

Noncommutative Geometry and Arithmetic

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The geometry of imaginary quadratic fields

Elliptic curves

$$E_q(\mathbb{C}) = \mathbb{C}^*/q^{\mathbb{Z}} = \mathbb{C}^2/(\mathbb{Z} + \tau\mathbb{Z})$$

Complex multiplication $\text{End}(E_{\tau, \mathbb{K}}) = \mathbb{Z} + fO_{\mathbb{K}}$

$\mathbb{K} = \mathbb{Q}(\tau) = \mathbb{Q}(\sqrt{-d})$, ring of integers $O_{\mathbb{K}}$, $f \geq 1$ integer
(conductor)

Abelian extensions of imaginary quadratic fields (torsion points)

$$\mathbb{K}^{ab} = \mathbb{K}(t(E_{\tau, \mathbb{K}, \text{tors}}), j(E_{\tau, \mathbb{K}}))$$

t = coordinate on quotient $E_{\tau}/\text{Aut}(E_{\tau}) \simeq \mathbb{P}^1$
 $j(E_{\tau, \mathbb{K}})$ j -invariant

The moduli space viewpoint

Elliptic curves E_τ up to isomorphism

modular curve $X_\Gamma(\mathbb{C}) = \mathbb{H}/\Gamma$, upper half plane mod $\mathrm{PSL}_2(\mathbb{Z})$

+ level structure: $X_G(\mathbb{C}) = \mathbb{H}/G$, finite index $G \subset \Gamma$

complex multiplication case $\tau \in \mathbb{H}$ CM points, in some $\mathbb{K} = \mathbb{Q}(\tau) = \mathbb{Q}(\sqrt{-d})$

F field of **modular functions** on the tower

$$Sh(\mathrm{GL}_2, \mathbb{H}^\pm) = \mathrm{GL}_2(\mathbb{Q}) \backslash \mathrm{GL}_2(\mathbb{A}_{\mathbb{Q},f}) \times \mathbb{H}^\pm$$

abelian extensions of imaginary quadratic fields:

$$\mathbb{K}^{ab} = \mathbb{K}(f(\tau)), \quad f \in F, \quad \tau \in \text{CM points of } X_\Gamma$$

values of modular functions at CM points

Galois action $\mathrm{Gal}(\mathbb{K}^{ab}/\mathbb{K})$ induced by $\mathrm{Aut}(F) = \mathbb{Q}^* \backslash \mathrm{GL}_2(\mathbb{A}_{\mathbb{Q},f})$

Case of \mathbb{Q} : Kronecker–Weber

$$\mathbb{Q}^{ab} = \mathbb{Q}(\mathbb{G}_{m,\text{tors}}),$$

torsion points of **multiplicative group** \mathbb{G}_m , **roots of unity**,
cyclotomic extensions tower

$$Sh(\text{GL}_1, \pm 1) = \text{GL}_1(\mathbb{Q}) \backslash \text{GL}_1(\mathbb{A}_{\mathbb{Q},f}) \times \{\pm 1\}$$

Observation the multiplicative group $\mathbb{C}^* = \mathbb{G}_m(\mathbb{C})$ is a degenerate elliptic curve

$$q \rightarrow e^{2\pi i\theta}, \quad \theta \in \mathbb{P}^1(\mathbb{Q}) \subset \mathbb{P}^1(\mathbb{R}) = \partial\mathbb{H}$$

Other possible degenerations of $E_q(\mathbb{C}) = \mathbb{C}^*/q^{\mathbb{Z}}$ when $q \rightarrow e^{2\pi i\theta}$
with $\theta \in \mathbb{R} \setminus \mathbb{Q}$???

No longer within **algebraic** geometry but **noncommutative** geometry

Quotients in NCG are replaced by crossed product algebras!

Other number fields? Real quadratic fields?

