

# Homotopy Theoretic and Categorical Models of Neural Information Networks

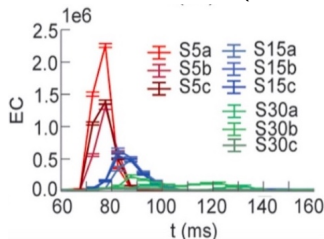
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Topological Insights in Neuroscience, MSRI 2021

- based on ongoing joint work with Yuri I. Manin (Max Planck Institute for Mathematics)
  - Yuri Manin, Matilde Marcolli *Homotopy Theoretic and Categorical Models of Neural Information Networks*, arXiv:2006.15136
- related work:
  - M. Marcolli, *Gamma Spaces and Information*, Journal of Geometry and Physics, 140 (2019), 26–55.
- this work partially supported by FQXi grants: FQXi-RFP-1804, SVCF 2018-190467 and FQXi-RFP-CPW-2014; SVCF 2020-224047

## Motivation N.1: Nontrivial Homology

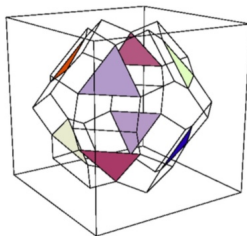
- Kathryn Hess' applied topology group at EPFL: topological analysis of neocortical microcircuitry (Blue Brain Project)



- formation of large number of high dimensional cliques of neurons (complete graphs on  $N$  vertices with a directed structure) accompanying response to stimuli
- formation of these structures is responsible for an increasing amount of nontrivial Betti numbers and Euler characteristics, which reaches a peak of topological complexity and then fades
- proposed functional interpretation: this peak of non-trivial homology is necessary for the processing of stimuli in the brain cortex... **but why?**

## Motivation N.2: Computational Role of Nontrivial Homology

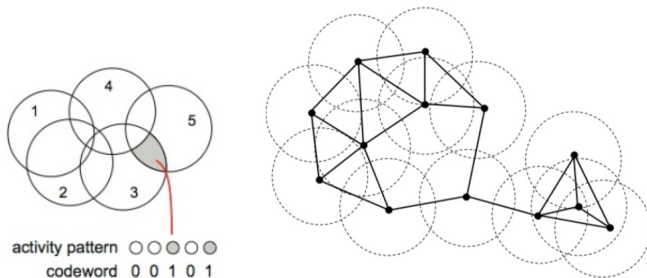
- mathematical theory of concurrent and distributed computing (Fajstrup, Gaucher, Goubault, Herlihy, Rajsbaum, ...)
- initial, final states of processes vertices,  $d + 1$  mutually compatible initial/final process states  $d$ -simplex



- distributed algorithms: simplicial sets and simplicial maps
- certain distributed algorithms require “enough non-trivial homology” to successfully complete their tasks (Herlihy–Rajsbaum)
- this suggests: **functional role of non-trivial homology to carry out some concurrent/distributed computation**

## Motivation N.3: Neural Codes and Homotopy Types

- Carina Curto and collaborators: geometry of stimulus space can be reconstructed *up to homotopy* from binary structure of the neural code



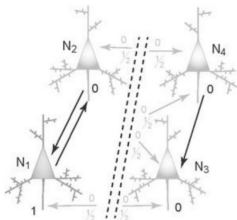
- overlaps between place fields of neurons and the associated simplicial complex of the open covering has the same homotopy type as the stimulus space
- this suggests: the neural code *represents* the stimulus space through **homotopy types**, hence homotopy theory is a natural mathematical setting

## Motivation N.4: Informational and Metabolic Constraints

- neural codes: rate codes (firing rate of a neuron), spike timing codes (timing of spikes), neural coding capacity for given firing rate, output entropy
- metabolic efficiency of a transmission channel ratio  $\epsilon = I(X, Y)/E$  of the mutual information of output and input  $X$  and energy cost  $E$  per unit of time
- optimization of information transmission in terms of connection weights maximizing mutual information  $I(X, Y)$
- requirement for homotopy theoretic modelling: **need to incorporate constraints on resources and information** (mathematical theory of resources: Tobias Fritz and collaborators, categorical setting for a theory of resources and constraints)

## Motivation N.5: Informational Complexity

- measures of informational complexity of a neural system have been proposed, such as **integrated information**: over all splittings  $X = A \cup B$  of a system and compute minimal mutual information across the two subsystems, over all such splittings



- controversial proposal (Tononi) of integrated information as measure of consciousness (but simple mathematical systems from error correcting codes with very high integrated information!)
- some better mathematical description of organization of neural system over subsystems from which integrated information follows?

