

Symbolic Dynamics, Modular Curves, and Bianchi IX Cosmologies

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Topics in Mathematical Physics

Based on:

- Yuri Manin, Matilde Marcolli, *Symbolic Dynamics, Modular Curves, and Bianchi IX Cosmologies*, arXiv:1504.04005 [gr-qc]

Kasner metrics

- real circle in $\mathbb{R}^3$ defined by equations

$$p_a + p_b + p_c = 1, \quad p_a^2 + p_b^2 + p_c^2 = 1$$

- each point on this circle defines a metric with Minkowskian (or Euclidean) signature

$$\pm dt^2 + a(t)^2 dx^2 + b(t)^2 dy^2 + c(t)^2 dz^2$$

with *scaling factors* a, b, c :

$$a(t) = t^{p_a}, \quad b(t) = t^{p_b}, \quad c(t) = t^{p_c}, \quad t > 0.$$

Kasner metric with exponents (p_a, p_b, p_c) .

u-parameterization

- Points (p_a, p_b, p_c) on the circle parameterized by a coordinate $u \in [1, \infty]$

$$p_1^{(u)} := -\frac{u}{1+u+u^2} \in [-1/3, 0]$$

$$p_2^{(u)} := \frac{1+u}{1+u+u^2} \in [0, 2/3]$$

$$p_3^{(u)} := \frac{u(1+u)}{1+u+u^2} \in [2/3, 1]$$

- Rearrange the exponents $p_1^{(u)} \leq p_2^{(u)} \leq p_3^{(u)}$ by a bijection $(1, 2, 3) \rightarrow (a, b, c)$ (permutation of the 3 space axes)

Mixmaster Universe (1970s)

V. Belinskii, I.M. Khalatnikov, E.M. Lifshitz, *Oscillatory approach to singular point in Relativistic cosmology*. Adv. Phys. 19 (1970), 525–551.

- Anisotropic cosmologies
 - Locally described by a Kasner metric
 - Sequence of Kasner metrics (Kasner epochs and cycles)
 - Within each epoch one direction dominates expansion, the other two oscillate in a series of Kasner cycles
 - At the end of each epoch a *bounce* occurs and a possibly different direction becomes responsible for expansion
 - Approach: model the dynamics by a discrete dynamical system that determines epochs and cycles
- ⇒ continued fraction expansion

Continued fraction expansion

- infinite continued fraction expansion of a number $x \in \mathbb{R} \setminus \mathbb{Q}$, $x > 1$:

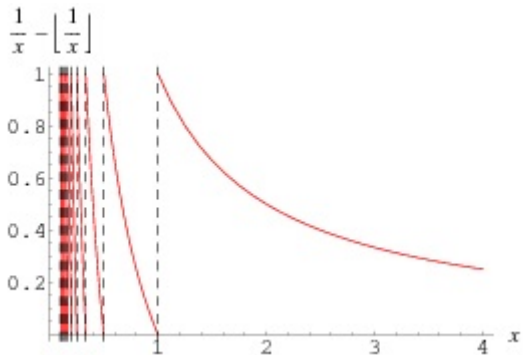
$$x = k_0 + \frac{1}{k_1 + \frac{1}{k_2 + \dots}} =: [k_0, k_1, k_2, \dots], \quad k_s \in \mathbb{N}$$

with $k_0 := [x]$, $k_1 = [1/x]$ etc. digits $k_i \in \mathbb{N}$
for a point in $[0, 1]$, expansion $[0, k_1, k_2, \dots, k_n, \dots]$

- Dynamical system: (partial map)
one sided shift of the continued fraction expansion

$$T : [0, 1] \rightarrow [0, 1] \quad T : x \mapsto \frac{1}{x} - \left[\frac{1}{x} \right]$$

$$[0, k_0, k_1, k_2, \dots, k_n, \dots] \xrightarrow{T} [0, k_1, k_2, \dots, k_{n+1}, \dots]$$



One-sided shift of the continued fraction expansion

- Dynamical system: (partial map)
invertible two sided shift

$$\tilde{T} : [0, 1]^2 \rightarrow [0, 1]^2 \quad \tilde{T} : (x, y) \mapsto \left(\frac{1}{x} - \left\lfloor \frac{1}{x} \right\rfloor, \frac{1}{y + [1/x]} \right)$$

- On $[0, 1]^2 \cap (\mathbb{R}^2 \setminus \mathbb{Q}^2)$ uniquely defined $k_s \in \mathbb{N}$

$$x = [0, k_0, k_1, k_2, \dots], \quad y = [0, k_{-1}, k_{-2}, \dots]$$

$$\frac{1}{x} - \left\lfloor \frac{1}{x} \right\rfloor = [0, k_1, k_2, \dots], \quad \frac{1}{y + [1/x]} = \frac{1}{k_0 + y} = [0, k_0, k_{-1}, k_{-2}, \dots]$$

- On this subset $\tilde{T}$ bijective with invariant density

$$d\mu(x, y) = \frac{dx dy}{\log 2 \cdot (1 + xy)^2}$$

- encode $(x, y) \in [0, 1]^2 \cap (\mathbb{R}^2 \setminus \mathbb{Q}^2)$ with doubly infinite sequence
 $(k) := [\dots k_{-2}, k_{-1}, k_0, k_1, k_2, \dots], k_i \in \mathbb{N}$ where $T(k)_s = k_{s+1}$
invertible shift

Continued fractions and Mixmaster Universe

- *typical* solutions of Einstein equations Bianchi IX type with $SO(3)$ -symmetry oscillates (near the initial singularity) close to a sequence of Kasner type solutions
- local logarithmic time $d\Omega := -\frac{dt}{abc}$
- for $\Omega \cong -\log t \rightarrow +\infty$:
 - increasing sequence of times $\Omega_0 < \Omega_1 < \dots < \Omega_n < \dots$
 - sequence of irrational real numbers $u_n \in (1, +\infty)$, $n = 0, 1, 2, \dots$
- semi-interval $[\Omega_n, \Omega_{n+1})$ is n -th Kasner epoch
- start at time Ω_n with a value $u = u_n > 1$:

$$p_1 = -\frac{u}{1+u+u^2}, \quad p_2 = \frac{1+u}{1+u+u^2}, \quad p_3 = \frac{u(1+u)}{1+u+u^2}$$