Hilbert's 12th problem (explicit class field theory)

Manin's program: **Noncommutative tori and real multiplication**

Goal: find a geometric analog of CM elliptic curves for real quadratic fields $\mathbb{Q}(\sqrt{d})$

Noncommutative tori $\mathcal{A}_\theta = C(S^1) \rtimes_\theta \mathbb{Z}$ irrational rotation

Two unitaries with $VU = e^{2\pi i\theta} UV$

Twisted group C^* -algebra $C^*(\mathbb{Z}^2, \sigma)$

$$\sigma_\theta((n, m), (n', m')) = \exp(-2\pi i(\xi_1 nm' + \xi_2 mn')), \quad \theta = \xi_2 - \xi_1$$

Real multiplication when $\theta \in \mathbb{Q}(\sqrt{d})$ non-trivial self **Morita equivalences** of the NC torus

Geometric idea: noncommutative geometry describes **bad quotients**

X = nice geometric object (smooth manifold, variety, etc)

\sim = equivalence relation

In general **quotient** $Y = X / \sim$ no longer nice

Functions $C(Y) = \{f \in C(X) \mid \sim \text{invariant}\}$ **too small**

(for instance $C(Y) = \mathbb{C}$)

Better algebra of functions $C(\mathcal{R})$ functions on $\mathcal{R} \subset X \times X$ **graph**
of the equivalence relation

$$f_1 \star f_2(x, y) = \sum_{x \sim z \sim y} f_1(x, z) f_2(z, y)$$

convolution product: associative, **non-commutative**

Algebra of function on the “noncommutative space” $Y = X / \sim$

Leaves identification explicit: **groupoid** (cf stacks in alg geom)

Real quadratic fields candidate generators for abelian extensions

Stark numbers: lattices $L \subset \mathbb{K} = \mathbb{Q}(\sqrt{d})$, family of L-functions

$$S_0(L, \ell_0) = \exp\left(\frac{d}{ds} \zeta(L, \ell_0, s) \Big|_{s=0}\right)$$

Prototype example: **Shimizu L-function**

$$L(\Lambda, s) = \sum_{\mu \in (\Lambda \setminus \{0\})/V} \frac{\text{sign}(N(\mu))}{|N(\mu)|^s}$$

$\Lambda = \iota(L) \subset \mathbb{R}^2$ lattice from two embeddings of $L \subset \mathbb{K}$ in \mathbb{R} ,

$$V = \{u \in \mathcal{O}_{\mathbb{K}}^* \mid uL \subset L, \iota(u) \in (\mathbb{R}_+^*)^2\} = \epsilon^{\mathbb{Z}}$$

units, and $N(\mu) = \mu\mu'$ norm

\Rightarrow in terms of geometry of NC tori with real multiplication?

$\mathbb{T}_\theta/\text{Aut}(\mathbb{T}_\theta)$ analog of $E_{\mathbb{K}}/\text{Aut}(E_{\mathbb{K}})$ for NC tori?
(hint from Atiyah–Donnelly–Singer proof of Hirzebruch conjecture)

Solvmanifold $X_\epsilon = \mathbb{R}^2 \rtimes_\epsilon \mathbb{R}/S(\Lambda, V)$

$$\pi_1(X_\epsilon) = S(\Lambda, V) = \mathbb{Z}^2 \rtimes_{\varphi_\epsilon} \mathbb{Z} = \Lambda \rtimes_\epsilon V$$

$T^2 \rightarrow X_\epsilon \rightarrow S^1$ fibration (mapping torus)

Commutative **homotopy quotient** model (Baum–Connes) of NC space $\mathbb{T}_\theta/\text{Aut}(\mathbb{T}_\theta)$ given by

$$\mathcal{A}_\theta \rtimes V \cong C^*(\mathbb{Z}^2 \rtimes_{\varphi_\epsilon} \mathbb{Z}, \tilde{\sigma}_\theta)$$

$$\tilde{\sigma}_\theta((n, m, k), (n', m', k')) = \sigma_\theta((n, m), (n', m')\varphi_\epsilon^k)$$

Isospectral deformation of X_ϵ to NC space: all fiber T^2 become NC tori \mathbb{T}_θ , **spectral triple** $(\mathcal{A}, \mathcal{H}, \mathcal{D})$ (NC Riemannian manifold)
 fiberwise Dirac operator on RM noncommutative torus

$$D_{\theta, \theta'} = \begin{pmatrix} 0 & \delta_{\theta'} - i\delta_\theta \\ \delta_{\theta'} + i\delta_\theta & 0 \end{pmatrix}$$

$$\delta_\theta \psi_{n,m} = (n + m\theta) \psi_{n,m}, \quad \text{and} \quad \delta_{\theta'} \psi_{n,m} = (n + m\theta') \psi_{n,m}$$

Eta function \Rightarrow Shimizu L -function

Wick rotation of a **Lorentzian** geometry (Lorentzian spectral triple)

