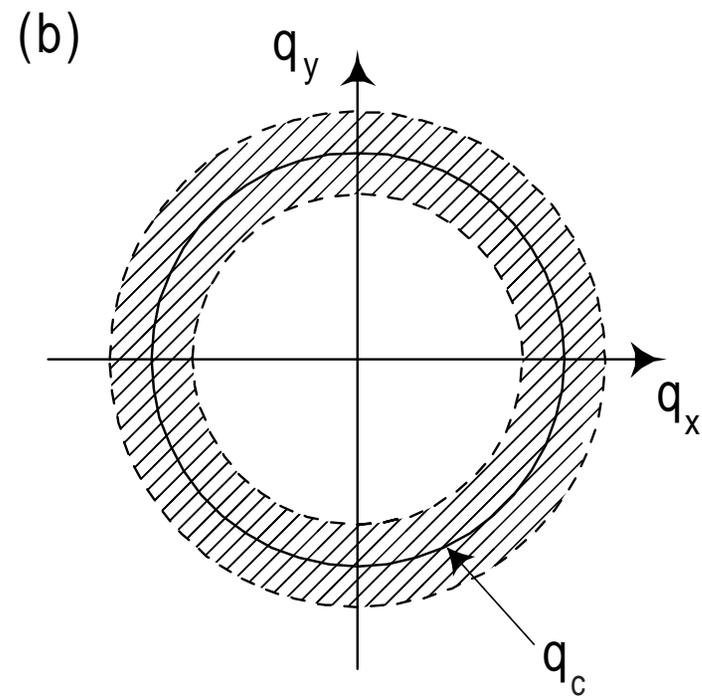
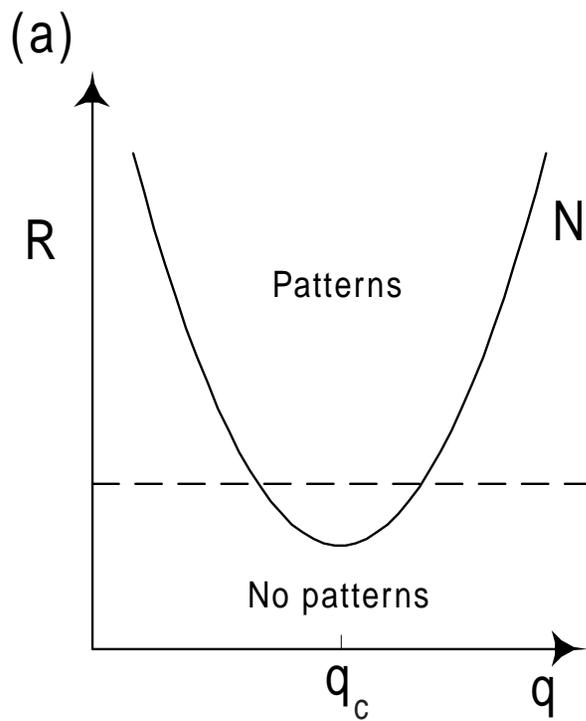


Pattern Formation in Spatially Extended Systems

Lecture 2: Symmetry

- Rotational invariance near threshold
 - ◇ Amplitude equation
 - ◇ Swift-Hohenberg equation
- Translational invariance: the phase equation
 - ◇ Near threshold
 - ◇ Far from threshold
- Defects

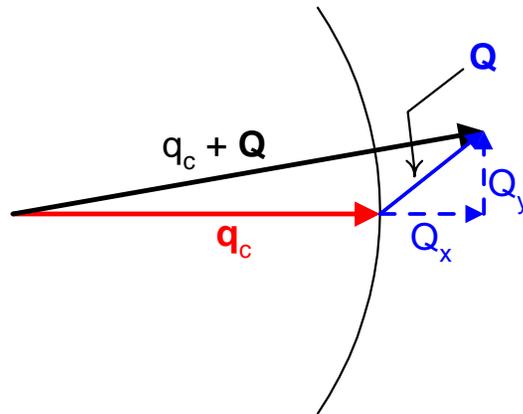
Rotational Symmetry: Linear Instability



Rotational Symmetry: Amplitude Equation

For a 2d, rotationally invariant system the gradient term is more complicated

$$\tau_0 \partial_t A = \varepsilon A + \xi_0^2 \left(\partial_x - \frac{i}{2q_c} \partial_y^2 \right)^2 A - g_0 |A|^2 A$$

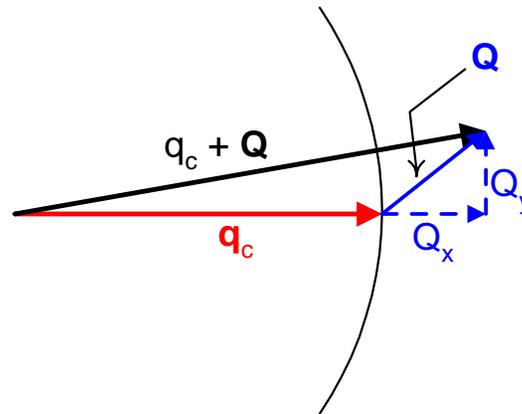


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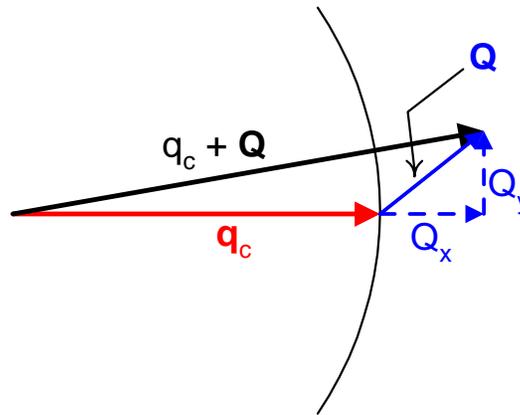
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Isotropic system gives anisotropic scaling: $x = \varepsilon^{-1/2} \xi_0 X$; $y = \varepsilon^{-1/4} (\xi_0/q_c)^{1/2} Y$