- Kasner cycles (within same Kasner epoch)
 $u = u_n - 1, u_n - 2, \dots$, with corresponding Kasner metrics

- after $k_n := [u_n]$ cycles inside the same Kasner epoch, a jump to the next epoch with new parameter

$$u_{n+1} = \frac{1}{u_n - [u_n]}$$

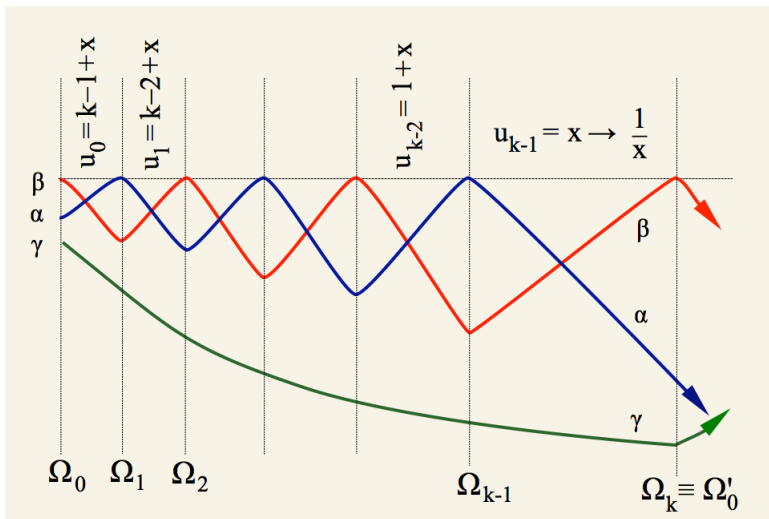
- at the end of each epoch a reshuffling of space axes (also determined by the discrete dynamical system)
- sequence of logarithmic times Ω_n specified by a sequence δ_n

$$\Omega_{n+1} = [1 + \delta_n k_n (u_n + 1/\{u_n\})] \Omega_n$$

- setting $\eta_n = (1 - \delta_n)/\delta_n$ recursion

$$\eta_{n+1} x_n = \frac{1}{k_n + \eta_n x_{n_1}}$$

with $x_n = u_n - k_n$



- I. M. Khalatnikov, E. M. Lifshitz, K. M. Khanin, L. N. Shchur, and Ya. G. Sinai. *On the stochasticity in relativistic cosmology*. Journ. Stat. Phys., Vol. 38, Nos. 1/2 (1985), 97–114
- D.H. Mayer, *Relaxation properties of the Mixmaster Universe*, Phys. Lett. A 121 (1987), no. 8,9, 390–394

Conclusion:

- trajectories of mixmaster universe dynamics are parameterized by pairs $(x, y) \in [0, 1]^2 \cap (\mathbb{R}^2 \setminus \mathbb{Q}^2)$

$$x = [0, k_0, k_1, k_2, \dots], \quad y = [0, k_{-1}, k_{-2}, \dots]$$

x specifies number of Kasner cycles in each Kasner epoch, y specifies the Kasner logarithmic times

- transition from one Kasner epoch to the next is given by the action of the double sided shift of the continued fraction

$$\tilde{T} : (x, y) \mapsto \left(\frac{1}{x} - \left[\frac{1}{x} \right], \frac{1}{y + [1/x]} \right)$$

Modular curves

- upper half plane $\mathbb{H} = \{z = x + iy \in \mathbb{C} \mid y = \Im(z) > 0\}$
hyperbolic metric

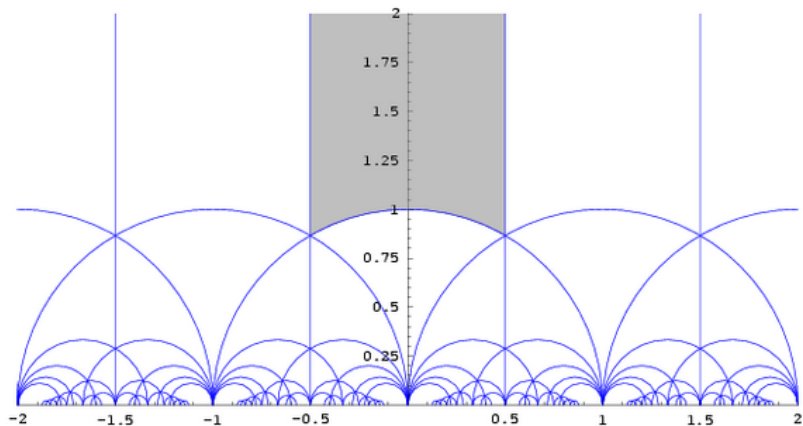
$$ds^2 = \frac{dx^2 + dy^2}{y^2}$$

- geodesics: vertical straight lines orthogonal to x-axis; circular arcs (half-circles) orthogonal to x-axis
- $\mathrm{PSL}_2(\mathbb{R})$ acts transitively and isometrically

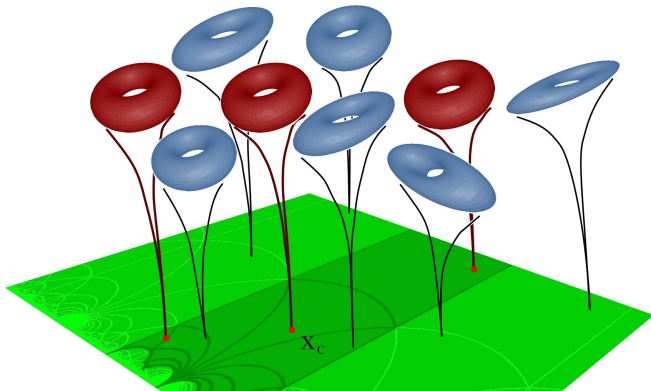
$$z \mapsto \frac{az + b}{cz + d}$$

- action of $\mathrm{PSL}_2(\mathbb{Z})$ and finite index subgroups $\Gamma \subset \mathrm{PSL}_2(\mathbb{Z})$
- Elliptic curves $E_\tau = \mathbb{C}/\Lambda$ with $\Lambda = \mathbb{Z} + \tau\mathbb{Z}$ modulus $\tau \in \mathbb{H}$;
isomorphic $E_\tau \simeq E_{\tau'}$ when $\tau \sim \tau'$ by $\mathrm{PSL}_2(\mathbb{Z})$ action
- $\mathcal{M} = \mathbb{H}/\mathrm{PSL}_2(\mathbb{Z})$ moduli space of elliptic curves (modular curve)