## Main Idea for a homotopy theoretic modeling of neural information networks

- Want a space (topological) that describes all consistent ways of assigning to a population of neurons with a network of synaptic connections a concurrent/distributed computational architecture (“consistent” means with respect to all possible subsystems)
- Want this space to also keep track of constraints on resources and information and conversion of resources and transmission of information (and information loss) across all subsystems
- Want this description to also keep track of homotopy types (have homotopy invariants, associated homotopy groups): topological robustness
- Why use **category theory** as mathematical language? because especially suitable to express “consistency over subsystems” and “constraints over resources”
- also categorical language is a main tool in homotopy theory (mathematical theory of concurrent/distributed computing already knows this!)



## Categories of Resources

- mathematical theory of resources
  - B. Coecke, T. Fritz, R.W. Spekkens, *A mathematical theory of resources*, Information and Computation 250 (2016), 59–86. [arXiv:1409.5531]
- Resources modelled by a symmetric monoidal category  $(\mathcal{R}, \circ, \otimes, \mathbb{I})$
- objects  $A \in \text{Obj}(\mathcal{R})$  represent resources, product  $A \otimes B$  represents combination of resources, unit object  $\mathbb{I}$  empty resource
- morphisms  $f : A \rightarrow B$  in  $\text{Mor}_{\mathcal{R}}(A, B)$  represent possible conversions of resource  $A$  into resource  $B$
- convertibility of resources when  $\text{Mor}_{\mathcal{R}}(A, B) \neq \emptyset$

## Measuring semigroups of categories of resources (Coecke, Fritz, Spekkens)

- preordered abelian semigroup  $(R, +, \succeq, 0)$  on set  $R$  of isomorphism classes of  $\text{Obj}(\mathcal{R})$  with  $A + B$  the class of  $A \otimes B$  with unit  $0$  given by the unit object  $\mathbb{I}$  and with  $A \succeq B$  iff  $\text{Mor}_{\mathcal{R}}(A, B) \neq \emptyset$
- (same for category  $\mathcal{C}$  with sum and zero object)
- maximal conversion rate  $\rho_{A \rightarrow B}$  of resources

$$\rho_{A \rightarrow B} := \sup \left\{ \frac{m}{n} \mid n \cdot A \succeq m \cdot B, m, n \in \mathbb{N} \right\}$$

number of copies of resource  $A$  are needed on average to produce  $B$

- measuring semigroup: abelian semigroup with partial ordering and semigroup homomorphism  $M : (R, +) \rightarrow (S, *)$  with  $M(A) \geq M(B)$  in  $S$  when  $A \succeq B$  in  $R$
- satisfy  $\rho_{A \rightarrow B} \cdot M(B) \leq M(A)$

## Summing functors

- $\mathcal{C}$  a category with sum and zero-object (binary codes, transition systems, resources, etc)
- $(X, x_0)$  a pointed finite set and  $\mathcal{P}(X)$  a category with objects the pointed subsets  $A \subseteq X$  and morphisms the inclusions  $j: A \subseteq A'$
- a functor  $\Phi_X: \mathcal{P}(X) \rightarrow \mathcal{C}$  **summing functor** if

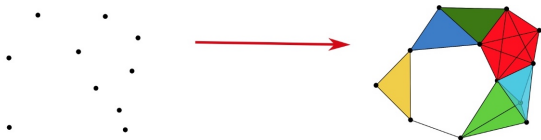
$$\Phi_X(A \cup A') = \Phi_X(A) \oplus \Phi_X(A') \quad \text{when} \quad A \cap A' = \{x_0\}$$

and  $\Phi_X(\{x_0\})$  is zero-object of  $\mathcal{C}$

- $\Sigma_{\mathcal{C}}(X)$  **category of summing functors**  $\Phi_X: \mathcal{P}(X) \rightarrow \mathcal{C}$ , morphisms are *invertible* natural transformations
- **Key idea:** a summing functor is a *consistent assignment* of resources of type  $\mathcal{C}$  to *all subsystems* of  $X$  so that a combination of independent subsystems corresponds to combined resources
- $\Sigma_{\mathcal{C}}(X)$  parameterizes all possible such assignments

## Segal's Gamma Spaces

- construction introduced in homotopy theory in the '70s: a general construction of (connective) *spectra* (generalized homology theories)
- a Gamma space is a functor  $\Gamma : \mathcal{F} \rightarrow \Delta$  from finite (pointed) sets to (pointed) simplicial sets



- a category  $\mathcal{C}$  with sum and zero-object determines a Gamma space  $\Gamma_{\mathcal{C}} : \mathcal{F} \rightarrow \Delta$ 
  - for a finite set  $X$  take category of summing functors  $\Sigma_{\mathcal{C}}(X)$  and simplicial set given by nerve  $\mathcal{N}(\Sigma_{\mathcal{C}}(X))$  of this category