Swift-Hohenberg equation

Simple equation for an *order parameter* $\psi(x, y, t)$ that is rotationally invariant in the plane and captures the same physics as the amplitude equation

$$\partial_t \psi = [r - (\nabla_{\perp}^2 + 1)^2] \psi - \psi^3$$

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- no systematic derivation: model rather than controlled approximation
- equation is relaxational

$$\partial_t \psi = -\frac{\delta V}{\delta \psi}, \quad V = \iint dx dy \left\{ -\frac{1}{2} r \psi^2 + \frac{1}{2} [(\nabla^2 + 1)\psi]^2 + \frac{1}{4} \psi^4 \right\}$$

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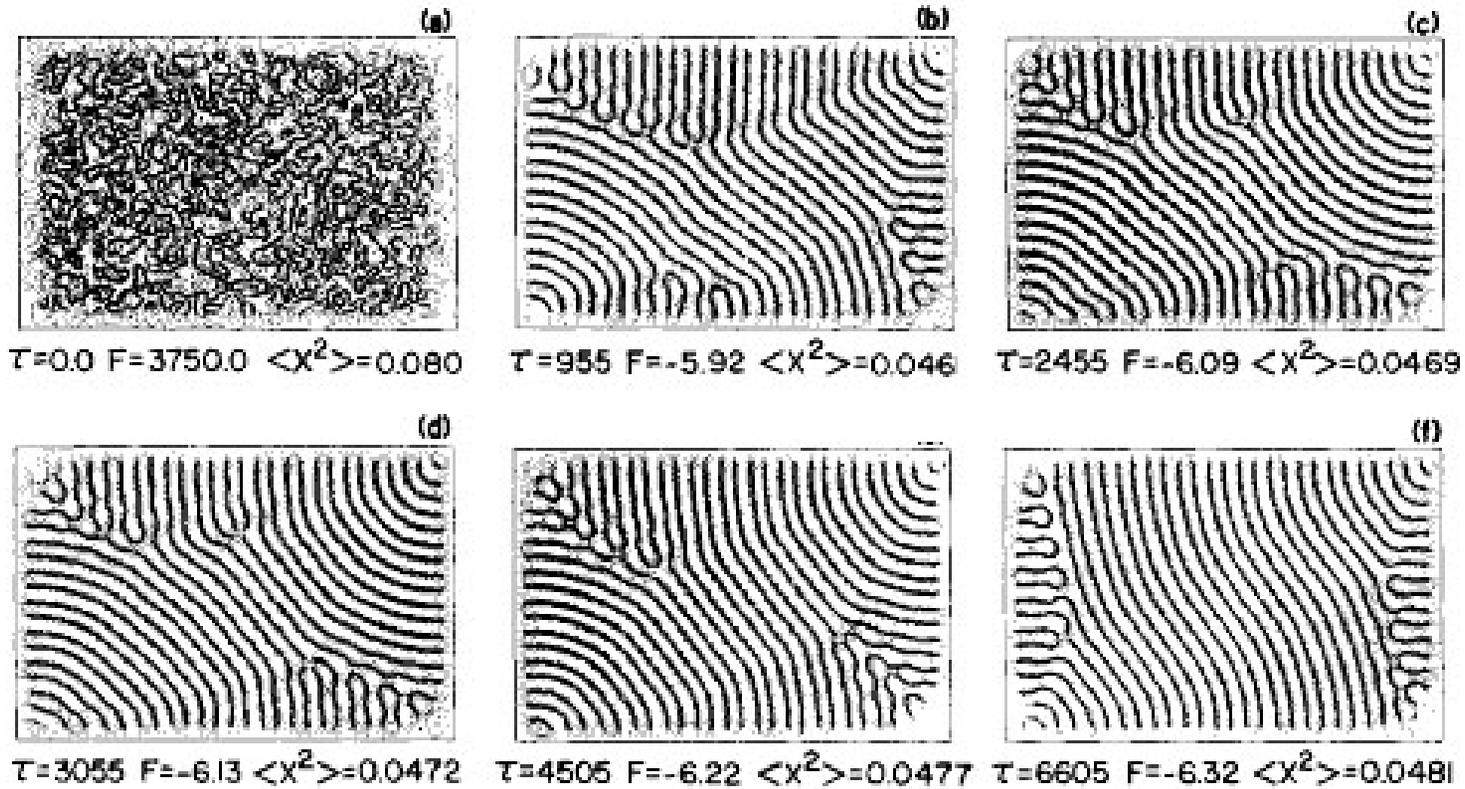
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- Alternatively can think

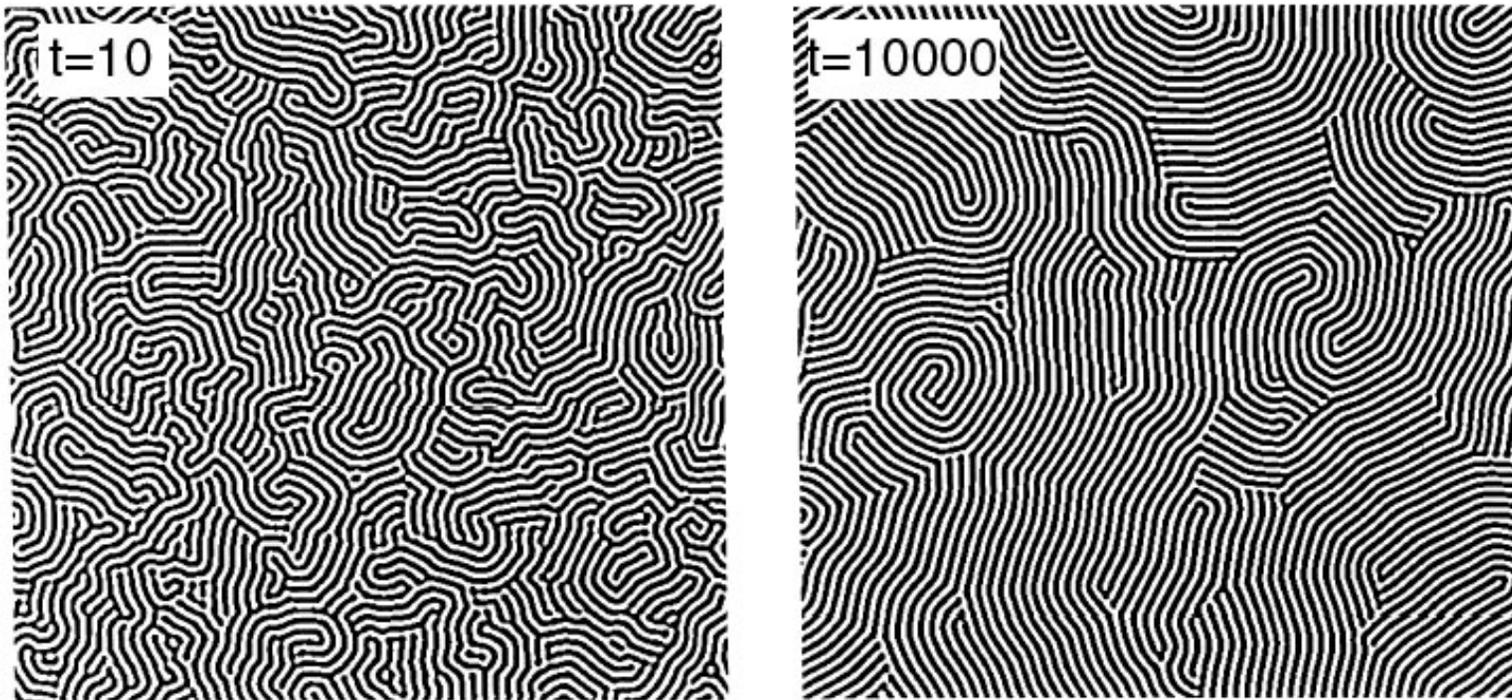
$$A(x, y)e^{i\mathbf{q}_c x} \Rightarrow \psi(x, y)$$