Fundamental domain of $\mathrm{PSL}_2(\mathbb{Z})$ -action



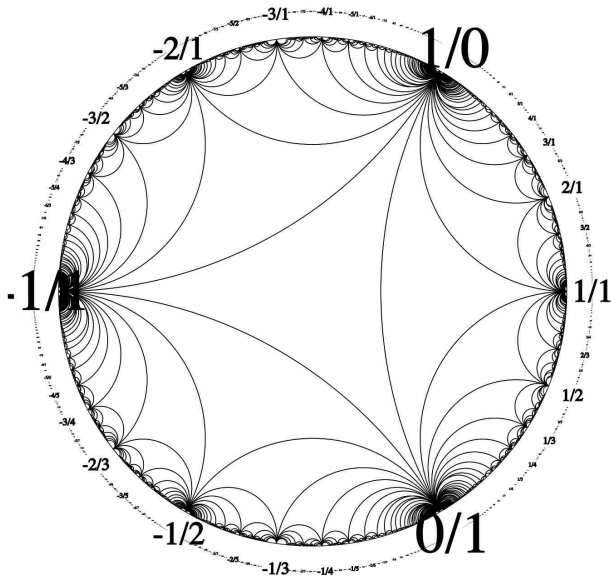
Elliptic curves and modular curve



(detail from an image by Christian Wuthrich)

Farey tessellation

- adding cusps to the upper half plane: $\overline{\mathbb{H}} := \mathbb{H} \cup \{\mathbb{Q} \cup \{\infty\}\}$
 - vertical lines $\Re(z) = n, n \in \mathbb{Z}$, and semicircles in $\overline{\mathbb{H}}$ connecting pairs of finite cusps $(p/q, p'/q')$ with $pq' - p'q = \pm 1$
 - these cut $\overline{\mathbb{H}}$ into a union of geodesic ideal triangles: Farey tessellation
- C.Series, *The modular surface and continued fractions*, J. London MS, Vol. 2, no. 31 (1985), 69–80
- **coding of geodesics** on $\mathcal{M} = \mathbb{H}/\mathrm{PSL}_2(\mathbb{Z})$
using Farey tessellation and continued fraction



- $\mathcal{B}$ set of oriented geodesics β in $\mathbb{H}$ with ideal irrational endpoints $\beta_{-\infty}, \beta_{\infty}$ in $\mathbb{R}$, such that

$$\beta_{-\infty} \in (-1, 0), \quad \beta_{\infty} \in (1, \infty)$$

- continued fraction expansion of endpoints

$$\beta_{-\infty} = -[0, k_0, k_{-1}, k_{-2}, \dots], \quad \beta_{\infty} = [k_1, k_2, k_3, \dots], \quad k_i \in \mathbb{N},$$

- β determined by endpoints, by doubly infinite sequence of continued fraction digits

$$[\dots k_{-2}, k_{-1}, k_0, k_1, k_2, \dots]$$

- intersection point $x = x(\beta)$ of β with imaginary semiaxis in $\mathbb{H}$
- moving along β : intersect infinite sequence of Farey triangles
- enter each triangle through one side and leave through a different one: the ideal intersection point of these two sides is either to the left or to the right
- infinite sequences in alphabet $\{L, R\}$ (moving in both directions)

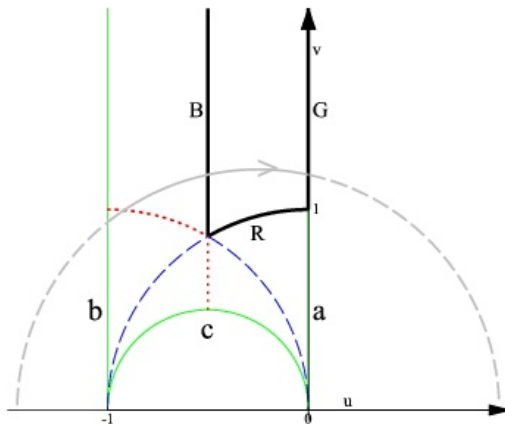
$$\dots L^{k_{-3}} R^{k_{-2}} L^{k_{-1}} R^{k_0} \quad L^{k_1} R^{k_2} L^{k_3} R^{k_4} \dots$$

Hyperbolic billiard

- all hyperbolic triangles Δ of the Farey tessellation of $\overline{\mathbb{H}}$ are isometric metric spaces
- folding the tessellation: a geodesic $\beta \in \mathcal{B}$ corresponds to a billiard ball trajectory on the table Δ that never hits the corners
- group S_6 of hyperbolic isometries of Δ (permutations on vertices); unique fixed point $\rho := \exp(\pi i/3)$ in Δ
- three geodesic arcs connecting ρ with points $i, 1+i, \frac{1+i}{2}$ subdivide Δ into three geodesic quadrangles, each with one cusp corner
- each quadrangle is a copy of the fundamental domain for $\mathrm{PSL}_2(\mathbb{Z})$

