(\xi).$$

Now Coornaert ([7], Section 8) has classified such conformal measures: they only occur for $\beta = \delta(\Lambda_X)$ the critical exponent of the Poincaré series of Γ , and they are exactly the Patterson-Sullivan measures μ_{x_0} for varying base points x_0 (and these are normalized Hausdorff measures on Λ_X).

The conclusion is that (A_X, σ_{X,x_0}) has a unique β for which there exists a KMS $_{\beta}$ -state. Actually, from the correspondence between states and measures, this KMS $_{\beta}$ -state is given by

$$au_{X,x_0}(\sum_{\gamma} f_{\gamma}\mu_{\gamma}) = \int_{\Lambda_X} f_1 d\mu_{X,x_0}.$$

Now to finish the proof, recall from the previous section that the \dagger -algebra map φ induces a homeomorphism $\Phi \colon \Lambda_X \to \Lambda_Y$ that is equivariant w.r.t. a group isomorphism $\alpha \colon \Gamma_X \to \Gamma_Y$. Since the unique normalized KMS_{β} -state for Y (with β the Hausdorff dimension of Λ_Y , and chosen base point y_0) pulls back via φ to a KMS_{β} -state on X, and this (normalized) state is unique and given by τ_{X,x_0} for some base point x_0 , and we find

$$\varphi^* \tau_{Y,y_0} = \tau_{X,x_0},$$

which translates into

$$\int_{\Lambda_Y} f_1 \circ \Phi^{-1} d\mu_{Y,y_0} = \int_{\Lambda_X} f_1 d\mu_{X,x_0},$$

for any function $f_1 \in C(\Lambda_X)$. This means that Φ is a measure-preserving (in particular, absolutely continuous) homeomorphism of the limit sets in their respective Patterson-Sullivan measures based at the points x_0 , respectively y_0 , that is equivariant for the given group isomorphism. Actually, since different Patterson-Sullivan measures for different choices of base points are mutually absolutely continuous, we find that for any choice of such measures, Φ is absolutely continuous.

Now the rigidity theorem for hyperbolic group actions implies that Φ is Möbius on the boundary (meaning that it respects the generalized "cross ratio" on the boundary [14], Theorem A). Hence it extends to an isometry between the trees T_X and T_Y that intertwines the respective group actions (this extension is defined by coordinatizing a point in the tree as the unique intersection of three half lines ending at points on the boundary, and defining the image point as the point coordinatized in this way by the three image points under the boundary map of the original three boundary points; compare [6], [12]). Hence finally, it induces a graph isomorphism

$$\Phi \colon X \xrightarrow{\sim} \Gamma_X \backslash T_X \xrightarrow{\sim} \Gamma_Y \backslash T_Y \to Y.$$

This finishes the proof of the theorem.

- **3.3. Remark.** We do not know whether one can relax the conditions in the theorem by leaving out the dagger algebra; is it true that an isomorphism of quantum statistical mechanical systems $(A_X, \sigma_X) \xrightarrow{\sim} (A_Y, \sigma_Y)$ suffices to conclude that the graphs X and Y are isomorphic?
- **3.4. Remark.** Analogously, one can try to define a time-evolution on the Cuntz-Krieger algebra (or a non-involutive subalgebra of it) of the vertex- or edge-adjancency matrix of the graph, and study whether the resulting quantum statistical mechanical system reconstructs the graph. We have not completed such a study.