Relaxation to steady state



(from Greenside and Coughran, 1984)

Coarsening in a periodic geometry



(From Elder, Vinals, and Grant 1992)

Generalized Swift-Hohenberg models

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$$\partial_t \psi = \left[r - (\nabla_{\perp}^2 + 1)^2 \right] \psi + (\nabla \psi)^2 \nabla^2 \psi$$

- model effects of rotation

$$\partial_t \psi = \left[r - (\nabla_{\perp}^2 + 1)^2 \right] \psi - \psi^3 + g_2 \hat{\mathbf{z}} \cdot \nabla \times [(\nabla \psi)^2 \nabla \psi] + g_3 \nabla \cdot [(\nabla \psi)^2 \nabla \psi]$$

Order parameter equation

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This approach is rotationally invariant, and removes the limitations of the Swift-Hohenberg equation, but seems only easy to formulate in Fourier representation. It is not known how to treat real boundaries properly.

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- The phase variable describes the symmetry properties of the system: the connection between symmetry and slow dynamics is known as Goldstone's theorem.
- Near threshold θ is simply the phase of the complex amplitude, and an equation for the phase dynamics can be derived from the amplitude equation for $\eta \ll \varepsilon$ (Pomeau and Manneville, 1979)

Equation for small phase distortions near threshold

For a phase variation $\theta = kx + \delta\theta$

$$\partial_t \delta\theta = D_{\parallel} \partial_x^2 \delta\theta + D_{\perp} \partial_y^2 \delta\theta$$

with diffusion constants for the state with wave number $q = q_c + k$

$$D_{\parallel} = (\xi_0^2 \tau_0^{-1}) \frac{\varepsilon - 3\xi_0^2 k^2}{\varepsilon - \xi_0^2 k^2}$$
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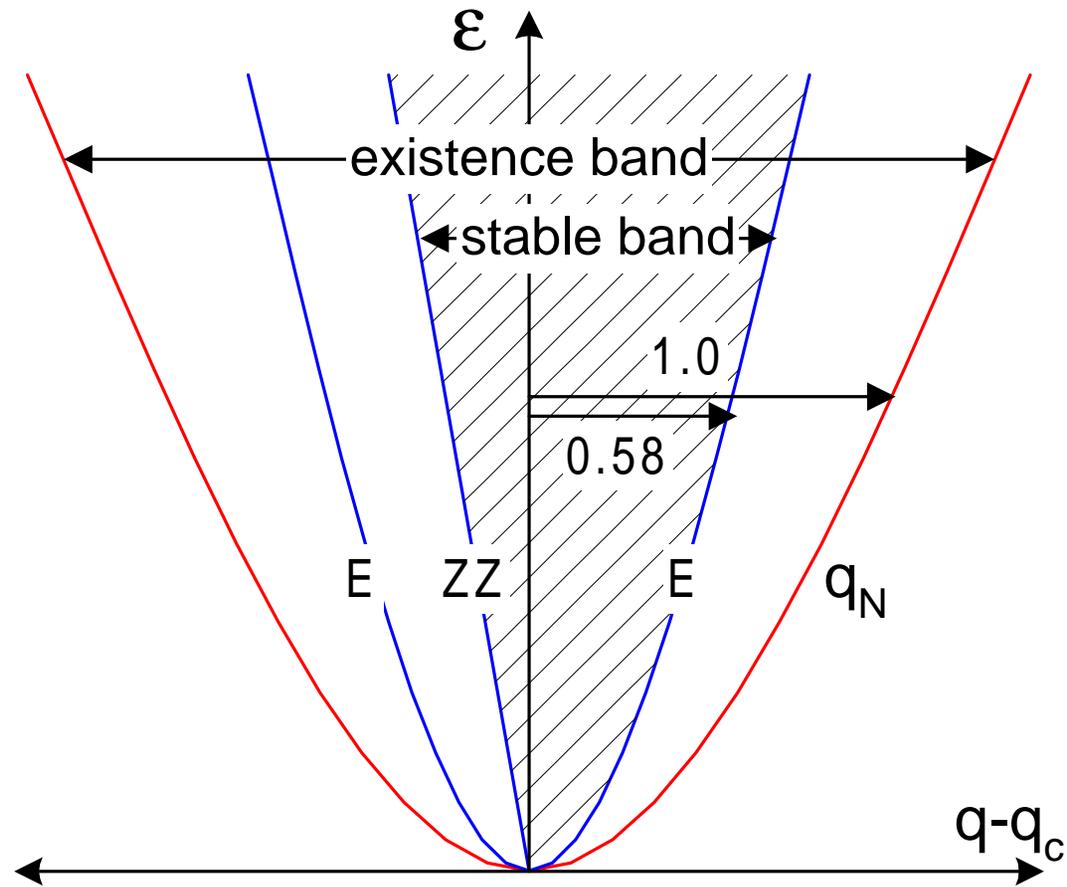
$$D_{\perp} = (\xi_0^2 \tau_0^{-1}) \frac{k}{q_c}.$$