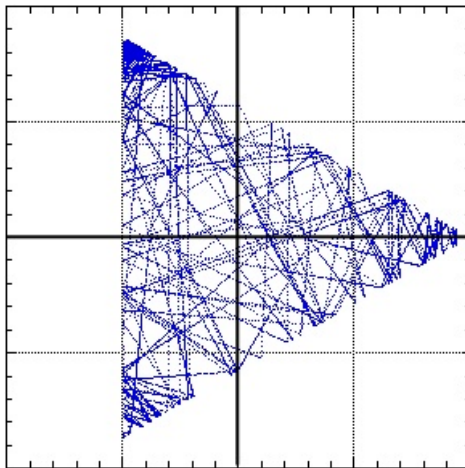
- for a geodesic β with $x(\beta) = x_0$ in $(0, i\infty)$, if $k_0 = 1$, the ball reaches the opposite side $(1, i\infty)$ of Δ and gets reflected to the third side $(0, 1)$
- if $k_0 = 2$, it reaches the opposite side, then returns to the initial side $(0, i\infty)$, and after that gets reflected to $(0, 1)$
- generally the ball always spends k_0 unobstructed stretches of its trajectory between $(0, i\infty)$ and $(1, i\infty)$, then is reflected to $(0, 1)$ either from $(1, i\infty)$ (if k_0 is odd), or from $(0, i\infty)$ (if k_0 is even)
- can equivalently encode the geodesic β in $\mathcal{M} = \mathbb{H}/\mathrm{PSL}_2(\mathbb{Z})$ by a doubly infinite sequence in the alphabet $\{a, b, c\}$ labelling the three vertices at infinity $\{0, 1, i\infty\}$ of Δ

Hyperbolic Billiard



(from O.M.Lecian, “Reflections in the hyperbolic plane”,
arXiv:1303.6343)

Other billiard models for Mixmaster dynamics



(from Beverly Berger, “Numerical Approaches to Spacetime Singularities”)

Enriched encoding of geodesics and Mixmaster trajectories

- hyperbolic billiard as above: insert between consecutive powers of L, R the intersection points of β with sides of Farey triangles:

$$\dots L^{k_{-1}} x_{-1} R^{k_0} x_0 L^{k_1} x_1 R^{k_2} x_2 L^{k_3} x_3 R^{k_4} \dots$$

- **Result:** when $s \rightarrow \infty$, $s \in \mathbb{N}$

$$\log \frac{\Omega_{2s}}{\Omega_0} \simeq 2 \sum_{r=0}^{s-1} \text{dist}(x_{2r}, x_{2r+1}),$$

dist = hyperbolic distance between consecutive intersection points of the geodesic with sides of the Farey tessellation

- **Sketch of argument:** known from mixmaster dynamics that

$$\log \frac{\Omega_{2s}}{\Omega_0} \simeq - \sum_{p=1}^{2s} \log(x_p^+ x_p^-)$$

$$= \sum_{p=1}^{2s} \log([k_{p-1}, k_{p-2}, k_{p-3}, \dots] \cdot [k_p, k_{p+1}, k_{p+2}, \dots])$$

From coding of geodesics also know that

$$\text{dist}(x_0, x_1) = \frac{1}{2} \log([k_0, k_{-1}, k_{-2}, \dots] \cdot [k_1, k_2, \dots] \cdot [k_1, k_0, k_{-1}, \dots] \cdot [k_2, k_3, \dots])$$

and more generally $\text{dist}(x_{2r}, x_{2r+1})$ is given by

$$\frac{1}{2} \log([k_{2r}, k_{2r-1}, k_{2r-2}, \dots] \cdot [k_{2r+1}, k_{2r+2}, \dots] \cdot [k_{2r+1}, k_{2r}, k_{2r-1}, \dots] \cdot [k_{2r+2}, k_{2r+3}, \dots])$$

Consequence: identification of distance along geodesic with logarithmic cosmological time

Painlevé VI equations

- *Painlevé transcendents*: solutions of nonlinear second-order ODEs in the plane with *Painlevé property* (the only movable singularities are poles) not solvable in terms of elementary functions; classification in types
- *Painlevé VI*: 4-parameter family $(\alpha, \beta, \gamma, \delta)$

$$\begin{aligned} \frac{d^2 X}{dt^2} = & \frac{1}{2} \left(\frac{1}{X} + \frac{1}{X-1} + \frac{1}{X-t} \right) \left(\frac{dX}{dt} \right)^2 \\ & - \left(\frac{1}{t} + \frac{1}{t-1} + \frac{1}{X-t} \right) \frac{dX}{dt} + \\ & + \frac{X(X-1)(X-t)}{t^2(t-1)^2} \left(\alpha + \beta \frac{t}{X^2} + \gamma \frac{t-1}{(X-1)^2} + \delta \frac{t(t-1)}{(X-t)^2} \right). \end{aligned}$$

Painlevé VI and elliptic curves

- Painlevé VI rewritten as (Fuchs)

$$t(1-t) \left[t(1-t) \frac{d^2}{dt^2} + (1-2t) \frac{d}{dt} - \frac{1}{4} \right] \int_{\infty}^{(X,Y)} \frac{dx}{\sqrt{x(x-1)(x-t)}} =$$
$$= \alpha Y + \beta \frac{tY}{X^2} + \gamma \frac{(t-1)Y}{(X-1)^2} + \left(\delta - \frac{1}{2} \right) \frac{t(t-1)Y}{(X-t)^2}$$

where $(X, Y) := (X(t), Y(t))$ is a section

(local and/or multivalued) $P := (X(t), Y(t))$

of the generic elliptic curve $E = E(t) : Y^2 = X(X-1)(X-t)$

- left-hand-side $\mu(P)$ satisfies $\mu(P+Q) = \mu(P) + \mu(Q)$ for $P+Q$ addition on the elliptic curve E (in particular $\mu(Q) = 0$ for points of finite order)

- analytic description of the elliptic curve $E_\tau = \mathbb{C}/\Lambda$ with $\Lambda = \mathbb{Z} + \tau\mathbb{Z}$, with $\tau \in \mathbb{H}$
- then Painlevé VI rewritten as (Manin)

$$\frac{d^2 z}{d\tau^2} = \frac{1}{(2\pi i)^2} \sum_{j=0}^3 \alpha_j \wp_z\left(z + \frac{T_j}{2}, \tau\right)$$

with $(\alpha_0, \dots, \alpha_3) := (\alpha, -\beta, \gamma, \frac{1}{2} - \delta)$ and $(T_0, T_1, T_2, T_3) := (0, 1, \tau, 1 + \tau)$, and

$$\wp(z, \tau) := \frac{1}{z^2} + \sum_{(m,n) \neq (0,0)} \left(\frac{1}{(z - m\tau - n)^2} - \frac{1}{(m\tau + n)^2} \right)$$