References

- [1] Hyman Bass, The Ihara-Selberg zeta function of a tree lattice, Internat. J. Math. 3 (1992), no. 6, 717–797.
- [2] Pierre Bérard, Variétés riemanniennes isospectrales non isométriques, Astérisque (1989), no. 177-178, Exp. No. 705, 127-154, Séminaire Bourbaki, Vol. 1988/89.
- [3] John Adrian Bondy, *A graph reconstructor's manual*, Surveys in combinatorics, 1991 (Guildford, 1991), London Math. Soc. Lecture Note Ser., vol. 166, Cambridge Univ. Press, Cambridge, 1991, pp. 221–252.
- [4] Jean-Benoît Bost and Alain Connes, Hecke algebras, type III factors and phase transitions with spontaneous symmetry breaking in number theory, Selecta Math. (N.S.) 1 (1995), no. 3, 411–457.
- [5] Ola Bratteli and Derek W. Robinson, *Operator algebras and quantum statistical mechanics. vol. 1 and 2*, second ed., Texts and Monographs in Physics, Springer-Verlag, Berlin, 1997.
- [6] Michel Coornaert, Rigidité ergodique de groupes d'isométries d'arbres, C. R. Acad. Sci. Paris Sér. I Math. 315 (1992), no. 3, 301–304.
- [7] Michel Coornaert, Mesures de Patterson-Sullivan sur le bord d'un espace hyperbolique au sens de Gromov, Pacific J. Math. **159** (1993), no. 2, 241–270.
- [8] Michel Coornaert, Thomas Delzant, and Athanase Papadopoulos, *Géométrie et théorie des groupes*, Lecture Notes in Mathematics, vol. 1441, Springer-Verlag, Berlin, 1990.
- [9] Gunther Cornelissen, Oliver Lorscheid, and Matilde Marcolli, On the K-theory of graph C*-algebras, Acta Appl. Math. 102 (2008), no. 1, 57–69.
- [10] Gunther Cornelissen and Matilde Marcolli, Quantum statistical mechanics, L-series, and anabelian geometry, preprint arxiv:1009.0736, 2010.
- [11] Kenneth R. Davidson and Elias G. Katsoulis, Nonself-adjoint operator algebras for dynamical systems, Operator structures and dynamical systems, Contemp. Math., vol. 503, Amer. Math. Soc., Providence, RI, 2009, pp. 39–51.
- [12] Jan Willem de Jong, *Graphs, spectral triples and Dirac zeta functions*, p-Adic Numbers Ultrametric Anal. Appl. **1** (2009), no. 4, 286–296.
- [13] Eugene Ha and Frédéric Paugam, Bost-Connes-Marcolli systems for Shimura varieties. I. Definitions and formal analytic properties, IMRP Int. Math. Res. Pap. (2005), no. 5, 237–286.
- [14] Sa'ar Hersonsky and Frédéric Paulin, On the rigidity of discrete isometry groups of negatively curved spaces, Comment. Math. Helv. 72 (1997), no. 3, 349–388.
- [15] Norbert Klingen, Arithmetical similarities, Oxford Mathematical Monographs, Oxford University Press, 1998.
- [16] Alex Kumjian and Jean Renault, KMS states on C*-algebras associated to expansive maps, Proc. Amer. Math. Soc. 134 (2006), no. 7, 2067–2078 (electronic).
- [17] John Lott, Limit sets as examples in noncommutative geometry, K-Theory 34 (2005), no. 4, 283–326.
- [18] Guyan Robertson, *Boundary operator algebras for free uniform tree lattices*, Houston J. Math. **31** (2005), no. 3, 913–935 (electronic).
- [19] Mikael Rørdam, Classification of Cuntz-Krieger algebras, K-Theory 9 (1995), no. 1, 31–58.
- [20] Adam P.W. Sørensen, Geometric classification of simple graph algebras, preprint arXiv:1111.1592, to appear in Ergodic Th. Dyn. Sys., 2011.
- [21] Jacques Tits, Sur le groupe des automorphismes d'un arbre, Essays on topology and related topics (Mémoires dédiés à Georges de Rham), Springer, New York, 1970, pp. 188–211.

Mathematisch Instituut, Universiteit Utrecht, Postbus 80.010, 3508 TA Utrecht, Nederland *E-mail address*: g.cornelissen@uu.nl

Mathematics Department, Mail Code 253-37, Caltech, 1200 E. California Blvd. Pasadena, CA 91125, USA *E-mail address*: matilde@caltech.edu