## Meaning in homotopy theory (loop-deloop)

- take case where  $\mathcal{C}$  is an abelian category, then (Quillen) the higher K-theory  $K(\mathcal{C})$  is the K-theory of an infinite loop space
- the category of summing functors  $\Sigma_{\mathcal{C}}(X)$  provides a delooping of this infinite loop space (Carlsson)
- a Gamma space defines an associated spectrum, by extending the functor  $\Gamma : \mathcal{F} \rightarrow \Delta$  to an endofunctor  $\Gamma : \Delta \rightarrow \Delta$  and applying it to spheres
- when  $\mathcal{C} = \mathcal{F}$  with  $\Gamma_{\mathcal{F}} : \mathcal{F} \rightarrow \Delta$  get the sphere spectrum
- all connective spectra are obtained through this construction for  $\mathcal{C}$  a symmetric monoidal category (Thomason)
- hence nerves  $\mathcal{N}(\Sigma_{\mathcal{C}}(X))$  are topologically very nontrivial

## Meaning in our setting

- nerve  $\mathcal{N}(\Sigma_{\mathcal{C}}(X))$  of category of summing functors organizes all assignments of  $\mathcal{C}$ -resources to  $X$ -subsystems and their transformations into a single topological structure that keeps track of equivalence relations between them (invertible natural transformations as morphisms of  $\Sigma_{\mathcal{C}}(X)$  and their compositions become simplexes of the nerve)
- view  $\mathcal{N}(\Sigma_{\mathcal{C}}(X))$  as a topological parameterizing space for all such consistent assignments of resources of type  $\mathcal{C}$  to subsets of  $X$

## From finite sets to networks: directed graphs

- category  $\mathbf{2}$  has two objects  $V, E$  and two morphisms  $s, t \in \text{Mor}(E, V)$
- $\mathcal{F}$  category of finite sets: objects finite sets, morphisms functions between finite sets
- a directed graph is a functor  $G : \mathbf{2} \rightarrow \mathcal{F}$ 
  - $G(E)$  is the set of edges of the directed graph
  - $G(V)$  is the set of vertices of the directed graph
  - $G(s) : G(E) \rightarrow G(V)$  and  $G(t) : G(E) \rightarrow G(V)$  are the usual source and target maps of the directed graph
- category of directed graphs  $\text{Func}(\mathbf{2}, \mathcal{F})$  objects are functors and morphisms are natural transformations

## Systems organized according to networks

- instead of finite set  $X$  want a directed graph (network) and its subsystems
- directed graph as functor  $G : 2 \rightarrow \mathcal{F}$  and functorial assignment  $X \mapsto \Sigma_{\mathcal{C}}(X)$
- $\Sigma_{\mathcal{C}}(E_G)$  summing functors  $\Phi_E : \mathcal{P}(E_G) \rightarrow \mathcal{C}$  for sets of edges and  $\Sigma_{\mathcal{C}}(V_G)$  summing functors  $\Phi_V : \mathcal{P}(V_G) \rightarrow \mathcal{C}$  for sets of vertices
- source and target maps  $s, t : E_G \rightarrow V_G$  transform summing functors  $\Phi_E \in \Sigma_{\mathcal{C}}(E_G)$  to summing functors in  $\Sigma_{\mathcal{C}}(V_G)$

$$\Phi_{V_G}^s(A) := \Phi_{E_G}(s^{-1}(A)) \quad \Phi_{V_G}^t(A) := \Phi_{E_G}(t^{-1}(A))$$

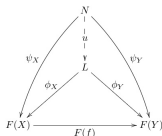
assigns to a set of vertices  $\mathcal{C}$ -resources of in/out edges

- **category statement:** source and target maps  $s, t : E_G \rightarrow V_G$  determine functors between categories  $\Sigma_{\mathcal{C}}(E_G)$  and  $\Sigma_{\mathcal{C}}(V_G)$  of summing functors, hence map between their nerves



## Expressing constraints and optimization in categorical form

- limits and colimits in categories
  - diagram  $F : \mathcal{J} \rightarrow \mathcal{C}$  and cone  $N$ , limit is “optimal cone” (dual version for colimits)



- special cases of limits and colimits: equalizers, coequalizers
- Example: **thin categories**  $(S, \leq)$  set of objects  $S$  and one morphism  $s \rightarrow s'$  when  $s \leq s'$ 
  - diagram in  $(S, \leq)$  is selection of a subset  $A \subset S$
  - limits and colimits greatest lower bounds and least upper bounds for subsets  $A \subseteq S$
- **Key idea:** functors compatible with limits and colimits describe constrained optimization