- also have, for $e_i(\tau) = \wp(\frac{T_i}{2}, \tau)$

$$\wp_z(z, \tau)^2 = 4(\wp(z, \tau) - e_1(\tau))(\wp(z, \tau) - e_2(\tau))(\wp(z, \tau) - e_3(\tau))$$

so $e_1 + e_2 + e_3 = 0$

- a multivalued solution $z = z(\tau)$ defines a multi-section of the family, which is a covering of $\mathbb{H}$
- is know ramification and monodromy can study behavior over geodesics in $\mathbb{H}$

- Yu.I. Manin, *Sixth Painlevé equation, universal elliptic curve, and mirror of $\mathbb{P}^2$* , in “Geometry of Differential Equations”, Amer. Math. Soc. Transl. (2) Vol. 186 (1998) 131–151

$SU(2)$ -Bianchi IX cosmologies $\mathbb{R} \times S^3$

- another version of Bianchi IX mixmaster cosmologies, with $SU(2)$ symmetry (Euclidean version)

$$g = W_1 W_2 W_3 d\mu^2 + \frac{W_2 W_3}{W_1} \sigma_1^2 + \frac{W_1 W_3}{W_2} \sigma_2^2 + \frac{W_1 W_2}{W_3} \sigma_3^2$$

or more generally

$$g = F \left(d\mu^2 + \frac{\sigma_1^2}{W_1^2} + \frac{\sigma_2^2}{W_2^2} + \frac{\sigma_3^2}{W_3^2} \right)$$

with a conformal factor $F \sim W_1 W_2 W_3$

- $SU(2)$ -invariant 1-forms $\{\sigma_i\}$ satisfying relations

$$d\sigma_i = \sigma_j \wedge \sigma_k$$

for all cyclic permutations (i, j, k) of $(1, 2, 3)$

- more explicitly

$$\sigma_1 = x_1 dx_2 - x_2 dx_1 + x_3 dx_0 - x_0 dx_3 = \frac{1}{2}(d\psi + \cos \theta d\phi),$$

$$\sigma_2 = x_2 dx_3 - x_3 dx_2 + x_1 dx_0 - x_0 dx_1 = \frac{1}{2}(\sin \psi d\theta - \sin \theta \cos \psi d\phi),$$

$$\sigma_3 = x_3 dx_1 - x_1 dx_3 + x_2 dx_0 - x_0 dx_2 = \frac{1}{2}(-\cos \psi d\theta - \sin \theta \sin \psi d\phi),$$

Euler angles $0 \leq \theta \leq \pi$, $0 \leq \phi \leq 2\pi$ and $0 \leq \psi \leq 4\pi$ ($SU(2)$ case)

- identifying S^3 with unit quaternions $SU(2)$
- The metrics on S^3

$$\frac{W_2 W_3}{W_1} \sigma_1^2 + \frac{W_1 W_3}{W_2} \sigma_2^2 + \frac{W_1 W_2}{W_3} \sigma_3^2$$

are left-invariants under the action of $SU(2)$ but *not* right-invariant (unlike the round metric on S^3)

Blanchi IX gravitational instantons and Painlevé VI

- Euclidean Bianchi IX metrics with $SU(2)$ -symmetry that are
 - self-dual (Weyl curvature tensor W self-dual)
 - Einstein metrics (Ricci tensor proportional to the metric)
- Self-dual equations for a Riemannian 4-manifold are PDEs; with $SU(2)$ -symmetry reduce to ODEs
- This ODE is a Painlevé VI equation with

$$(\alpha, \beta, \gamma, \delta) = \left(\frac{1}{8}, -\frac{1}{8}, \frac{1}{8}, \frac{3}{8}\right)$$

- N.J. Hitchin. *Twistor spaces, Einstein metrics and isomonodromic deformations*, J.Diff.Geom., Vol. 42, No. 1 (1995), 30–112.
- K.P. Tod. *Self-dual Einstein metrics from the Painlevé VI equation*, Phys. Lett. A 190 (1994), 221–224.
- S. Okumura. *The self-dual Einstein–Weyl metric and classical solutions of Painlevé VI*, Lett. in Math. Phys., 46 (1998), 219–232.
- M.V. Babich, D.A. Korotkin, *Self-dual $SU(2)$ -Invariant Einstein Metrics and Modular Dependence of Theta-Functions*. Lett. Math. Phys. 46 (1998), 323–337

Theta characteristics

- explicit parameterization of solutions for coefficients W_i of the Bianchi IX gravitational instantons (from solutions of Painlevé VI)
- **theta-characteristics** with parameters (p, q) :

$$\vartheta[p, q](z, i\mu) := \sum_{m \in \mathbb{Z}} \exp \left(-\pi(m + p)^2 \mu + 2\pi i(m + p)(z + q) \right)$$

- theta-characteristics and theta functions with vanishing characteristics

$$\vartheta[p, q](z, i\mu) = \exp \left(-\pi p^2 \mu + 2\pi i p q \right) \cdot \vartheta[0, 0](z + pi\mu + q, i\mu)$$

Gravitational instantons and theta characteristics

- use notation $\vartheta[p, q] := \vartheta[p, q](0, i\mu)$, and

$$\vartheta_2 := \vartheta[1/2, 0], \quad \vartheta_3 := \vartheta[0, 0], \quad \vartheta_4 := \vartheta[0, 1/2]$$

- self-dual metrics

$$g = F \left(d\mu^2 + \frac{\sigma_1^2}{W_1^2} + \frac{\sigma_2^2}{W_2^2} + \frac{\sigma_3^2}{W_3^2} \right)$$

with

$$W_1 = -\frac{i}{2} \vartheta_3 \vartheta_4 \frac{\frac{\partial}{\partial q} \vartheta[p, q + \frac{1}{2}]}{e^{\pi i p} \vartheta[p, q]}, \quad W_2 = \frac{i}{2} \vartheta_2 \vartheta_4 \frac{\frac{\partial}{\partial q} \vartheta[p + \frac{1}{2}, q + \frac{1}{2}]}{e^{\pi i p} \vartheta[p, q]},$$

$$W_3 = -\frac{1}{2} \vartheta_2 \vartheta_3 \frac{\frac{\partial}{\partial q} \vartheta[p + \frac{1}{2}, q]}{\vartheta[p, q]},$$