A negative diffusion constant leads to exponentially growing solutions, i.e. the state with wave number $q_c + k$ is unstable to long wavelength phase perturbations for

$$|\xi_0 k| > \varepsilon^{1/2} / \sqrt{3} \quad \text{longitudinal (Eckhaus)}$$

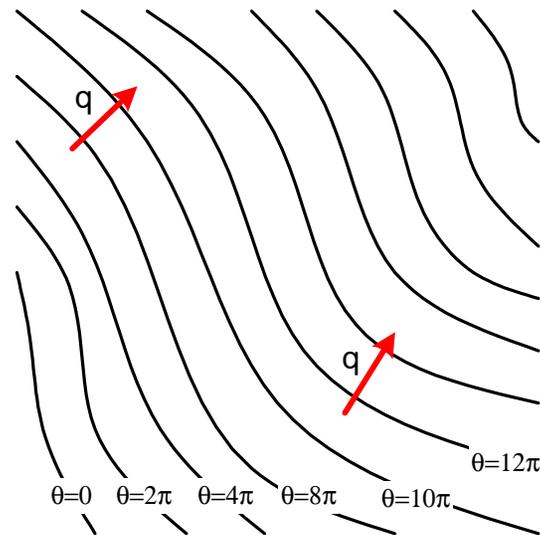
$$k < 0 \quad \text{transverse (ZigZag)}$$

Stability balloon near threshold



Phase dynamics away from threshold (MCC and Newell, 1984)

Away from threshold the other degrees of freedom relax even more quickly, and so idea of a slow phase equation remains.



- pattern is given by the lines of constant phase θ of a local stripe solution;
- wave vector \mathbf{q} is the gradient of this phase $\mathbf{q} = \nabla\theta$.

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The form of the equation derives from symmetry and smoothness arguments, and expanding up to second order derivatives of the phase.

The parameters $\tau(q)$, $B(q)$ are system dependent functions depending on the equations of motion, \mathbf{u}_q , etc.

Small deviations from stripes

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For $\theta = qx + \delta\theta$ this reduces to

$$\partial_t\delta\theta = D_{\parallel}(q)\partial_x^2\delta\theta + D_{\perp}(q)\partial_y^2\delta\theta$$

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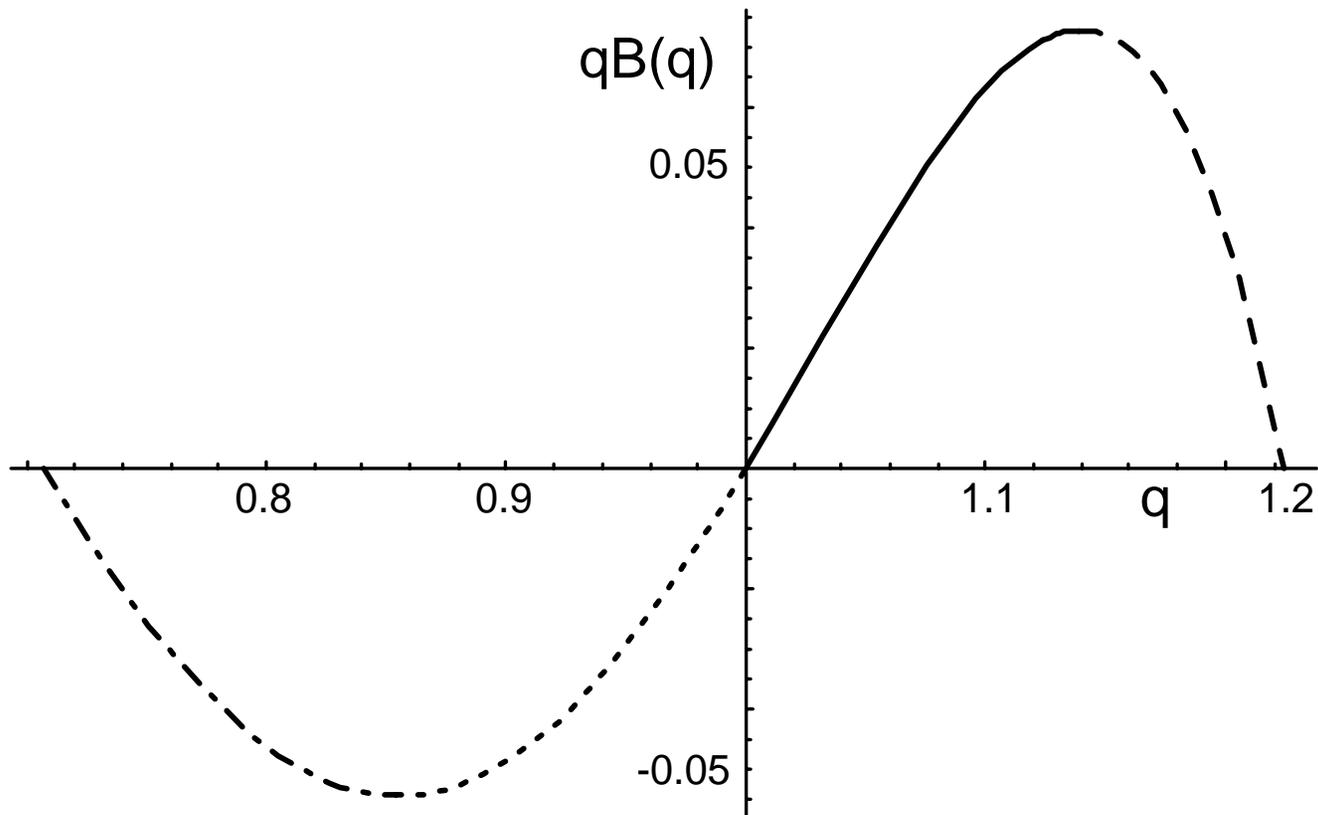
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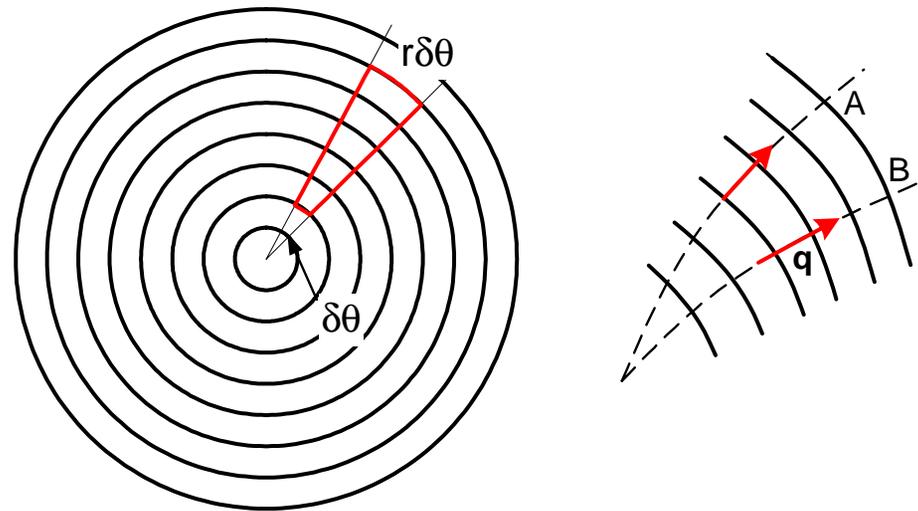
A negative diffusion constant signals instability:

- $[qB(q)]' < 0$: Eckhaus instability
- $B(q) < 0$: zigzag instability

Phase parameters for the Swift-Hohenberg equation



Application: wave number selection by a focus



$$\nabla \cdot (\mathbf{q}B(q)) = 0 \quad \Rightarrow \quad \oint B(q)\mathbf{q} \cdot \hat{\mathbf{n}} dl = \mathbf{0}$$

$$qB(q) = \frac{C}{r} \xrightarrow{r \rightarrow \infty} 0$$

i.e. $q \rightarrow q_f$ with $B(q_f) = 0$, the wave number of the zigzag instability!

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The breakdown can be traced to the existence of a large-scale horizontal flow with nonzero mean across the depth which advects the stripes giving an extra term in the phase dynamics

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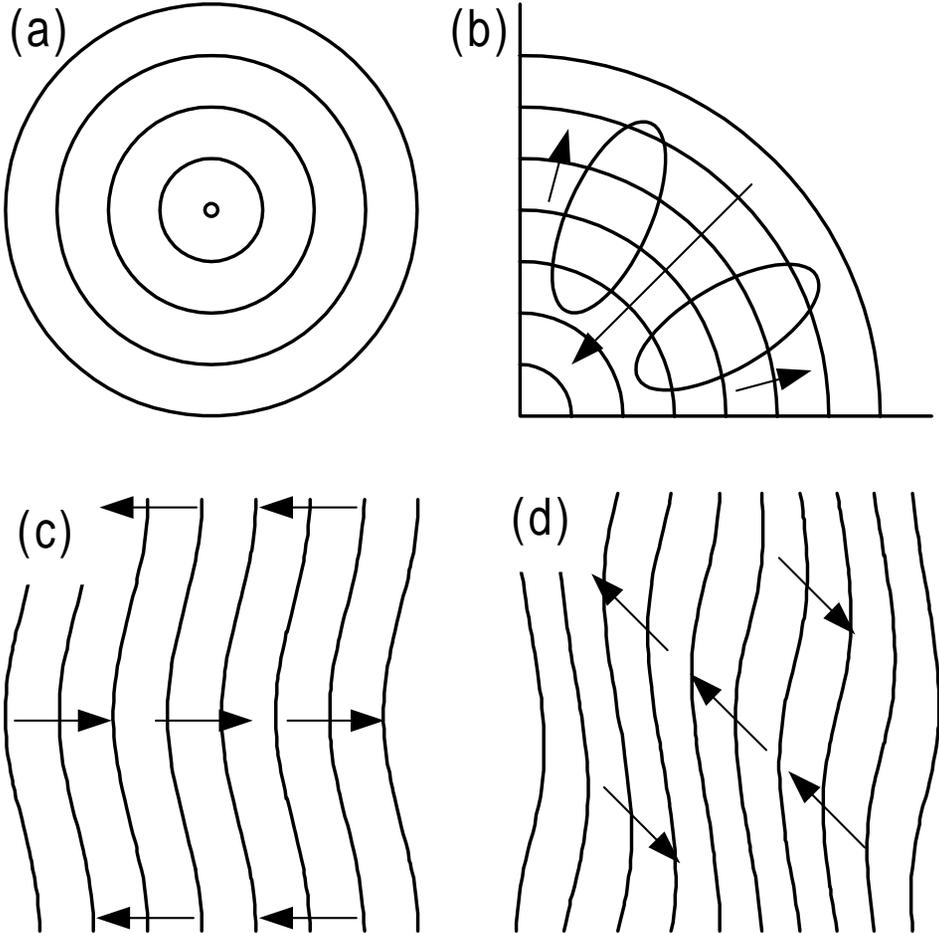
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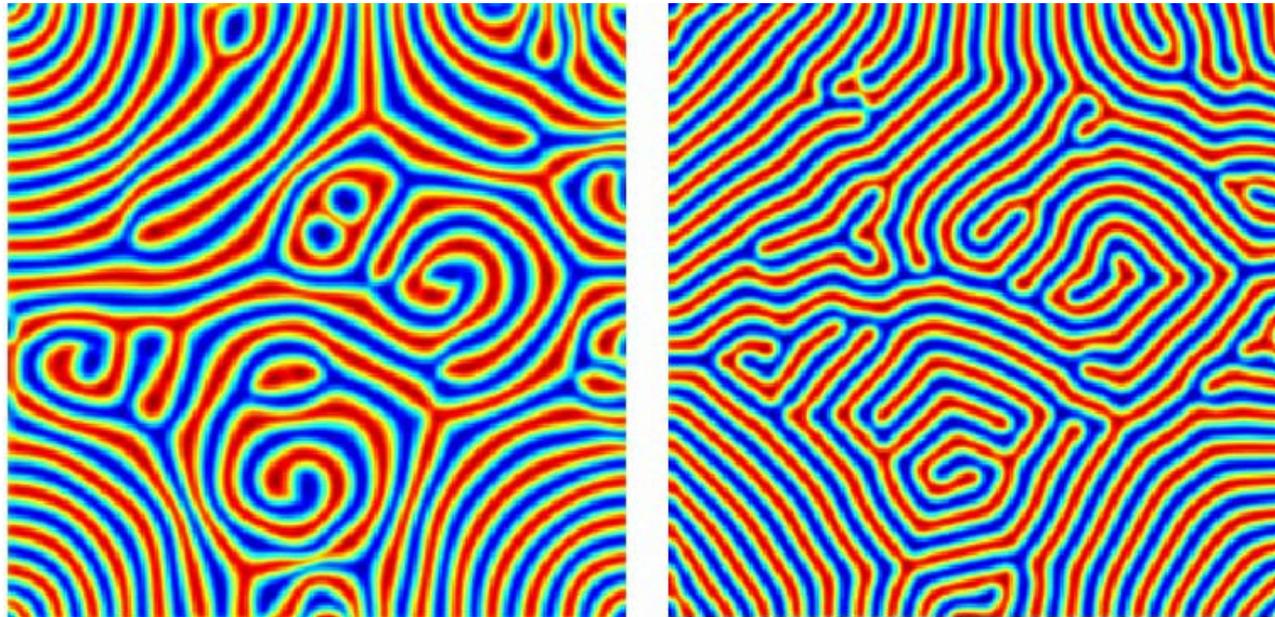
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$$\partial_t \theta \rightarrow \partial_t \theta + \mathbf{V} \cdot \nabla \theta.$$