## Conservation laws at vertices

- source and target functors  $s, t : \Sigma_{\mathcal{C}}(E_G) \rightrightarrows \Sigma_{\mathcal{C}}(V_G)$
- **equalizer** category  $\Sigma_{\mathcal{C}}(G)$  with functor  $\iota : \Sigma_{\mathcal{C}}(G) \rightarrow \Sigma_{\mathcal{C}}(E_G)$  such that  $s \circ \iota = t \circ \iota$  with universal property

$$\begin{array}{ccc} \Sigma_{\mathcal{C}}(G) & \xrightarrow{\iota} & \Sigma_{\mathcal{C}}(E_G) \xrightleftharpoons[s]{s} \Sigma_{\mathcal{C}}(V_G) \\ \exists u \uparrow & \nearrow q & \\ \mathcal{A} & & \end{array}$$

- this is category of summing functors  $\Phi_E : P(E_G) \rightarrow \mathcal{C}$  with conservation law at vertices: for all  $A \in P(V_G)$

$$\Phi_E(s^{-1}(A)) = \Phi_E(t^{-1}(A))$$

in particular for all  $v \in V_G$  have **inflow of  $\mathcal{C}$ -resources equal outflow**

$$\bigoplus_{e:s(e)=v} \Phi_E(e) = \bigoplus_{e:t(e)=v} \Phi_E(e)$$

- another kind of conservation law expressed by **coequalizer**

## Gamma spaces for networks

- $\mathcal{E}_{\mathcal{C}} : \text{Func}(2, \mathcal{F}) \rightarrow \Delta$  with  $\mathcal{E}_{\mathcal{C}}(G) = \mathcal{N}(\Sigma_{\mathcal{C}}(G))$  nerve of equalizer of  $s, t : \Sigma_{\mathcal{C}}(E_G) \rightrightarrows \Sigma_{\mathcal{C}}(V_G)$  (equalizer of nerves)
- **more general types of Gamma networks** besides equalizers  $\Sigma_{\mathcal{C}}^{eq}(G)$  (and coequalizers)
  - for  $G \in \text{Func}(2, \mathcal{F})$  take category  $P(G)$  with objects (pointed) subgraphs  $G'_*$  of  $G_*$  and morphisms (pointed) inclusions  $\iota : G'_* \hookrightarrow G'_*$
  - category  $\Sigma_{\mathcal{C}}(G)$  of summing functors  $\Phi_G : P(G) \rightarrow \mathcal{C}$
  - now value of functor  $\Phi_G \in \Sigma_{\mathcal{C}}(G)$  on a subnetwork  $G' \subset G$  not just sum of values on edges in the subnetwork
  - possible more complicated dependence on network structure (beyond conservation at vertices): general inclusion-exclusion type properties
- focus on equalizer case for simplicity

## Category of binary codes

- $C$  be a  $[n, k, d]_2$  binary code of length  $n$  with  $\#C = q^k$
- category  $\text{Codes}_{n,*}$  of pointed codes of length  $n$ 
  - objects codes that contain 0-word  $c_0 = (0, 0, \dots, 0)$
  - exclude code consisting only of constant words  $c_0 = (0, 0, \dots, 0)$  and  $c_1 = (1, 1, 1, \dots, 1)$  (for reasons of non-trivial information)
  - morphisms  $f : C \rightarrow C'$  functions mapping the 0-word to itself (don't require maps of ambient  $\mathbb{F}_2^n$ )
  - sum as for pointed sets  $C \vee C'$  (glued along the zero-word)
  - zero-object: code consisting only of the zero word
  - role of zero-word is like reference point (for neural code, baseline when no activity detected)
- **Note:** in coding theory often other form of categorical sum (decomposable codes), but changes code length  $n$

$$C \oplus C' := \{(c, c') \in \mathbb{F}_2^{n+n'} \mid c \in C, c' \in C'\}$$

## neural codes

- $T > 0$  time interval of observation, subdivided into some basic units of time,  $\Delta t$
- code length  $n = T/\Delta t$ : number of basic time intervals considered
- number of nontrivial code words: neurons observed
- each code word: firing pattern of that neuron, digit 1 for each time intervals  $\Delta t$  that contained a spike and 0 otherwise
- zero-word baseline of no activity (for comparison)
- a neural code for  $N$  neurons is a sum  $C_1 \vee \cdots \vee C_N$  with  $C_i = \{c_0, c\}$  with zero-word  $c_0$  and firing pattern  $c$  of  $i$ -th neuron