- with non-zero cosmological constant Λ :

$$F = \frac{2}{\pi \Lambda} \frac{W_1 W_2 W_3}{\left(\frac{\partial}{\partial q} \log \vartheta[p, q] \right)^2}$$

- these metrics also satisfy **Einstein equation** if either

- 1 $\Lambda < 0$ with $p \in \mathbb{R}$ and $q \in \frac{1}{2} + i\mathbb{R}$

- 2 $\Lambda > 0$ with $q \in \mathbb{R}$ and $p \in \frac{1}{2} + i\mathbb{R}$

- also case with **vanishing cosmological constant**:

$$W'_1 = \frac{1}{\mu + q_0} + 2 \frac{d}{d\mu} \log \vartheta_2, \quad W'_2 = \frac{1}{\mu + q_0} + 2 \frac{d}{d\mu} \log \vartheta_3,$$

$$W'_3 = \frac{1}{\mu + q_0} + 2 \frac{d}{d\mu} \log \vartheta_4, \quad F' := C(\mu + q_0)^2 W'_1 W'_2 W'_3$$

with $q_0, C \in \mathbb{R}$, $C > 0$.

Asymptotics for $\mu \rightarrow \infty$

Result on asymptotics:

- ① $\Lambda < 0$: $W_2 \sim \pm W_3$ and

$$W_1 \sim \pi \langle p \rangle e^{\pi i \langle p \rangle - p}, \quad W_3 \sim -2\pi i \left\langle p + \frac{1}{2} \right\rangle \cdot e^{\pi i \operatorname{sgn} \langle p \rangle q} \cdot e^{\pi \mu (|\langle p \rangle| - \frac{1}{2})}$$

- ② $\Lambda > 0$ with $p = \frac{1}{2} + ip_0, p_0 \in \mathbb{R}$: $W_2 \sim -W_3$ and

$$-W_1 \sim \pi p_0 \tan(\pi(q - p_0 \mu)) - \frac{1}{2}, \quad W_3 \sim 2\pi p_0 \cdot (\cos(\pi(q - p_0 \mu)))^{-1}$$

- ③ $\Lambda = 0$: $W_2' \sim W_3'$ and

$$W_1' \sim \frac{\pi}{2}, \quad W_3' \sim \frac{1}{\mu + q_0}$$

Sketch of argument

- $\Lambda = 0$:

$$\vartheta_2 = \sum_{m \in \mathbb{Z}} \exp(-\pi(m + \frac{1}{2})^2 \mu) \sim 2 \exp(-\pi\mu/4),$$

$$\vartheta_3 = \sum_{m \in \mathbb{Z}} \exp(-\pi m^2 \mu) \sim 1 + 2 \exp(-\pi\mu),$$

$$\vartheta_4 = \sum_{m \in \mathbb{Z}} \exp(-\pi m^2 \mu)(-1)^m \sim 1 - 2 \exp(-\pi\mu).$$

So get

$$\frac{d}{d\mu} \log \vartheta_2 \sim -\frac{\pi}{4}, \quad \frac{d}{d\mu} \log \vartheta_3 \sim -2\pi e^{-\pi\mu}, \quad \frac{d}{d\mu} \log \vartheta_4 \sim 2\pi e^{-\pi\mu}.$$

- $\Lambda < 0$, p general:

$$\vartheta[p, q] = \sum_{m \in \mathbb{Z}} e^{-\pi(m+p)^2 \mu + 2\pi i(m+p)q} \sim e^{2\pi i \langle p \rangle q} \cdot e^{-\pi \langle p \rangle^2 \mu},$$

leading term of $\vartheta[p, q]$:

unique value of m with $(m + p)^2$ minimal = $\langle p \rangle^2$

- then get

$$\frac{\partial}{\partial q} \vartheta[p, q] \sim 2\pi i \langle p \rangle \exp(2\pi i \langle p \rangle q) \cdot \exp(-\pi \langle p \rangle^2 \mu)$$

- with identity

$$\langle p + 1/2 \rangle = \langle p \rangle - \frac{1}{2} \text{sgn} \langle p \rangle$$

$$\begin{aligned}
W_2 &= \frac{i}{2} \vartheta_2 \vartheta_4 \frac{\frac{\partial}{\partial q} \vartheta[p + 1/2, q + 1/2]}{e^{\pi i p} \vartheta[p, q]} \sim \\
&\sim \frac{i}{2} \cdot 2 \exp(-\pi \mu/4) \cdot 2\pi i \langle p + \frac{1}{2} \rangle \cdot \exp(2\pi i \langle p + \frac{1}{2} \rangle (q + \frac{1}{2})) \\
&\cdot \exp(-\pi \langle p + \frac{1}{2} \rangle^2 \mu) \cdot \exp(-\pi i p) \cdot \exp(-2\pi i \langle p \rangle q) \cdot \exp(\pi \langle p \rangle^2 \mu) \sim \\
&-2\pi \langle p + 1/2 \rangle \exp(\pi i (\langle p + 1/2 \rangle - p - \text{sgn} \langle p \rangle q)) \cdot \exp(\pi \mu (|\langle p \rangle| - 1/2))
\end{aligned}$$

$$\begin{aligned}
W_3 &= -\frac{1}{2} \vartheta_2 \vartheta_3 \frac{\frac{\partial}{\partial q} \vartheta[p + 1/2, q]}{\vartheta[p, q]} \sim \\
&\sim -\frac{1}{2} \cdot 2 \exp(-\pi \mu/4) \cdot 2\pi i \langle p + 1/2 \rangle \cdot \exp(2\pi i \langle p + 1/2 \rangle q) \cdot \exp(-\pi \langle p + 1/2 \rangle^2 \mu) \cdot \\
&\exp(-2\pi i \langle p \rangle q) \cdot \exp(\pi \langle p \rangle^2 \mu) \sim \\
&-2\pi i \langle p + 1/2 \rangle \cdot \exp(\pi i \text{sgn} \langle p \rangle q) \cdot \exp(\pi \mu (|\langle p \rangle| - 1/2))
\end{aligned}$$