The advection horizontal velocity \mathbf{V} is in turn driven by the pattern. Writing \mathbf{V} in terms of a stream function ζ so that $\mathbf{V} = (-\partial_y \zeta, \partial_x \zeta)$

$$\nabla_{\perp}^2 \zeta = \hat{\mathbf{z}} \cdot \nabla_{\perp} \times \mathbf{V} = \gamma \hat{\mathbf{z}} \cdot \nabla_{\perp} \times [\mathbf{k} \nabla \cdot (\mathbf{k} A^2)]$$

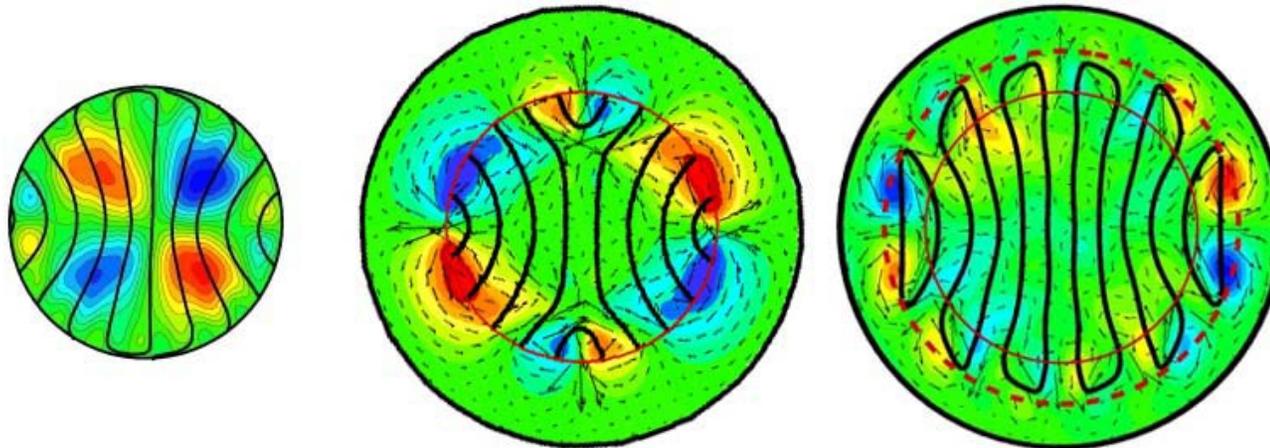




(a) with mean flow

(b) mean flow quenched

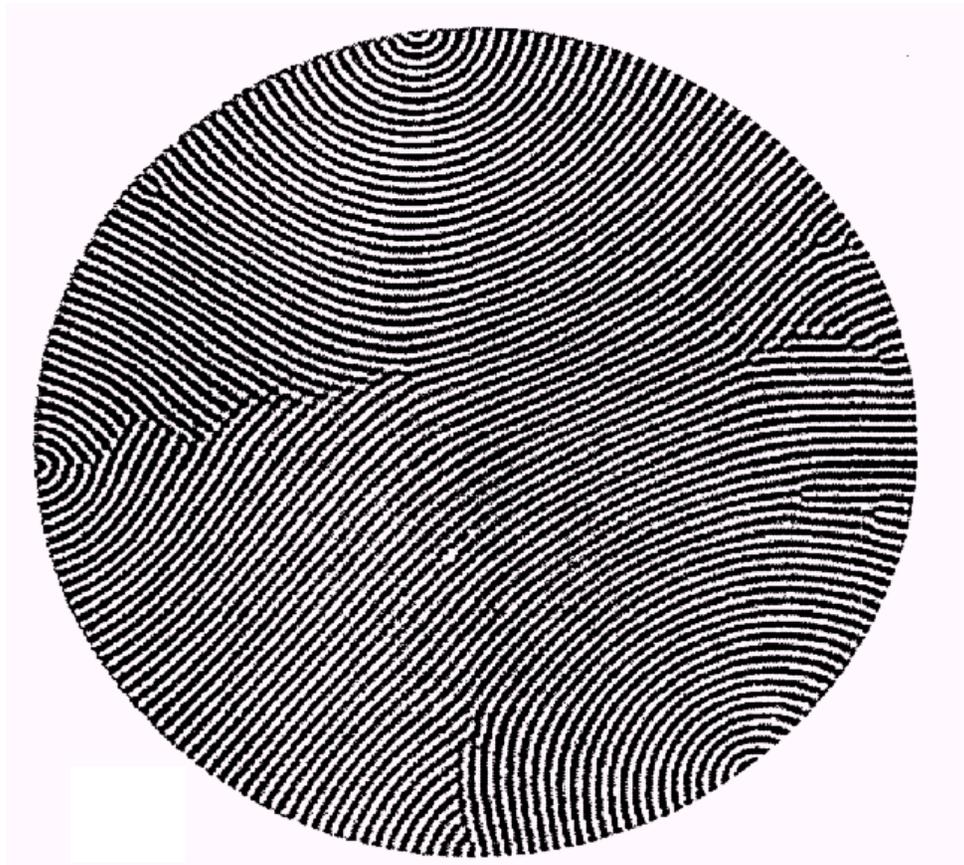
[Chiam, Paul, MCC, and Greenside (2003)]