$N(\lambda) = \lambda_1 \lambda_2 = (n + m\theta)(n + m\theta')$ modes of wave operator

$\square_\lambda = N(\lambda)$, Lorentzian Dirac operator $\mathcal{D}_{\mathbb{K}, \lambda}^2 = \square_\lambda$

The noncommutative boundary of modular curves

NC tori are degenerations of elliptic curves at the irrational points $\tau \rightarrow \theta$ of the boundary $\mathbb{P}^1(\mathbb{R})$ of \mathbb{H}

Moduli space viewpoint:

NC space $C(\mathbb{P}^1(\mathbb{R})) \rtimes \Gamma$ as moduli space of NC tori
(with level structure, if $G \subset \Gamma$ finite index)

holography principle: NCG on the boundary recovers AG in the bulk space, holographic image of modular forms? “modular shadows”

Bulk/boundary correspondence for modular curves

- K-theory of NC boundary \Leftrightarrow Manin's modular complex $H_1(X_G)$
- modular symbols $\{x, y\}$ between cusps $\mathbb{P}^1(\mathbb{Q})/G$ extend to "limiting modular symbols" at irrational points (limiting cycles)
- Selberg zeta function of X_G as Fredholm determinant of Ruelle transfer operator on NC boundary
- Manin's identities for periods of modular forms become integral averages of "Lévy–Mellin transforms" on the NC boundary

Key: orbits of Γ on $\mathbb{P}^1(\mathbb{R}) \setminus \mathbb{P}^1(\mathbb{Q}) \Leftrightarrow$ orbits of the shift of the continued fraction expansion

NC spaces of \mathbb{Q} -lattices

Degenerations of elliptic curves to NC tori \Leftrightarrow **degenerations of lattices** $\Lambda = \mathbb{Z} + \tau\mathbb{Z}$ to pseudolattices $L = \mathbb{Z} + \theta\mathbb{Z}$

Adelic description of lattices \Rightarrow can also degenerate at the non-archimedean components \Leftrightarrow **degenerations of level structures**

\mathbb{Q} -lattices (Λ, ϕ) with $\Lambda \subset \mathbb{R}^n$ lattice and $\phi : \mathbb{Q}^n/\mathbb{Z}^n \rightarrow \mathbb{Q}\Lambda/\Lambda$ group homom

Commensurability $\mathbb{Q}\Lambda_1 = \mathbb{Q}\Lambda_2$ and $\phi_1 = \phi_2 \bmod \Lambda_1 + \Lambda_2$

Generalized for number fields or function fields \mathbb{K} instead of \mathbb{Q}
Quotient by commensurability = NC space

- 1-dimensional \mathbb{Q} -lattices

The cyclotomic tower $Sh(GL_1, \pm 1) = GL_1(\mathbb{Q}) \backslash GL_1(\mathbb{A}_{\mathbb{Q},f}) \times \{\pm 1\}$
replaced by noncommutative

$$Sh^{nc}(GL_1, \pm 1) = GL_1(\mathbb{Q}) \backslash \mathbb{A}_{\mathbb{Q},f} \times \{\pm 1\}$$

C^* -algebra $C_0(\mathbb{A}_{\mathbb{Q},f}) \rtimes \mathbb{Q}_+^*$ Morita equivalent to
 $C(\hat{\mathbb{Z}}) \rtimes \mathbb{N} = C^*(\mathbb{Q}/\mathbb{Z}) \rtimes \mathbb{N}$ (Bost–Connes algebra)

- 2-dimensional \mathbb{Q} -lattices

The Shimura variety $Sh(GL_2, \mathbb{H}^\pm) = GL_2(\mathbb{Q}) \backslash GL_2(\mathbb{A}_{\mathbb{Q},f}) \times \mathbb{H}^\pm$ of
the modular tower replaced by noncommutative

$$Sh^{nc}(GL_2, \mathbb{H}^\pm) = GL_2(\mathbb{Q}) \backslash M_2(\mathbb{A}_{\mathbb{Q},f}) \times \mathbb{P}^1(\mathbb{C})$$

a groupoid C^* -algebra (more delicate: Γ -isomorphisms)

Quantum statistical mechanics

Algebra of observables: (unital) C^* -algebra \mathcal{A}

Time evolution: $\sigma : \mathbb{R} \rightarrow \text{Aut}(\mathcal{A})$

States: $\varphi : \mathcal{A} \rightarrow \mathbb{C}$, $\varphi(a^*a) \geq 0$, $\varphi(1) = 1$, probability measures
(extremal = points)