- conformal factor

$$F = \frac{2}{\pi\Lambda} \frac{W_1 W_2 W_3}{\left(\frac{\partial}{\partial q} \log \vartheta[p, q]\right)^2} \sim$$

$$2i \frac{\langle p + 1/2 \rangle^2}{\Lambda \langle p \rangle^2} \cdot \exp(\langle p + 1/2 \rangle + \langle p \rangle + 2 \operatorname{sgn} \langle p \rangle q) \cdot \exp(\pi\mu (2 |\langle p \rangle| - 1))$$

- $\Lambda > 0$ with $p = \frac{1}{2} + ip_0$, $p_0 \in \mathbb{R}$

$$\vartheta[p, q] = \sum_{m \in \mathbb{Z}} \exp(-\pi(m + p)^2 \mu + 2\pi i(m + p)q),$$

$$\vartheta[p, q] \sim \exp(\pi\mu(p_0^2 - 1/4)) \cdot \exp(-2\pi p_0 q) \cdot \cos \pi(q - p_0 \mu)$$

$$\vartheta[p + 1/2, q] \sim \exp(\pi\mu p_0^2) \cdot \exp(-2\pi p_0 q)$$

$$\frac{W_2}{W_3} = -i \cdot \frac{\vartheta_4}{\vartheta_3} \cdot \frac{\frac{\partial}{\partial q} \vartheta[p + 1/2, q + 1/2]}{e^{\pi i p} \frac{\partial}{\partial q} \vartheta[p + 1/2, q]} \sim$$

$$i \cdot \frac{\exp(-2\pi p_0(q + 1/2))}{\exp(\pi i(1/2 + ip_0)) \cdot \exp(-2\pi p_0 q)} = -1$$

$$\begin{aligned}
W_3 &:= -\frac{1}{2} \vartheta_2 \vartheta_3 \frac{\frac{\partial}{\partial q} \vartheta[p+1/2, q]}{\vartheta[p, q]} \sim \\
&\frac{\exp(-\pi\mu/4) \cdot (2\pi p_0) \cdot \exp(\pi\mu p_0^2) \cdot \exp(-2\pi p_0 q)}{\exp(\pi\mu(p_0^2 - 1/4)) \cdot \exp(-2\pi p_0 q) \cdot \cos \pi(q - p_0\mu)} \sim \\
&2\pi p_0 \cdot (\cos \pi(q - p_0\mu))^{-1} \\
-W_1 &= \frac{i}{2} \vartheta_3 \vartheta_4 \frac{\frac{\partial}{\partial q} \vartheta[p, q+1/2]}{e^{\pi i p} \vartheta[p, q]} \sim \\
&\frac{i}{2} \cdot \frac{\exp(\pi\mu(p_0^2 - 1/4)) \cdot \frac{\partial}{\partial q} (\exp(-2\pi p_0(q+1/2)) \cdot \cos \pi(q+1/2 - p_0\mu))}{\exp(\pi(i/2 - p_0)) \cdot \exp(\pi\mu(p_0^2 - 1/4)) \cdot \exp(-2\pi p_0 q) \cdot \cos \pi(q - p_0\mu)} \sim \\
&\frac{i}{2} \cdot \frac{\frac{\partial}{\partial q} (\exp(-2\pi p_0(q+1/2)) \cdot \cos \pi(q+1/2 - p_0\mu))}{\exp(\pi(i/2 - p_0)) \cdot \exp(-2\pi p_0 q) \cdot \cos \pi(q - p_0\mu)} \sim \\
&-\frac{1}{2} \cdot \frac{\frac{\partial}{\partial q} (\exp(-2\pi p_0(q+1/2)) \cdot \sin \pi(q - p_0\mu))}{\exp(-2\pi p_0(q+1/2)) \cdot \cos \pi(q - p_0\mu)} \sim \\
&\pi p_0 \tan(\pi(q - p_0\mu)) - \frac{1}{2}
\end{aligned}$$

Comments

- Singularities (poles) on the real axis: like Taub-NUT infinity
- Sign changes allowed to get all asymptotics with $W_2 \sim W_3 \neq W_1$ (see Babich, Korotkin)
- instanton analogs of Kasner's solutions with $i\mu \in \Delta \subset \overline{\mathbb{H}}$ in the vicinity of $i\infty$ but not necessarily on the imaginary axis
- behavior $\mu \rightarrow \infty$ of these Bianchi IX cosmologies as possible model of (Wick rotated) time at the singularity in algebro-geometric gluing of spacetimes proposed in:
 - Yu.I. Manin, M. Marcolli, *Big Bang, blowup, and modular curves: algebraic geometry in cosmology*, SIGMA Symmetry Integrability Geom. Methods Appl. 10 (2014), Paper 073, 20 pp.

Spacetime noncommutativity in the early universe

- noncommutativity hypothesis: near the singularity spacetime coordinates acquire noncommutativity as part of quantum effects
- noncommutative deformation should preserve the metric properties
- Connes–Landi isospectral deformations
- for the 3-sphere S^3 with the round metric: isospectral deformation by making all the tori of the Hopf fibration into noncommutative tori
- do the left- $SU(2)$ -invariant Bianchi IX metrics admit similar noncommutative isospectral deformations?
- **not always**, but yes in the cases that arise as asymptotic behavior at $\mu \rightarrow \infty$ of the gravitational instantons

Hopf fibration on S^3

- Hopf coordinates (ξ_1, ξ_2, η)

$$z_1 := x_1 + ix_2 = e^{i(\psi+\phi)} \cos \frac{\theta}{2} = e^{i\xi_1} \cos \eta,$$

$$z_2 := x_3 + ix_0 = e^{i(\psi-\phi)} \sin \frac{\theta}{2} = e^{i\xi_2} \sin \eta.$$