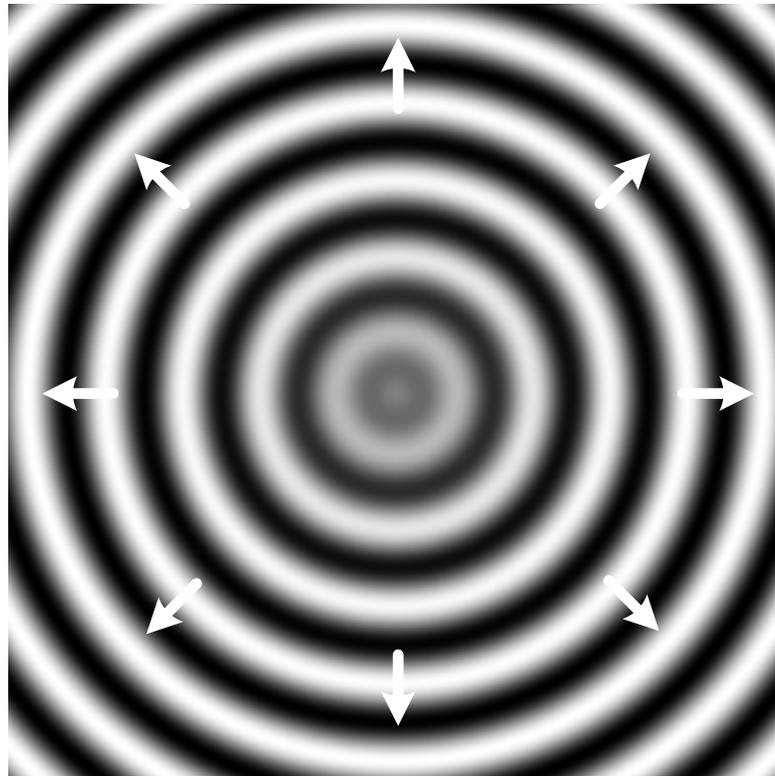
3 convection cells with different side wall conditions: (a) rigid; (b) finned; and (c) ramped. Case (a) is dynamic, the others static.

[Paul, MCC, and Fischer (2002)]

Defects



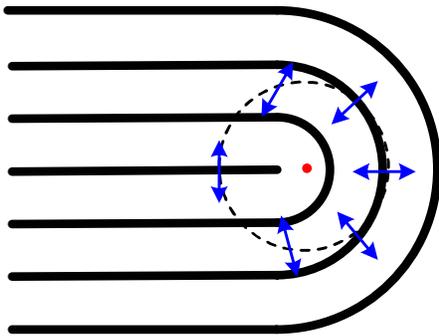
Focus/target defect



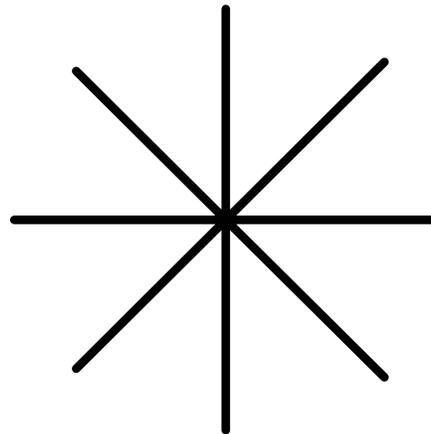
Wavevector winding number = 1

Disclinations

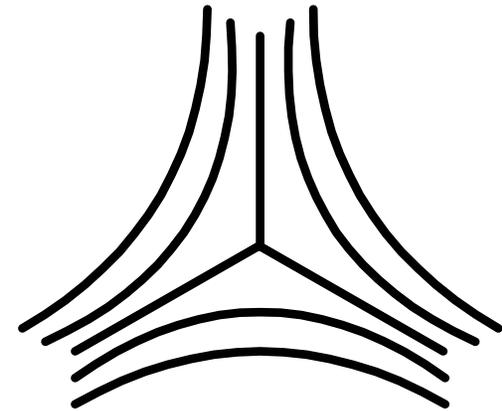
(a)



(b)

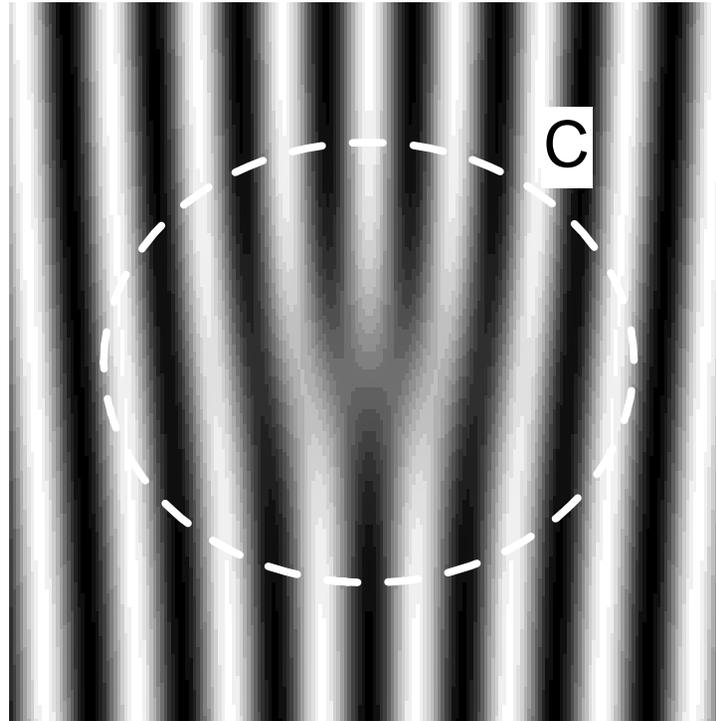


(c)