KMS Equilibrium states (Kubo-Martin-Schwinger) at inverse temperature β : $\forall a, b \in \mathcal{A}, \exists F_{a,b}(z)$

$$\varphi(a\sigma_t(b)) = F_{a,b}(t), \quad \varphi(\sigma_t(b)a) = F_{a,b}(t + i\beta)$$

$F_{a,b}$ holomorphic on horizontal strip $I_\beta = \{0 < \Im(z) < \beta\}$,
bounded continuous on ∂I_β

φ_β fails to be a trace by amount controlled by interpolation by a holomorphic function

QSM systems of \mathbb{Q} -lattices

1-dimensional \mathbb{Q} -lattices up to commensurability and scaling:
algebra $\mathcal{A} = C^*(\mathbb{Q}/\mathbb{Z}) \rtimes \mathbb{N}$, time evolution

$$\sigma_t(f)(L, L') = \left(\frac{\text{covol}(L')}{\text{covol}(L)} \right)^{it} f(L, L')$$

$$\sigma_t(e(r)) = e(r), \sigma_t(\mu_n) = n^{it} \mu_n$$

Bost–Connes quantum statistical mechanical system

Analog for 2-dimensional \mathbb{Q} -lattices

Idea: Equilibrium states of a QSM at inverse temperature β are like “points” for a NC space (extremal KMS states)

Idea Low temperature equilibrium states recover classical (algebrao-geometric) spaces

- 1-dimensional \mathbb{Q} -lattices

Low temperature extremal KMS states

$Sh(GL_1, \pm 1) = GL_1(\mathbb{Q}) \backslash GL_1(\mathbb{A}_{\mathbb{Q},f}) \times \{\pm 1\}$, with symmetries $\hat{\mathbb{Z}}^*$;
values of KMS states on $\mathbb{Q}[\mathbb{Q}/\mathbb{Z}] \rtimes \mathbb{N}$ torsion points of \mathbb{G}_m (roots of unity) generators of \mathbb{Q}^{ab}

- 2-dimensional \mathbb{Q} -lattices

Low temperature extremal KMS states

$Sh(GL_2, \mathbb{H}^\pm) = GL_2(\mathbb{Q}) \backslash GL_2(\mathbb{A}_{\mathbb{Q},f}) \times \mathbb{H}^\pm$, with symmetries
 $Aut(F) = \mathbb{Q}^* \backslash GL_2(\mathbb{A}_{\mathbb{Q},f})$

QSM of imaginary quadratic fields $\mathbb{K} = \mathbb{Q}(\sqrt{-d})$

Commensurability classes of 1-dimensional \mathbb{K} -lattices, convolution algebra

$$(f_1 \star f_2)((\Lambda, \phi), (\Lambda', \phi')) = \sum_{(\Lambda'', \phi'') \sim (\Lambda, \phi)} f_1((\Lambda, \phi), (\Lambda'', \phi'')) f_2((\Lambda'', \phi''), (\Lambda', \phi'))$$

Restriction of algebra of 2-dim \mathbb{Q} -lattices to 1-dim \mathbb{K} -lattices
Same time evolution: norms of ideals

Symmetries: $\mathbb{A}_{\mathbb{K}, f}^* / \mathbb{K}^* \simeq \text{Gal}(\mathbb{K}^{ab} / \mathbb{K})$

(automorphisms $\hat{\mathcal{O}}^* / \mathcal{O}^*$, endomorphisms $Cl(\mathcal{O})$, class number)

Zero temperature extremal KMS states \Rightarrow values of modular functions at CM points (explicit class field theory)

QSM of number fields

Ha–Paugam: generalization of 2-dim \mathbb{Q} -lattices to Shimura varieties, from these QSM systems of number fields by specialization, reformulation gives

$$\mathcal{A}_{\mathbb{K}} = C(G_{\mathbb{K}}^{ab} \times_{\hat{\mathcal{O}}_{\mathbb{K}}^*} \hat{\mathcal{O}}_{\mathbb{K}}) \rtimes J_{\mathbb{K}}^+,$$

$J_{\mathbb{K}}^+$ semigroup of integral ideals, $G_{\mathbb{K}}^{ab} = \text{Gal}(\mathbb{K}^{ab}/\mathbb{K})$

Time evolution by norms of nonzero ideals $\sigma_t(\mu_a) = n(a)^{it} \mu_a$

Partition function Dedekind zeta function $\zeta_{\mathbb{K}}(\beta) = \sum_a n(a)^{-\beta}$

No solution of Hilbert's 12th problem (arithmetic subalgebra to evaluate zero temperature KMS states?)