## Category of weighted codes

- category of weighted binary codes  $\mathcal{WCodes}_{n,*}$
- objects pairs  $(C, \omega)$  of a code  $C$  of length  $n$  containing zero-word  $c_0$  and function  $\omega : C \rightarrow \mathbb{R}$  assigning (signed) weight to each code word, with  $\omega(c_0) = 0$
- morphisms  $\phi = (f, \lambda) : (C, \omega) \rightarrow (C', \omega')$  with  $f : C \rightarrow C'$  mapping the zero-word to itself and  $f(\text{supp}(\omega)) \subset \text{supp}(\omega')$  and weights  $\lambda_{c'}(c)$  for  $c \in f^{-1}(c')$
- sum  $(C, \omega) \oplus (C', \omega') = (C \vee C', \omega \vee \omega')$  with  $\omega \vee \omega'|_C = \omega$  and  $\omega \vee \omega'|_{C'} = \omega'$
- zero object  $(\{c_0\}, 0)$

## Equalizer: linear model

- a summing functor  $\Phi$  in the equalizer of source and target functors

$$\Sigma_{\mathcal{W}\text{Codes}_{n,*}}^{eq}(G) := \text{eq}(s, t : \Sigma_{\mathcal{W}\text{Codes}_{n,*}}(E_G) \rightrightarrows \Sigma_{\mathcal{W}\text{Codes}_{n,*}}(V_G))$$

is a summing functor  $\Phi \in \Sigma_{\mathcal{W}\text{Codes}_{n,*}}(E_G)$  with conservation laws  $\Phi(s^{-1}(A)) = \Phi(t^{-1}(A))$  for  $A \subset V_G$

- If directed graph  $G$  has a single outgoing edge at each vertex,  $\{e \in E_G \mid s(e) = v\} = \{\text{out}(v)\}$ , then equalizer condition

$$(C_{\text{out}(v)}, \omega_{\text{out}(v)}) = \bigoplus_{t(e)=v} (C_e, \omega_e),$$

- can be seen as a kind of categorical version of linear neuron model

## Discrete and continuous Hopfield dynamics

- **discrete version** (binary neurons)

$$\nu_j(n+1) = \begin{cases} 1 & \text{if } \sum_k T_{jk} \nu_k(n) + \eta_j > 0 \\ 0 & \text{otherwise} \end{cases}$$

- **continuous version** (neuron firing rates as variables and threshold-linear dynamics)

$$\frac{dx_j}{dt} = -x_j + \left( \sum_k W_{jk} x_k + \theta_j \right)_+$$

$W_{jk}$  real-valued connection strengths,  $\theta_j$  constant external inputs, and  $(\cdot)_+ = \max\{0, \cdot\}$  threshold function

- **finite difference version**

$$\frac{x_j(t + \Delta t) - x_j(t)}{\Delta t} = -x_j + \left( \sum_k W_{jk} x_k(t) + \theta_j \right)_+$$

(versions with or without “leak term”  $-x_j$  on r.h.s.)



## Categorical Hopfield dynamics: Step 1

- as above  $\Sigma_{\mathcal{C}}^{\text{eq}}(G)$  for a network  $G$  and category of resources  $\mathcal{C}$
- $\rho : \mathcal{C} \rightarrow \mathcal{R}$  functor to another category of resources (maybe same) with respect to which dynamics is measured
- $(R, +, \succeq)$  preordered semigroup of category  $\mathcal{R}$
- will use relation  $r_{\mathcal{C}} \succeq 0$  for class of  $\rho(C)$  for threshold-dynamics
- $\mathcal{E}(\mathcal{C}) = \text{Func}(\mathcal{C}, \mathcal{C})$  category of monoidal endofunctors of  $\mathcal{C}$ , morphisms natural transformations
- sum of endofunctors defined pointwise  
 $(F \oplus F')(C) = F(C) \oplus F'(C)$  for all  $C \in \text{Obj}(\mathcal{C})$ .

## Categorical Hopfield dynamics: Step 2

- *bisumming functors*  $T : \mathcal{P}(E) \times \mathcal{P}(E) \rightarrow \mathcal{E}(\mathcal{C})$  summing in both arguments
- *coordinates*:  $T_{ee'}$  with  $T_{A,B} = \bigoplus_{e \in A, e' \in B} T_{ee'}$
- $\Sigma_{\mathcal{E}(\mathcal{C})}^{(2)}(E)$  category of bisumming functors with invertible natural transformations
- $\Sigma_{\mathcal{E}(\mathcal{C})}^{(2)}(G)$  equalizer of functors

$$s, t : \Sigma_{\mathcal{E}(\mathcal{C})}^{(2)}(E) \rightrightarrows \Sigma_{\mathcal{E}(\mathcal{C})}^{(2)}(V)$$