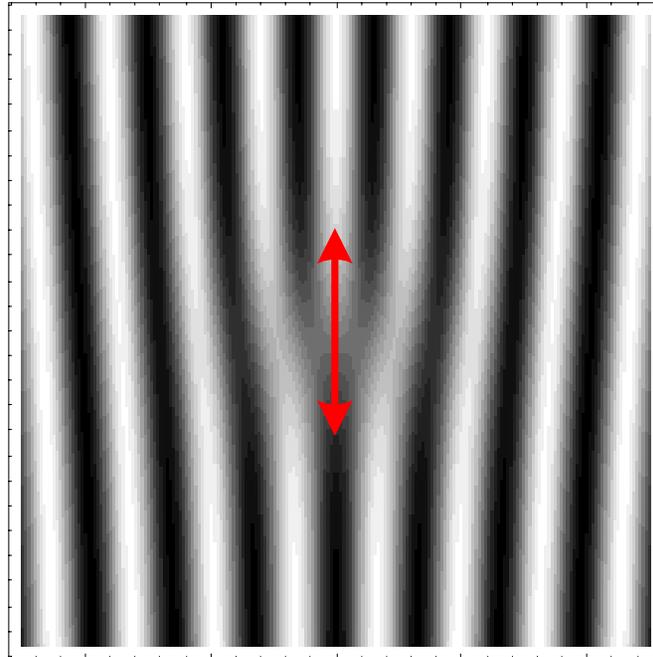
Winding numbers: (a) $\frac{1}{2}$; (b) 1; (c) -1

Dislocation



$$\text{Phase winding number} = \frac{1}{2\pi} \oint \nabla \theta \cdot \mathbf{dl} = 1$$

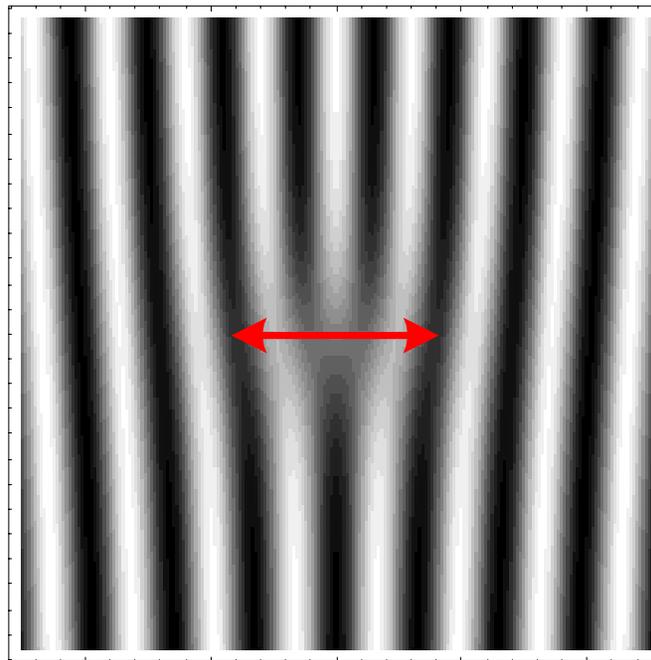
Dislocation climb



Smooth motion through symmetry related states

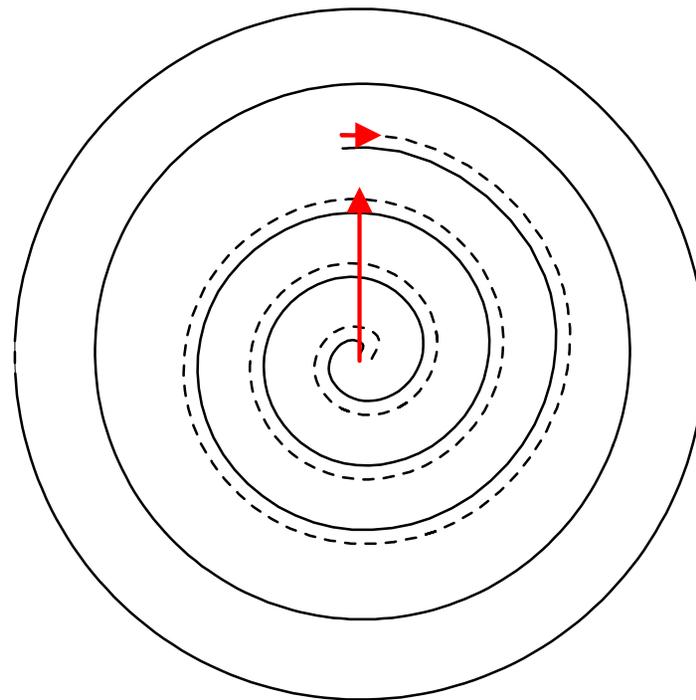
$$v_d \approx \beta(q - q_d)$$

Dislocation glide



Motion involves stripe pinch off, and is pinned to the periodic structure

Spiral Dynamics: experiments of Plapp et al. (1998)



Dislocation motion

$$v_d = \omega r_d = \beta(q(r_d) - q_d) \quad (*)$$

Spiral motion from phase equation

$$\omega = -\tau_q^{-1} \frac{1}{r} \frac{\partial}{\partial r} (r q B(q))$$

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Approximating $\tau_q \approx \bar{\tau}$ and $\bar{\tau}^{-1} q B(q) = \alpha(q - q_f)$ gives

$$q(r) - q_f = -\omega r / 2\alpha + C r^{-1}.$$

Evaluating at r_d and combining with Eq. (*) gives ω .

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Is this relevant to spiral defect chaos?

Conclusions

In today's lectures I have described the implications of symmetry on the theoretical methods for stationary patterns:

- amplitude equation in 2d
- Swift-Hohenberg equation and generalizations
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The methods have various advantages and disadvantages, and have given great insights, but none is a complete approach even near threshold.

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Next lecture: oscillatory instabilities.