From noncommutative to anabelian geometry

How much does $(\mathcal{A}_{\mathbb{K}}, \sigma_{\mathbb{K}})$ know about \mathbb{K} ?

Neukirch–Uchida: $\mathbb{K} \simeq \mathbb{L}$ isomorphic as fields iff
absolute Galois groups isomorphic as topological groups

The QSM system $(\mathcal{A}_{\mathbb{K}}, \sigma_{\mathbb{K}})$ seems to involve only the
abelianization $G_{\mathbb{K}}^{ab}$, but ...

Thm (Cornelissen-M.) $\mathbb{K} \simeq \mathbb{L}$ isomorphic as fields iff
 $(\mathcal{A}_{\mathbb{K}}, \sigma_{\mathbb{K}})$ and $(\mathcal{A}_{\mathbb{L}}, \sigma_{\mathbb{L}})$ **isomorphic QSM**

Also equivalent to identity of all L -series with Hecke characters
(induced by a homeom of idele class groups)

Where is the **anabelian** geometry hidden in the QSM $(\mathcal{A}_{\mathbb{K}}, \sigma_{\mathbb{K}})$?

Outline of proof start with isomorphism of QSM:

$\varphi : \mathcal{A}_{\mathbb{K}} \rightarrow \mathcal{A}_{\mathbb{L}}$ isom of C^* -algebras with $\sigma_{\mathbb{L}}\varphi = \varphi\sigma_{\mathbb{K}}$

This gives:

- Homeomorphism of space of extremal KMS_{β} states
- $\zeta_{\mathbb{K}}(\beta) = \zeta_{\mathbb{L}}(\beta)$ **arithmetic equivalence** of fields
- Homeomorphism of $X_{\mathbb{K}}$ and $X_{\mathbb{L}}$ with $X_{\mathbb{K}} = G_{\mathbb{K}}^{ab} \times_{\hat{\mathcal{O}}_{\mathbb{K}}^*} \hat{\mathcal{O}}_{\mathbb{K}}$
- Locally constant (in $X_{\mathbb{K}}$) isomorphism of semigroups $J_{\mathbb{K}}^+$ and $J_{\mathbb{L}}^+$
- Isomorphism of $G_{\mathbb{K}}^{ab}$ and $G_{\mathbb{L}}^{ab}$ as **endomorphisms** of the QSM
- Locally constant $J_{\mathbb{K}}^+ \simeq J_{\mathbb{L}}^+$ is constant
- Induced isoms $\hat{\mathcal{O}}_{\mathbb{K}}^* \simeq \hat{\mathcal{O}}_{\mathbb{L}}^*$, $\mathbb{A}_{\mathbb{K},f}^* \simeq \mathbb{A}_{\mathbb{L},f}^*$, and $\mathcal{O}_{\mathbb{K}}^{\times} \simeq \mathcal{O}_{\mathbb{L}}^{\times}$

Outline of proof next step

- Isom $J_{\mathbb{K}}^+ \simeq J_{\mathbb{L}}^+$ induces isom of additive groups of residue fields $(\bar{\mathbb{K}}_{\varphi}, +) \simeq (\bar{\mathbb{L}}_{\varphi(\varrho)}, +)$ at prime ideals (using Galois cohomology)
- Same map induces isom of multiplicative groups of integers and of additive groups of residue fields $\Rightarrow \mathbb{K}$ and \mathbb{L} **isomorphic as fields**

Matching of L -series low temperature KMS states

$$\omega_{\beta}(f) = \frac{\chi(\rho\gamma)}{\zeta_{\mathbb{K}}(\beta)} \sum_{a \in J_{\mathbb{K}, B}^+} \frac{\tilde{\chi}(a)}{N_{\mathbb{K}}(a)^{\beta}}$$

$f(\gamma, \rho) = \chi(\gamma\rho)$, Hecke character whose restriction to $\hat{\mathcal{O}}^*$ depends on set of places B , Dirichlet character $\tilde{\chi}$