### Categorical Hopfield dynamics: Step 3

- initial condition  $\Phi_0 \in \Sigma_{\mathcal{C}}^{eq}(G)$ : set  $X_A(0) := \Phi_0(A)$   
(or just  $X_e(0) := \Phi_0(e)$ )
- fixed summing functor  $\Psi \in \Sigma_{\mathcal{C}}^{eq}(G)$ : set  $\Theta_e = \Psi(e)$
- take  $Y_e(n) := \bigoplus_{e' \in E} T_{ee'}(X_{e'}(n)) \oplus \Theta_e$
- $r_{Y_e(n)}$  the class in  $(R, +, \succeq)$  of the object  $\rho(Y_e(n))$  in  $\mathcal{R}$
- threshold  $(\cdot)_+$ :  $(Y_e(n))_+ = \bigoplus_{e' \in E} T_{ee'}(X_{e'}(n)) \oplus \Theta_e$  if  $r_{Y_e(n)} \succeq 0$  and zero-object of  $\mathcal{C}$  otherwise
- **equation**

$$X_e(n+1) = X_e(n) \oplus \left( \bigoplus_{e' \in E} T_{ee'}(X_{e'}(n)) \oplus \Theta_e \right)_+$$

or variant  $X_e(n+1) = \left( \bigoplus_{e' \in E} T_{ee'}(X_{e'}(n)) \oplus \Theta_e \right)_+$  (leaking term or not)

## Some properties of the dynamics

- $X_A(n) =: \Phi_n(A)$  defines a sequence of summing functors in  $\Sigma_{\mathcal{C}}^{eq}(G)$
- assignment  $\mathcal{T} : \Phi_n \mapsto \Phi_{n+1}$  defined by solution defines endofunctor  $\mathcal{T} : \Sigma_{\mathcal{C}}^{eq}(G) \rightarrow \Sigma_{\mathcal{C}}^{eq}(G)$
- induced discrete topological dynamical system  $\tau$  on realization  $|\mathcal{N}(\Sigma_{\mathcal{C}}^{eq}(G))| = B\Sigma_{\mathcal{C}}^{eq}(G)$
- for  $\mathcal{C} = \mathcal{WCodes}_{n,*}$  with a measuring semigroup, categorical Hopfield dynamics induces usual (finite difference) Hopfield dynamics on the weights
- **Question:** general results in categorical setting about existence of solutions and behavior?

## Category of concurrent/distributed computing architectures

- category of **transition systems**
  - G. Winskel, M. Nielsen, *Categories in concurrency*, in “Semantics and logics of computation (Cambridge, 1995)”, pp. 299–354, Publ. Newton Inst., 14, Cambridge Univ. Press, 1997.
- models of computation that involve parallel and distributed processing
- objects  $\tau = (S, \iota, \mathcal{L}, \mathcal{T})$  with  $S$  set of possible states of the system,  $\iota$  initial state,  $\mathcal{L}$  set of labels,  $\mathcal{T}$  set of possible transitions,  $\mathcal{T} \subseteq S \times \mathcal{L} \times S$  (specified by initial state, label of transition, final state)
- directed graph with vertex set  $S$  and with set of labelled directed edges  $\mathcal{T}$

- $\text{Mor}_{\mathcal{C}}(\tau, \tau')$  of transition systems pairs  $(\sigma, \lambda)$ , function  $\sigma : S \rightarrow S'$  with  $\sigma(\iota) = \iota'$  and (partially defined) function  $\lambda : \mathcal{L} \rightarrow \mathcal{L}'$  of labeling sets such that, for any transition  $s_{in} \xrightarrow{\ell} s_{out}$  in  $\mathcal{T}$ , if  $\lambda(\ell) \in \mathcal{L}'$  is defined, then  $\sigma(s_{in}) \xrightarrow{\lambda(\ell)} \sigma(s_{out})$  is a transition in  $\mathcal{T}'$
- categorical sum

$$(S, \iota, \mathcal{L}, \mathcal{T}) \oplus (S', \iota', \mathcal{L}', \mathcal{T}') = (S \times \{\iota'\} \cup \{\iota\} \times S', (\iota, \iota'), \mathcal{L} \cup \mathcal{L}', \mathcal{T} \sqcup \mathcal{T}')$$

$$\mathcal{T} \sqcup \mathcal{T}' := \{(s_{in}, \ell, s_{out}) \in \mathcal{T}\} \cup \{(s'_{in}, \ell', s'_{out}) \in \mathcal{T}'\}$$

where both sets are seen as subsets of

$$(S \times \{\iota'\} \cup \{\iota\} \times S') \times (\mathcal{L} \cup \mathcal{L}') \times (S \times \{\iota'\} \cup \{\iota\} \times S')$$

- zero object is given by the stationary single state system  $S = \{\iota\}$  with empty labels and transitions