- identifying S^3 with unit quaternions $SU(2)$

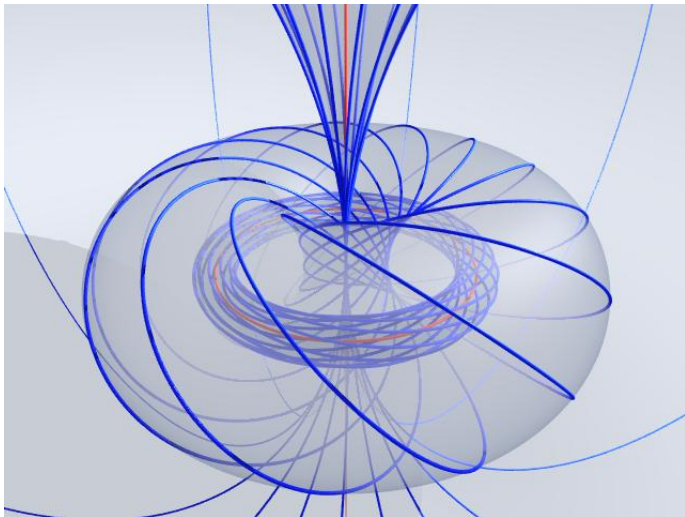
$$q := \begin{pmatrix} z_1 & z_2 \\ -\bar{z}_2 & \bar{z}_1 \end{pmatrix} = \begin{pmatrix} e^{i\xi_1} \cos \eta & e^{i\xi_2} \sin \eta \\ -e^{-i\xi_2} \sin \eta & e^{-i\xi_1} \cos \eta \end{pmatrix}$$

with $|z_1|^2 + |z_2|^2 = 1$ and (ξ_1, ξ_2, η) Hopf coordinates

- Hopf fibration

$$S^1 \hookrightarrow S^3 \rightarrow S^2$$

The Hopf fibration of S^3



(image by Benoit Kloeckner)

Result: a spacetime with (Euclidean) Bianchi IX metric of the form

$$g = F (d\mu^2 + \frac{\sigma_1^2}{W_1^2} + \frac{\sigma_2^2}{W_2^2} + \frac{\sigma_3^2}{W_3^2})$$

admits a Connes–Landi isospectral deformation, obtained by deforming the Hopf fibration, iff $W_2 = W_3$ (or $W_1 = W_2$ up to renaming axes)

- Deformation of the Hopf fibration

$$\begin{pmatrix} U \cos \eta & V \sin \eta \\ -V^* \sin \eta & U^* \cos \eta \end{pmatrix}$$

with U, V the generators of the noncommutative 2-torus T_θ^2

$$VU = e^{2\pi i \theta} UV$$

no longer group structure of $SU(2)$

- Deformed C^* -algebra of functions S_θ^3 :
generators $\alpha = U \cos \eta$ and $\beta = V \sin \eta$
relations: $\alpha\beta = e^{2\pi i\theta} \beta\alpha$, $\alpha^*\beta = e^{-2\pi i\theta} \beta\alpha^*$, $\alpha^*\alpha = \alpha\alpha^*$,
 $\beta^*\beta = \beta\beta^*$ and $\alpha\alpha^* + \beta\beta^* = 1$
- Riemannian geometry in noncommutative setting described by spectral triples $(\mathcal{A}, \mathcal{H}, \mathcal{D})$, with $\mathcal{A}$ involutive algebra (smooth functions on NC space), $\mathcal{H}$ Hilbert space with representation of $\mathcal{A}$ (spinors), and Dirac operator $\mathcal{D}$
- *Isospectral* deformation X_θ of a manifold X : $\mathcal{A} = C^\infty(X_\theta)$ noncommutative, with $(\mathcal{H}, \mathcal{D}) = (L^2(X, S), \not{D}_X)$ same as for X
- Connes–Landi: if T^2 acts by isometries on X then $\exists X_\theta$
- check when have action of T^2 by isometry on the Bianchi IX, compatible with the Hopf fibration of S^3

- in Hopf coordinates T^2 action on S^3

$$(t_1, t_2) : (\xi_1, \xi_2) \mapsto (\xi_1 + t_1, \xi_2 + t_2)$$

Euler angles $(u, v) : (\phi, \psi) \mapsto (\phi + u, \psi + v)$, with $t_1 = (u + v)/2$ and $t_2 = (v - u)/2$

- $U(1)$ -action $u : \phi \mapsto \phi + u$ leaves 1-forms σ_i invariant (rotates circles $S^1 \hookrightarrow S^3$ of Hopf fibration)
- the form σ_1 also invariant under other $U(1)$ -action $v : \psi \mapsto \psi + v$

$$v^* \sigma_2 = \frac{1}{2} (\sin(\psi + \beta) d\theta - \cos(\psi + \beta) \sin \theta d\phi)$$

$$v^* \sigma_3 = \frac{1}{2} (-\cos(\psi + \beta) d\theta - \sin(\psi + \beta) \sin \theta d\phi),$$

- then $v^* g = g$ for a Bianchi IX metric

$$g = d\mu^2 + a^2 \sigma_1^2 + b^2 \sigma_2^2 + c^2 \sigma_3^2$$

if and only if $b = c$

- This class of Bianchi IX metrics include
 - ① Taub-NUT and Eguchi-Hanson gravitational instantons
 - ② asymptotic form of the general Bianchi IX gravitational instantons

Dirac operator:

- Berger sphere S^3 with $\lambda^2 \sigma_1^2 + \sigma_2^2 + \sigma_3^2$

$$D_B = -i \begin{pmatrix} \frac{1}{\lambda} X_1 & X_2 + iX_3 \\ X_2 - iX_3 & -\frac{1}{\lambda} X_1 \end{pmatrix} + \frac{\lambda^2 + 2}{2\lambda},$$

with $\{X_1, X_2, X_3\}$ basis of the Lie algebra

- on the Bianchi IX (Euclidean) spacetime

$$\mathcal{D} = \frac{1}{W_1^{1/2} W} \left(\gamma^0 \left(\frac{\partial}{\partial \mu} + \frac{1}{2} \left(\frac{\dot{W}}{W} + \frac{1}{2} \frac{\dot{W}_1}{W_1} \right) \right) + W_1 D_B|_{\lambda=\frac{W}{W_1}} \right)$$

with $W = W_2 = W_3$

Conclusion: Bianchi IX gravitational instantons are compatible with spacetime noncommutativity (only at $\mu \rightarrow \infty$)