## Grafting

- $\tau_i = (S_i, \iota_i, \mathcal{L}_i, \mathcal{T}_i)$  for  $i = 1, 2$  objects in category  $\mathcal{C}$  of transition systems
- a choice of two states  $s \in S_1$  and  $s' \in S_2$
- **grafting**  $\tau_{s,s'} = (S, \iota, \mathcal{L}, \mathcal{T})$  in  $\mathcal{C}$  with  $S = S_1 \cup S_2$ ,  $\iota = \iota_1$ ,  $\mathcal{L} = \mathcal{L}_1 \cup \mathcal{L}_2 \cup \{e\}$  and  $\mathcal{T} = \mathcal{T}_1 \cup \mathcal{T}_2 \cup \{(s, e, s')\}$
- $\mathcal{C}' \subset \mathcal{C}$  subcategory of transition systems  $\tau$  with a single final state  $q \in S$
- then grafting  $\tau_1 \star \tau_2$  given by  $\tau_{q_1, \iota_2}$  with final state of  $\tau_1$  grafted to initial state of  $\tau_2$
- $G$  finite acyclic directed graph and  $\omega$  a topological ordering vertex set  $V_G$  then given  $\{\tau_v\}_{v \in V}$  objects of  $\mathcal{C}'$  there is a well defined grafting  $\tau_{G, \omega}$  of the  $\tau_v$  that is also an object in  $\mathcal{C}'$

## Strongly connected components, condensation graph, and computational architecture functor

- finite directed graph  $G$ : subset  $V' \subset V_G$  is a strongly connected component if each vertex in  $V'$  reachable through oriented path in  $G$  from any other
- condensation graph  $\bar{G}$  is a directed acyclic graph: obtained from  $G$  by contracting each subgraph of a strongly connected component to a single vertex
- $\mathcal{G} := \text{Func}(2, \mathcal{F})$  category of finite directed graphs
- $\Delta_{\mathcal{G}}$  category with objects pairs  $(G, \Phi)$  with  $G \in \text{Obj}(\mathcal{G})$  and  $\Phi \in \Sigma_{\mathcal{C}}(V_G)$ , morphisms  $(\alpha, \alpha_*)$  with  $\alpha : G \rightarrow G'$  and  $\alpha_*(\Phi)(A) = \Phi(\alpha_V^{-1}(A))$
- $\Delta'_{\mathcal{G}}$  subcategory of  $\Delta_{\mathcal{G}}$  with objects  $(G, \Phi)$  where summing functor  $\Phi$  takes values in  $\mathcal{C}'$
- functor  $\Xi_0 : \Delta'_{\mathcal{G}} \rightarrow \mathcal{C}'$  assigning to an object  $(G, \Phi)$  the grafting  $\tau_{\bar{G}, \bar{\omega}}$  along the condensation graph  $\bar{G}$  of the  $\Phi(V_{G_i})$  with  $G_i$  the strongly connected components of  $G$



## Modeling computational architectures of neuronal networks

- local automata model (discretized) individual neurons with pre-synaptic and post-synaptic activity
- grafting of these automata where their inputs and outputs are connected model connectivity of the network
- can adapt this setting to model non-local neuromodulation effects (distributed computing models of neuromodulation: Potjans–Morrison–Diesmann)

## Keeping track of associated measures of informational complexity

### Information and codes

- probability distribution associated to neural codes through its firing rate
- word of length  $n$  recording a digit 1 for each time interval  $\Delta t$  that contains a spike and a 0 otherwise
- $(\Sigma_2^+, \mu_P)$  with  $\Sigma_2^+ = \{0, 1\}^{\mathbb{N}}$  and  $\mu_P$  Bernoulli measure
- $\mu_P(\Sigma_2^+(w_1, \dots, w_n)) = p^{a_n(w)}(1-p)^{b_n(w)}$  with  $a_n(w)$  number of 1's and  $b_n(w) = n - a_n(w)$  the number of zeros
- for large  $n$  neural code  $C$  in Shannon Random Code Ensemble of  $(\Sigma_2^+, \mu_P)$

$$\lim_{n \rightarrow \infty} \frac{a_n(w)}{n} \stackrel{a.e.}{=} p$$

- category  $\mathcal{P}_f$  of finite probabilities with fiberwise measures (not normalized) as morphisms
- $\phi = (f, \Lambda) : (X, P_X) \rightarrow (Y, P_Y)$  with  $f : X \rightarrow Y$  pointed map  $f(\text{supp}(P_X)) \subset \text{supp}(P_Y)$  and  $\Lambda = \{\lambda_y\}$  on fibers  $f^{-1}(y) \subset X$ , with  $\lambda_{y_0}(x_0) > 0$  and  $P_X(A) = \sum_{y \in Y} \lambda_y(A \cap f^{-1}(y)) P_Y(y)$
- $\mathcal{P}_f$  has zero object and sum
- **functor**  $P : \text{Codes}_{n,*} \rightarrow \mathcal{P}_f$

$$P_C(c) = \begin{cases} \frac{b(c)}{n(\#C-1)} & c \neq c_0 \\ 1 - \sum_{c' \neq c_0} \frac{b(c')}{n(\#C-1)} & c = c_0 \end{cases}$$

- consistent assignments of codes to a network  $\Rightarrow$  assignment of probabilities
- **information**:  $\mathcal{P}_{f,s}$  subcategory with  $f : X \rightarrow Y$  surjections and  $\lambda_y(x)$  for  $x \in f^{-1}(y)$  probability measures, then Shannon entropy is a functor  $S : \mathcal{P}_{f,s} \rightarrow \mathbb{R}$  (with  $(\mathbb{R}, \geq)$  thin category)

## Integrated Information (Tononi)

- 1 G. Tononi G (2008) *Consciousness as integrated information: A provisional manifesto*, Biol. Bull. 215 (2008) N.3, 216–242.
  - 2 M. Oizumi, N. Tsuchiya, S. Amari, *Unified framework for information integration based on information geometry*, PNAS, Vol. 113 (2016) N. 51, 14817–14822.
- want to measure amount of informational complexity in a system that is not separately reducible to its individual parts
  - possibilities of causal relatedness among different parts of the system

## Computing integrated information

- consider all possible ways of splitting a given system into subsystems
- the state of the system at a given time  $t$  is described by a set of observables  $X_t$  and the state at a near-future time by  $X_{t+1}$
- partition  $\lambda$  into  $N$  subsystems  $\Rightarrow$  splitting of these variables  $X_t = \{X_{t,1}, \dots, X_{t,N}\}$  and  $X_{t+1} = \{X_{t+1,1}, \dots, X_{t+1,N}\}$  into variables describing the subsystems
- all causal relations among the  $X_{t,i}$  or among the  $X_{t+1,i}$ , also causal influence of the  $X_{t,i}$  on the  $X_{t+1,j}$  through time evolution captured (statistically) by joint probability distribution  $\mathbb{P}(X_{t+1}, X_t)$
- compare information content of this joint distribution with distribution where only causal dependencies between  $X_{t+1}$  and  $X_t$  through evolution within separate subsystem not across subsystems

- set  $\mathcal{M}_\lambda$  of probability distributions  $\mathbb{Q}(X_{t+1}, X_t)$  with property that

$$\mathbb{Q}(X_{t+1,i}|X_t) = \mathbb{Q}(X_{t+1,i}|X_{t,i})$$

for each subset  $i = 1, \dots, N$  of the partition  $\lambda$

- minimize Kullback-Leibler divergence between actual system and its best approximation in  $\mathcal{M}_\lambda$  over choice of partition  $\lambda$
- **integrated information**

$$\Phi = \min_{\lambda} \min_{\mathbb{Q} \in \mathcal{M}_\lambda} \text{KL}(\mathbb{P}(X_{t+1}, X_t) || \mathbb{Q}(X_{t+1}, X_t))$$

- value  $\Phi$  represents additional information in the whole system not reducible to smaller parts

## Cohomological view of information (Bennequin, Badot, Vigneaux)

- *abelian* category describing probability data: category  $\mathcal{IS}$  of finite information structures with random variables and simplicial set of associated probabilities, with functor to vector spaces: real valued measurable functions; resulting abelian category of modules over a sheaf of algebras
- Hochschild cochain complex and associated cohomology
- Shannon entropy, KL divergence, Tsallis entropy: all have interpretation as nontrivial 1-homology generators

Use this setting to construct:

- contravariant functor  $\mathcal{I} : \text{Codes}_{n,*} \rightarrow \mathcal{IS}$
- using above construction functor from  $\Sigma_{\text{Codes}_{n,*}}^{eq}(G)$  to cochain complexes and cohomology
- using Hochschild cocycle interpretation of KL divergence obtain cohomological interpretation for integrated information, with functorial map from  $\Sigma_{\text{Codes}_{n,*}}^{eq}(G)$

## Further steps

- neural codes generate homotopy types, in the form of the nerve simplicial set of an open covering associated to a (convex) code (Curto et al.)
- recover that homotopy type from the above setting with information structures
- combine the simplicial sets obtained in this way with those obtained via Gamma spaces describing assignments of resources to network
- simplicial sets  $K(G)$  given by clique complex of network  $G$  also realized as special case finite information structures



## Conclusion: proposed view

- working hypothesis: the brain *represents* the stimulus space through a *homotopy type*
- mathematical modeling of network architectures in the brain should include mechanisms that generates homotopy types (Gamma spaces, information structures)
- higher topological complexity in these homotopy types implies (but is not implies by) higher values of (cohomological) integrated information
- Question: is there a good model of a “qualia” in terms of homotopy types?